

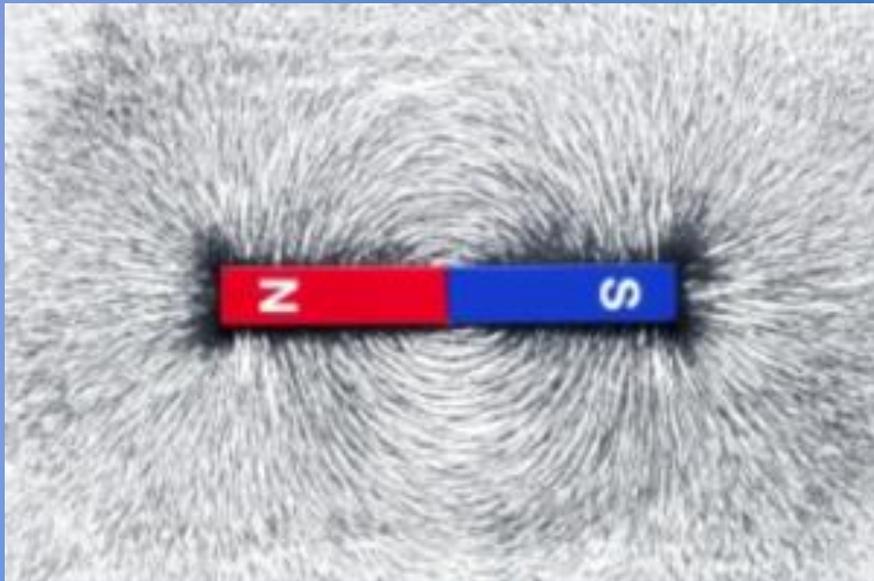
2022.4.6 Lecture 1

Lecture on

10:25 – 11:55

Magnetic Properties of Materials

磁性 (Magnetism)



Institute for Solid State Physics, University of Tokyo

Shingo Katsumoto

Syllabus

1. Phenomenology of magnetism. Magnetization process
2. Spin magnetic moments in solids
3. Mutual interaction between spins
4. Ordered states of spins. Phase transitions
5. Magnetism in insulators
6. Magnetism of itinerant electrons
7. Some advanced topics (?)

How the lecture will go on?

- The lecture notes (in Japanese, English) will be uploaded in the site <https://kats.issp.u-tokyo.ac.jp/kats/magnetism/> by the end of the lecture week.
- Attendance will be taken. That contributes to the achievement.
- Small amount of problems for your exercise at home will be given in the last of the lecture in every two weeks. Submission deadline of the solutions is two weeks later. In order to submit your answer, you need to register yourself from the web page that will be prepared by the next week.
- In the very last of the lecture in July, the problems for your report will be given. The deadline for the submission of the report will be notified then.

Chapter 1 Basic Notions of Magnetism

1. Electromagnetic fields in the vacuum, and those with materials
2. Experimental methods to measure magnetization
3. Magnetism in classical pictures
4. Spins of electrons and their magnetic moment

Electromagnetic fields in the vacuum

$$\nabla \cdot \mathbf{E} = \frac{\rho}{\epsilon_0},$$

Maxwell equations for electromagnetic fields in the vacuum

$$\nabla \times \mathbf{E} = -\frac{\partial \mathbf{B}}{\partial t},$$

Electromagnetic induction

$$\nabla \cdot \mathbf{B} = 0,$$

No magnetic monopole

$$\nabla \times \mathbf{B} = \mu_0 \left(\mathbf{j} + \epsilon_0 \frac{\partial \mathbf{E}}{\partial t} \right).$$

Electric current can create magnetic field

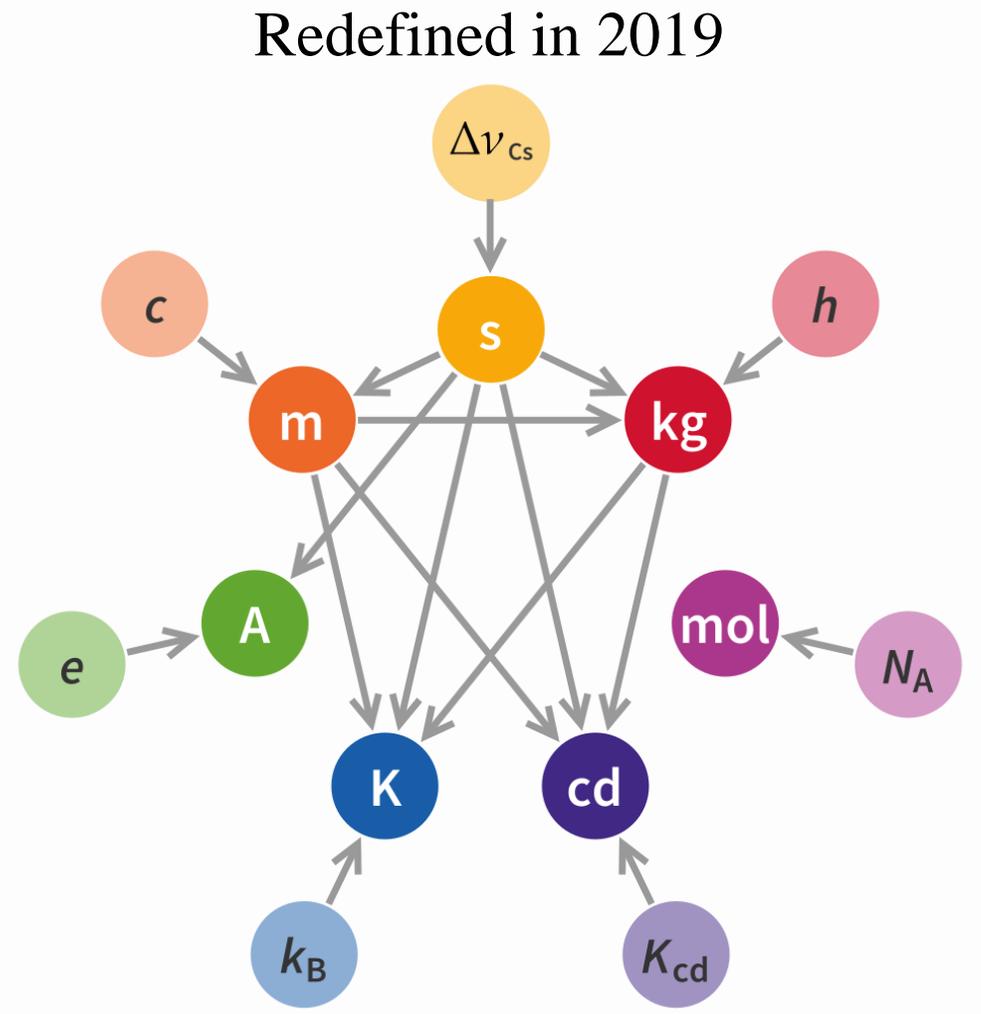
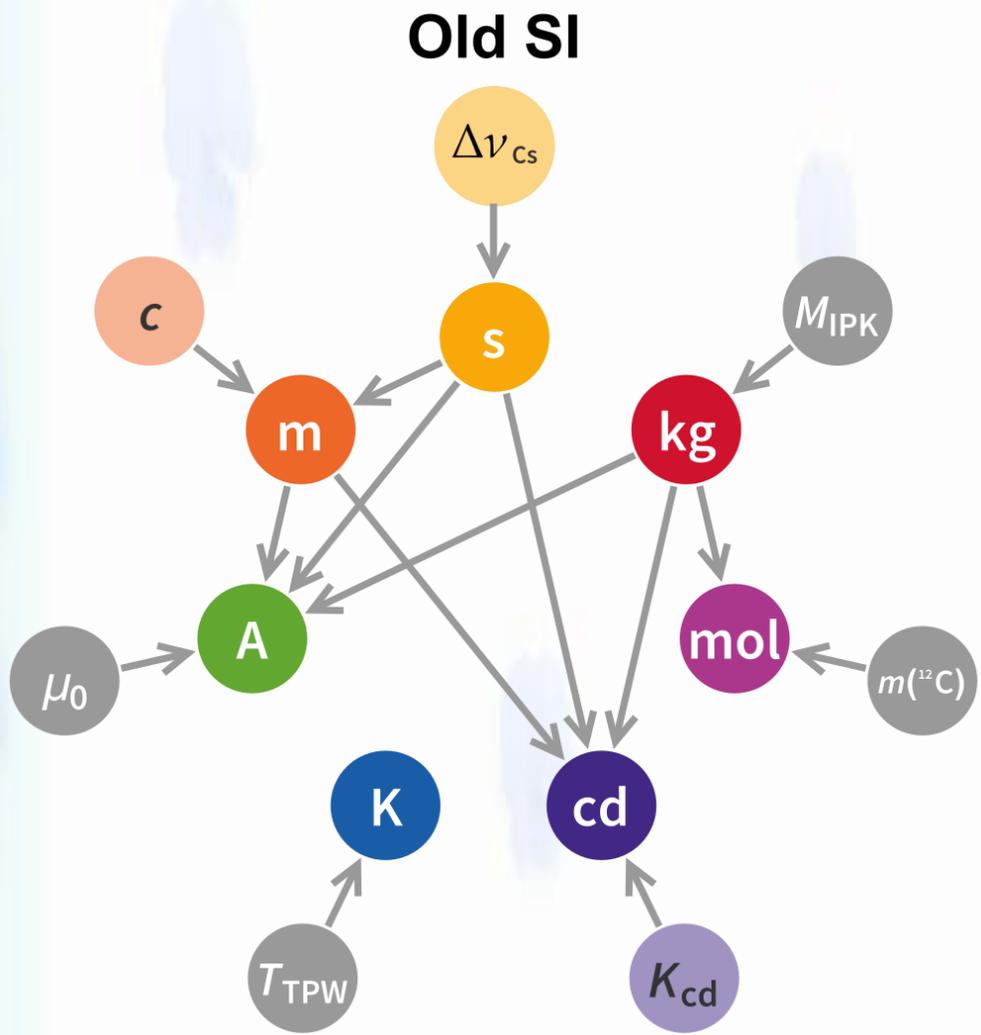
\mathbf{E} : Electric field, \mathbf{B} : Magnetic flux density (Magnetic field)

Problem of “Unit”

No 4π factor appears in the above Maxwell equations: rationalized system of units

E-B formulation, E-H formulation : Difference in the unit of magnetization!

2019 Redefinition of the SI base units

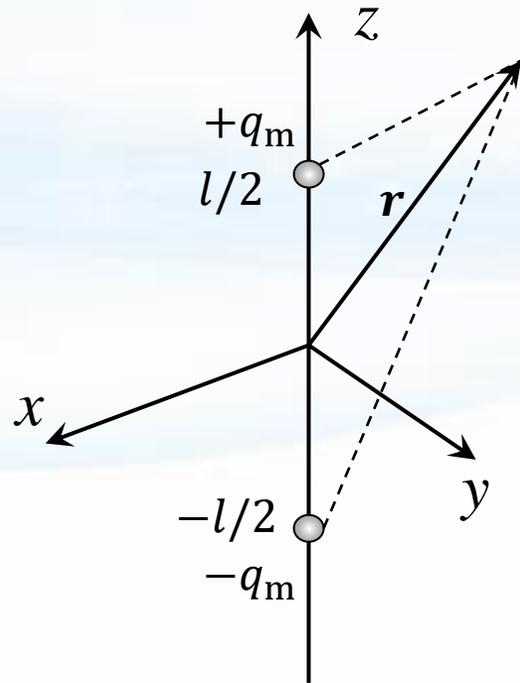


Magnetic dipole: a source of magnetic field

- Two ways to introduce magnetic dipole:
1. Introduction of magnetic charge
 2. Magnetic dipole as the shrink limit of circular current

Introduction of magnetic charge

There is no magnetic monopole but still we can consider pairs of fictitious magnetic charge with the total charge of zero.



$$\phi_m(\mathbf{r}) = \frac{1}{4\pi\mu_0} \left(\frac{q_m}{|\mathbf{r} - l/2|} - \frac{q_m}{|\mathbf{r} + l/2|} \right)$$

Magnetic potential

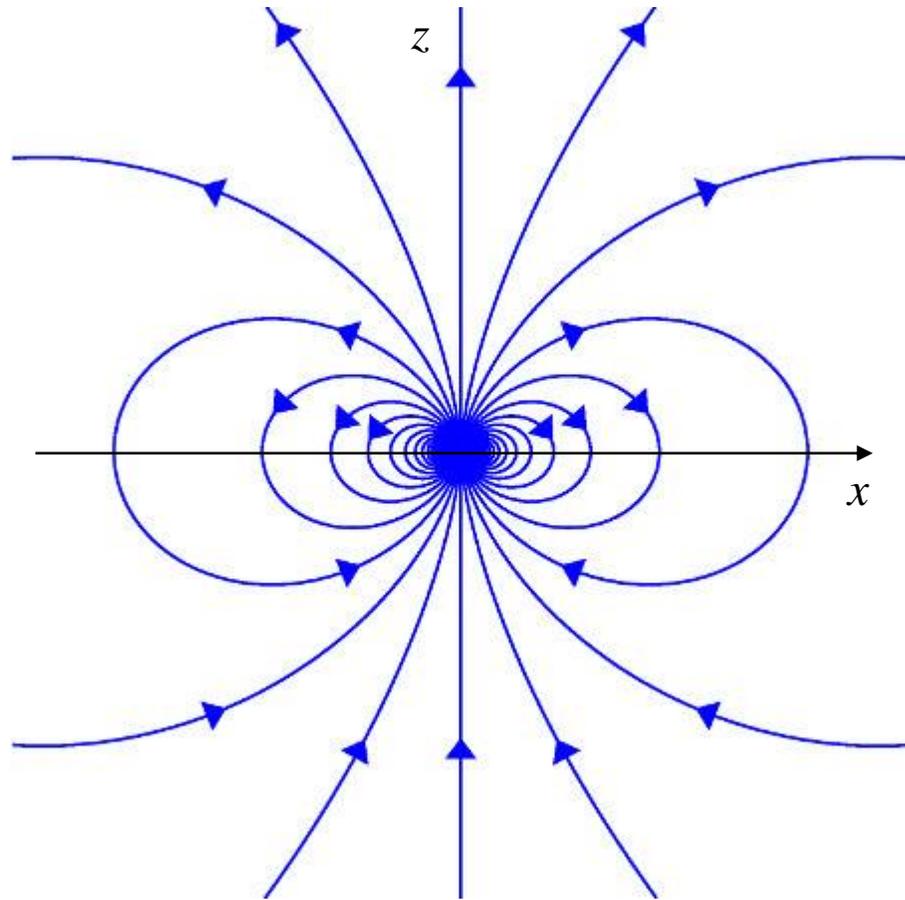
$$\mathbf{B} = -\frac{1}{4\pi\mu_0} \nabla \left(\frac{\boldsymbol{\mu} \cdot \mathbf{r}}{r^3} \right)$$

Dipole field

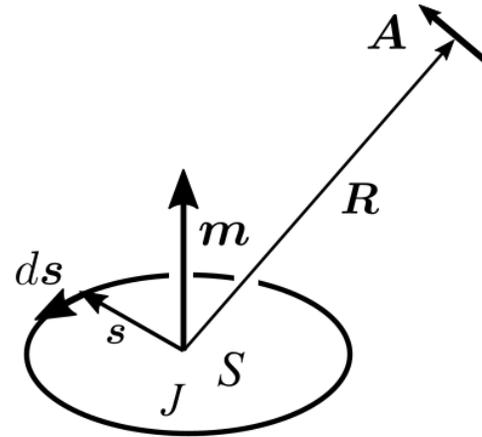
$$B_r = \frac{|\boldsymbol{\mu}|}{4\pi\mu_0} \frac{2 \cos \theta}{r^3}, \quad B_\theta = \frac{|\boldsymbol{\mu}|}{4\pi\mu_0} \frac{\sin \theta}{r^3}$$

Expression in polar coordinate

Magnetic dipole: a source of magnetic field (2)



Magnetic dipole as the shrink limit of circular current



Vector potential

$$\mathbf{A} \simeq \frac{\mu_0 J}{4\pi} \frac{1}{R^3} \oint (\mathbf{R} \cdot \mathbf{s}) ds$$

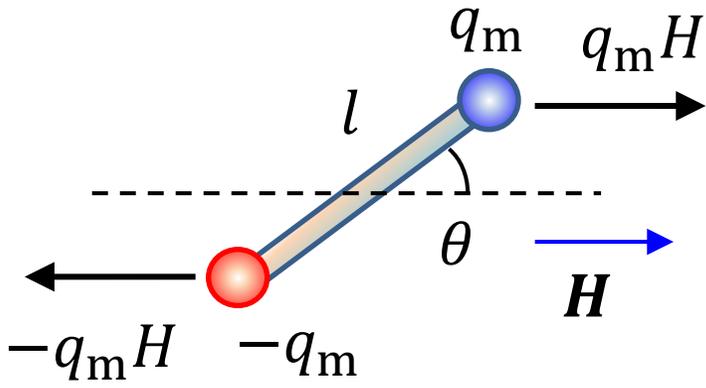
Magnetic moment (see the next)

$$\boldsymbol{\mu} = J \left(\frac{1}{2} \oint \mathbf{s} \times d\mathbf{s} \right)$$

Magnetic field:
$$\mathbf{B} = -\frac{\mu_0}{4\pi} \nabla \frac{\boldsymbol{\mu} \cdot \mathbf{r}}{r^3}$$

A circular current can serve as a magnetic dipole.

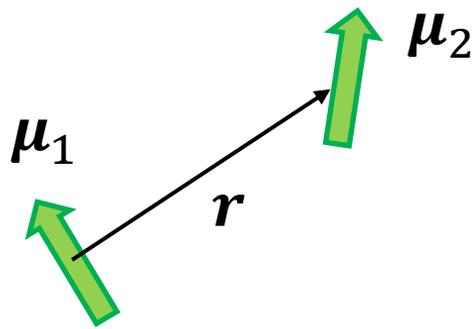
Magnetic moment



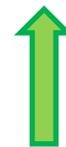
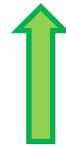
Couple of force moment: $L = -q_m l H \sin \theta = -\frac{q_m l}{\mu_0} B \sin \theta$

Magnetic moment $\mu \equiv \frac{q_m l}{\mu_0}$

E-B formation



(a) Stable



(b) Unstable

Dipole-dipole interaction: the dipoles feel each other's fields.

Potential:
$$U = \frac{1}{4\pi\mu_0 r^3} \left\{ \mu_1 \cdot \mu_2 - \frac{3}{r^2} (\mu_1 \cdot \mathbf{r})(\mu_2 \cdot \mathbf{r}) \right\}$$

A naïve dipole model of magnetization of materials

l

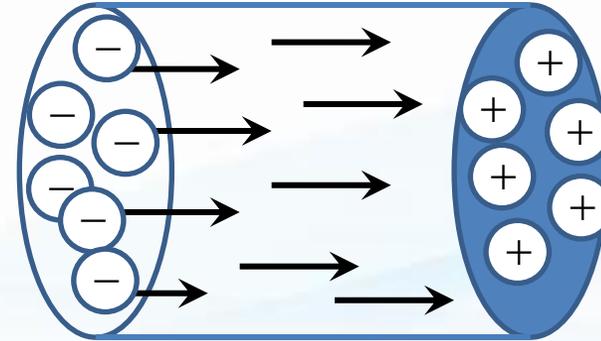
$-q_m$	q_m	$-q_m$	q_m	$-q_m$	q_m	$-q_m$	q_m
$-q_m$	q_m	$-q_m$	q_m	$-q_m$	q_m	$-q_m$	q_m
$-q_m$	q_m	$-q_m$	q_m	$-q_m$	q_m	$-q_m$	q_m
$-q_m$	q_m	$-q_m$	q_m	$-q_m$	q_m	$-q_m$	q_m

Set of small magnets

Density of magnets: N

Magnetization:
$$\mathbf{M} = \sum_{\text{unitvol.}} \boldsymbol{\mu} = Nq_m \mathbf{l} / \mu_0 \equiv \rho \mathbf{l} / \mu_0$$

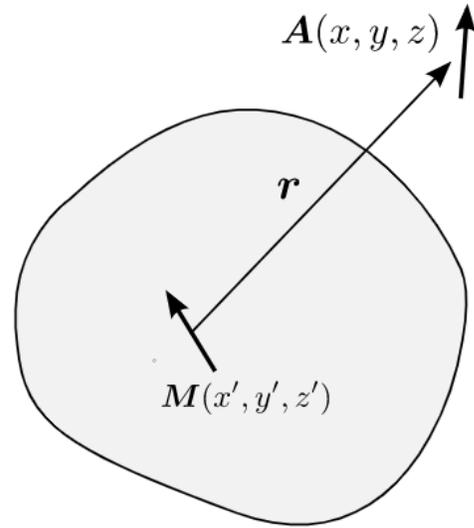
Surface density of magnetic charge
$$\sigma = q_m s = q_m N l = \mu_0 |\mathbf{M}|$$



Magnetic charges appear at the ends of the material

Expression with “equivalent current” in materials

Magnetic moments → vector potential



$$\begin{aligned} \mathbf{A} &= \frac{\mu_0}{4\pi} \int_{\text{mat}} dv' \frac{\mathbf{M}' \times \mathbf{r}}{r^3} = -\frac{\mu_0}{4\pi} \int_{\text{mat}} dv' \left(\mathbf{M}' \times \nabla \frac{1}{r} \right) \\ &= \frac{\mu_0}{4\pi} \int_{\text{mat}} dv' \left(\mathbf{M}' \times \nabla' \frac{1}{r} \right) \end{aligned}$$

Partial integration:
$$\mathbf{A} = \frac{\mu_0}{4\pi} \int_{\text{mat}} dv' \frac{\nabla' \times \mathbf{M}'}{r}$$

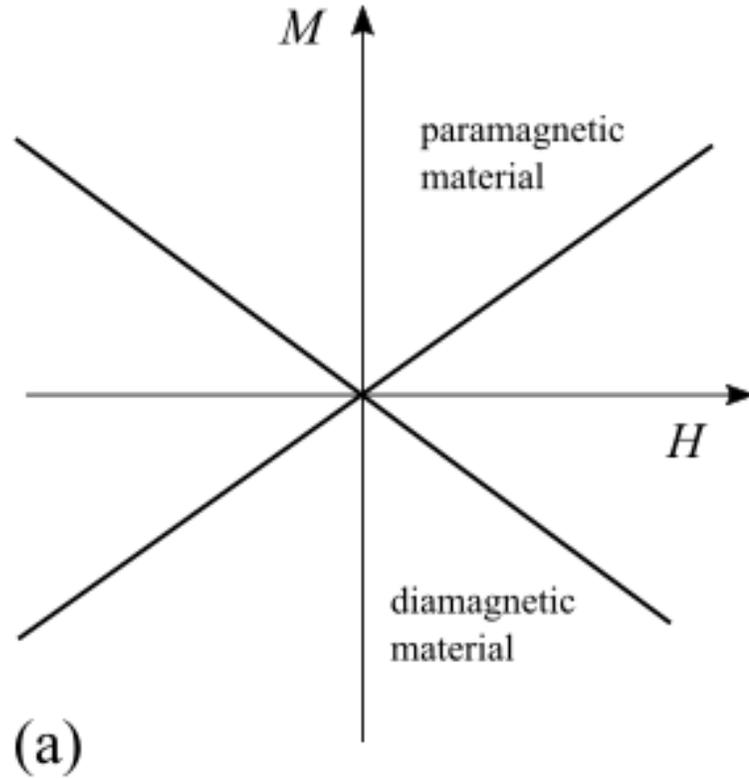
Equivalent current:
$$\mathbf{j}_M \equiv \nabla \times \mathbf{M} \longrightarrow \mathbf{A} = \frac{\mu_0}{4\pi} \int dv' \frac{\mathbf{j}' + \mathbf{j}'_M}{r}$$

$$\nabla \times \mathbf{B} = \mu_0(\mathbf{j} + \mathbf{j}_M) = \mu_0\mathbf{j} + \mu_0\nabla \times \mathbf{M}$$

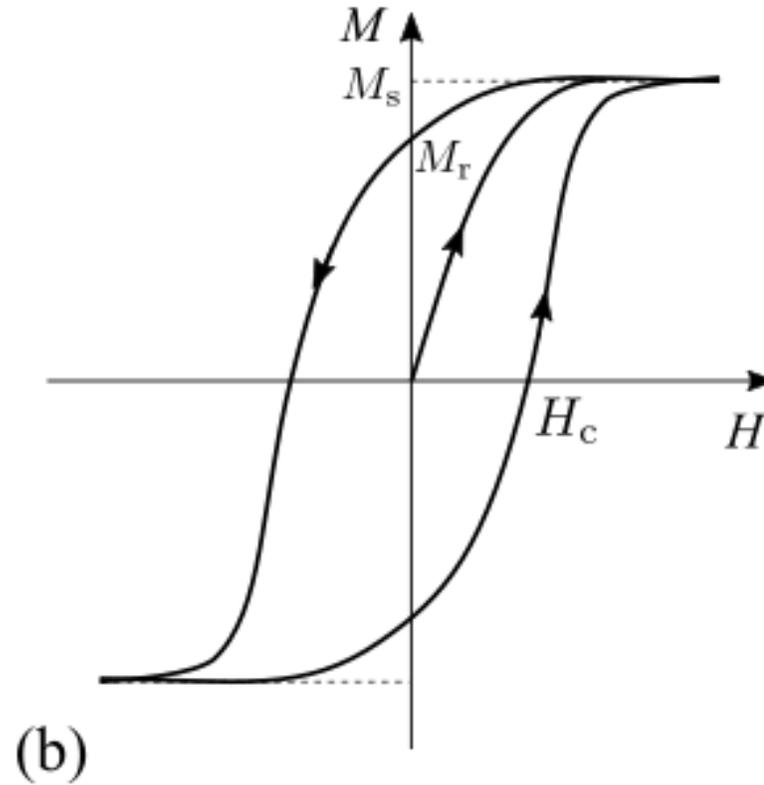
Introduction of magnetic field:
$$\mathbf{H} \equiv \mathbf{B}/\mu_0 - \mathbf{M}$$

Maxwell equation with electric flux density

$$\nabla \times \mathbf{H} = \mathbf{j} + \frac{\partial \mathbf{D}}{\partial t}$$



Linear: Paramagnetic materials,
diamagnetic materials



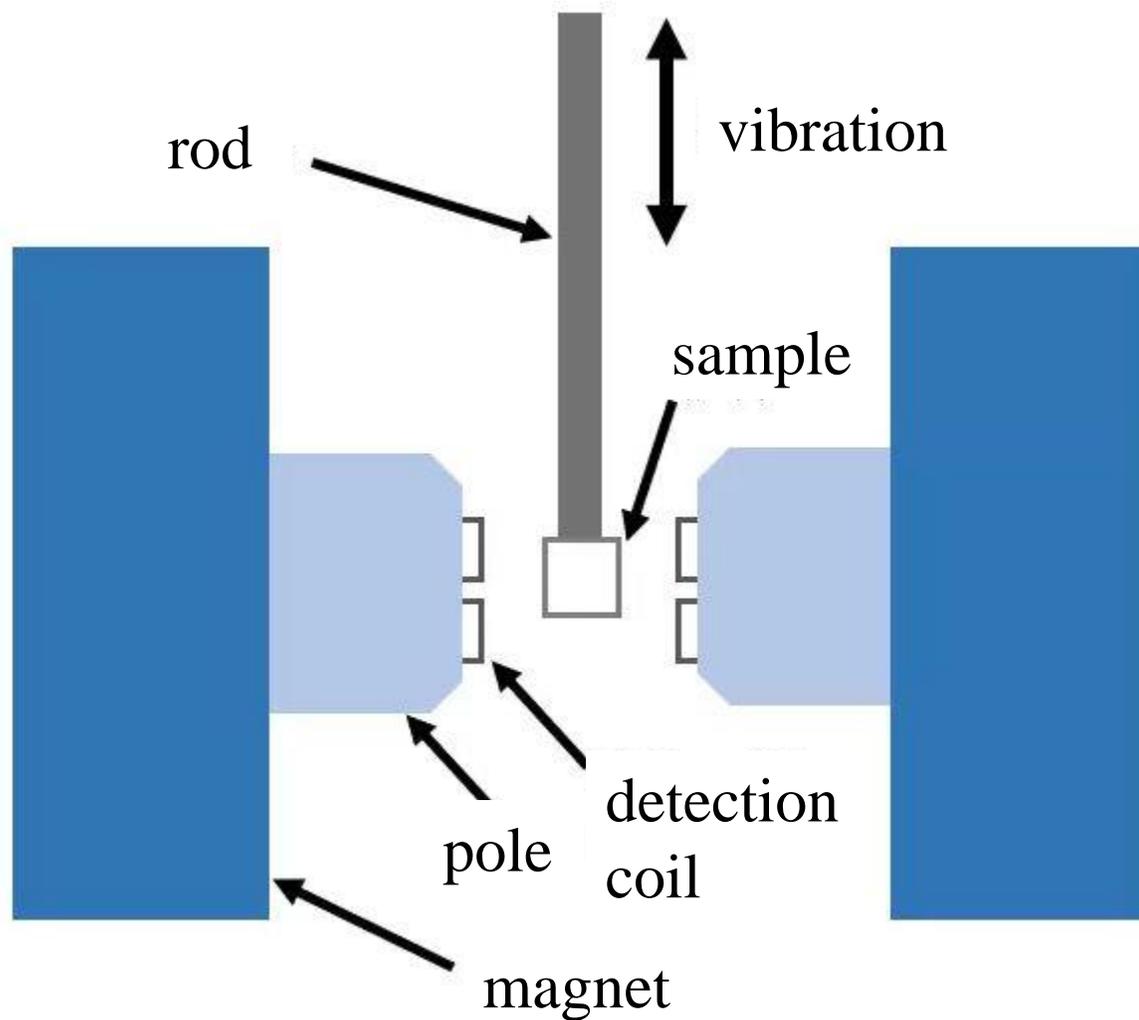
Strongly non-linear with hysteresis:
Ferromagnetic materials,
superconductors, ...

M-H relation

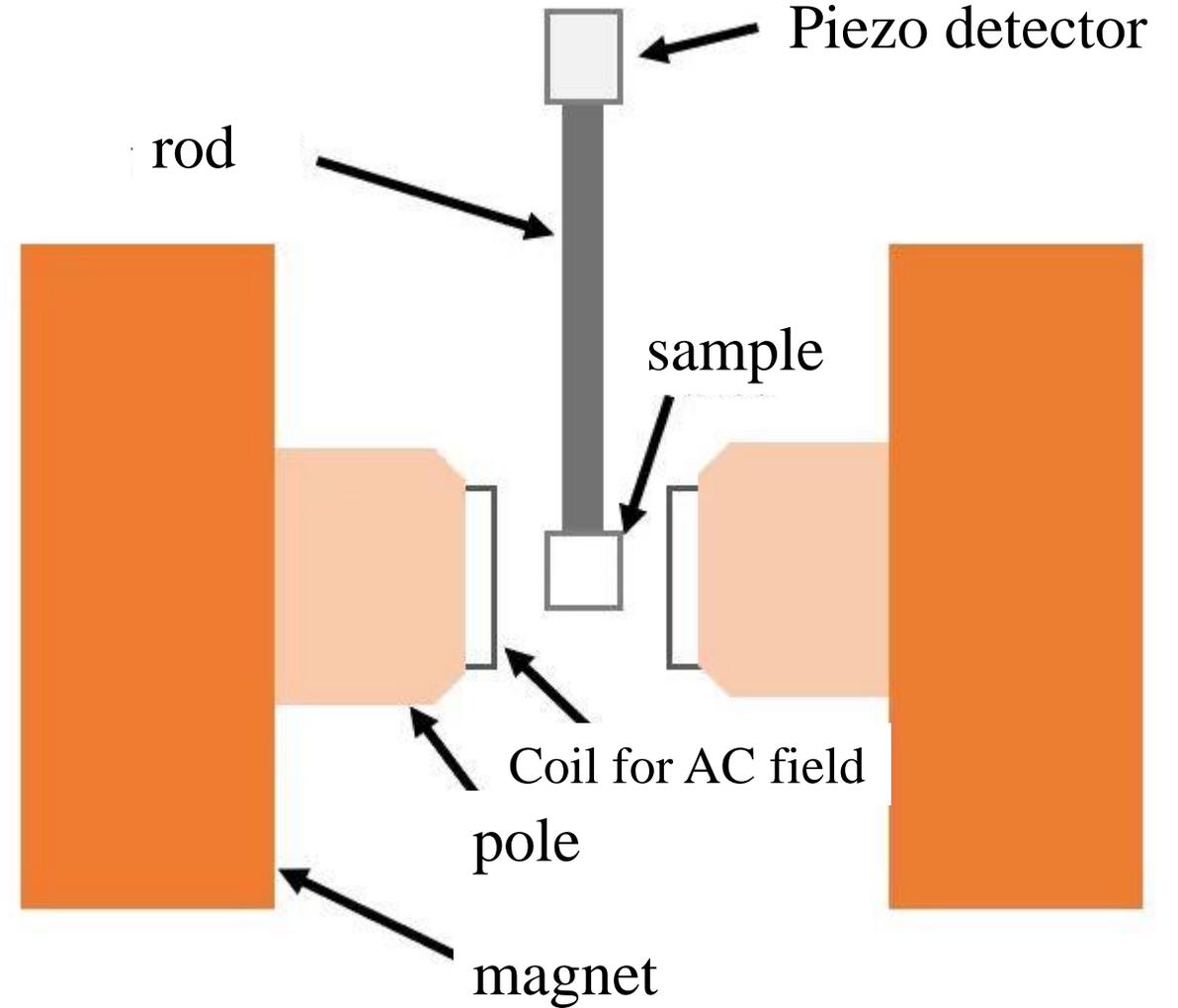
H_c : Coercive force,
 M_r : Remanent magnetization,
 M_s : Saturation magnetization

Measurement of magnetization (1)

Vibrating sample magnetometer (VSM)

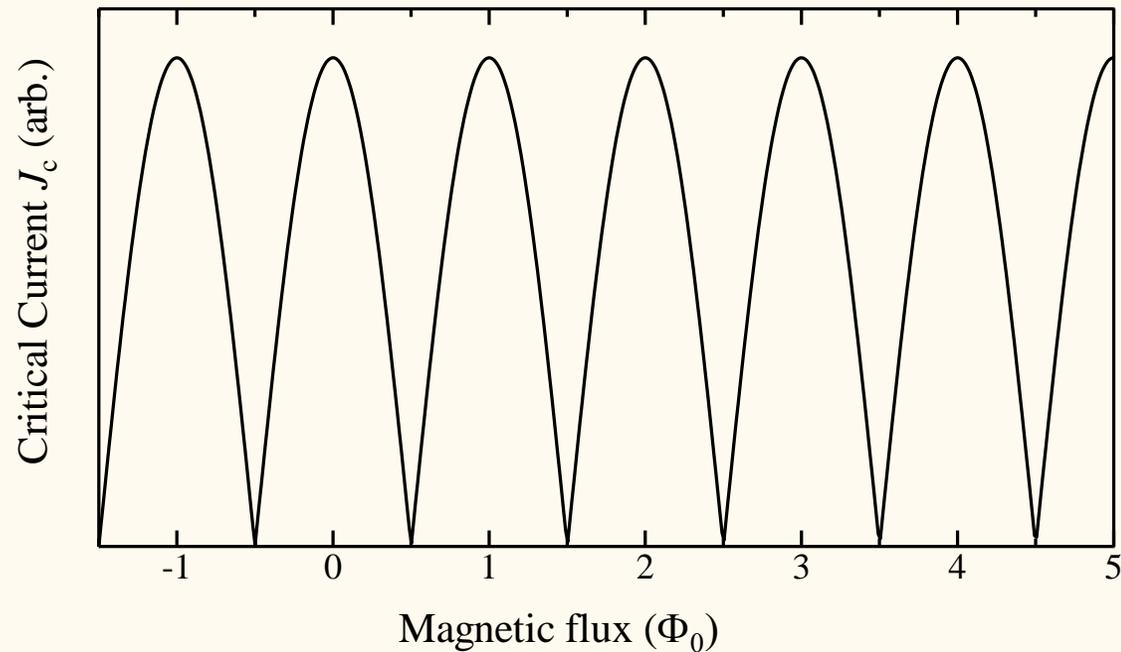


Alternating-gradient magnetometer (AGM)

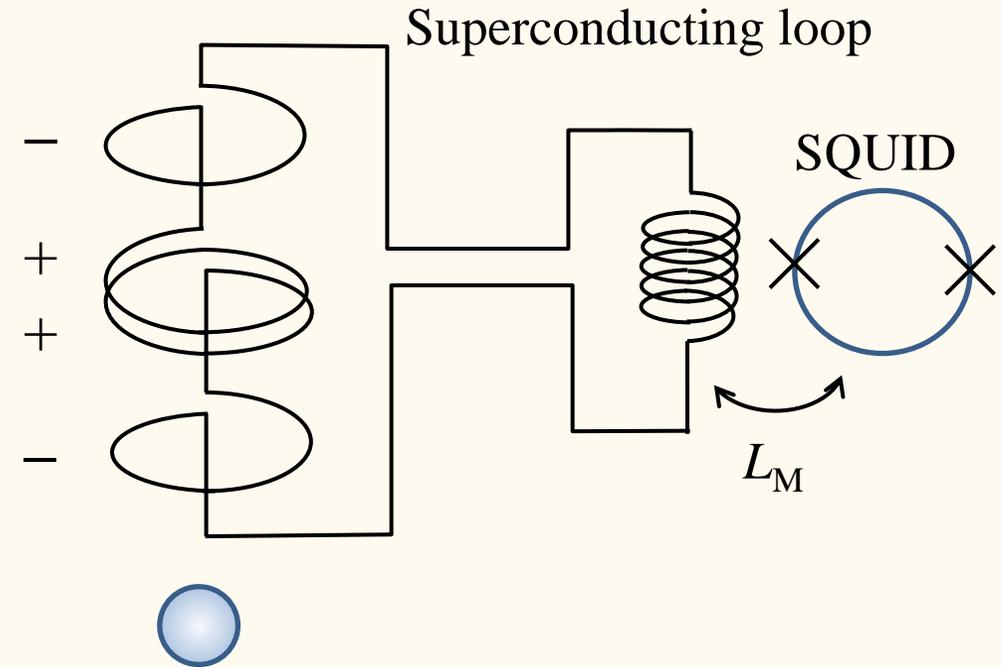


Measurement of magnetization (2)

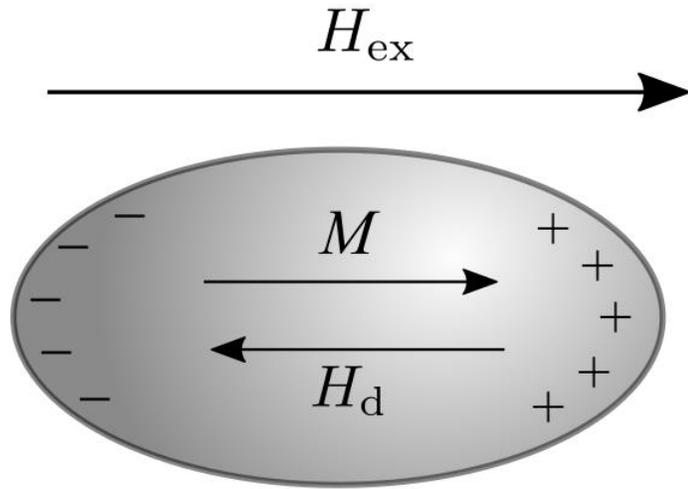
Superconducting quantum interference device (SQUID) magnetometer



$$\Phi_0 \equiv h/2e \approx 2.07 \times 10^{-15} \text{ Wb}$$



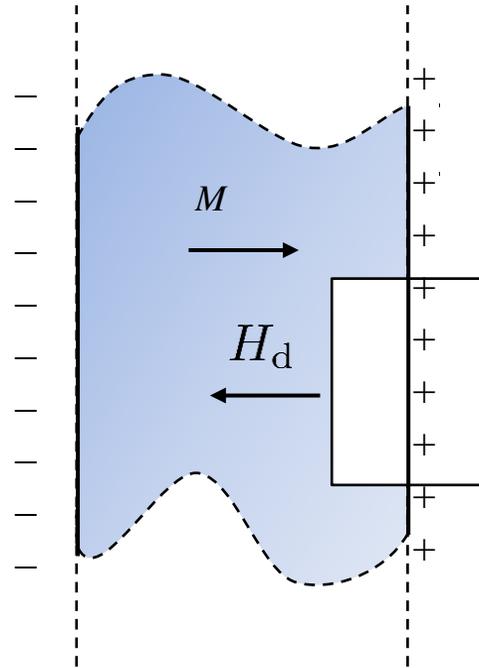
Effect of demagnetizing field



Magnetization causes creation of magnetic charges on the surface, which produces demagnetizing field inside.

$$H_d = N \frac{M}{\mu_0}$$

N : demagnetizing factor
(depends only on shape)



In the case of infinite plate

$$\int_{\text{surface}} H_n ds = H_d = \frac{M}{\mu_0}$$

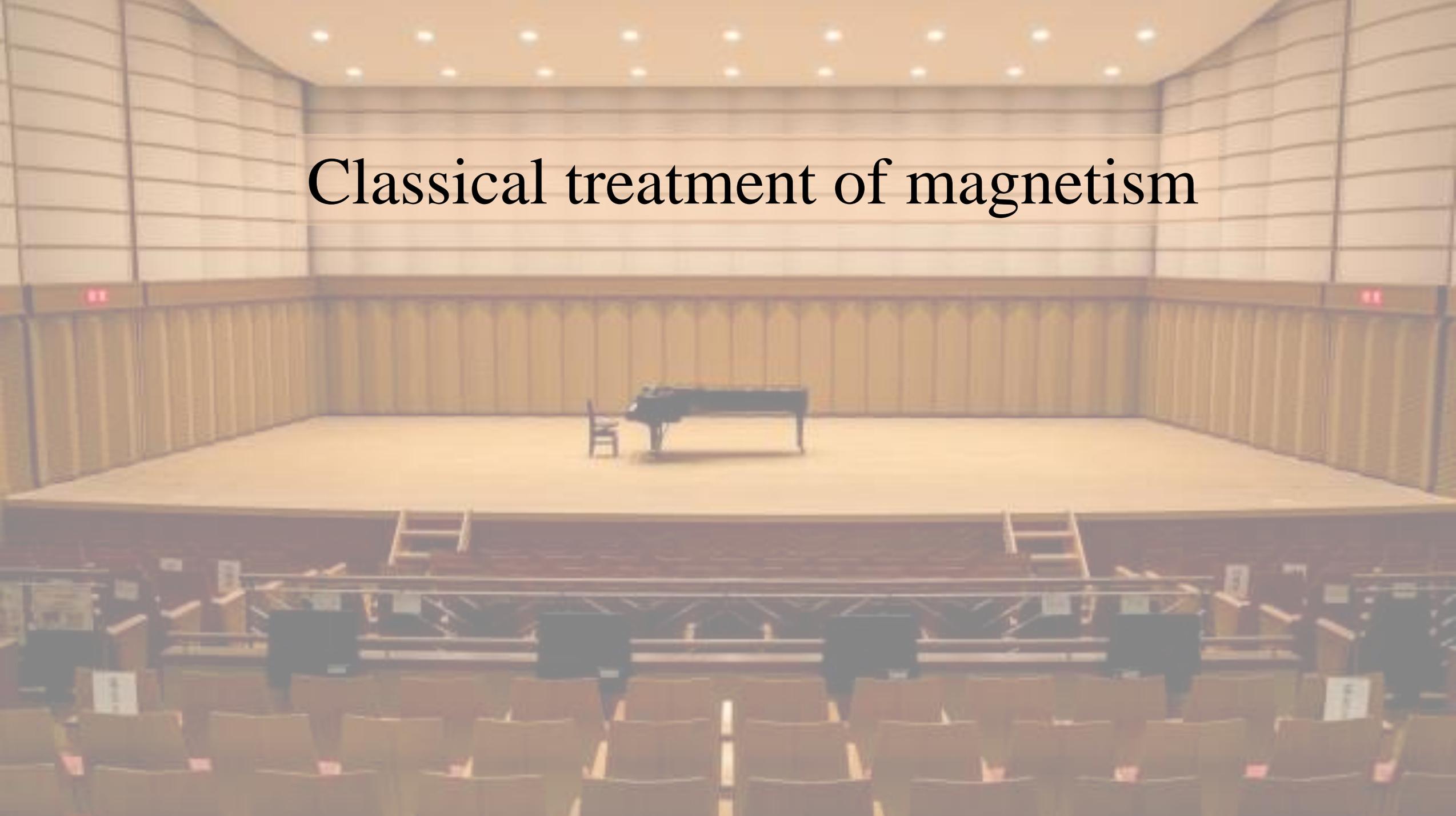
$$\therefore N = 1$$

Example: Permalloy (Py)

Coercive force: 0.025 Oe

Saturation magnetization field: 3860 Oe

Classical treatment of magnetism



Paramagnetic moment

Model: set of molecules with independent magnetic moment μ in the magnetic field with flux density B along z-axis

Moment magnetic energy: $U = -\boldsymbol{\mu} \cdot \mathbf{B} = -\mu B \cos \theta$

Average on classical distribution: $\langle \mu_z \rangle = \int \exp\left(-\frac{U}{k_B T}\right) \mu_z d\Omega / \int \exp\left(-\frac{U}{k_B T}\right) d\Omega$
 $= \int \exp\left(\frac{\mu B \cos \theta}{k_B T}\right) \mu \cos \theta d\Omega / \int \exp\left(\frac{\mu B \cos \theta}{k_B T}\right) d\Omega$

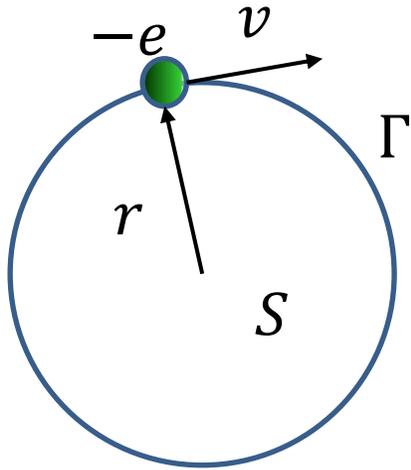
High temperature approximation: $= k_B T \frac{\partial}{\partial B} \log \left[2\pi \int_0^\pi \exp\left(\frac{\mu B \cos \theta}{k_B T}\right) \sin \theta d\theta \right] = \mu \left[\coth\left(\frac{\mu B}{k_B T}\right) - \frac{k_B T}{\mu B} \right]$

High temperature

approximation: $\mu B \ll k_B T$

Curie law: $\frac{\langle \mu_z \rangle}{B} \sim \frac{\mu^2}{3k_B} \frac{1}{T}$

Classical paramagnetism



$$\oint_{\Gamma} \mathbf{E} \cdot d\mathbf{l} = -\frac{\partial}{\partial t} \int_S \mathbf{B} \cdot d\boldsymbol{\sigma} \quad \text{Maxwell equation}$$

$$2\pi r E = -\frac{\partial}{\partial t} (B\pi r^2) \quad \therefore E = -\frac{r}{2} \frac{dB}{dt}$$

$$\frac{dL}{dt} = r \times (-eE) = e \frac{r^2}{2} \frac{dB}{dt}$$

Magnetic flux $0 \rightarrow B$

$$\text{Angular momentum } 0 \rightarrow L = e \frac{r^2}{2} B$$

$$\mu = SJ = \pi r^2 \frac{ev}{2\pi r} = \pi r^2 \frac{L}{mr} \frac{e}{\pi r} = \frac{e}{2m} e \frac{r^2}{2} B$$

$$\mu = -\frac{e^2}{4m} \langle x^2 + y^2 \rangle_{\text{av}} B$$

Breakdown of classical magnetism

Hamiltonian $\mathcal{H} = \frac{1}{2m}(\mathbf{p} + e\mathbf{A})^2 - e\phi$

Symmetric gauge: $\mathbf{A} = (\mathbf{B} \times \mathbf{r})/2$

$$\mathcal{H} = \frac{\mathbf{p}^2}{2m} + \frac{e}{2m}(\mathbf{r} \times \mathbf{p}) \cdot \mathbf{B} + \frac{e^2}{8m}(\mathbf{B} \times \mathbf{r})^2$$

Dipole moment: $\mu_m = -\frac{\partial \mathcal{H}}{\partial B} = \underbrace{-\frac{e}{2m}(\mathbf{r} \times \mathbf{p})}_{\text{paramagnetic}} - \underbrace{\frac{e^2}{4m}(\mathbf{r} \times (\mathbf{B} \times \mathbf{r}))}_{\text{diamagnetic}}$

N -electron system $\mathcal{H}_N = \sum_{n=1}^N \left[\frac{1}{2m} (\mathbf{p}_n + e\mathbf{A}(\mathbf{r}_n))^2 - e\phi(\mathbf{r}_n) \right] + V(\mathbf{r}_1, \mathbf{r}_2, \dots, \mathbf{r}_N)$

Breakdown of classical magnetism (2)

Partition function:
$$Z = \prod_{n=1}^N \int \frac{d\mathbf{r}_n d\mathbf{p}_n}{h^3} e^{-\mathcal{H}/k_B T}$$

$$\boldsymbol{\pi}_n = \mathbf{p}_n + e\mathbf{A}(\mathbf{r})$$

$$Z = \prod_{n=1}^N \int \frac{d\mathbf{r}_n d\boldsymbol{\pi}_n}{h^3} e^{-\mathcal{H}'/k_B T},$$

$$\mathcal{H}' = \sum_{n=1}^N \left[\frac{\boldsymbol{\pi}_n^2}{2m} - e\phi(\mathbf{r}_n) \right] + V(\mathbf{r}_1, \mathbf{r}_2, \dots, \mathbf{r}_N)$$

Cancellation of paramagnetic and diamagnetic term

$$\langle \boldsymbol{\mu}_m \rangle = -\frac{1}{N} \frac{\partial F}{\partial \mathbf{B}} = \frac{1}{Nk_B T} \frac{\partial \ln Z}{\partial \mathbf{B}} = \langle \boldsymbol{\mu}_{\text{para}} \rangle + \langle \boldsymbol{\mu}_{\text{dia}} \rangle = 0$$

Bohr- van Leeuwen theorem

Electron spin from Dirac equation



Dirac equation and electron spin magnetic moment

Energy-momentum relation in
Newtonian mechanics

$$E = \frac{p^2}{2m}$$

Quantum mechanical replacement
to obtain Schroedinger equation:

$$E \rightarrow i\hbar \frac{\partial}{\partial t}, \quad p \rightarrow -i\hbar \frac{\partial}{\partial x}$$

Energy-momentum relation in relativity

$$E^2 = (pc)^2 + (mc^2)^2 \quad (1)$$

However simple replacement is impossible: **the wave equation must be the first-order in time**

$$E = \sum_{k=1,2,3} \alpha_k p_k c + \beta mc^2 \quad (2)$$

How to compromise (2) with (1) ?

These conditions require α_k and β
to be 4×4 matrices.

$$\left\{ \begin{array}{l} \alpha_k^2 = 1, \quad \beta^2 = 1, \\ \alpha_k \alpha_j + \alpha_j \alpha_k = 0 \quad (k \neq j), \\ \alpha_k \beta + \beta \alpha_k = 0 \end{array} \right.$$

Pauli representation

Wave equation

$$i\hbar \frac{\partial \psi}{\partial t} = \left[-i\hbar c \sum_{k=x,y,z} \alpha_k \frac{\partial}{\partial x_k} + \beta mc^2 \right] \psi$$

$\equiv \mathcal{H}_D \psi, \quad \mathcal{H}_D = c\boldsymbol{\alpha} \mathbf{p} + mc^2 \beta$ Dirac hamiltonian

Pauli matrices

$$\sigma_x = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad \sigma_y = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, \quad \sigma_z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}, \quad I = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$

$$\sigma_i \sigma_j = -\sigma_j \sigma_i = i\sigma_k, \quad \sigma_x^2 = \sigma_y^2 = \sigma_z^2 = I$$

Pauli representation

$$\alpha_k = \begin{pmatrix} 0 & \sigma_k \\ \sigma_k & 0 \end{pmatrix}, \quad \beta = \begin{pmatrix} I & 0 \\ 0 & -I \end{pmatrix}$$

Spin angular momentum

$$\mathcal{H} = \mathcal{H}_D + V(\mathbf{r})$$

$$\mathbf{L} = \mathbf{r} \times \mathbf{p} \quad \underline{[\mathbf{L}, \mathcal{H}] = i\boldsymbol{\alpha} \times \mathbf{p}} \quad \boldsymbol{\alpha} = \begin{pmatrix} \alpha_x \\ \alpha_y \\ \alpha_z \end{pmatrix}$$

L does not commute with Hamiltonian, is thus, not a constant of motion.

$$4 \times 4 \text{ Pauli matrices: } \sigma_k^{(4)} = \begin{pmatrix} \sigma_k & 0 \\ 0 & \sigma_k \end{pmatrix} \quad \underline{[\boldsymbol{\sigma}, \mathcal{H}] = -2i\boldsymbol{\alpha} \times \mathbf{p}/\hbar}$$

$$\text{Then } \mathbf{J} = \mathbf{L} + \frac{\hbar}{2}\boldsymbol{\sigma} \equiv \mathbf{L} + \mathbf{s} \quad [\mathbf{J}, \mathcal{H}] = 0$$

Spin angular momentum: $\mathbf{s} \equiv (\hbar/2)\boldsymbol{\sigma}$

Magnetic moment of electron spin

Dirac eq. with electromagnetic field $i\hbar\frac{\partial\psi}{\partial t} = [c\boldsymbol{\alpha}(\mathbf{p} + e\mathbf{A}) + \beta m - e\phi] \psi$

$$\left[\left(i\hbar\frac{\partial}{\partial t} + e\phi \right) - c \sum_{j=x,y,z} \alpha_j \left(-i\hbar\frac{\partial}{\partial r_j} + eA_j \right) - \beta mc^2 \right] \psi = 0$$

Operation from left: $i\hbar\frac{\partial}{\partial t} + e\phi + c \sum_{j=x,y,z} \alpha_j \left(-i\hbar\frac{\partial}{\partial r_j} + eA_j \right) + \beta mc^2$

We obtain

$$\left[\left(i\hbar\frac{\partial}{\partial t} + e\phi \right)^2 - c^2(\mathbf{p} + e\mathbf{A})^2 - m^2c^4 + ic\hbar e(\boldsymbol{\alpha} \cdot \mathbf{E}) + i\hbar c^2 e(\alpha_x\alpha_y B_z + \alpha_y\alpha_z B_x + \alpha_z\alpha_x B_y) \right] \psi = 0$$

Because $\alpha_x\alpha_y = i\sigma_z^{(4)}$, $\alpha_y\alpha_z = i\sigma_x^{(4)}$, $\alpha_z\alpha_x = i\sigma_y^{(4)}$

$$\left[\left(i\hbar\frac{\partial}{\partial t} + e\phi \right)^2 - c^2(\mathbf{p} + e\mathbf{A})^2 - m^2c^4 + ic\hbar e(\boldsymbol{\alpha} \cdot \mathbf{E}) - \hbar c^2 e\boldsymbol{\sigma} \cdot \mathbf{B} \right] \psi = 0$$

Magnetic moment of electron spin (2)

Stationary solution: $\psi(\mathbf{r}, t) = \exp(-i\epsilon t/\hbar)\varphi(\mathbf{r})$

$$\left[(\epsilon + e\phi)^2 - c^2(\mathbf{p} + e\mathbf{A})^2 - m^2c^4 + ic\hbar e(\boldsymbol{\alpha} \cdot \mathbf{E}) - \hbar c^2 e \boldsymbol{\sigma} \cdot \mathbf{B} \right] \varphi = 0$$

$$\phi = 0, \mathbf{E} = 0$$

Low energy
expansion

$$\epsilon = mc^2 + \delta \quad \text{We take first order in } \frac{\delta}{mc^2}$$

$$\left[\frac{1}{2m}(\mathbf{p} + e\mathbf{A})^2 + \frac{e\hbar}{2m} \boldsymbol{\sigma} \cdot \mathbf{B} \right] \varphi = \delta \varphi$$

Bohr magneton $\mu_B \equiv \frac{e\hbar}{2m} \approx 9.274 \times 10^{-24} \text{ JT}^{-1}$

$$\frac{e\hbar}{2m} \boldsymbol{\sigma} \cdot \mathbf{B} = \mu_B \boldsymbol{\sigma} \cdot \mathbf{B} = \frac{2}{\hbar} \mu_B \mathbf{s} \cdot \mathbf{B}$$

Therefore the magnetic moment is $-2\mu_B \mathbf{s}/\hbar$

Summary

1. Introduction of magnetic moment
2. Measurement of magnetization
3. Magnetism in classical interpretation and its breakdown
4. Introduction of electron spin along Dirac equation

2022.4.13 Lecture 2

Lecture on

10:25 – 11:55

Magnetic Properties of Materials

磁性 (Magnetism)

Institute for Solid State Physics, University of Tokyo

Shingo Katsumoto

Chapter1 Basic Notions of Magnetism

Classical pictures of magnetic moments in materials:

- Magnetic charges
- Circular currents

Experimental methods to measure magnetization

Paramagnetic and diamagnetic terms in classical magnetization

Breakdown of classical magnetism: cancellation of paramagnetic and diamagnetic terms (Bohr-van Leeuwen theorem)

Introduction of spin angular momentum by relativistic quantum mechanics

1. Spin-orbit interaction
2. Magnetism in quantum theory

Chapter 2 Magnetism in localized systems

1. Spherical potential
2. Larmor precession
3. Magnetism of inert gas
4. LS multiplex ground state of open shell ions and Hund's rule

Magnetic moment of electron spin

Dirac eq. with electromagnetic field $i\hbar\frac{\partial\psi}{\partial t} = [c\boldsymbol{\alpha}(\mathbf{p} + e\mathbf{A}) + \beta m - e\phi] \psi$

$$\left[\left(i\hbar\frac{\partial}{\partial t} + e\phi \right) - c \sum_{j=x,y,z} \alpha_j \left(-i\hbar\frac{\partial}{\partial r_j} + eA_j \right) - \beta mc^2 \right] \psi = 0$$

Operation from left: $i\hbar\frac{\partial}{\partial t} + e\phi + c \sum_{j=x,y,z} \alpha_j \left(-i\hbar\frac{\partial}{\partial r_j} + eA_j \right) + \beta mc^2$

We obtain

$$\left[\left(i\hbar\frac{\partial}{\partial t} + e\phi \right)^2 - c^2(\mathbf{p} + e\mathbf{A})^2 - m^2c^4 + ic\hbar e(\boldsymbol{\alpha} \cdot \mathbf{E}) + i\hbar c^2 e(\alpha_x\alpha_y B_z + \alpha_y\alpha_z B_x + \alpha_z\alpha_x B_y) \right] \psi = 0$$

Because $\alpha_x\alpha_y = i\sigma_z^{(4)}$, $\alpha_y\alpha_z = i\sigma_x^{(4)}$, $\alpha_z\alpha_x = i\sigma_y^{(4)}$

$$\left[\left(i\hbar\frac{\partial}{\partial t} + e\phi \right)^2 - c^2(\mathbf{p} + e\mathbf{A})^2 - m^2c^4 + ic\hbar e(\boldsymbol{\alpha} \cdot \mathbf{E}) - \hbar c^2 e\boldsymbol{\sigma} \cdot \mathbf{B} \right] \psi = 0$$

Magnetic moment of electron spin (2)

Stationary solution: $\psi(\mathbf{r}, t) = \exp(-i\epsilon t/\hbar)\varphi(\mathbf{r})$

$$\left[(\epsilon + e\phi)^2 - c^2(\mathbf{p} + e\mathbf{A})^2 - m^2c^4 + ic\hbar e(\boldsymbol{\alpha} \cdot \mathbf{E}) - \hbar c^2 e \boldsymbol{\sigma} \cdot \mathbf{B} \right] \varphi = 0$$

$$\phi = 0, \mathbf{E} = 0$$

Low energy
expansion

$$\epsilon = mc^2 + \delta \quad \text{We take first order in } \frac{\delta}{mc^2}$$

$$\left[\frac{1}{2m}(\mathbf{p} + e\mathbf{A})^2 + \frac{e\hbar}{2m} \boldsymbol{\sigma} \cdot \mathbf{B} \right] \varphi = \delta \varphi$$

Bohr magneton $\mu_B \equiv \frac{e\hbar}{2m} \approx 9.274 \times 10^{-24} \text{ JT}^{-1}$

$$\frac{e\hbar}{2m} \boldsymbol{\sigma} \cdot \mathbf{B} = \mu_B \boldsymbol{\sigma} \cdot \mathbf{B} = 2\mu_B \mathbf{s} \cdot \mathbf{B}$$

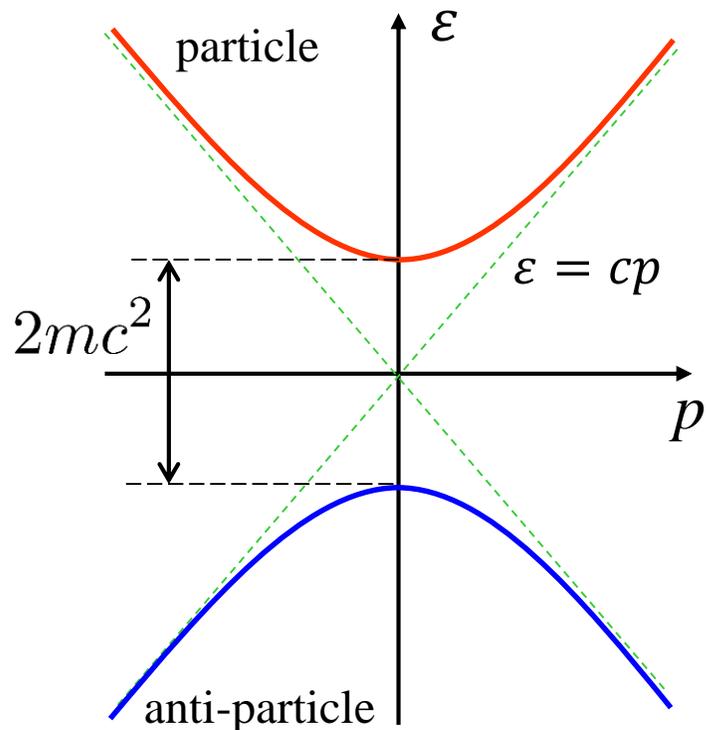
Therefore the magnetic moment is $-2\mu_B \mathbf{s}$

Two-component separation approximation

Stationary Dirac equation $[c\boldsymbol{\alpha}\mathbf{p} + mc^2\beta]\varphi = \epsilon\varphi$

Pauli representation $\alpha_k = \begin{pmatrix} 0 & \sigma_k \\ \sigma_k & 0 \end{pmatrix}, \quad \beta = \begin{pmatrix} I & 0 \\ 0 & -I \end{pmatrix} \quad 4 \times 4 \text{ matrices}$

When the particle sits still: $\epsilon = \pm mc^2$



+ corresponds to I , - corresponds to $-I$ in β

→ upper two laws: particle, lower two: anti-particle (?)

Finite momentum p requires correction.

$$\tan 2\theta = \frac{p}{mc} \quad \psi_{\uparrow} = e^{i(kz - \omega t)} \begin{pmatrix} \cos \theta \\ 0 \\ \sin \theta \\ 0 \end{pmatrix} \quad \text{Leak to lower laws}$$

Spin-orbit interaction

Stationary equation $(c\boldsymbol{\alpha} \cdot \mathbf{p} + \beta mc^2 + V)\varphi = \epsilon\varphi$

Two-component approximation $\varphi = \begin{pmatrix} \varphi_A \\ \varphi_B \end{pmatrix}$

Simultaneous equations $\begin{cases} \boldsymbol{\sigma} \cdot \mathbf{p}\varphi_B = c^{-1}(\delta - V)\varphi_A, \\ \boldsymbol{\sigma} \cdot \mathbf{p}\varphi_A = c^{-1}(\delta - V + 2mc^2)\varphi_B. \end{cases} \quad \delta = \epsilon - mc^2$

Erase of φ_B $c^{-2}\boldsymbol{\sigma} \cdot \mathbf{p}(\delta - V + 2mc^2)^{-1}\boldsymbol{\sigma} \cdot \mathbf{p}\varphi_A = (\delta - V)\varphi_A$

Low velocity ($p \ll mc$) expansion $c^2(\delta - V + 2mc^2)^{-1} \approx \frac{1}{2m} \left[1 - \frac{\delta - V}{2mc^2} + \dots \right]$

Normalization condition $\langle \varphi | \varphi \rangle = \langle \varphi_A | \varphi_A \rangle + \langle \varphi_B | \varphi_B \rangle = 1$

Spin-orbit interaction (2)

Introduction of magnetic field $\mathbf{p} \rightarrow \mathbf{p} + e\mathbf{A}$

Correction due to leakage $\langle \varphi_B | \varphi_B \rangle = \left\langle \varphi_A \left| \left[\frac{p^2 + e\hbar\boldsymbol{\sigma} \cdot \mathbf{B}}{4m^2c^2} \right] \right| \varphi_A \right\rangle = O\left(\frac{v^2}{c^2}\right)$

Corrected two-component wavefunction $\varphi_a = \left(1 + \frac{p^2 + e\hbar\boldsymbol{\sigma} \cdot \mathbf{B}}{8m^2c^2} \right) \varphi_A$

Pauli two-component approximation

Zeeman Spin-orbit interaction

$$\left[\frac{p^2}{2m} + V + \frac{e\hbar}{2m} \boldsymbol{\sigma} \cdot \mathbf{B} - \frac{e\hbar \boldsymbol{\sigma} \cdot \mathbf{p} \times \mathbf{E}}{4m^2c^2} - \frac{e\hbar^2}{8m^2c^2} \nabla \cdot \mathbf{E} - \frac{p^4}{8m^3c^2} - \frac{e\hbar p^2}{4m^3c^2} \boldsymbol{\sigma} \cdot \mathbf{B} - \frac{(e\hbar B)^2}{8m^3c^2} \right] \varphi_a = \delta\varphi_a$$

Quantum Mechanical Treatment of Magnetism

$$\mathcal{H} = \sum_n \left[\frac{1}{2m} (\mathbf{p}_n + e\mathbf{A}(\mathbf{r}_n))^2 + U(\mathbf{r}_n) + g\mu_B \mathbf{s}_n \cdot \mathbf{B} \right] + V(\mathbf{r}_1, \mathbf{r}_2, \dots)$$

↓
↓
 Nucleus potential **g-factor**

Symmetric gauge $\mathbf{A}(\mathbf{r}_n) = (\mathbf{B} \times \mathbf{r}_n)/2$

$$\mathcal{H} = \sum_n \left[\frac{\mathbf{p}_n^2}{2m} + U(\mathbf{r}_n) \right] + V(\mathbf{r}_1, \mathbf{r}_2, \dots) \quad \dots \quad \mathcal{H}_0$$

$$\hbar \mathbf{l}_n \equiv \mathbf{r}_n \times \mathbf{p}_n \quad + \mu_B \sum_n (\mathbf{l}_n + g\mathbf{s}_n) \cdot \mathbf{B} \quad \dots \quad \mathcal{H}_1$$

$$+ \frac{e^2}{8m} \sum_n \{ r_n^2 B^2 - (\mathbf{B} \cdot \mathbf{r}_n)^2 \} \quad \dots \quad \mathcal{H}_2$$

Magnetic moment

Commutation relations

$$\left\{ \begin{array}{l} [r_{n\alpha}, p_{n\beta}] = r_{n\alpha}p_{n\beta} - p_{n\beta}r_{n\alpha} = i\hbar\delta_{\alpha\beta} \quad (\alpha, \beta = x, y, z) \\ [s_{n\alpha}, s_{n\beta}] = i s_{n\gamma} \quad (\alpha, \beta, \gamma = x, y, z \text{ (cyclic)}) \\ [l_{n\alpha}, l_{n\beta}] = i l_{n\gamma} \quad (\alpha, \beta, \gamma = x, y, z \text{ (cyclic)}) \end{array} \right.$$

Magnetic moment

$$\begin{aligned} \mu &= -\frac{\partial \mathcal{H}}{\partial \mathbf{B}} = -\mu_B \sum_n (\mathbf{l}_n + g\mathbf{s}_n) - \frac{e^2}{4m} \sum_n \{r_n^2 \mathbf{B} - \mathbf{r}_n (\mathbf{r}_n \cdot \mathbf{B})\} \\ &= -\mu_B \sum_n (\mathbf{l}_n + g\mathbf{s}_n) - \frac{e^2}{4m} \sum_n (\mathbf{r}_n \times (\mathbf{B} \times \mathbf{r}_n)) \end{aligned}$$

Paramagnetic Diamagnetic

This expression does not have drastic changes other than spin magnetic moment.
However ...

Comment: Spins of nucleons

Protons, Neutrons, Muons have spins.



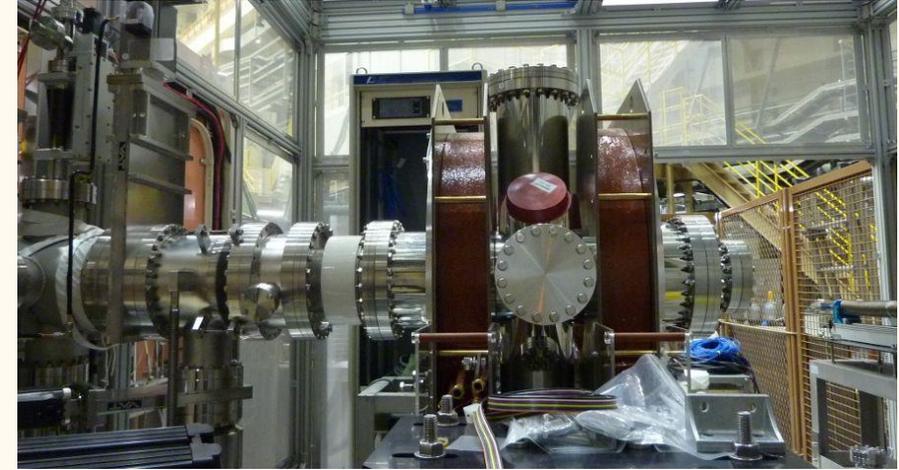
MRI

NMR



J-PARC

Neutron diffraction



KEK

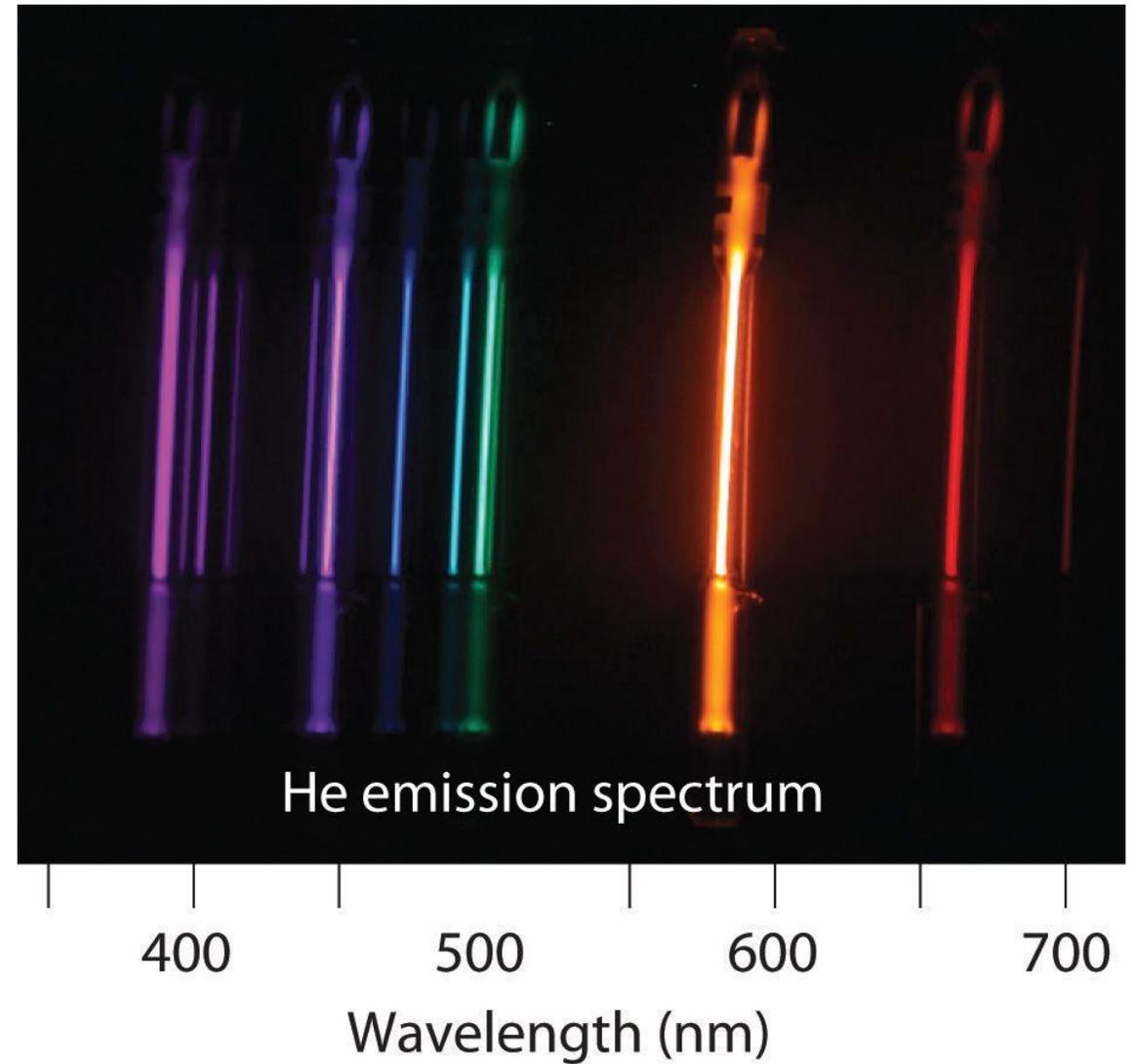
μ SR

Chapter 2

Magnetism of Localized Electrons



Star birth



Second quantization

$|\mathbf{n}\rangle = |n_1, n_2, \dots\rangle$ Number representation
(index the state with number of particles occupying basis states)

$|0\rangle$ Vacuum

$a_j^\dagger |0\rangle = |1_j\rangle$ Creation operator of j -th state
(Hermitian conjugate: annihilation operator)

Fermion:

anti-commutation relation

$$[a_i, a_j]_+ = [a_i^\dagger, a_j^\dagger]_+ = 0, \quad [a_i, a_j^\dagger]_+ = \delta_{ij}$$

number operator

$$\hat{n}_j \equiv a_j^\dagger a_j \quad \hat{n}_j |\mathbf{n}\rangle = n_j |\mathbf{n}\rangle$$

Boson: commutation relation

$$[b_i, b_j] = [b_i^\dagger, b_j^\dagger] = 0, \quad [b_i, b_j^\dagger] = \delta_{ij}$$

$$|n_j\rangle = \frac{1}{\sqrt{n_j!}} (a_j^\dagger)^{n_j} |0\rangle$$

Operators in second quantization representation

Multiparticle operator $\mathcal{F}(\mathbf{r}_1, \mathbf{r}_2, \dots) = \sum_i f(\mathbf{r}_i)$

Slater determinant $|\psi_{1,2,\dots}\rangle$

$$\langle \psi_{m_1, m_2, \dots} | \mathcal{F} | \psi_{n_1, n_2, \dots} \rangle = \sum_i \langle \psi_{m_1, m_2, \dots} | f(\mathbf{r}_i) | \psi_{n_1, n_2, \dots} \rangle$$

Second quantization

$$F = \sum_{mn} \langle m | f | n \rangle a_m^\dagger a_n$$

Particle statistics

$$\langle m | f | n \rangle = \int d\mathbf{r} \phi_m^*(\mathbf{r}) f(\mathbf{r}) \psi_n(\mathbf{r})$$

Annihilation and creation
operator (anti-)commutation
relations

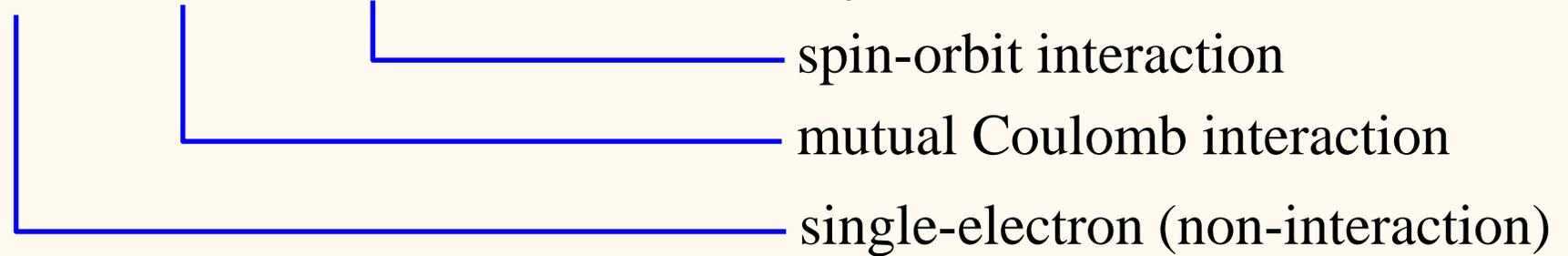
$$\langle \psi_{m_1, m_2, \dots} | \mathcal{F} | \psi_{n_1, n_2, \dots} \rangle = \langle \mathbf{m} | F | \mathbf{n} \rangle$$

$$G = \frac{1}{2} \sum_{klmn} \langle kl | g | mn \rangle a_k^\dagger a_l^\dagger a_n a_m$$

Electrons in a central force potential

$$\mathcal{H}_L = \mathcal{H}_{L0} + \mathcal{H}_C + \mathcal{H}_{\text{SOI}} + \mathcal{H}_{\text{CF}} \quad \text{--- crystal field}$$

Localized system



$$\mathcal{H}_{L0} = \sum_j \left[\frac{\mathbf{p}_j^2}{2m} + V_{\text{sp}}(r_j) \right] \quad \text{Electrons in a central force (spherical) potential}$$

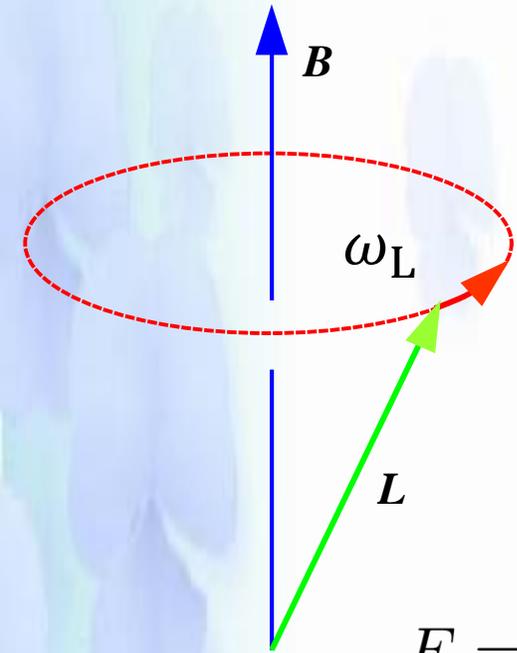
Eigenfunction in polar coordinate: $(r, \theta, \varphi) \quad \psi_{nlm}(\mathbf{r}) = R_{nl}(r)Y_{lm}(\theta, \varphi)$

Radial wavefunction $R_{nl}(r) = b_{nl}\rho^l e^{-\rho/2} L_{n+l}^{2l+1}(\rho), \quad \rho \equiv \frac{2}{n} \frac{r}{a_0}$

Eigen energy $\epsilon_{nl} = -\frac{R_\infty}{n^2}, \quad R_\infty = \frac{me^4}{8\epsilon_0 h^3 c}$

$$\mathcal{H}_{L0} = \sum_{nl} \epsilon_{nl} \sum_{m\sigma} a_{nlm\sigma}^\dagger a_{nlm\sigma}$$

Larmor precession



Coulomb potential $V_{\text{sp}}(r_j) = -\frac{Ze^2}{4\pi\epsilon_0} \frac{1}{r_j}$

Total orbital angular momentum $\hbar\mathbf{L} = \hbar \sum_i \mathbf{l}_i$

$$\mathcal{H}_1 = \mu_B \mathbf{L} \cdot \mathbf{B} = \mu_B L_z B$$

Directional quantization $L_z = M : -L, -L + 1, \dots, L - 1, L$

$$E = E_0 + \mu_B M B \equiv E_0 + \hbar\omega_L M, \quad \omega_L \equiv \frac{\mu_B B}{\hbar} = \frac{eB}{2m} \text{ (Larmor frequency)}$$

Heisenberg equation $\frac{d\mathbf{L}}{dt} = \frac{1}{i\hbar} [\mathbf{L}, \mathcal{H}_0 + \mathcal{H}_1 + \mathcal{H}_2]$

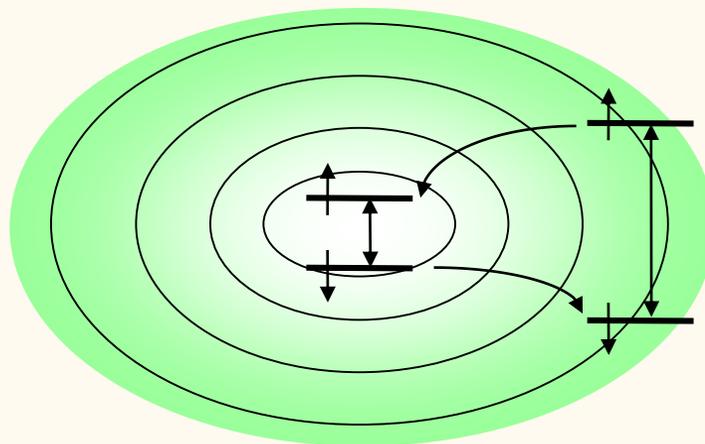
Larmor precession $L_x(t) = L_0 \cos(\omega_L t + \theta_0), \quad L_y(t) = L_0 \sin(\omega_L t + \theta_0)$

In the case of spin: g-factor $\omega_L = g \frac{eB}{2m} \approx \frac{eB}{m}$

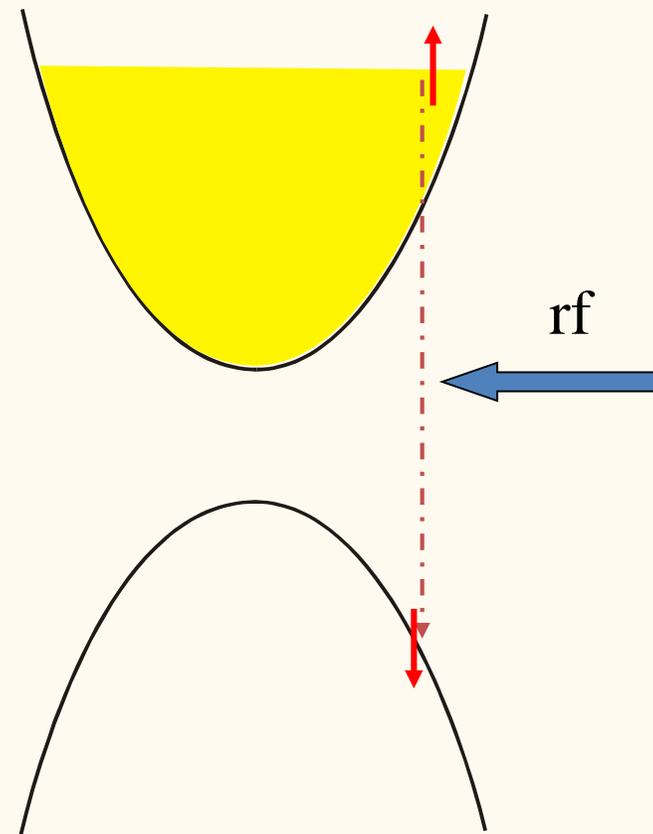
Magnetism of inert gases



Star birth



Magnetic trap



Evaporation cooling

Total angular momentum

$$\mathbf{L} = \sum_j \mathbf{l}_j = \sum_{\sigma} \sum_{mm'} \langle m | \mathbf{l} | m' \rangle_{nl} a_{m\sigma}^{\dagger} a_{m'\sigma},$$

$$\mathbf{S} = \sum_j \mathbf{s}_j = \sum_m \sum_{\sigma\sigma'} \left(\frac{\sigma}{2} \right)_{\sigma\sigma'} a_{m\sigma}^{\dagger} a_{m\sigma'},$$

$$\mathbf{J} = \sum_j \mathbf{j}_j = \mathbf{L} + \mathbf{S}$$

Magnetism of inert gases

Inert gases: Closed shell structure $L = S = 0$ due to quantization!

Residual is the dielectric term:
$$\begin{aligned} \mu_{\text{dia}} &= -\frac{e^2}{4m} \sum_n [\mathbf{r}_n \times (\mathbf{B} \times \mathbf{r}_n)] \\ &= -\frac{e}{2} \sum_n [\mathbf{r}_n \times (\boldsymbol{\omega}_L \times \mathbf{r}_n)] = -\frac{\mu_B}{\hbar} \sum_n [\mathbf{r}_n \times (m\mathbf{v}_n)] \end{aligned}$$

Larmor rotation angular momentum

$$\mu_d = -\frac{e^2}{4m} \langle x^2 + y^2 \rangle B = -\frac{e^2}{6m} \langle r^2 \rangle B$$

$$\chi = -\frac{N_A Z e^2 \langle r^2 \rangle}{6m} \quad \text{Moll susceptibility}$$

$$\frac{\langle r^2 \rangle}{a_B^2} \sim ??$$

Z	Element	Susceptibility
2	He	-1.9×10^{-6}
10	Ne	-7.2×10^{-6}
18	Ar	-19.4×10^{-6}
36	Kr	-28×10^{-6}
54	Xe	-43×10^{-6}

PERIODIC TABLE OF ELEMENTS



1 H Hydrogen Nonmetal																	2 He Helium Noble Gas	
3 Li Lithium Alkali Metal	4 Be Beryllium Alkaline Earth Metal																	10 Ne Neon Noble Gas
11 Na Sodium Alkali Metal	12 Mg Magnesium Alkaline Earth Metal																	18 Ar Argon Noble Gas
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		•	57 La Lanthanum Lanthanide	58 Ce Cerium Lanthanide	59 Pr Praseodymium Lanthanide	60 Nd Neodymium Lanthanide	61 Pm Promethium Lanthanide	62 Sm Samarium Lanthanide	63 Eu Europium Lanthanide	64 Gd Gadolinium Lanthanide	65 Tb Terbium Lanthanide	66 Dy Dysprosium Lanthanide	67 Ho Holmium Lanthanide	68 Er Erbium Lanthanide	69 Tm Thulium Lanthanide	70 Yb Ytterbium Lanthanide	71 Lu Lutetium Lanthanide	
		**	89 Ac Actinium Actinide	90 Th Thorium Actinide	91 Pa Protactinium Actinide	92 U Uranium Actinide	93 Np Neptunium Actinide	94 Pu Plutonium Actinide	95 Am Americium Actinide	96 Cm Curium Actinide	97 Bk Berkelium Actinide	98 Cf Californium Actinide	99 Es Einsteinium Actinide	100 Fm Fermium Actinide	101 Md Mendelevium Actinide	102 No Nobelium Actinide	103 Lr Lawrencium Actinide	

1
H
Hydrogen
Nonmetal

Atomic Number
Symbol
Name
Chemical Group Block

Electronic states in magnetic ions

Open shell electronic states

Angular momentum l orbit $m = -l, -l + 1, \dots, l$

State of many electrons: indexed with L and S : state (L, S) degenerated in the absence of coulomb term

(L, S) term degenerated $(2L+1)(2S+1)$: **LS multiplex**

Which state is the ground state?

$$\mathcal{H}_C = \frac{1}{2} \sum_{m_1, \dots, m_4} \sum_{\sigma_1 \sigma_2} \left\langle m_1 m_2 \left| \frac{e^2}{4\pi\epsilon_0 r} \right| m_3 m_4 \right\rangle a_{m_1 \sigma_1}^\dagger a_{m_2 \sigma_2}^\dagger a_{m_3 \sigma_3} a_{m_4 \sigma_4}$$

$$\left\langle m_1 m_2 \left| \frac{e^2}{4\pi\epsilon_0 r} \right| m_3 m_4 \right\rangle = \int d\mathbf{r}_1 d\mathbf{r}_2 u_{m_1}^*(\mathbf{r}_1) u_{m_2}^*(\mathbf{r}_2) \frac{e^2}{4\pi\epsilon_0 |\mathbf{r}_1 - \mathbf{r}_2|} u_{m_3}(\mathbf{r}_2) u_{m_4}(\mathbf{r}_1)$$

Dominating terms

$$m_1 = m_2 = m_3 = m_4$$

$$\left\langle m_1 m_1 \left| \frac{e^2}{4\pi\epsilon_0 r} \right| m_1 m_1 \right\rangle a_{m_1\uparrow}^\dagger a_{m_1\downarrow}^\dagger a_{m_1\uparrow} a_{m_1\downarrow} = U_0 \sum_m \hat{n}_{m\uparrow} \hat{n}_{m\downarrow} \quad (\hat{n}_{m\sigma} = a_{m\sigma}^\dagger a_{m\sigma})$$

Coulomb repulsion in the same orbit

$$m_1 = m_4 \neq m_2 = m_3$$

$$\frac{1}{2} \sum_{m_1 \neq m_2} U(m_1, m_2) \hat{n}_{m_1} \hat{n}_{m_2} \quad \left(\hat{n}_m = \sum_{\sigma} n_{m\sigma} \right)$$

Coulomb repulsion between different orbits

$$m_1 = m_3 \neq m_2 = m_4$$

Exchange term

$$\frac{1}{2} \sum_{m_1 \neq m_2} \sum_{\sigma_1 \sigma_2} J(m_1, m_2) a_{m_1\sigma_1}^\dagger a_{m_2\sigma_2}^\dagger a_{m_1\sigma_2} a_{m_2\sigma_1}$$

$$= -\frac{1}{2} \sum_{m_1 \neq m_2} J(m_1, m_2) \left(\frac{1}{2} \hat{n}_{m_1} \hat{n}_{m_2} + 2\mathbf{s}_{m_1} \cdot \mathbf{s}_{m_2} \right)$$

Exchange integral

Spin operator $\mathbf{s}_m = \sum_{\sigma_1 \sigma_2} \left(\frac{\boldsymbol{\sigma}}{2} \right)_{\sigma_1 \sigma_2} a_{m\sigma_1}^\dagger a_{m\sigma_2}$

Exchange integral $J(m_1, m_2)$

$$\begin{aligned} J(m_1, m_2) &= \int d\mathbf{r}_1 d\mathbf{r}_2 u_{m_1}^*(\mathbf{r}_1) u_{m_2} \frac{e^2}{4\pi\epsilon_0 |\mathbf{r}_1 - \mathbf{r}_2|} u_{m_1}(\mathbf{r}_2) u_{m_2}^*(\mathbf{r}_2) \\ &= \int d\mathbf{r}_1 d\mathbf{r}_2 u_{m_1}^*(\mathbf{r}_1) u_{m_2} \left[\int d\mathbf{q} \frac{e^2}{\epsilon_0 q^2} e^{i\mathbf{q} \cdot (\mathbf{r}_1 - \mathbf{r}_2)} \right] u_{m_1}(\mathbf{r}_2) u_{m_2}^*(\mathbf{r}_2) \\ &= \int d\mathbf{q} \frac{e^2}{\epsilon_0 q^2} \left| \int d\mathbf{r}_1 u_{m_1}^*(\mathbf{r}_1) u_{m_2}(\mathbf{r}_1) e^{i\mathbf{q} \cdot \mathbf{r}_1} \right|^2 > 0 \end{aligned}$$

Hund's rule

Hund's rule

The ground LS multiplex is determined by the following

1. It should have maximum S .
2. Under the condition 1., it should have maximum L .

3d transition metal ions

Element	Configuration	Ion	Configuration	L	S
Sc	$3d^1 4s^2$				
Ti	$3d^2 4s^2$	Ti ³⁺ , V ⁴⁺	$3d^1$	2	1/2
V	$3d^3 4s^2$	V ³⁺	$3d^2$	3	1
Cr	$3d^5 4s^1$	Cr ³⁺ , V ²⁺	$3d^3$	3	3/2
Mn	$3d^5 4s^2$	Mn ³⁺ , Cr ²⁺	$3d^4$	2	2
Fe	$3d^6 4s^2$	Fe ³⁺ , Mn ²⁺	$3d^5$	0	5/2
Co	$3d^7 4s^2$	Co ³⁺ , Fe ²⁺	$3d^6$	2	2
Ni	$3d^8 4s^2$	Co ²⁺	$3d^7$	3	3/2
Cu	$3d^{10} 4s^1$	Ni ²⁺	$3d^8$	3	1
Zn	$3d^{10} 4s^2$	Cu ²⁺	$3d^9$	2	1/2

Summary

1. Spin-orbit interaction
2. Magnetism in quantum theory

Chapter 2 Magnetism in localized systems

1. Spherical potential
2. Larmor precession
3. Magnetism of inert gas
4. LS multiplex ground state of open shell ions and Hund's rule

Lecture on

2022.4.20 Lecture 3

10:25 – 11:55

Magnetic Properties of Materials

磁性 (Magnetism)

Institute for Solid State Physics, University of Tokyo

Shingo Katsumoto



1. Spin-orbit interaction
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Electronic states in magnetic ions

LS coupling approach

j-j coupling approach

Paramagnetism by magnetic ions in insulators

Curie law

Breakdown of LS coupling approach in $3d$ transition metals

Ligand field approach

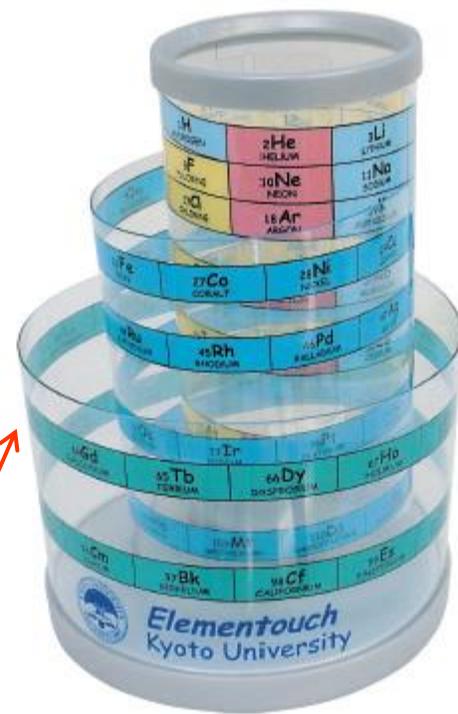
Octahedron potential

Electronic states in magnetic ions (continued)

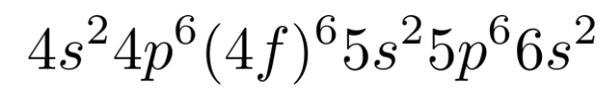
Periodic table of elements

PubChem

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55 Cs Cesium Alkali Metal	56 Ba Barium Alkaline Earth Metal		72 Hf Hafnium Transition Metal	73 Ta Tantalum Transition Metal	74 W Tungsten Transition Metal	75 Re Rhenium Transition Metal	76 Os Osmium Transition Metal	77 Ir Iridium Transition Metal	78 Pt Platinum Transition Metal	79 Au Gold Transition Metal	80 Hg Mercury Transition Metal	81 Tl Thallium Post-Transition Metal	82 Pb Lead Post-Transition Metal	83 Bi Bismuth Post-Transition Metal	84 Po Polonium Metalloid	85 At Astatine Halogen	86 Rn Radon Noble Gas	
87 Fr Francium Alkali Metal	88 Ra Radium Alkaline Earth Metal		104 Rf Rutherfordium Transition Metal	105 Db Dubnium Transition Metal	106 Sg Seaborgium Transition Metal	107 Bh Bohrium Transition Metal	108 Hs Hassium Transition Metal	109 Mt Meitnerium Transition Metal	110 Ds Darmstadtium Transition Metal	111 Rg Roentgenium Transition Metal	112 Cn Copernicium Transition Metal	113 Nh Nihonium Post-Transition Metal	114 Fl Flerovium Post-Transition Metal	115 Mc Moscovium Post-Transition Metal	116 Lv Livermorium Post-Transition Metal	117 Ts Tennessine Halogen	118 Og Oganesson Noble Gas	
			57 La Lanthanum Lanthanide	58 Ce Cerium Lanthanide	59 Pr Praseodymium Lanthanide	60 Nd Neodymium Lanthanide	61 Pm Promethium Lanthanide	62 Sm Samarium Lanthanide	63 Eu Europium Lanthanide	64 Gd Gadolinium Lanthanide	65 Tb Terbium Lanthanide	66 Dy Dysprosium Lanthanide	67 Ho Holmium Lanthanide	68 Er Erbium Lanthanide	69 Tm Thulium Lanthanide	70 Yb Ytterbium Lanthanide	71 Lu Lutetium Lanthanide	
			89 Ac Actinium Actinide	90 Th Thorium Actinide	91 Pa Protactinium Actinide	92 U Uranium Actinide	93 Np Neptunium Actinide	94 Pu Plutonium Actinide	95 Am Americium Actinide	96 Cm Curium Actinide	97 Bk Berkelium Actinide	98 Cf Californium Actinide	99 Es Einsteinium Actinide	100 Fm Fermium Actinide	101 Md Mendelevium Actinide	102 No Nobelium Actinide	103 Lr Lawrencium Actinide	



Sm



Electronic states in magnetic ions (continued)

Ex) Lanthanoid:

57	58	59	60	61	62	63	64	65	66	67	68	69	70	71
La	Ce	Pr	Nd	Pm	Sm	Eu	Gd	Tb	Dy	Ho	Er	Tm	Yb	Lu
Lanthanum Lanthanide	Cerium Lanthanide	Praseodymium Lanthanide	Neodymium Lanthanide	Promethium Lanthanide	Samarium Lanthanide	Europium Lanthanide	Gadolinium Lanthanide	Terbium Lanthanide	Dysprosium Lanthanide	Holmium Lanthanide	Erbium Lanthanide	Thulium Lanthanide	Ytterbium Lanthanide	Lutetium Lanthanide

Number of 4f electrons:

0 1 3 4 5 6 7 7 9 10 11 12 13 14 15

Number of 4f electrons (3+ion):

0 1 2 3 4 5 6 7 8 9 10 11 12 13 14

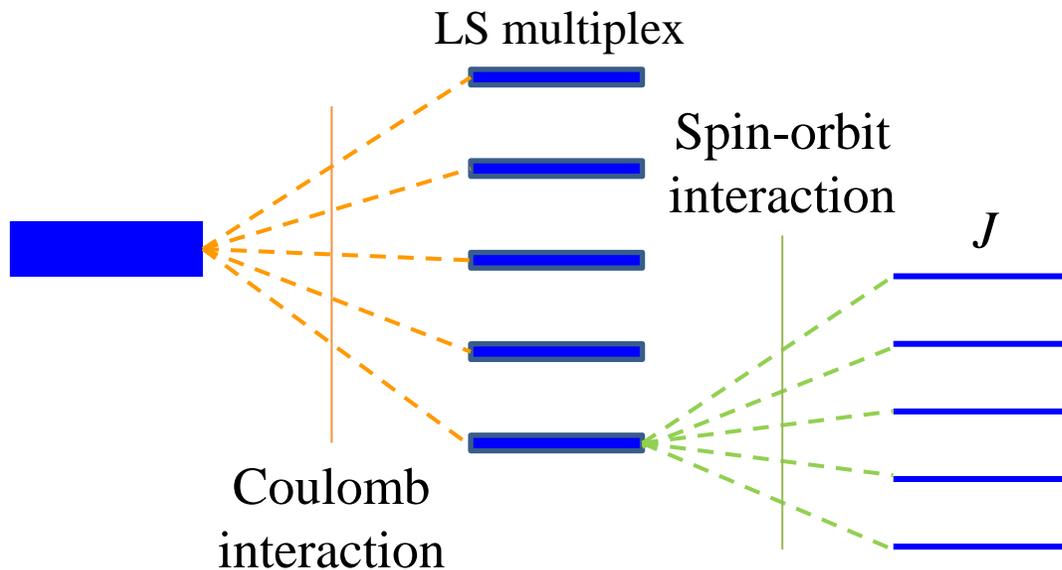
$$\mathcal{H}_L = \mathcal{H}_{L0} + \mathcal{H}_C + \mathcal{H}_{SOI} + \mathcal{H}_{CF}$$



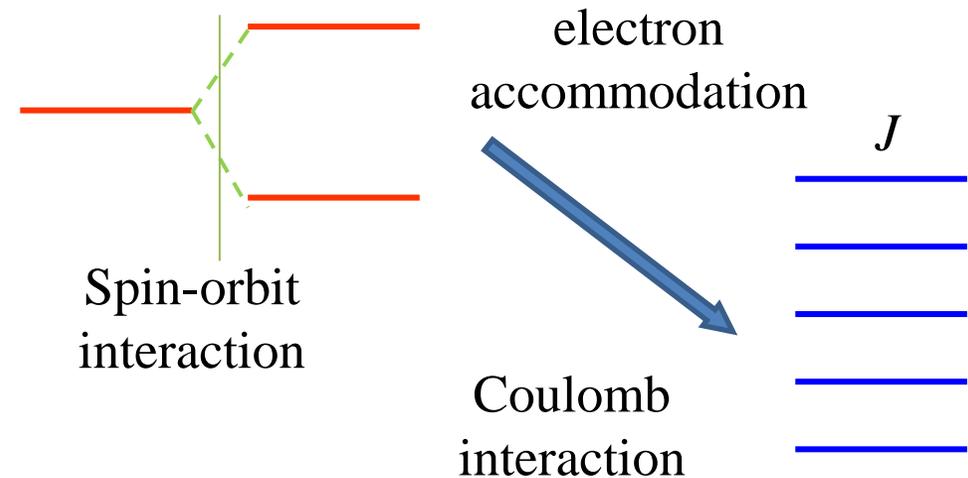
Lifting of degeneracy to LS multiplex

Hund's rule to find the ground LS multiplex

LS coupling (Russell-Saunders)



j-j coupling



Spin-orbit splitting of multiplex in single-electron problem

Spin-orbit term in the Pauli approximation:
$$-\frac{e\hbar\boldsymbol{\sigma} \cdot \mathbf{p} \times \mathbf{E}}{4m^2c^2} = -\frac{e^2\hbar}{4m^2c^2}\boldsymbol{\sigma} \cdot (\mathbf{p} \times \nabla V) = \frac{e^2\hbar}{2m^2c^2}\zeta(r)\mathbf{s} \cdot \mathbf{l} \equiv \xi(r)\mathbf{l} \cdot \mathbf{s}$$

Coulomb potential:
$$V(r) = -\frac{Ze^2}{4\pi\epsilon_0 r} \quad \text{then} \quad \xi(r) = \frac{Ze^2}{2m^2c^2} \frac{1}{(4\pi\epsilon_0)r^3}$$

The expression tells that the SOI is more important for larger Z and orbitals closer to the nucleus.

Lanthanoid: (effect of spin-orbit interaction) > (that of crystal field)

Spin-orbit single electron hamiltonian:
$$\mathcal{H} = \mathcal{H}_0 + \mathcal{H}_{\text{so}} = \frac{\mathbf{p}^2}{2m} + V(\mathbf{r}) + \xi(r)\mathbf{l} \cdot \mathbf{s}$$

$$[\mathcal{H}, \mathbf{l}] \neq 0 \quad [\mathcal{H}, \mathbf{s}] \neq 0 \quad \mathbf{l}, \mathbf{s}: \text{ not constants of motion}$$

$$[\mathbf{l} \cdot \mathbf{s}, \hat{l}_z] = i\hbar(-l_y s_x + l_x s_y), \quad [\mathbf{l} \cdot \mathbf{s}, \hat{s}_z] = i\hbar(-l_x s_y + l_y s_x) = -[\mathbf{l} \cdot \mathbf{s}, \hat{l}_z]$$

Total angular momentum

$$\mathbf{j} = \mathbf{l} + \mathbf{s} \quad \longrightarrow \quad [\mathcal{H}, \mathbf{j}] = 0 \quad \mathbf{j} \text{ is a constant of motion}$$

$$\mathbf{l} \cdot \mathbf{s} = (\mathbf{l} + \mathbf{s}) \cdot \mathbf{s} - \mathbf{s}^2 = \underline{\mathbf{j} \cdot \mathbf{s}} - \mathbf{s}^2 \quad [\mathcal{H}, \mathbf{s}^2] = 0$$

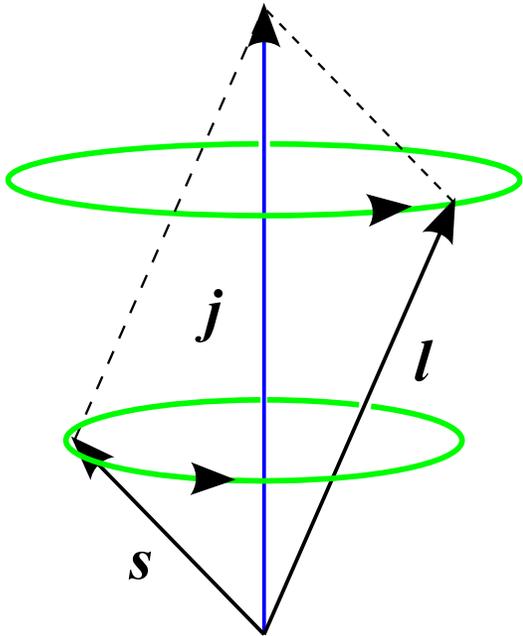
Zeeman-like term

\mathbf{l}, \mathbf{s} : Precession around \mathbf{j}

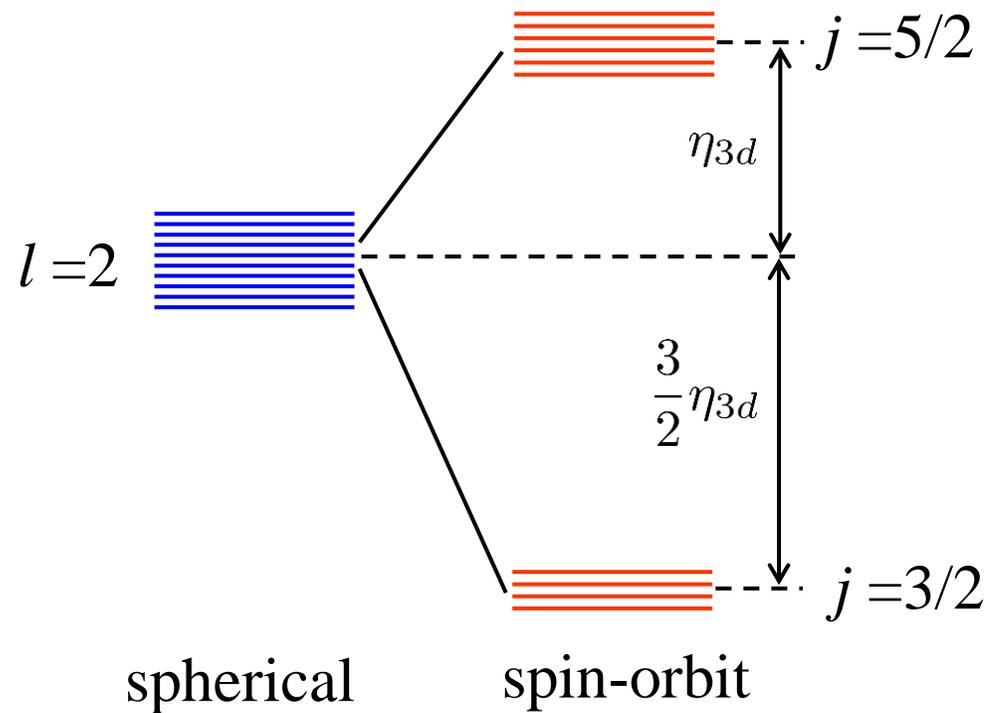
$$2\mathbf{l} \cdot \mathbf{s} = (\mathbf{l} + \mathbf{s})^2 - \mathbf{l}^2 - \mathbf{s}^2 = \mathbf{j}^2 - \mathbf{l}^2 - \mathbf{s}^2$$

Eigenvalue of $\mathbf{l} \cdot \mathbf{s}$

$$[j(j+1) - l(l+1) - s(s+1)]/2 = \frac{1}{2} \left[j(j+1) - l(l+1) - \frac{3}{4} \right]$$



Spin-orbit splitting of multiplex in single-electron problem (2)



Energy eigenvalues:

$$\epsilon_{nlj} = \epsilon_{nl} + \frac{\eta_{nl}}{2} \left[j(j+1) - l(l+1) - \frac{3}{4} \right]$$

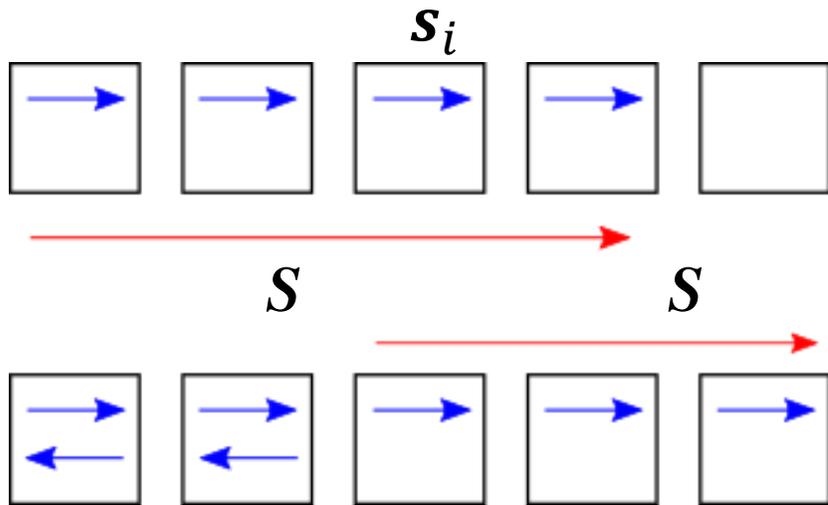
$$\eta_{nl} = \int_0^\infty \xi(r) R_{nl}(r)^2 r^2 dr$$

j can take values: $|l \pm 1/2|$

Spin-orbit interaction in the ground state of LS multiplex

Multi-electron hamiltonian: $\mathcal{H}_{\text{SOI}} = \sum_i \xi(r_i) \mathbf{l}_i \cdot \mathbf{s}_i \rightarrow \sum_i \xi_i \mathbf{l}_i \cdot \mathbf{s}_i \rightarrow \xi \sum_i \mathbf{l}_i \cdot \mathbf{s}_i$

LS-coupling approach



Hund's rule \longrightarrow LS multiplex ground state
 $(2L + 1)(2S + 1)$ degeneracy

$$[\mathcal{H}, \mathbf{L}] \neq 0 \quad [\mathcal{H}, \mathbf{S}] \neq 0$$

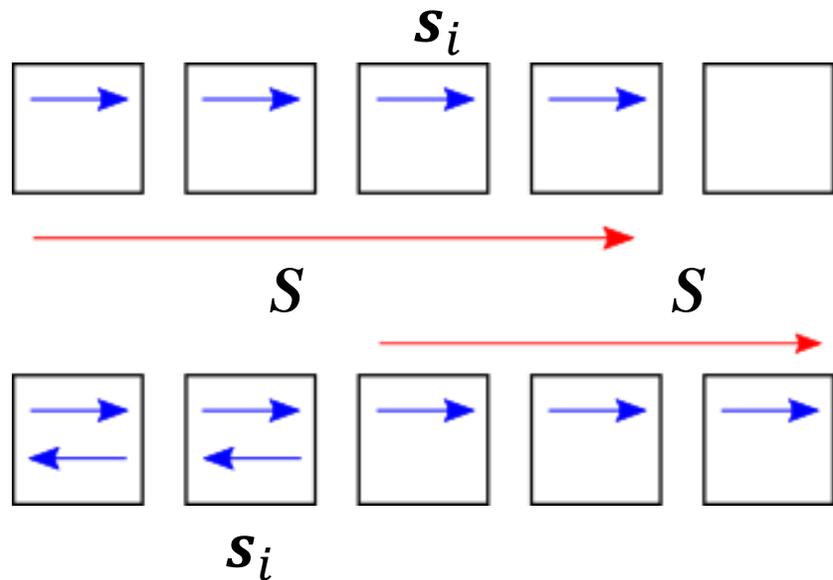
\mathbf{L}, \mathbf{S} are not constant of motion.

$\mathbf{J} = \mathbf{L} + \mathbf{S}$: a constant of motion

$$\mathbf{s}_i = \frac{1}{n} \mathbf{S} = \frac{1}{2S} \mathbf{S} \quad (n \leq 2l + 1)$$

$$\mathcal{H}_{\text{SOI}} = \xi \sum_i \mathbf{l}_i \cdot \mathbf{s}_i = \xi \left(\sum_i \mathbf{l}_i \right) \cdot \mathbf{s} = \frac{\xi}{2S} \mathbf{L} \cdot \mathbf{S} \equiv \lambda \mathbf{L} \cdot \mathbf{S}$$

Spin-orbit interaction in the ground state of LS multiplex



$$n > 2l + 1$$

Summation on all m_l : $\sum l_i = 0$

Residual part: \mathbf{s}_i and \mathbf{S} are inverted

$$\begin{aligned} \mathcal{H}_{\text{SOI}} &= \xi \left[\left(\sum_{i=1}^{2l+1} l_i \right) \cdot \mathbf{s} - \left(\sum_{i=2l+2}^n l_i \right) \cdot \mathbf{s} \right] \\ &= -\frac{\xi}{2S} \mathbf{L} \cdot \mathbf{S} = -\lambda \mathbf{L} \cdot \mathbf{S} \end{aligned}$$

$$J = |L - S|, |L - S| + 1, \dots, L + S$$

$$\mathbf{L} \cdot \mathbf{S} = \frac{1}{2} (\mathbf{J}^2 - \mathbf{L}^2 - \mathbf{S}^2) = \frac{1}{2} [J(J + 1) - L(L + 1) - S(S + 1)]$$

Ground state

$$n \leq 2l + 1$$

$$J = |L - S|$$

$$n > 2l + 1$$

$$J = L + S$$

Electron configuration of Lanthanoid ions

Elements (Lanthanoid)	Electronic Configuration	Electronic Configuration	Ground state				
	atom R	ion R ³⁺	<i>L</i>	<i>S</i>	<i>J</i>	multiplex	<i>g_j</i>
La	5 <i>d</i> 6 <i>s</i> ²		0	0	0	¹ <i>S</i> ₀	0
Ce	4 <i>f</i> 5 <i>d</i> 6 <i>s</i> ²	4 <i>f</i> ¹	3	1/2	5/2	² <i>F</i> _{5/2}	6/7
Pr	4 <i>f</i> ³ 6 <i>s</i> ²	4 <i>f</i> ²	5	1	4	³ <i>H</i> ₄	4/5
Nd	4 <i>f</i> ⁴ 6 <i>s</i> ²	4 <i>f</i> ³	6	3/2	9/2	⁴ <i>I</i> _{9/2}	8/11
Pm	4 <i>f</i> ⁵ 6 <i>s</i> ²	4 <i>f</i> ⁴	6	2	4	⁵ <i>I</i> ₄	1/5
Sm	4 <i>f</i> ⁶ 6 <i>s</i> ²	4 <i>f</i> ⁵	5	5/2	5/2	⁶ <i>H</i> _{5/2}	2/7
Eu	4 <i>f</i> ⁷ 6 <i>s</i> ²	4 <i>f</i> ⁶	3	3	0	⁷ <i>F</i> ₀	0
Gd	4 <i>f</i> ⁷ 5 <i>d</i> 6 <i>s</i> ²	4 <i>f</i> ⁷	0	7/2	7/2	⁸ <i>S</i> _{7/2}	2
Tb	4 <i>f</i> ⁹ 6 <i>s</i> ²	4 <i>f</i> ⁸	3	3	6	⁷ <i>F</i> ₆	3/2
Dy	4 <i>f</i> ¹⁰ 6 <i>s</i> ²	4 <i>f</i> ⁹	5	5/2	15/2	⁶ <i>H</i> _{15/2}	4/3
Ho	4 <i>f</i> ¹¹ 6 <i>s</i> ²	4 <i>f</i> ¹⁰	6	2	8	⁵ <i>I</i> ₈	5/4
Er	4 <i>f</i> ¹² 6 <i>s</i> ²	4 <i>f</i> ¹¹	6	3/2	15/2	⁴ <i>I</i> _{15/2}	6/5
Tm	4 <i>f</i> ¹³ 6 <i>s</i> ²	4 <i>f</i> ¹²	5	1	6	³ <i>H</i> ₆	7/6
Yb	4 <i>f</i> ¹⁴ 6 <i>s</i> ²	4 <i>f</i> ¹³	3	1/2	7/2	² <i>F</i> _{7/2}	8/7
Lu	4 <i>f</i> ¹⁴ 5 <i>d</i> 6 <i>s</i> ²	4 <i>f</i> ¹⁴	0	0	0	¹ <i>S</i> ₀	0

Spectroscopic symbol
of multi-electron state

$$(L, S, J)$$

$$\downarrow$$

$$2S+1 L_J$$

2*S* + 1: number
L: symbol
J: number

Eigenfunction and second quantization representation

Eigenfunction for (J, M) : $|J, M\rangle = \sum_{M_l M_s} \underbrace{\langle L, M_l; S, M_s | J, M \rangle}_{\text{Clebsch-Gordan coefficient}} |L, M_l; S, M_s\rangle$

Second quantization representation: $\mathcal{H}_{\text{SOI}} = \sum_{mm'\sigma\sigma'} \lambda_{nl}(m\sigma, m'\sigma') a_{m\sigma}^\dagger a_{m'\sigma'}$

$$\lambda_{nl}(m\sigma, m'\sigma') \equiv \frac{Z_{\text{eff}} e^2 \hbar^2 \langle r^3 \rangle}{2m^2 c^2 (4\pi\epsilon_0)} \langle m | \mathbf{l} | m' \rangle_{nl} \cdot \left(\frac{\boldsymbol{\sigma}}{2} \right)_{\sigma\sigma'}$$

Effective Coulomb potential: $V(r) = -\frac{Z_{\text{eff}} e^2}{4\pi\epsilon_0 r}$

Clebsch-Gordan calculator on Wolfram alpha

<https://www.wolframalpha.com/input/?i=Clebsch-Gordan+calculator>



Clebsch-Gordan calculator

自然言語 数字入力

拡張キーボード 例を見る アップロード ランダムな例を使う

計算に使う式・値を入力してください:

» j1:

» j2:

» m1:

» m2:

» j:

» m:

計算する

入力

(5 4 0 0 | 5 4 1 0)

($j_1 j_2 m_1 m_2 | j_1 j_2 j m$) はクレブシュ(Clebsch)・ゴルダン(Gordan)係数です

結果 [表示桁数を増やす](#)

$\sqrt{\frac{5}{33}} \approx 0.389249$

ステップごとの
数学, 代数,
微積分ソルバ

ステップ 2

被積分関数 $\sec^{-1}(\sqrt{t})$ について,
 $u = \sqrt{t}$ と $du = \frac{1}{2\sqrt{t}} dt$ を置換する
 $= 2 \int u \sec^{-1}(u) du$

ステップ 3

被積分関数 $u \sec^{-1}(u)$ について, 部分積分, $\int f dg$
を適用する. このとき,
 $f = \sec^{-1}(u)$, $dg = u du$,
 $df = \frac{1}{u\sqrt{u^2-1}} du$, $g = \frac{u^2}{2}$ とする:
 $= u^2 \sec^{-1}(u) - \int \frac{u}{\sqrt{u^2-1}} du$

[次のステップ](#) [すべてのステップを表示](#)

ステップごとに
解いていきます.

学生価格

j-j coupling (short comment)

$$(4f)^2 \text{ Pr}^{3+} \quad l = 2 \quad j = 3 \pm \frac{1}{2} = \underline{\frac{5}{2}}, \frac{7}{2}$$

Ground state

$$J_{\text{max}} = \frac{5}{2} + \frac{3}{2} = 4 \quad : \text{ same as LS coupling}$$

$$|J, M\rangle = |4, +4\rangle = a_{+5/2}^\dagger a_{+3/2}^\dagger |0\rangle$$

$$a_{j_z}^\dagger = \sum_{m,s} \langle 3, m; 1/2, s | 5/2, j_z \rangle a_{ms}^\dagger = \sqrt{\frac{7+2j_z}{14}} a_{j_z+1/2\downarrow}^\dagger - \sqrt{\frac{7-2j_z}{14}} a_{j_z-\uparrow}^\dagger$$

Paramagnetism by magnetic ions in insulators

Free local moment and Curie law

Due to the g-factor, the magnetization is not parallel with the total momentum, hence the magnetization is not a constant of motion.

$$\mathcal{H}_1 = \mu_B(\mathbf{L} + g\mathbf{S}) \cdot \mathbf{B} \qquad \mathcal{H}_1 = g_J\mu_B\mathbf{J} \cdot \mathbf{B}$$

$$g_J\mathbf{J} = \mathbf{L} + g\mathbf{S}, \quad \mathbf{J} = \mathbf{L} + \mathbf{S}$$

Average gives effective g-factor: $g_J = \frac{1+g}{2} - \frac{g-1}{2} \frac{L(L+1) - S(S+1)}{J(J+1)}$ Lande g-factor

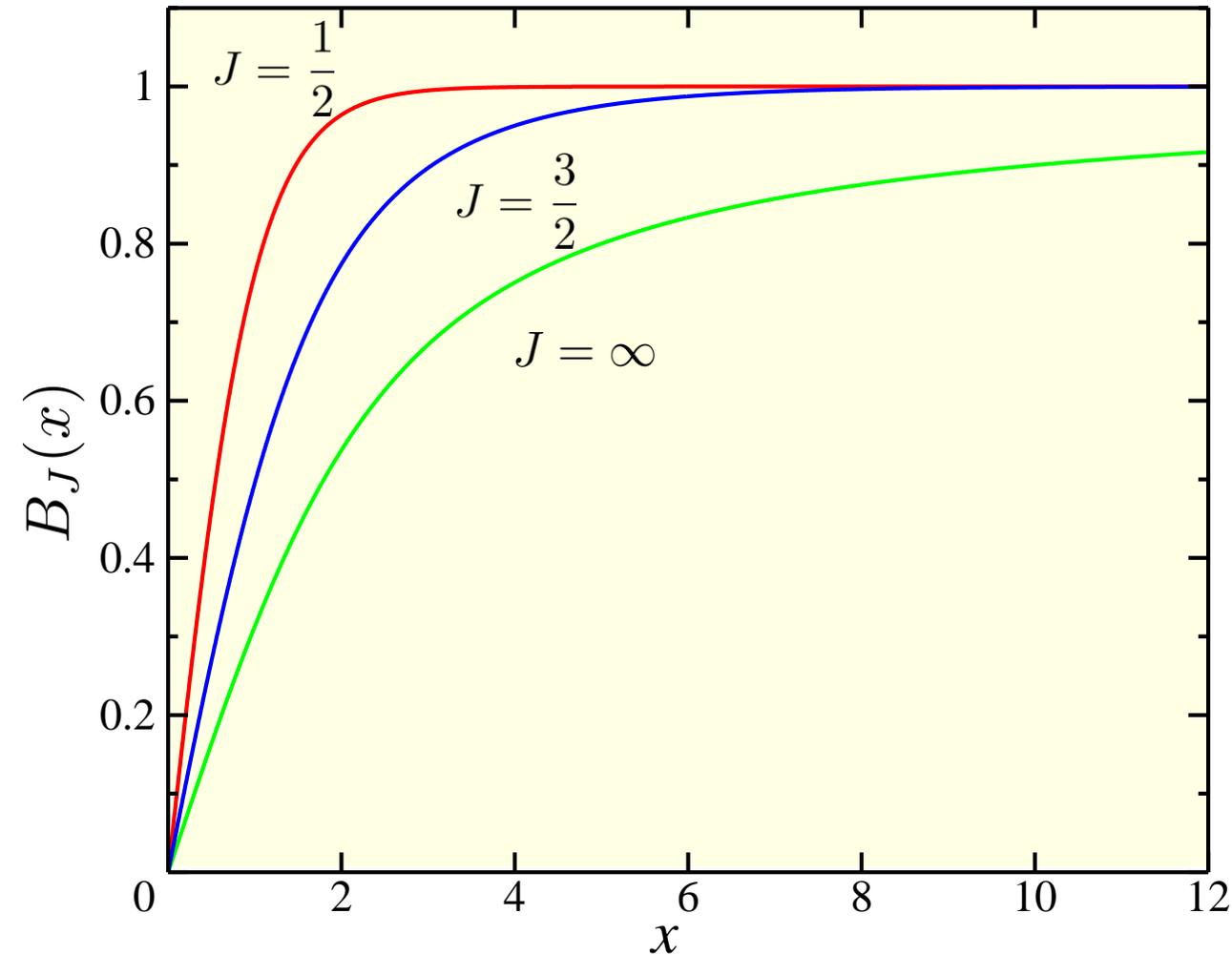
Expectation value of magnetization:

$$M = \langle -g_j\mu_B J_z \rangle = -\frac{\text{Tr}[g_j\mu_B J_z \exp(-g_j\mu_B J_z B/k_B T)]}{\text{Tr}[\exp(-g_j\mu_B J_z B/k_B T)]}$$
$$= k_B T \frac{\partial}{\partial B} \log \left[\text{Tr} \left(\exp \frac{-g_j\mu_B J_z B}{k_B T} \right) \right]$$

Partition function:

$$\text{Tr} \left(\exp \frac{-g_j\mu_B J_z B}{k_B T} \right) = \frac{\sinh \left[\frac{1}{2k_B T} g_J \mu_B \left(J + \frac{1}{2} \right) B \right]}{\sinh(g_J \mu_B B / 2k_B T)}$$

$$M = g_J \mu_B J B_J \left(\frac{g_J \mu_B J B}{k_B T} \right)$$



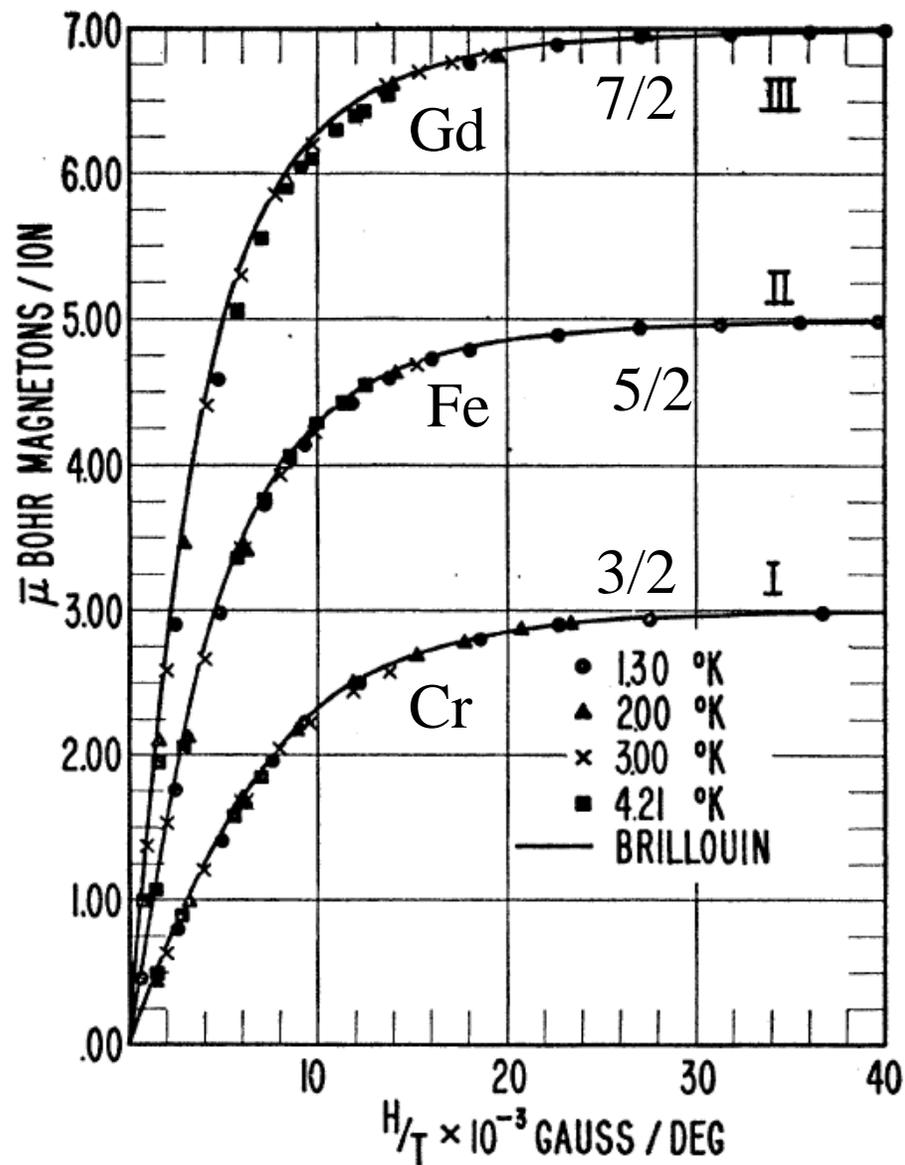
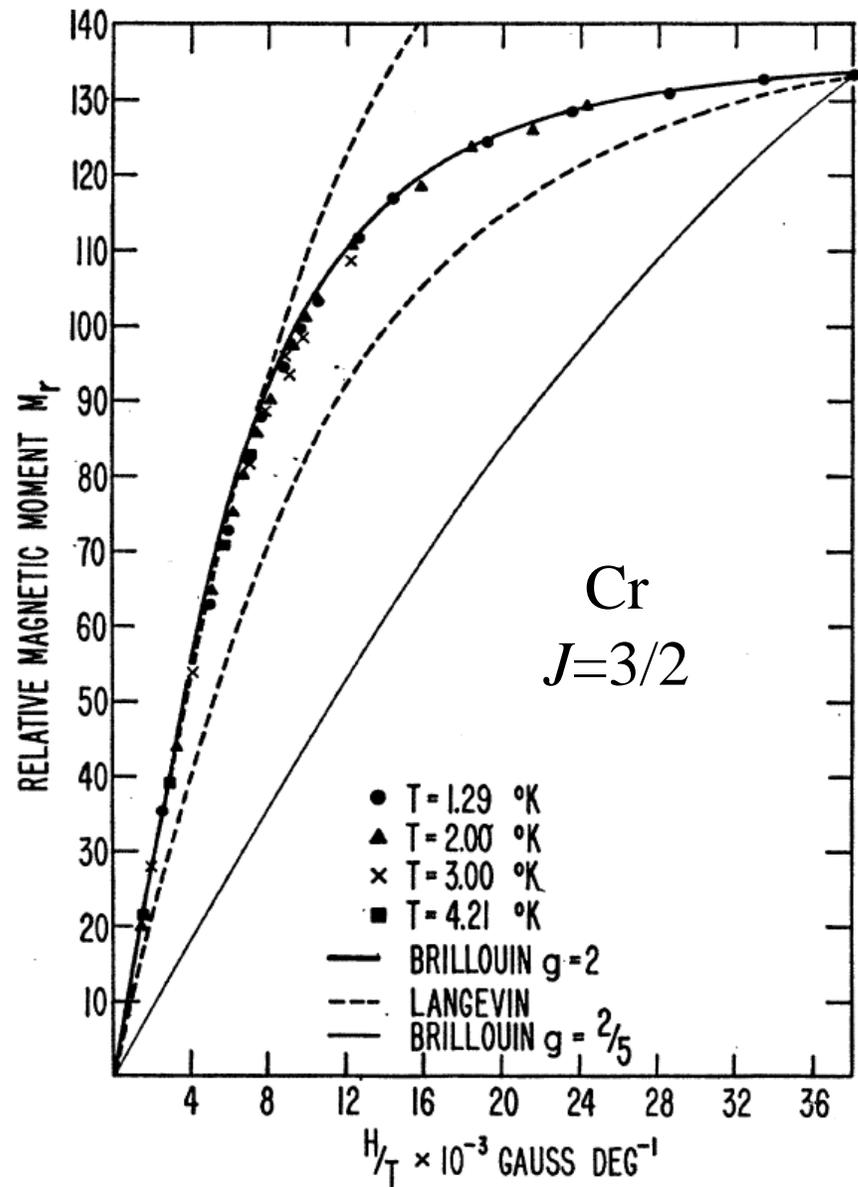
$$B_J(x) = \frac{2J+1}{2J} \coth \frac{2J+1}{2J} x - \frac{1}{2J} \coth \frac{x}{2J}$$

Brillouin function

$$x \ll 1 \rightarrow B_J(x) \sim (J+1)x/3J$$

$$\chi = \frac{dM}{dB} = (g_J \mu_B)^2 \frac{J(J+1)}{3k_B T}$$

Examples of experiments



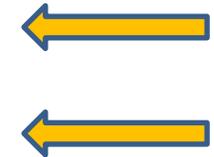
II

$\text{FeNH}_4(\text{SO}_4)_2 \cdot 12\text{H}_2\text{O}$
Iron Ammonium Alum

W. E. Henry, PR88,
556, 1952

LS coupling approach for Lanthanoid (rare earth)

Configuration	ion	p (exp.)	$g_J[J(J+1)]^{1/2}$	$2[S(S+1)]^{1/2}$
$4f^1$ $^2F_{5/2}$	Ce ³⁺	2.5	2.54	2.56
$4f^2$ 3H_4	Pr ³⁺	3.6	3.58	3.62
$4f^3$ $^4I_{9/2}$	Nd ³⁺	3.8	3.62	3.68
$4f^5$ $^6H_{5/2}$	Sm ³⁺	1.5	0.84	1.53
$4f^6$ 7F_0	Eu ³⁺	3.6	0.00	3.40
$4f^7$ $^8S_{7/2}$	Gd ³⁺	7.9	7.94	7.94
$4f^8$ 7F_0	Tb ³⁺	9.7	9.72	9.7
$4f^9$ $^6H_{15/2}$	Dy ³⁺	10.5	10.65	10.6
$4f^{10}$ 5I_8	Ho ³⁺	10.5	10.61	10.6
$4f^{11}$ $^4I_{15/2}$	Er ³⁺	9.4	9.58	9.6
$4f^{12}$ 3H_6	Tm ³⁺	7.2	7.56	7.6
$4f^{13}$ $^2F_{7/2}$	Yb ³⁺	4.5	4.54	4.5

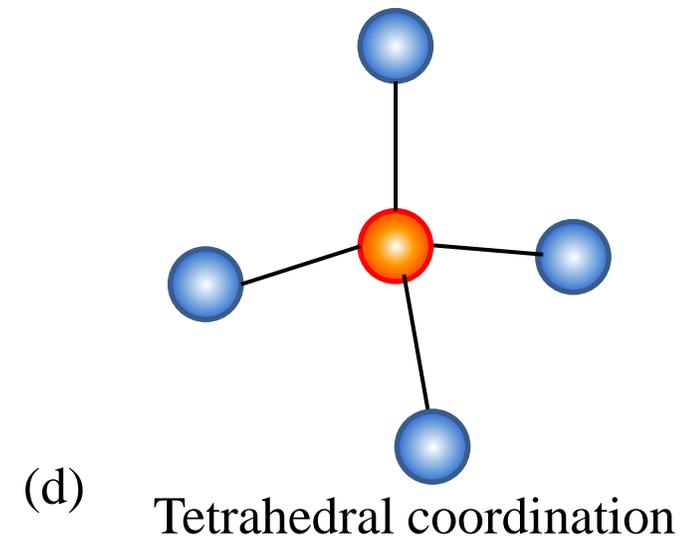
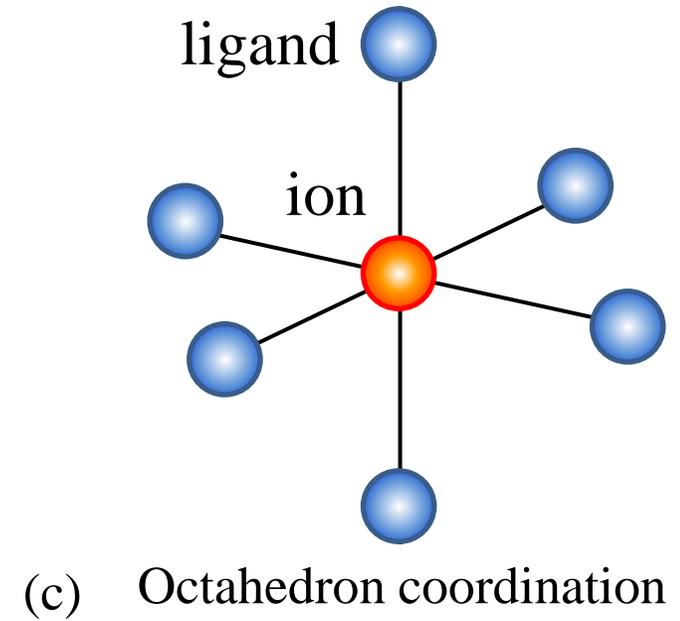
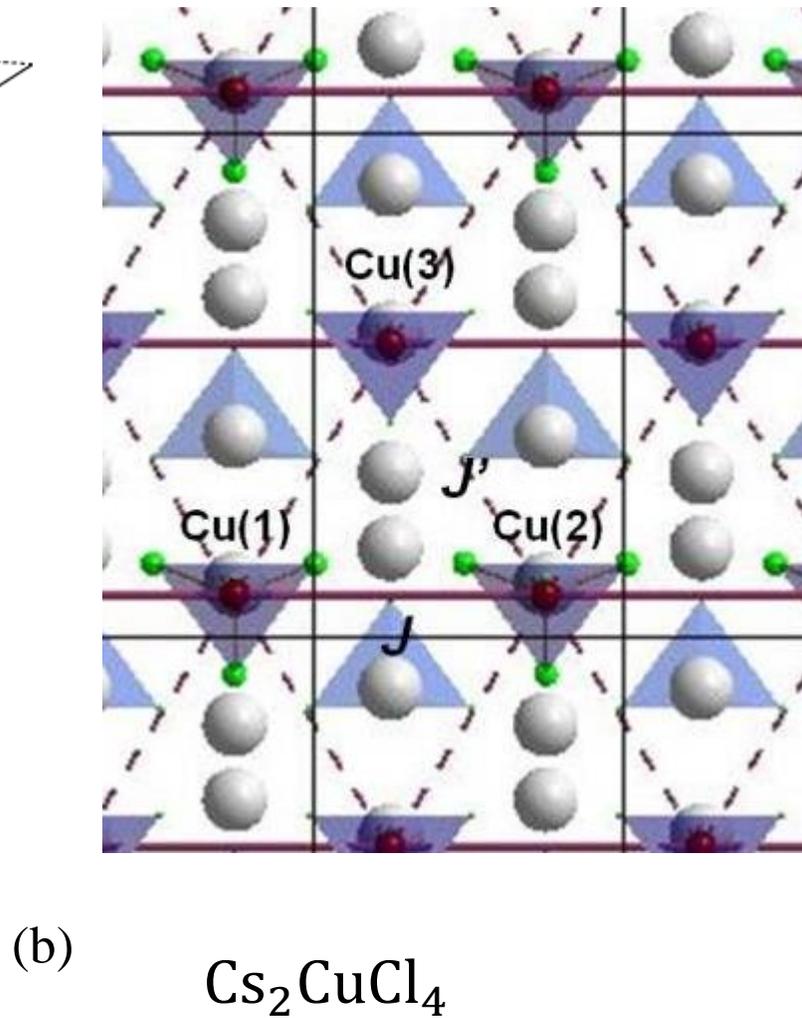
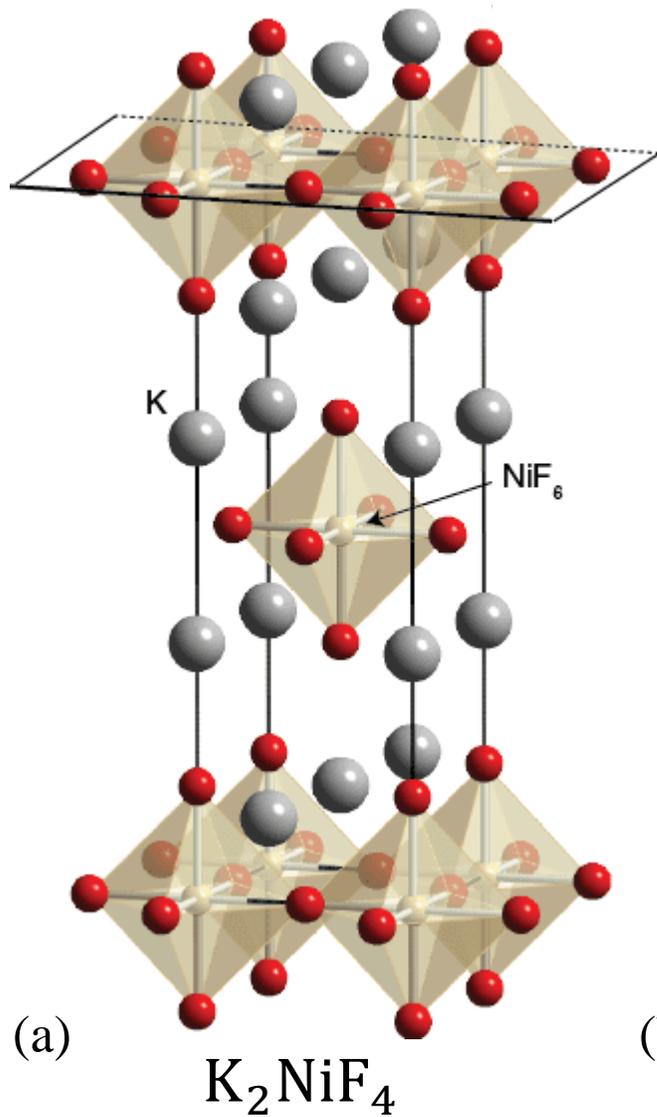


3d transition metals

Configuration	ion	p (exp.)	$g_J[J(J+1)]^{1/2}$	$2[S(S+1)]^{1/2}$
$3d^1$ $^2D_{3/2}$	V ⁴⁺	1.8	1.55	1.73
$3d^2$ 3F_2	V ³⁺	2.8	1.63	2.83
$3d^3$ $^4F_{3/2}$	V ²⁺	3.8	0.77	3.87
	Cr ³⁺	3.7	0.77	3.87
	Mn ⁴⁺	4.0	0.77	3.87
$3d^4$ 5D_0	Cr ²⁺	4.8	0	4.90
	Mn ³⁺	5.0	0	4.90
$3d^5$ $^6S_{5/2}$	Mn ²⁺	5.9	5.92	5.92
	Fe ³⁺	5.9	5.92	5.92
$3d^6$ 5D_4	Fe ²⁺	5.4	6.7	4.90
$3d^7$ $^4F_{9/2}$	Co ²⁺	4.8	6.63	3.87
$3d^8$ 3F_4	Ni ²⁺	3.2	5.59	2.83
$3d^9$ $^2D_{5/2}$	Cu ²⁺	1.9	3.55	1.73

The discrepancy tells that we need to take the effect of crystal field into account before going into the spin-orbit interaction.

Magnetic ions in insulating crystals: ligands configuration



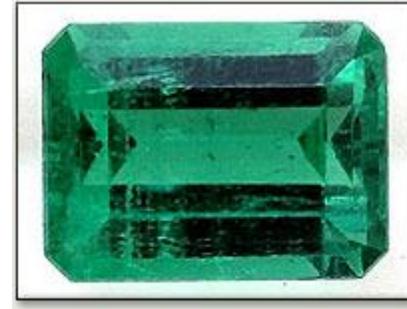
Effect of ligand field

Color centers in insulators



Ruby red in Al_2O_3

Al^{3+}



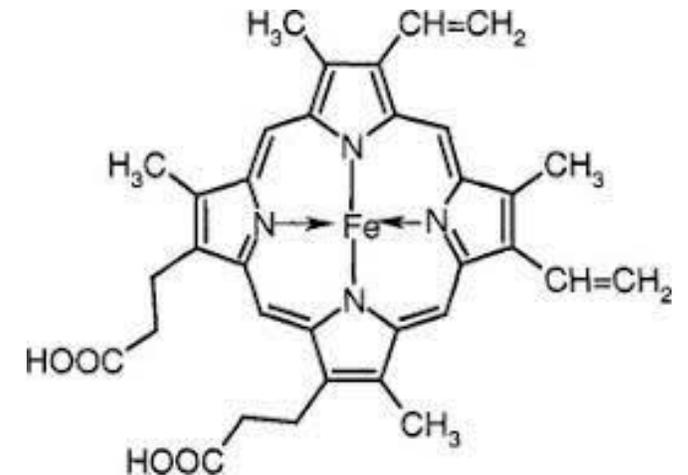
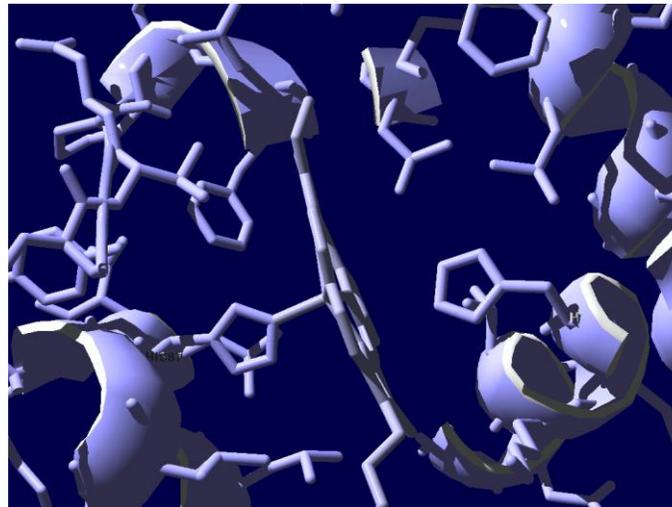
Emerald green in Al_2O_3

Cr^{3+}



Sapphire blue in Al_2O_3

Fe^{2+}



Hemoglobin: Fe

Octahedron ligand field

$$v_c(\mathbf{r}) = \sum_i \frac{Z_i e^2}{|\mathbf{r} - \mathbf{R}_i|} = \sum_i \frac{Z e^2}{\sqrt{r^2 + R^2 - 2Rr \cos \omega_i}} \quad \text{Unit: CGS}$$

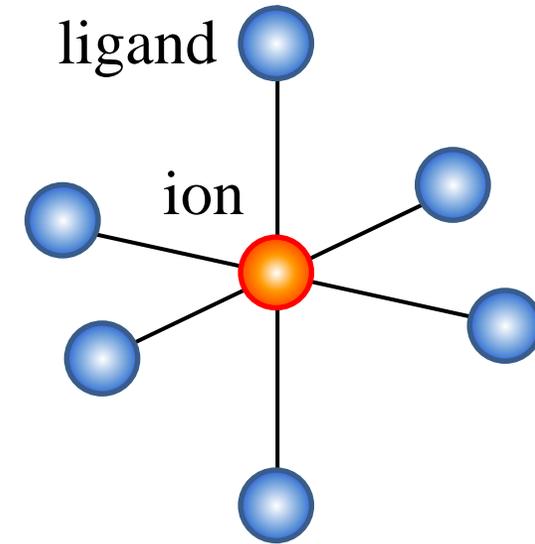
$$\mathbf{R}_i = (R, \theta_i, \varphi_i) \quad (\pm R, 0, 0), (0, \pm R, 0), (0, 0, \pm R)$$

$$(\pi/2, 0), (\pi/2, \pi/2), (0, 0), (\pi/2, \pi), (\pi/2, 3\pi/2), (\pi, 0)$$

$$\frac{r}{R} \ll 1 \quad \text{Expansion:} \quad v_c(\mathbf{r}) = \sum_i \frac{Z e^2}{R} \sum_{k=0}^{\infty} \left(\frac{r}{R}\right)^k P_k(\cos \omega_i)$$

$$\text{Legendre function: } P_n(x) = \frac{1}{2^n n!} \frac{d^n}{dx^n} [(x^2 - 1)^n]$$

$$P_k(\cos \omega_i) = \frac{4\pi}{2k + 1} \sum_{m=-k}^k Y_{km}(\theta, \varphi) Y_{km}^*(\theta_i, \varphi_i)$$



Octahedron ligand field (potential)

Define $T_{km} \equiv \sqrt{\frac{4\pi}{2k+1}} \frac{Ze^2}{R^{k+1}} \sum_i Y_{km}(\theta_i, \varphi_i), \quad C_{km} \equiv \sqrt{\frac{4\pi}{2k+1}} Y_{km}(\theta, \varphi)$

then we write
$$v_c(\mathbf{r}) = \sum_{k=0}^{\infty} \sum_{m=-k}^k r^k T_{km} C_{km}(\theta, \varphi)$$

$$\left\{ \begin{array}{l} T_{km} = 0 \quad \text{for } m: \text{ odd} \\ T_{k0} = \sqrt{\frac{2}{2k+1}} \frac{Ze^2}{R^{k+1}} \left[\Theta_{k0}(0) + 4\Theta_{k0}\left(\frac{\pi}{2}\right) + \Theta_{k0}(\pi) \right], \\ T_{km} = \sqrt{\frac{8}{2k+1}} \frac{Ze^2}{R^{k+1}} \Theta_{km}\left(\frac{\pi}{2}\right) \left(1 + \cos\frac{m\pi}{2}\right) \end{array} \right.$$

$$Y_{km}(\theta, \varphi) = \Theta_{km}(\theta) e^{im\varphi}$$

$$T_{km} = 0 \quad \text{for } k: \text{ odd}$$

Octahedron ligand field potential

$$v_c(\mathbf{r}) = \frac{6Ze^2}{R} + \frac{2}{5}Der^4 \left[C_{40}(\theta, \varphi) + \sqrt{\frac{5}{14}}(C_{44}(\theta, \varphi) + C_{4-4}(\theta, \varphi)) \right]$$

$$D = \frac{35Ze}{4R^5}$$

$$v_{cb}(\mathbf{r}) = eD \left(x^4 + y^4 + z^4 - \frac{3}{5}r^4 \right)$$

Summary

Electronic states in magnetic ions

LS coupling approach

j-j coupling approach

Paramagnetism by magnetic ions in insulators

Curie law

Breakdown of LS coupling approach in $3d$ transition metals

Ligand field approach

Octahedron potential

2022.4.27 Lecture 4

10:25 – 11:55

Lecture on **Magnetic Properties of Materials**
磁性 (Magnetism)

Institute for Solid State Physics, University of Tokyo

Shingo Katsumoto

- Electronic states in magnetic ions

 - LS coupling approach

 - j-j coupling approach

- Paramagnetism by magnetic ions in insulators

 - Curie law

 - Breakdown of LS coupling approach in $3d$ transition metals

- Ligand field approach

 - Octahedron potential

- Ligand field approach to 3d orbitals in octahedral potential
- High-spin/ Low-spin state in ligand field potential
- Van Vleck (anomalous) paramagnetism
- Group theoretical approach to level splitting
- Experiments on and applications of paramagnetism

Electronic states in magnetic ions (continued)

Periodic table of elements

PubChem

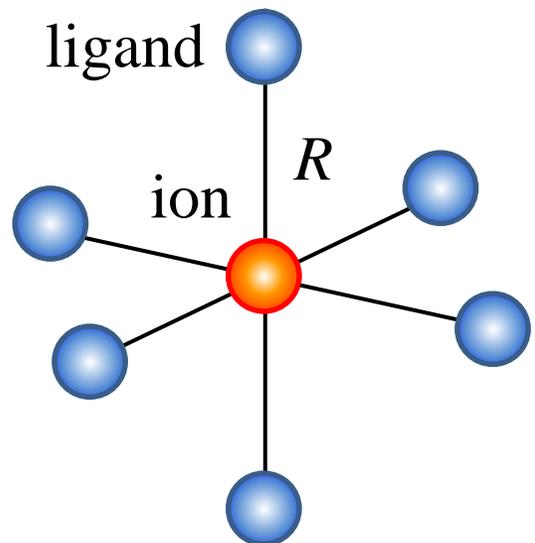
1 H Hydrogen Nonmetal																	2 He Helium Noble Gas						
3 Li Lithium Alkali Metal	4 Be Beryllium Alkaline Earth Metal																	5 B Boron Metalloid	6 C Carbon Nonmetal	7 N Nitrogen Nonmetal	8 O Oxygen Nonmetal	9 F Fluorine Halogen	10 Ne Neon Noble Gas
11 Na Sodium Alkali Metal	12 Mg Magnesium Alkaline Earth Metal																	13 Al Aluminum Post-Transition Metal	14 Si Silicon Metalloid	15 P Phosphorus Nonmetal	16 S Sulfur Nonmetal	17 Cl Chlorine Halogen	18 Ar Argon Noble Gas
19 K Potassium Alkali Metal	20 Ca Calcium Alkaline Earth Metal	21 Sc Scandium Transition Metal	22 Ti Titanium Transition Metal	23 V Vanadium Transition Metal	24 Cr Chromium Transition Metal	25 Mn Manganese Transition Metal	26 Fe Iron Transition Metal	27 Co Cobalt Transition Metal	28 Ni Nickel Transition Metal	29 Cu Copper Transition Metal	30 Zn Zinc Transition Metal	31 Ga Gallium Post-Transition Metal	32 Ge Germanium Metalloid	33 As Arsenic Metalloid	34 Se Selenium Nonmetal	35 Br Bromine Halogen	36 Kr Krypton Noble Gas						
37 Rb Rubidium Alkali Metal	38 Sr Strontium Alkaline Earth Metal	39 Y Yttrium Transition Metal	40 Zr Zirconium Transition Metal	41 Nb Niobium Transition Metal	42 Mo Molybdenum Transition Metal	43 Tc Technetium Transition Metal	44 Ru Ruthenium Transition Metal	45 Rh Rhodium Transition Metal	46 Pd Palladium Transition Metal	47 Ag Silver Transition Metal	48 Cd Cadmium Transition Metal	49 In Indium Post-Transition Metal	50 Sn Tin Post-Transition Metal	51 Sb Antimony Metalloid	52 Te Tellurium Metalloid	53 I Iodine Halogen	54 Xe Xenon Noble Gas						
55 Cs Cesium Alkali Metal	56 Ba Barium Alkaline Earth Metal	72 Hf Hafnium Transition Metal	73 Ta Tantalum Transition Metal	74 W Tungsten Transition Metal	75 Re Rhenium Transition Metal	76 Os Osmium Transition Metal	77 Ir Iridium Transition Metal	78 Pt Platinum Transition Metal	79 Au Gold Transition Metal	80 Hg Mercury Transition Metal	81 Tl Thallium Post-Transition Metal	82 Pb Lead Post-Transition Metal	83 Bi Bismuth Post-Transition Metal	84 Po Polonium Metalloid	85 At Astatine Halogen	86 Rn Radon Noble Gas							
87 Fr Francium Alkali Metal	88 Ra Radium Alkaline Earth Metal	104 Rf Rutherfordium Transition Metal	105 Db Dubnium Transition Metal	106 Sg Seaborgium Transition Metal	107 Bh Bohrium Transition Metal	108 Hs Hassium Transition Metal	109 Mt Meitnerium Transition Metal	110 Ds Darmstadtium Transition Metal	111 Rg Roentgenium Transition Metal	112 Cn Copernicium Transition Metal	113 Nh Nihonium Post-Transition Metal	114 Fl Flerovium Post-Transition Metal	115 Mc Moscovium Post-Transition Metal	116 Lv Livermorium Post-Transition Metal	117 Ts Tennessine Halogen	118 Og Oganesson Noble Gas							
		57 La Lanthanum Lanthanide	58 Ce Cerium Lanthanide	59 Pr Praseodymium Lanthanide	60 Nd Neodymium Lanthanide	61 Pm Promethium Lanthanide	62 Sm Samarium Lanthanide	63 Eu Europium Lanthanide	64 Gd Gadolinium Lanthanide	65 Tb Terbium Lanthanide	66 Dy Dysprosium Lanthanide	67 Ho Holmium Lanthanide	68 Er Erbium Lanthanide	69 Tm Thulium Lanthanide	70 Yb Ytterbium Lanthanide	71 Lu Lutetium Lanthanide							
		89 Ac Actinium Actinide	90 Th Thorium Actinide	91 Pa Protactinium Actinide	92 U Uranium Actinide	93 Np Neptunium Actinide	94 Pu Plutonium Actinide	95 Am Americium Actinide	96 Cm Curium Actinide	97 Bk Berkelium Actinide	98 Cf Californium Actinide	99 Es Einsteinium Actinide	100 Fm Fermium Actinide	101 Md Mendelevium Actinide	102 No Nobelium Actinide	103 Lr Lawrencium Actinide							

3d transition metals

Configuration	ion	p (exp.)	$g_J[J(J+1)]^{1/2}$	$2[S(S+1)]^{1/2}$
$3d^1$ ${}^2D_{3/2}$	V ⁴⁺	1.8	1.55	1.73
$3d^2$ 3F_2	V ³⁺	2.8	1.63	2.83
$3d^3$ ${}^4F_{3/2}$	V ²⁺	3.8	0.77	3.87
	Cr ³⁺	3.7	0.77	3.87
	Mn ⁴⁺	4.0	0.77	3.87
$3d^4$ 5D_0	Cr ²⁺	4.8	0	4.90
	Mn ³⁺	5.0	0	4.90
$3d^5$ ${}^6S_{5/2}$	Mn ²⁺	5.9	5.92	5.92
	Fe ³⁺	5.9	5.92	5.92
$3d^6$ 5D_4	Fe ²⁺	5.4	6.7	4.90
$3d^7$ ${}^4F_{9/2}$	Co ²⁺	4.8	6.63	3.87
$3d^8$ 3F_4	Ni ²⁺	3.2	5.59	2.83
$3d^9$ ${}^2D_{5/2}$	Cu ²⁺	1.9	3.55	1.73

The discrepancy tells that we need to take the effect of crystal field into account before going into the spin-orbit interaction.

Octahedral ligand field



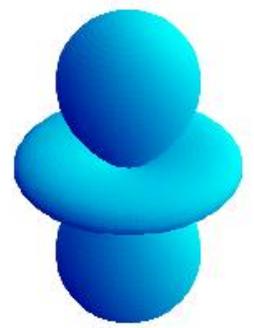
Potential generated by ligands at an octahedron vertices:

$$\frac{r}{R} \ll 1 \quad v_{cb}(\mathbf{r}) = eD \left(x^4 + y^4 + z^4 - \frac{3}{5}r^4 \right) \quad D = \frac{35Ze}{4R^5}$$

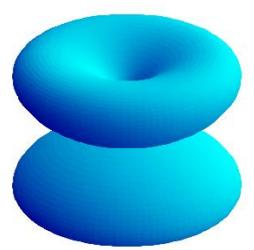
We are considering: Open shell 3d electrons

Single (3d) electron in $v_{cb}(\mathbf{r})$

Diagonalization in the space of 3d wavefunction

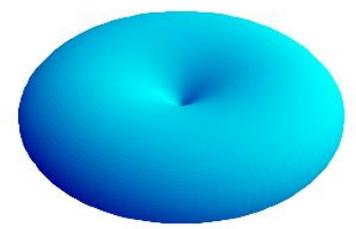


$m = 0$



$m = \pm 1$

d



$m = \pm 2$

$$Y_{20}(\theta, \varphi) = \sqrt{\frac{5}{16\pi}} (3 \cos^2 \theta - 1),$$

$$Y_{2\pm 1}(\theta, \varphi) = \mp \sqrt{\frac{15}{8\pi}} \cos \theta \sin \theta e^{\pm i\varphi},$$

$$Y_{2\pm 2}(\theta, \varphi) = \sqrt{\frac{15}{32\pi}} \sin^2 \theta e^{\pm 2i\varphi}$$

Looking for eigenfunction in tetrahedral potential

Linear combination of d -orbitals

Radial part \rightarrow common for 5 orbitals

Angular part \rightarrow second order in (x, y, z)

$$\left. \begin{aligned} (1) \quad r^2(3 \cos^2 \theta - 1) &= 2(x^2 + y^2) - z^2 \\ (2) \quad r^2 \cos \theta \sin \theta e^{\pm i\varphi} &= z(x \pm iy) \\ (3) \quad r^2 \sin^2 \theta e^{\pm 2i\varphi} &= x^2 \pm 2ixy - y^2 \end{aligned} \right\} \text{Possible terms: } x^2, y^2, z^2, yz, zx, xy$$

First order in $x, y, z \rightarrow$ disappearance of off-diagonal term by integration of odd-function

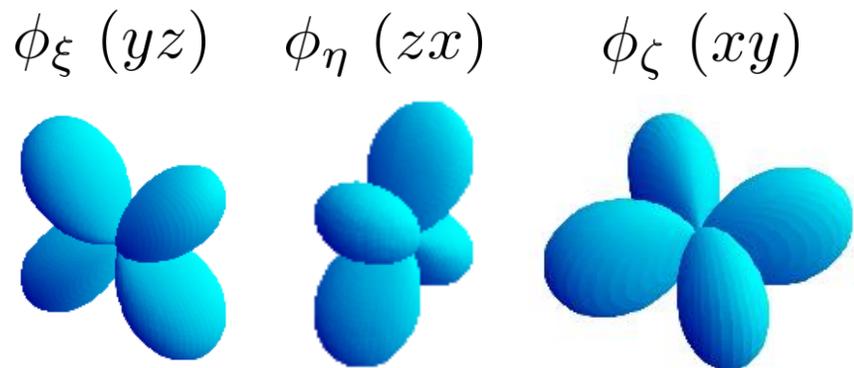
Candidates: $\frac{yz}{r^2}, \frac{zx}{r^2}, \frac{xy}{r^2}$ Easily obtained by adding/subtracting (2), (3)

In order for vanishing off-diagonal term of $x^4 + y^4 + z^4$, we should take differences between x^2, y^2, z^2 :

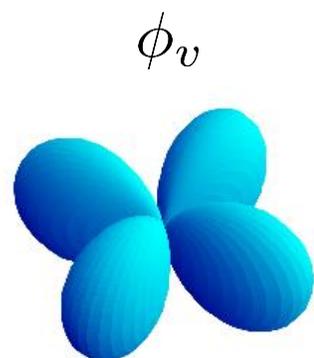
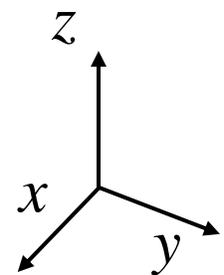
$$x^2 - z^2, y^2 - z^2 \text{ orthogonalize } \longrightarrow 3z^2 - r^2, x^2 - y^2$$

Obtained from (1) (itself), (3) (addition)

Octahedral ligand field (2)



t_{2g}

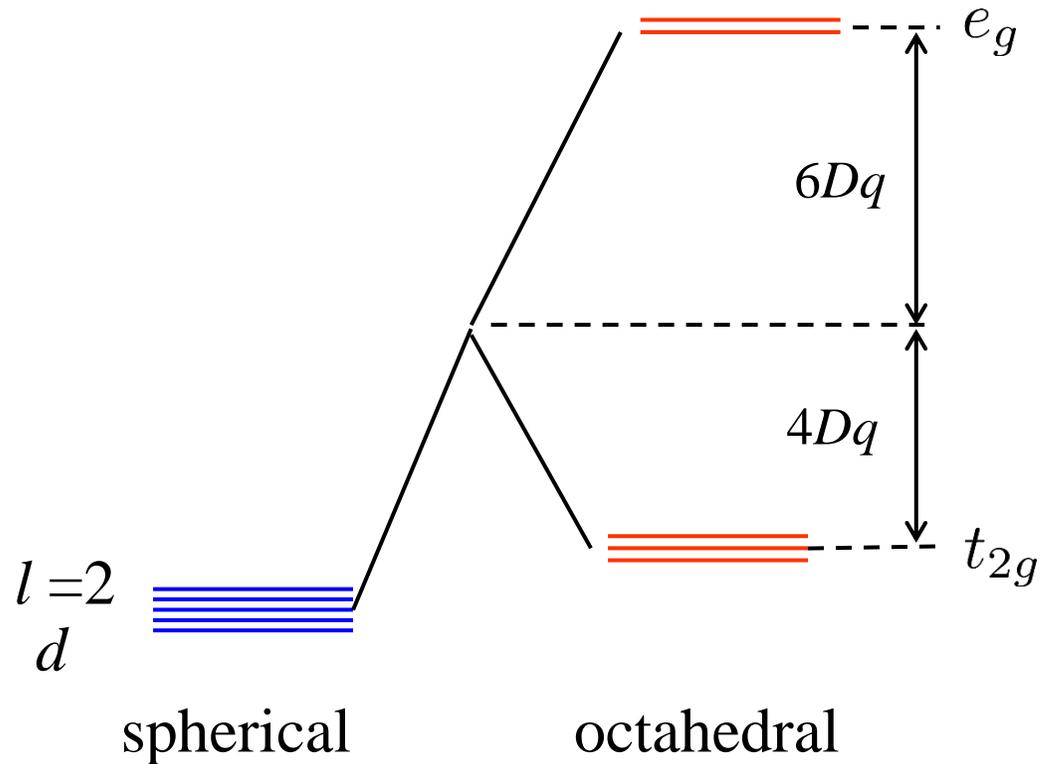


e_g

$$\left\{ \begin{array}{l}
 \phi_\xi = \frac{i}{\sqrt{2}} (\phi_{321} + \phi_{32-1}) = \sqrt{\frac{15}{4\pi}} \frac{yz}{r^2} R_{32}(r), \\
 \phi_\eta = -\frac{1}{\sqrt{2}} (\phi_{321} - \phi_{32-1}) = \sqrt{\frac{15}{4\pi}} \frac{zx}{r^2} R_{32}(r), \\
 \phi_\zeta = -\frac{i}{\sqrt{2}} (\phi_{322} - \phi_{32-2}) = \sqrt{\frac{15}{4\pi}} \frac{xy}{r^2} R_{32}(r)
 \end{array} \right.$$

$$\left\{ \begin{array}{l}
 \phi_u = \phi_{320} = \sqrt{\frac{5}{16\pi}} \frac{3z^2 - r^2}{r^2} R_{32}(r), \\
 \phi_v = -\frac{1}{\sqrt{2}} (\phi_{322} + \phi_{32-2}) = \sqrt{\frac{5}{16\pi}} \frac{x^2 - y^2}{r^2} R_{32}(r)
 \end{array} \right.$$

Energy level splitting and quenching of orbital magnetic moment



$$q = \frac{2e}{105} \langle r^4 \rangle = \frac{2e}{105} \int |R_{32}(r)|^2 r^4 (r^2 dr)$$

Orbital angular momentum:

e.g.
$$\phi_\zeta = -\frac{i}{\sqrt{2}} (\phi_{n22} - \phi_{n2-2})$$

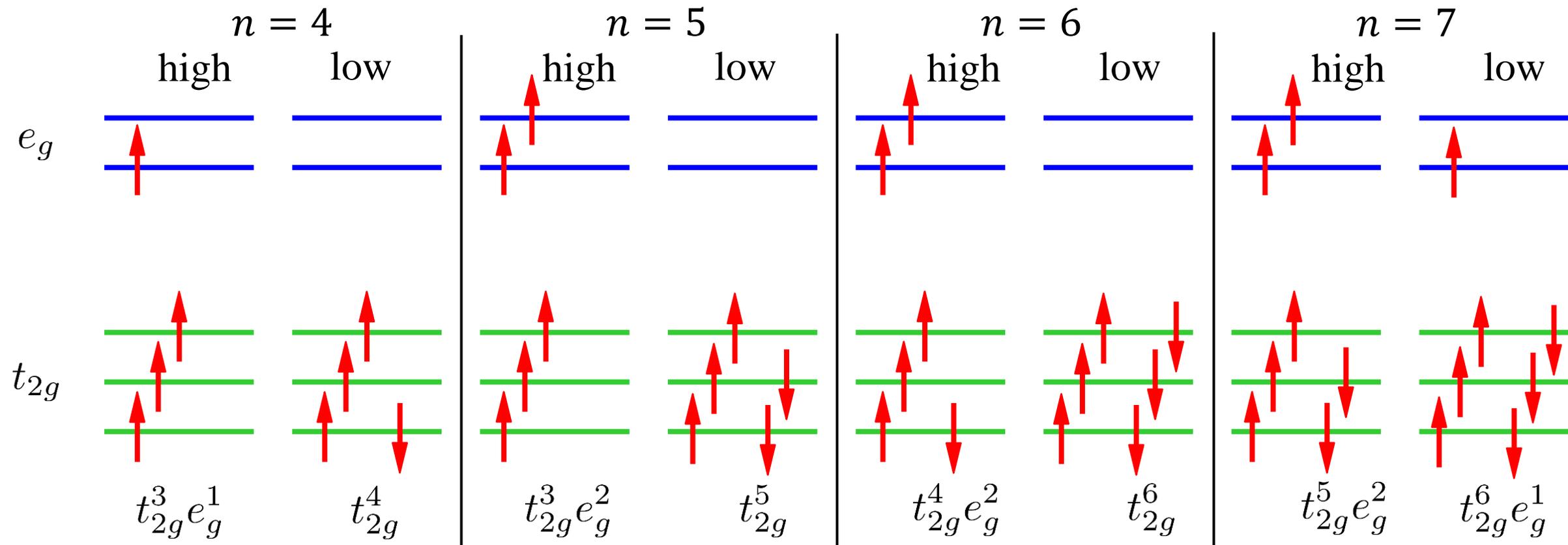
$$\langle \phi_\zeta | l_z | \phi_\zeta \rangle = 2 - 2 = 0$$

Neither t_{2g} nor e_g orbital does not have angular momentum



Explanation of quenching of orbital angular moment

High-spin and low-spin states



(Effect of crystal field) > (Coulomb repulsion)

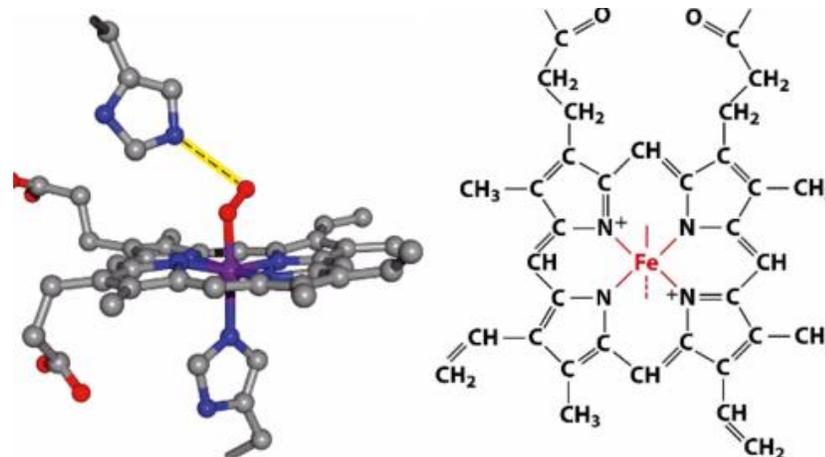


Low spin state

Ex) hemoglobin

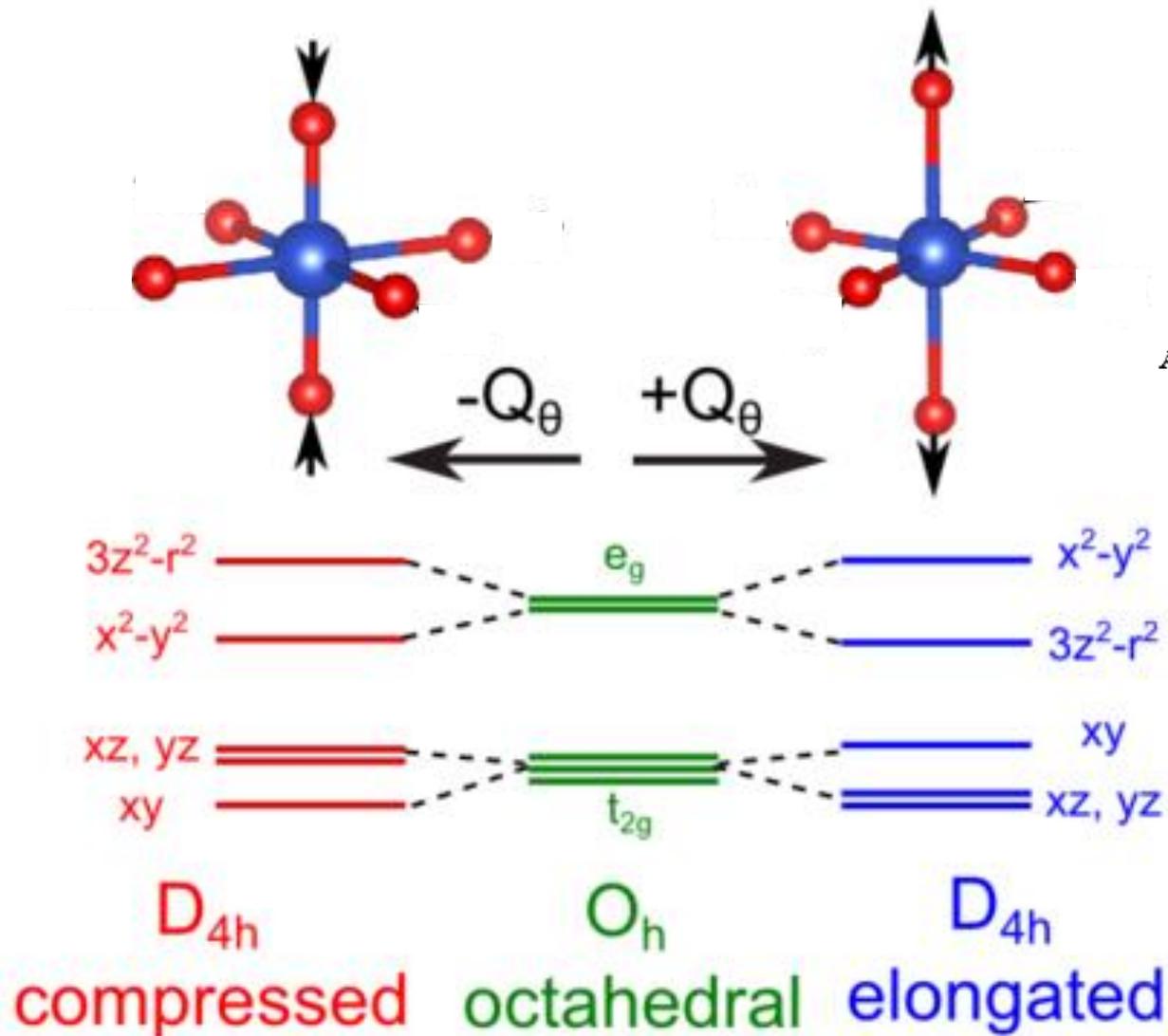
No oxygen: $\text{Fe}^{2+} (t_{2g}^4 e_g^2)$ high spin

With oxygen: $\text{Fe}^{2+} (t_{2g}^6)$ low spin



Jahn-Teller distortion

Distortion energy = energy lowering by symmetry lowering



Plane through trigonal axis Angle with trigonal axis (deg.)	g	Plane normal to trigonal axis Angle with arbitrary line (deg.)	g
0	2.234	0	2.248
30	2.235	30	2.246
50	2.238	60	2.240
70	2.240	90	2.244
90	2.243		

B.Bleaney, Proc.Phys.Soc.London A63,408(1950).



Van Vleck (anomalous) paramagnetism

LS coupling approach

Configuration	ion	p (exp.)	$g_J[J(J+1)]^{1/2}$	$2[S(S+1)]^{1/2}$
$4f^3$	${}^4I_{9/2}$	Nd^{3+}	3.8	3.62
$4f^5$	${}^6H_{5/2}$	Sm^{3+}	1.5	0.84
$4f^6$	7F_0	Eu^{3+}	3.6	0.00
$4f^7$	${}^8S_{7/2}$	Gd^{3+}	7.9	7.94

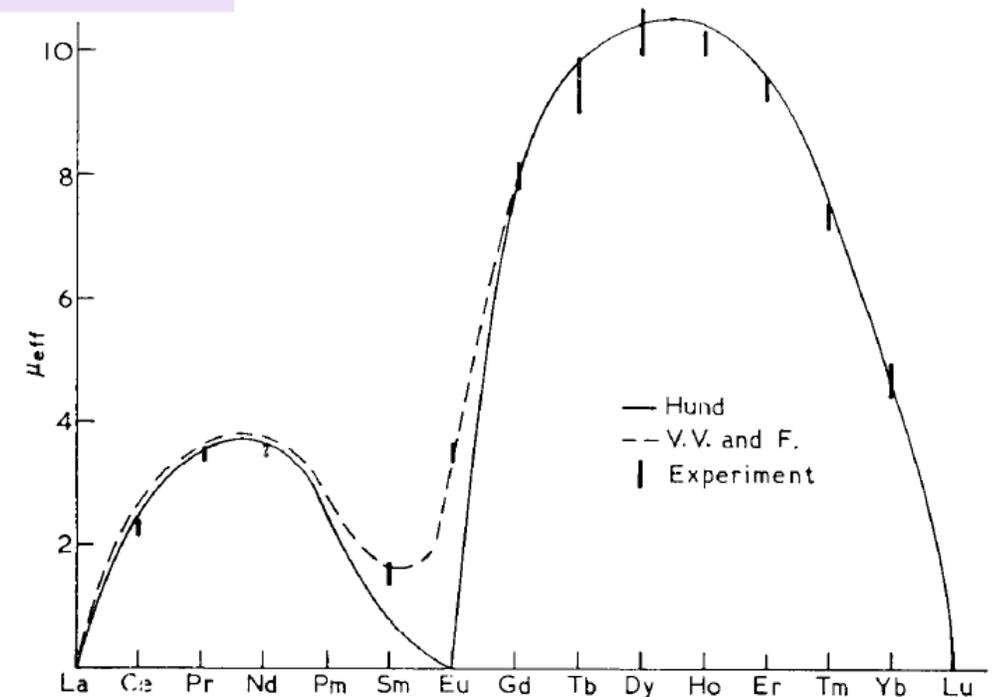


$$\begin{aligned}\mathcal{H}_{\text{SOI}} &= \lambda \mathbf{L} \cdot \mathbf{S} \\ &= \frac{\lambda}{2} [J(J+1) - S(S+1) - L(L+1)]\end{aligned}$$

In the case of Eu^{3+} ($J=0$)

$$\Delta E_{LS} = E_{LS}(J) - E_{LS}(J-1) = \lambda J$$

Excited state \rightarrow finite moment



Very short review of point group theory

Group: Set A with operator $*$

1. $\forall a_1, a_2 \in A \{a_1 * a_2 \in A\}$ (closed for the operation $*$)
2. $\forall a_1, a_2, a_3 \in A \{(a_1 * a_2) * a_3 = a_1 * (a_2 * a_3)\}$ (associative law).
3. $\exists E \in A \{\forall a_1 \in A \{a_1 E = E a_1 = a_1\}\}$ (existence of unit element).
4. $\exists a_1^{-1} \in A \{\forall a_1 \in A \{a_1 a_1^{-1} = a_1^{-1} a_1 = E\}\}$ (existence of inverse element).

Element a_i $\xrightarrow{\text{projection}}$ $D(a_i)$ square matrix
 $a * b = c$ $\xrightarrow{\text{projection}}$ $D(a)D(b) = D(c)$ } Representation of group A

$D'(a_i) = S^{-1} D(a_i) S$ $D'(a_i), D(a_i)$: equivalent representation

Symmetry operation of point group

A set of symmetry operations around a point in space is called a point group

E	:	Identical operation
C_n	:	Rotation of $2\pi/n$
C'_2	:	π rotation around two-fold axis perpendicular to the principal axis. Written as C'_2 or U_2 and called Umklappung.
I	:	Space inversion ($\mathbf{r} \rightarrow -\mathbf{r}$)
σ	:	Mirroring
IC_n	:	Circumference. Space inversion after rotation of $2\pi/n$.
S_n	:	Improper rotation. Mirroring after rotation of $2\pi/n$.

In crystals: requirement of (discrete) translational symmetry

32 crystal point groups

Crystal point groups

system	Schönflies symbol	Hermann-Mauguin symbol		examples
		full	abbreviated	
triclinic	C_1	1	1	Al_2SiO_5
	$C_i, (S_2)$	$\bar{1}$	$\bar{1}$	
monoclinic	$C_{1h}, (S_1)$	m	m	KNO_2
	C_2	2	2	
	C_{2h}	$2/m$	$2/m$	
orthorhombic	C_{2v}	$2mm$	mm	I, Ga
	$D_2, (V)$	222	222	
	$D_{2h}, (V_h)$	$2/m2/m2/m$	mmm	
tetragonal	C_4	4	4	CaWO_4
	S_4	4	4	
	C_{4h}	4/m	4/m	
	$D_{2d}, (V_d)$	$\bar{4}2m$	$\bar{4}2m$	
	C_{4v}	$4mm$	$4mm$	
	D_4	422	42	$\text{TiO}_2, \text{In}, \beta\text{-Sn}$
	D_{4h}	$4/m2/m2/m$	$4/mmm$	

rhombohedral	C_3	3	3	AsI_3
	$C_3, (S_6)$	3	3	FeTiO_3
	C_{3v}	$3m$	$3m$	
	D_3	32	32	Se
	D_{3d}	$32/m$	$3m$	Bi, As, Sb, Al_2O_3
hexagonal	$C_{3h}, (S_3)$	6	6	
	C_6	6	6	
	C_{6h}	$6/m$	$6/m$	
	D_{3h}	$62m$	$62m$	
	C_{6v}	$6mm$	$6mm$	ZnO, NiAs
	D_6	622	62	CeF_3
	D_{6h}	$6/m2/m2/m$	$6/mmm$	Mg, Zn, graphite
cubic	T	23	23	NaClO_3
	T_h	$2/m3$	$m3$	FeS_2
	T_d	$43m$	$43m$	ZnS
	O	432	43	$\beta\text{-Mn}$
	O_h	$4/m32/m$	$m3m$	NaCl, diamond, Cu
icosahedral	C_5	5	5	
	$C_{5i}, (S_{10})$	10	10	
	C_{5v}	$5m$	$5m$	
	C_{5h}, S_5	5	5	
	D_5	52	52	
	D_{5d}	$52/m$	$5/m$	C_{80}
	D_{5h}	$102/m$	$102/m$	C_{70}
	I	532	532	
	I_h			C_{60}

Reducible/irreducible representations

R : symmetry operator Symmetry operation on functions $\varphi(\mathbf{r}) \rightarrow \varphi'(\mathbf{r}) = \varphi(R^{-1}\mathbf{r})$

$$\mathcal{A}_\varphi = \{\varphi_1, \varphi_2, \dots\} \xrightarrow{R} \mathcal{A}'_\varphi = \{\varphi'_1, \varphi'_2, \dots\}$$

If $\mathcal{A}' = \mathcal{A}$ then \mathcal{A} can be a representation basis of R

$$D_{ij}(R) = \langle \varphi_i | R | \varphi_j \rangle$$

If block diagonalization is possible:
reducible representation

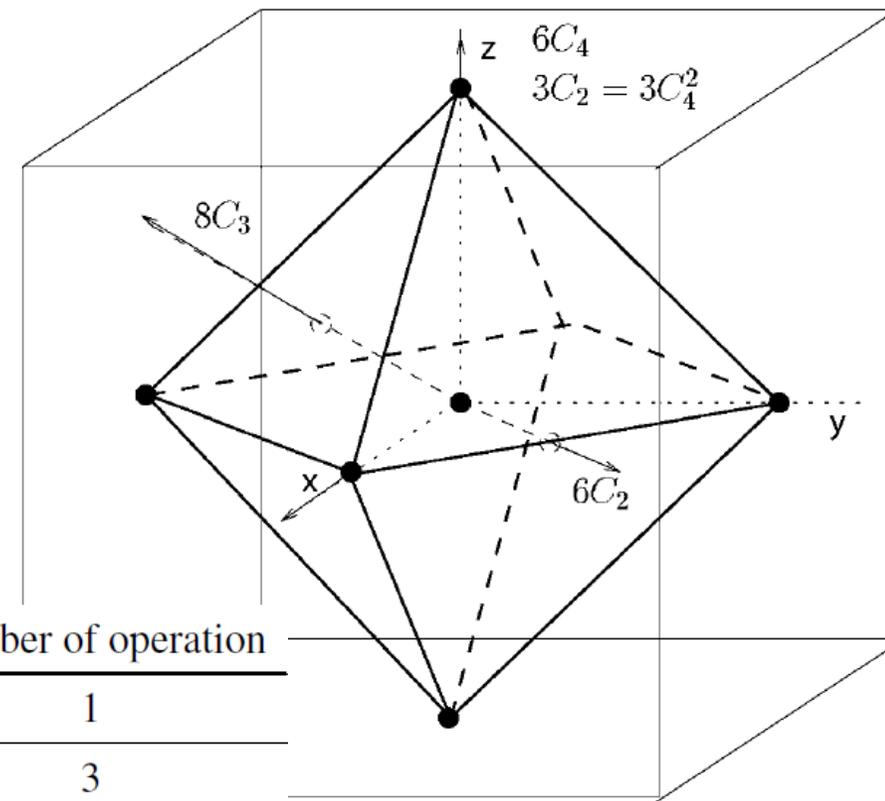
$$SD(R)S^{-1} = \begin{pmatrix} D_1(R) & & 0 \\ & D_2(R) & \\ 0 & & \ddots \end{pmatrix}$$

Direct summation: $D(R) = D_1(R) \oplus D_2(R) \oplus \dots$

If block diagonalization is impossible:
irreducible representation

$\text{Tr} [D(R)]$: character of representation

Symmetry operations in group O



	Symmetry operation	Rotation axis	Number of operation
E	Identical transformation		1
C_4	$\pi/2$ rotation around 4-fold axis	x, y, z	3
$C_2 = C_4^2$	π rotation around 4-fold axis	x, y, z	3
C_4^3	$3\pi/2$ rotation around 4-fold axis	x, y, z	3
C_2	π rotation around 2-fold axis	$(0,1,1), (1,0,1), (1,1,0)$ $(0,1,-1), (-1,0,1), (1,-1,0)$	6
C_3	$2\pi/3$ rotation around 3-fold axis	$(1,1,1), (1,1,-1), (1,-1,1), (-1,1,1)$	4
C_3^2	$4\pi/3$ rotation around 3-fold axis	$(1,1,1), (1,1,-1), (1,-1,1), (-1,1,1)$	4

Simplification to irreducible representation by character table

	O	E	$8C_3$	$3C_2 = 3C_4^2$	$6C_2'$	$6C_4$
$\Gamma_{l=0}$		1	1	1	1	1
$\Gamma_{l=1}$		3	0	-1	-1	1
$\Gamma_{l=2}$		5	-1	1	1	-1
$\Gamma_{l=3}$		7	1	-1	-1	-1
$\Gamma_{l=4}$		9	0	1	1	1
$\Gamma_{l=5}$		11	-1	-1	-1	1

Simplification of representation $\Gamma_{l=2} = E \oplus T_2$

Symmetry operation and level degeneracy

Symmetry operator R $\varphi' = R\varphi$

Transformation of operator: $\mathcal{O} \xrightarrow{R} \mathcal{O}'$ $\mathcal{O}' R\varphi = R\mathcal{O}\varphi = R\mathcal{O}R^{-1}R\varphi$ $\mathcal{O} \xrightarrow{R} R\mathcal{O}R^{-1}$

Assume the system is invariant by operator R

$$R\mathcal{H}R^{-1} = \mathcal{H}, \quad \therefore [R, \mathcal{H}] = 0$$

$$\mathcal{H}\phi = E\phi$$

$\mathcal{H}R\phi = R\mathcal{H}R^{-1}R\phi = RE\phi = ER\phi$ $R\phi$: eigenfunction of eigenvalue E
 Symmetry connected eigenfunctions

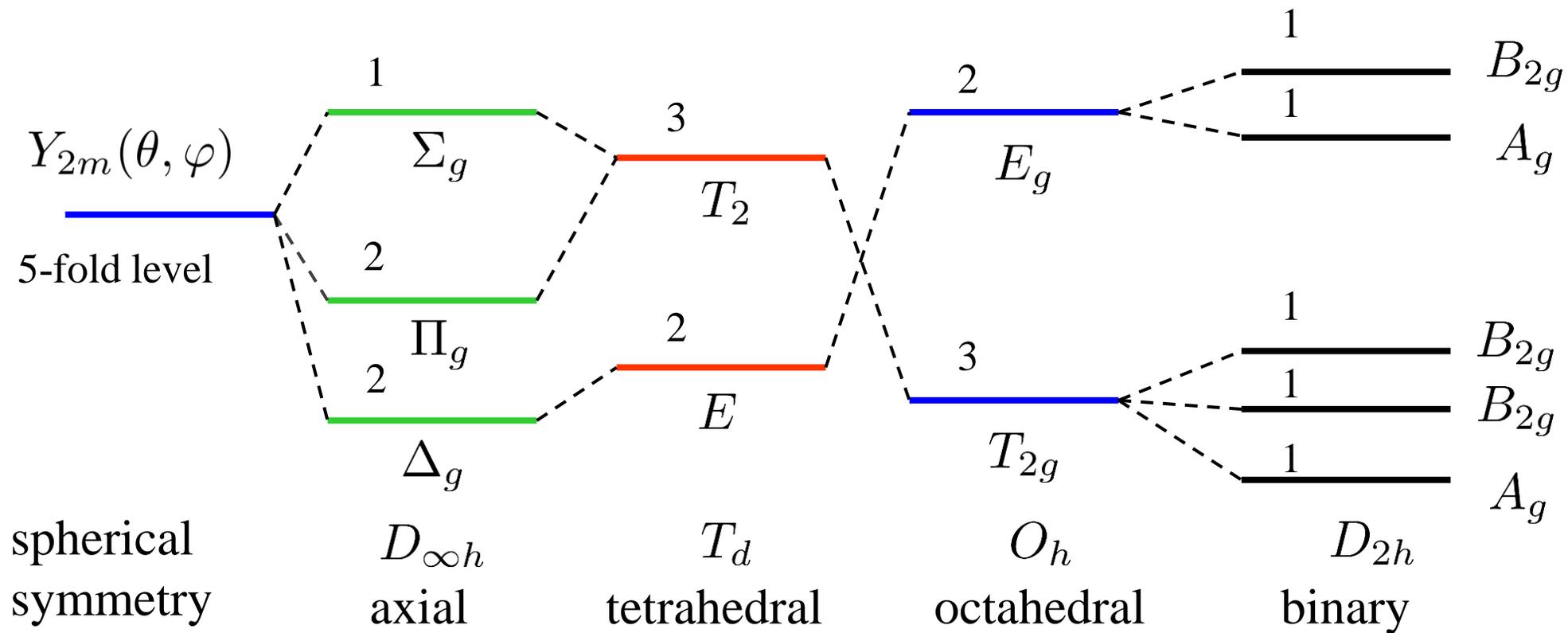
$\{\phi_i\}$: degenerated function set with eigenvalue E of \mathcal{H}

$$R\phi_\nu = \sum_{\mu=1}^d D_{\mu\nu}(R)\phi_\mu \quad \text{must be irreducible}$$

otherwise $D(R) = D_1(R) \oplus D_2(R) = \begin{pmatrix} D_1(R) & 0 \\ 0 & D_2(R) \end{pmatrix}$ not symmetry-connected
 accidental degeneracy

d -level splitting in various crystal fields

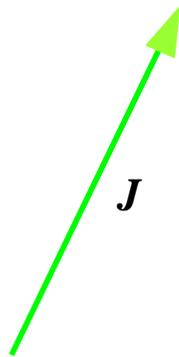
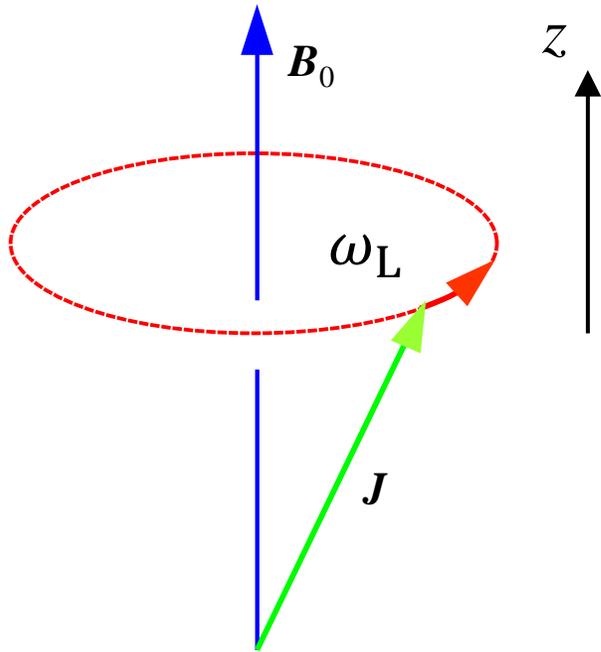
Simplification of representation $\Gamma_{l=2} = E \oplus T_2$



Experiments of magnetic moments on atoms/ions and applications



Magnetic resonance



$$\mathcal{H}_1 = g_J \mu_B \mathbf{J} \cdot \mathbf{B}_0$$

$$J_y J_z - J_z J_y = i J_x, \quad J_z J_x - J_x J_z = i J_y, \quad J_x J_y - J_y J_x = i J_z$$

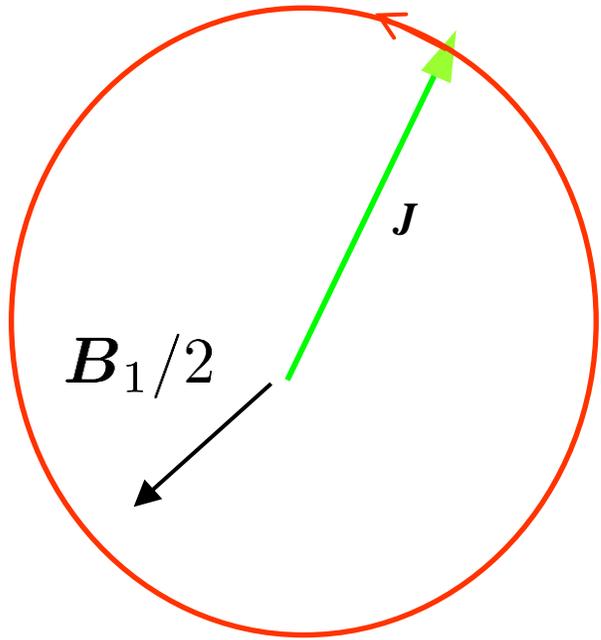
$$\frac{d\mathbf{J}}{dt} = \frac{g_J \mu_B}{\hbar} \mathbf{B}_0 \times \mathbf{J}$$

$$\omega_L = g_J \frac{e B_0}{2m} \quad \text{Larmor precession}$$

If we observe from rotational coordinate
with frequency ω_L

Precession stops: the effect of magnetic field is
renormalized into the rotation

Magnetic resonance (2)



High frequency magnetic field in xy -plane

$$\begin{aligned} \mathbf{B}(t) &= \mathbf{B}_1 \cos(\omega t) \\ &= \frac{\mathbf{B}_1}{2} [\exp(i\omega t) + \exp(-i\omega t)] \end{aligned} \quad \text{Two rotational magnetic fields}$$

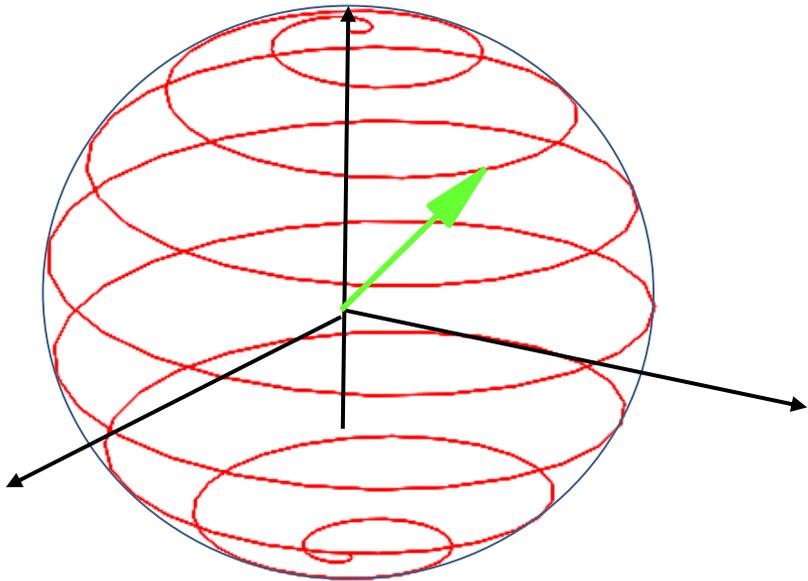
when $\omega \approx \omega_L$

On the rotational coordinate: $\omega \approx 0, 2\omega_L$

Ignore $2\omega_L$ component: rotational wave approximation

$$\text{Precession around } \mathbf{B}_1 \quad \omega_1 = g_j \frac{eB_1}{2m}$$

Total motion: spiral



Summary

- Ligand field approach to 3d orbitals in octahedral potential
- High-spin/ Low-spin state in ligand field potential
- Van Vleck (anomalous) paramagnetism
- Group theoretical approach to level splitting
- Experiments on and applications of paramagnetism

2022.5.11 Lecture 5

10:25 – 11:55

Lecture on

Magnetic Properties of Materials

磁性 (Magnetism)

Institute for Solid State Physics, University of Tokyo

Shingo Katsumoto

Review of last four lectures

Chapter 1 Basic Notions of Magnetism

Classical pictures of magnetic moments in materials:

- Magnetic charges
- Circular currents

Breakdown of classical magnetism: cancellation of paramagnetic and diamagnetic terms (Bohr-van Leeuwen theorem)

Quest for the sources of magnetic dipoles in materials.

Spins and spin-orbit interactions

Chapter 2 Magnetism of Localized Electrons

Spherical potential, closed shell magnetization

Electronic states of magnetic ions

- LS (j-j) coupling, Hund's rule
- Ligand field

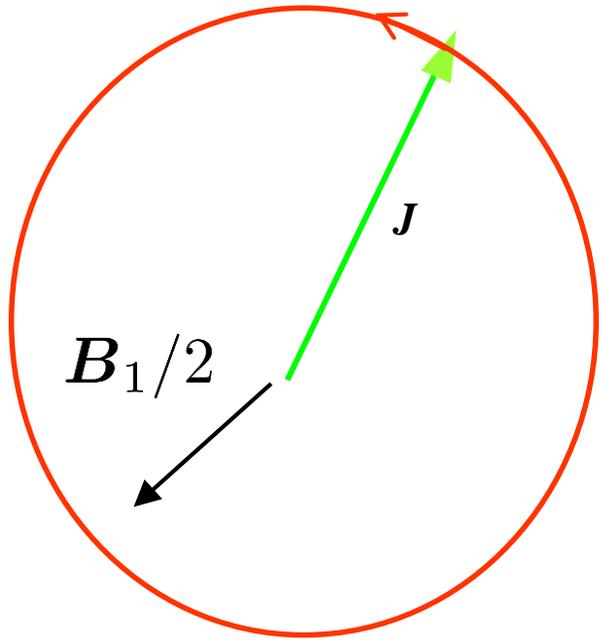
Representative experimental method: magnetic resonance

- Magnetic resonance (continued)
- Spin Hamiltonian
- Example of analyzing experimental data on electron paramagnetic resonance
- Application of paramagnetism: magnetic refrigeration

Chapter 3 Magnetism of conduction electrons

- Pauli paramagnetism
- Landau diamagnetism

Magnetic resonance (2)



High frequency magnetic field in xy -plane

$$\begin{aligned} \mathbf{B}(t) &= \mathbf{B}_1 \cos(\omega t) \\ &= \frac{\mathbf{B}_1}{2} [\exp(i\omega t) + \exp(-i\omega t)] \end{aligned} \quad \text{Two rotational magnetic fields}$$

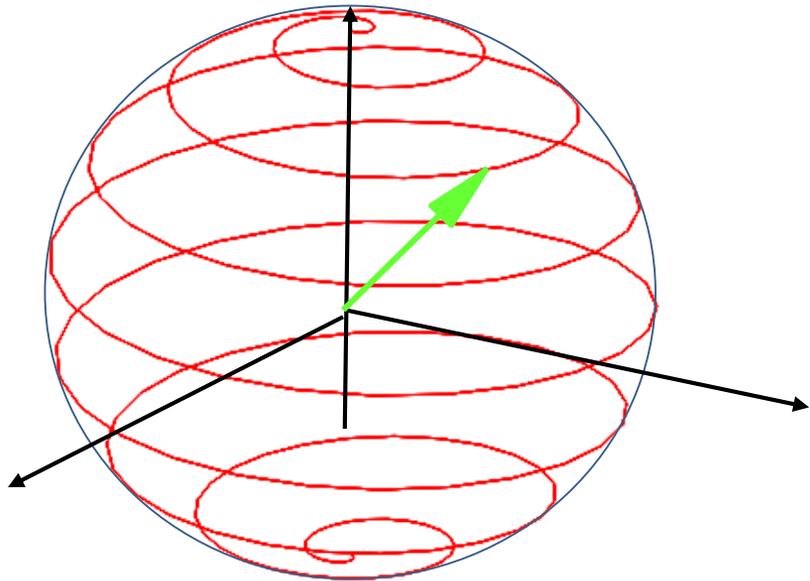
when $\omega \approx \omega_L$

On the rotational coordinate: $\omega \approx 0, 2\omega_L$

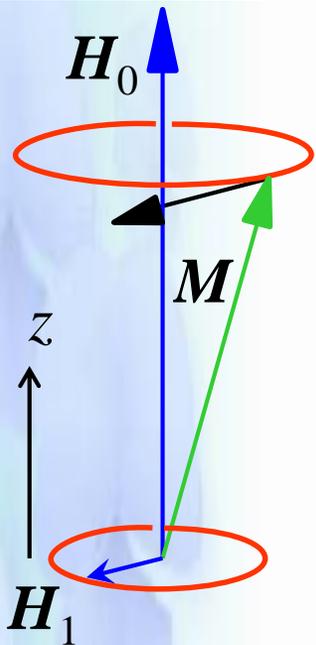
Ignore $2\omega_L$ component: rotational wave approximation

$$\text{Precession around } \mathbf{B}_1 \quad \omega_1 = g_j \frac{eB_1}{2m}$$

Total motion: spiral



Magnetic resonance



Macroscopic magnetization \mathbf{M}

Classical equation of motion

Phenomenological introduction of relaxation time

T_1 : energy relaxation time, T_2 : phase relaxation time

\mathbf{H}_0 : static field (z)

\mathbf{H}_1 : rotating field with $-\omega$

$$\left\{ \begin{array}{l} \frac{dM_z}{dt} = \gamma[\mathbf{M} \times \mathbf{H}]_z + \frac{M_0 - M_z}{T_1}, \\ \frac{dM_{x,y}}{dt} = \gamma[\mathbf{M} \times \mathbf{H}]_{x,y} - \frac{M_{x,y}}{T_2}. \end{array} \right.$$

$$\left. \begin{array}{l} \mathbf{H}_0: \text{static field (z)} \\ \mathbf{H}_1: \text{rotating field with } -\omega \end{array} \right\} \mathbf{H} = \left(\frac{H_1}{2} \cos \omega t, -\frac{H_1}{2} \sin \omega t, H_0 \right)$$

Then the equation of motion is given as

$$\left\{ \begin{array}{l} \frac{dM_x}{dt} = \gamma \left[M_y H_0 + M_z \frac{H_1}{2} \sin \omega t \right] - \frac{M_x}{T_2}, \\ \frac{dM_y}{dt} = \gamma \left[M_z \frac{H_1}{2} \cos \omega t - M_x H_0 \right] - \frac{M_y}{T_2}, \\ \frac{dM_z}{dt} = \gamma \left[-M_x H_1 \sin \omega t - M_y \frac{H_1}{2} \cos \omega t \right] + \frac{M_0 - M_z}{T_1} \end{array} \right.$$

Magnetic resonance (2)

We introduce the coordinate system (x', y', z') rotating around z -axis with freq. ω .

$$\begin{cases} M_{x'} = M_x \cos \omega t - M_y \sin \omega t, \\ M_{y'} = M_x \sin \omega t + M_y \cos \omega t \end{cases}$$

conditions

$$\begin{cases} \frac{dM_{x'}}{dt} = \frac{dM_{y'}}{dt} = 0 & \text{(stationary state),} \\ M_z \simeq M_0 = \chi_0 H_0 & \text{(oblique angle is small)} \end{cases}$$

Solution

$$\begin{cases} M_{x'} = \chi_0 \omega_0 T_2 \frac{(\omega_0 - \omega) T_2 H_1 / 2}{1 + (\omega_0 - \omega)^2 T_2^2 + \gamma^2 (H_1 / 2)^2 T_1 T_2} \\ M_{y'} = \chi_0 \omega_0 T_2 \frac{H_1 / 2}{1 + (\omega_0 - \omega)^2 T_2^2 + \gamma^2 (H_1 / 2)^2 T_1 T_2} \end{cases}$$

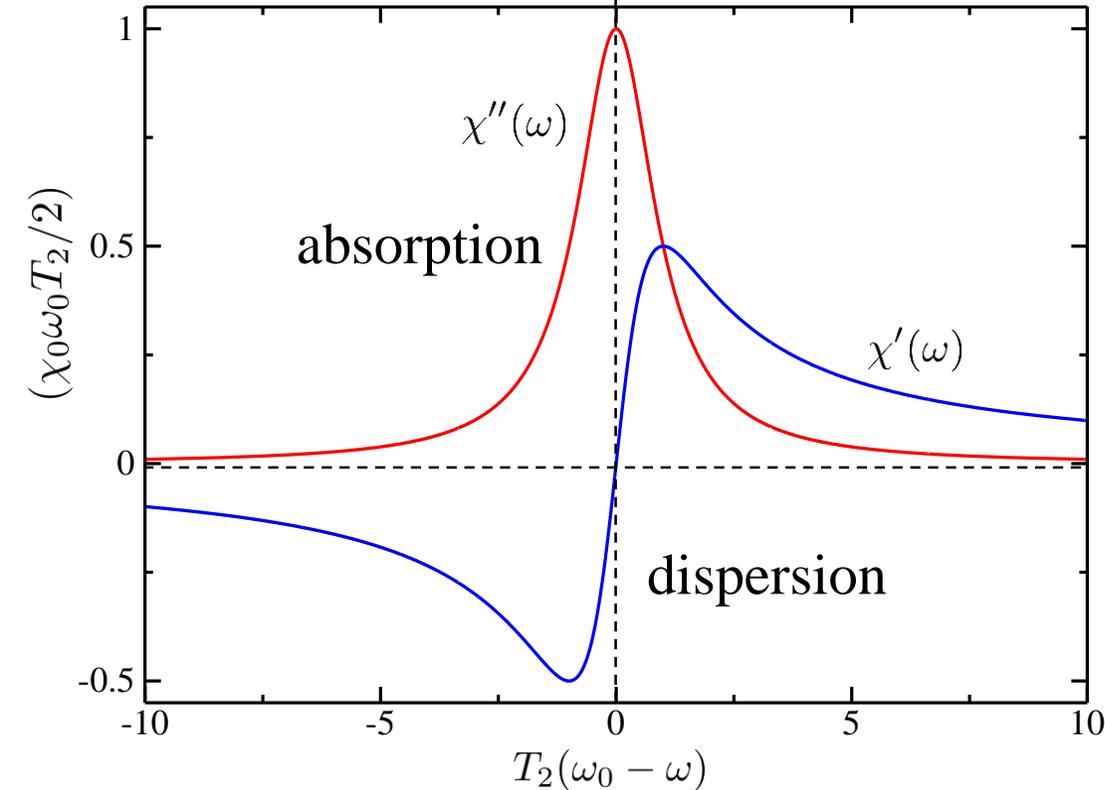
Original coordinate

$$\begin{aligned} M_x &= \chi'(\omega) H_1 \cos \omega t + \chi''(\omega) H_1 \sin \omega t, \\ M_y &= -\chi'(\omega) H_1 \sin \omega t + \chi''(\omega) H_1 \cos \omega t \end{aligned}$$

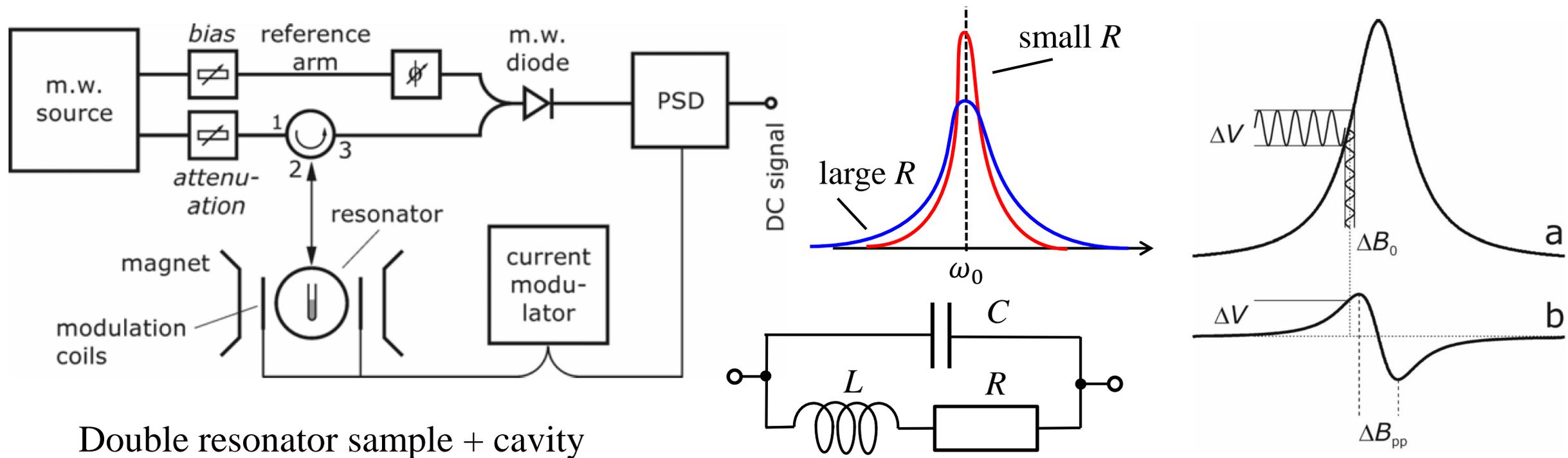
large relaxation

$$\gamma^2 H_1^2 T_1 T_2 \ll 1$$

$$\begin{aligned} \chi'(\omega) &= \frac{\chi_0 \omega_0}{2} T_2 \frac{(\omega_0 - \omega) T_2}{1 + (\omega_0 - \omega)^2 T_2^2}, \\ \chi''(\omega) &= \frac{\chi_0 \omega_0}{2} T_2 \frac{1}{1 + (\omega_0 - \omega)^2 T_2^2} \end{aligned}$$



Electron paramagnetic resonance (EPR) experimental setup



Double resonator sample + cavity

Continuous wave (CW) measurement: detection of resonance dissipation

Pulse, Fourier transform measurement: detection of magnetic field due to the precession of magnetic moment

Spin Hamiltonian

For the comparison of the theory with EPR experiments we need to go a little further in approximation.

Effective spin Hamiltonian: Only contains spin operators, i.e. the orbital part is already
(in case \mathcal{H}_{CF} is diagonalized) integrated out.

{o} Orbital basis: $\{\varphi_0, \varphi_1, \dots, \}$ diagonalizes $\mathcal{H}_{\text{orb}} = \mathcal{H}_0 + \mathcal{H}_{\text{CF}}$
In ket form: $|\varphi_n\rangle = |n\rangle_{\text{o}}$
Energy eigenstates: ${}_{\text{o}}\langle n | \mathcal{H}_{\text{orb}} | n' \rangle_{\text{o}} = E_n \delta_{nn'}$

{s} Spin basis for total spin S : $\{\phi_{-2S}, \phi_{-2S+1}, \dots, \phi_{2S}\}$
In ket form: $|\phi_m\rangle = |m\rangle_{\text{s}}$

Perturbation Hamiltonian: $\mathcal{H}' = \lambda \mathbf{L} \cdot \mathbf{S} + \mu_{\text{B}} (\mathbf{L} + g_e \mathbf{S}) \cdot \mathbf{H}$ g_e : g-factor of electron
spin-orbit Zeeman

Expand the wavefunction with {o} and {s} as:

$$\Psi = \sum_{nm} a_{nm} \varphi_n \phi_m = \sum_{nm} a_{nm} |n\rangle_{\text{o}} |m\rangle_{\text{s}}$$

Spin Hamiltonian (2)

Eigenenergy equation:

$$\mathcal{H}\Psi = (\mathcal{H}_{\text{orb}} + \mathcal{H}')\Psi = E\Psi.$$

Second order perturbation in energy:

$$\tilde{\mathcal{H}} = {}_o\langle 0|\mathcal{H}'|0\rangle_o + \sum_{n \neq 0} \frac{{}_o\langle 0|\mathcal{H}'|n\rangle_o {}_o\langle n|\mathcal{H}'|0\rangle_o}{E_0 - E_n}$$

Orbital angular momentum is quenched:

$${}_o\langle 0|\mathbf{L}|0\rangle_o = 0 \longrightarrow {}_o\langle 0|\mathcal{H}'|0\rangle_o = g_e\mu_B \mathbf{S} \cdot \mathbf{H}$$

The second order term

→ reduced to second order in \mathbf{L} :

$${}_o\langle 0|\mathcal{H}'|n\rangle_o = {}_o\langle 0|\mathbf{L}|n\rangle_o \cdot \underline{(\lambda\mathbf{S} + \mu_B\mathbf{H})}$$

effective magnetic field for \mathbf{L}

The effective spin Hamiltonian:

$$\tilde{\mathcal{H}} = g_e\mu_B \mathbf{S} \cdot \mathbf{H} - (\lambda\mathbf{S} + \mu_B\mathbf{H})\Lambda(\lambda\mathbf{S} + \mu_B\mathbf{H})$$

where Λ is a tensor given by

$$\Lambda_{ij} = \sum_{n \neq 0} \frac{{}_o\langle 0|L_i|n\rangle_o {}_o\langle n|L_j|0\rangle_o}{E_n - E_0} \quad (i, j = x, y, z)$$

Expansion:

$$\tilde{\mathcal{H}} = \mu_B \mathbf{S} g_e (\mathbf{1} - \lambda\Lambda)\mathbf{H} - \lambda^2 \mathbf{S}\Lambda\mathbf{S} - \mu_B^2 \mathbf{H}\Lambda\mathbf{H}$$

Spin Hamiltonian (3)

$$\tilde{\mathcal{H}} = \mu_B \mathbf{S} g_e (\mathbf{1} - \lambda \Lambda) \mathbf{H} - \lambda^2 \mathbf{S} \Lambda \mathbf{S} - \mu_B^2 \mathbf{H} \Lambda \mathbf{H}$$

The third term is small, does not contribute to level splitting → Drop

The first term: extension of Zeeman energy with effective g-tensor:

$$\tilde{\mathbf{g}} = g_e (\mathbf{1} - \lambda \Lambda)$$

The second term is written as

$$-\lambda^2 \mathbf{S} \Lambda \mathbf{S} = D \left[S_z^2 - \frac{S(S+1)}{3} \right] + E(S_x^2 - S_y^2)$$

principal axes: x, y, z

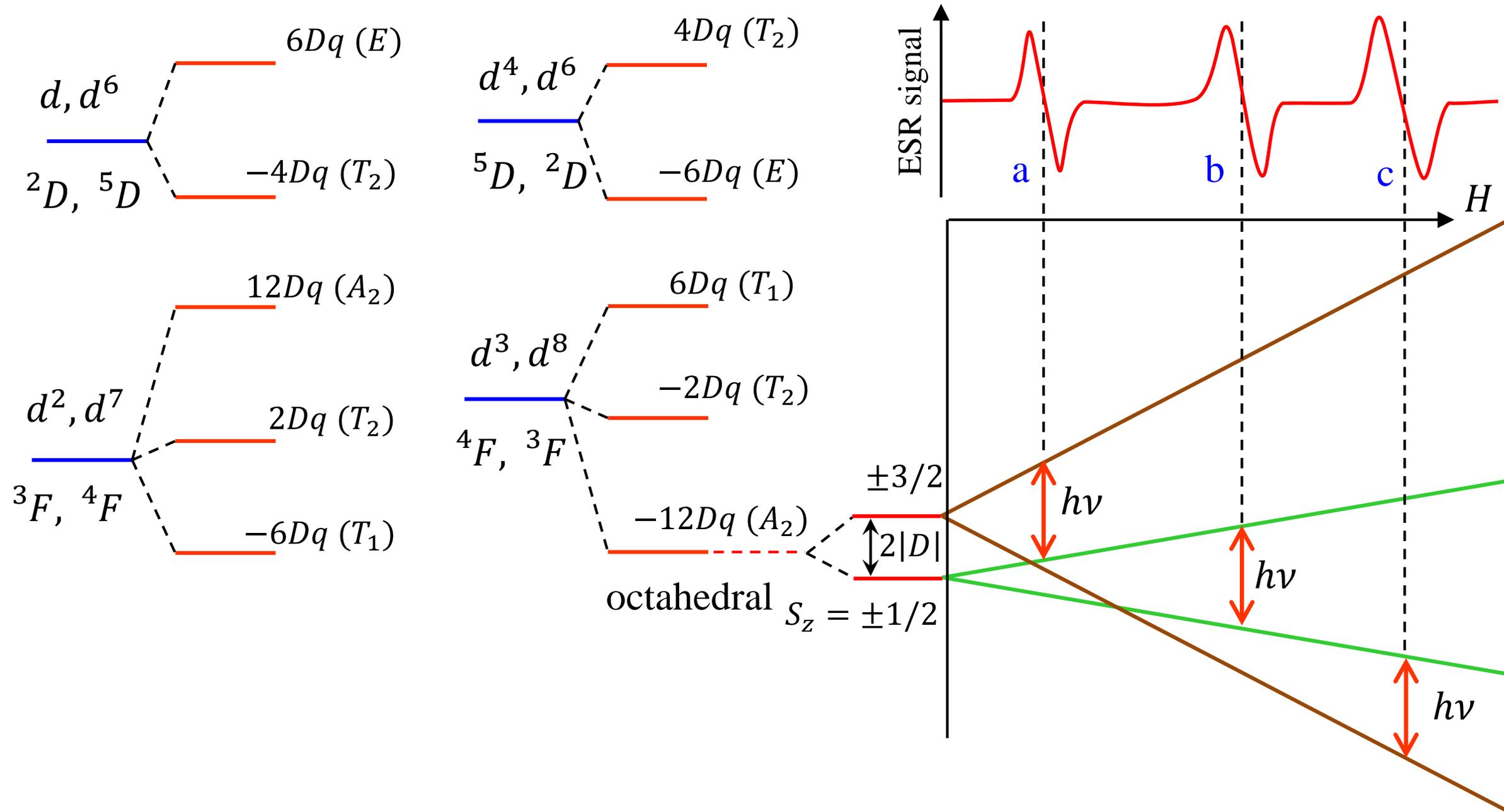
D : axial fine structure parameter

E : rhombic fine structure parameter

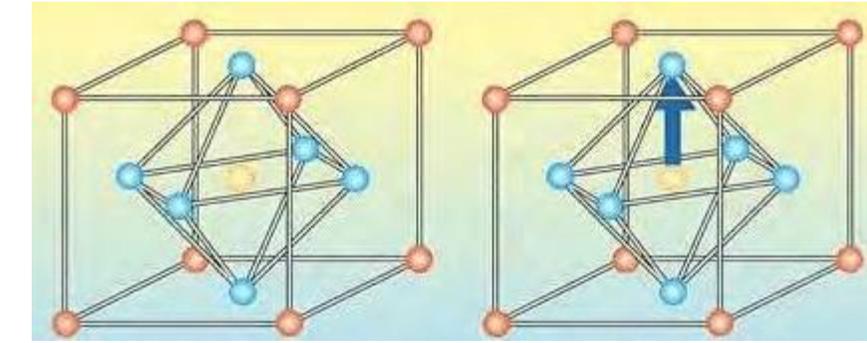
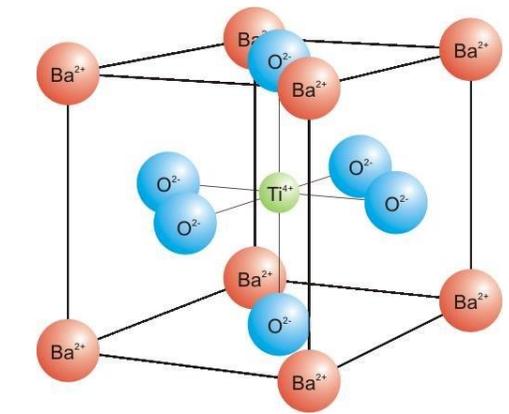
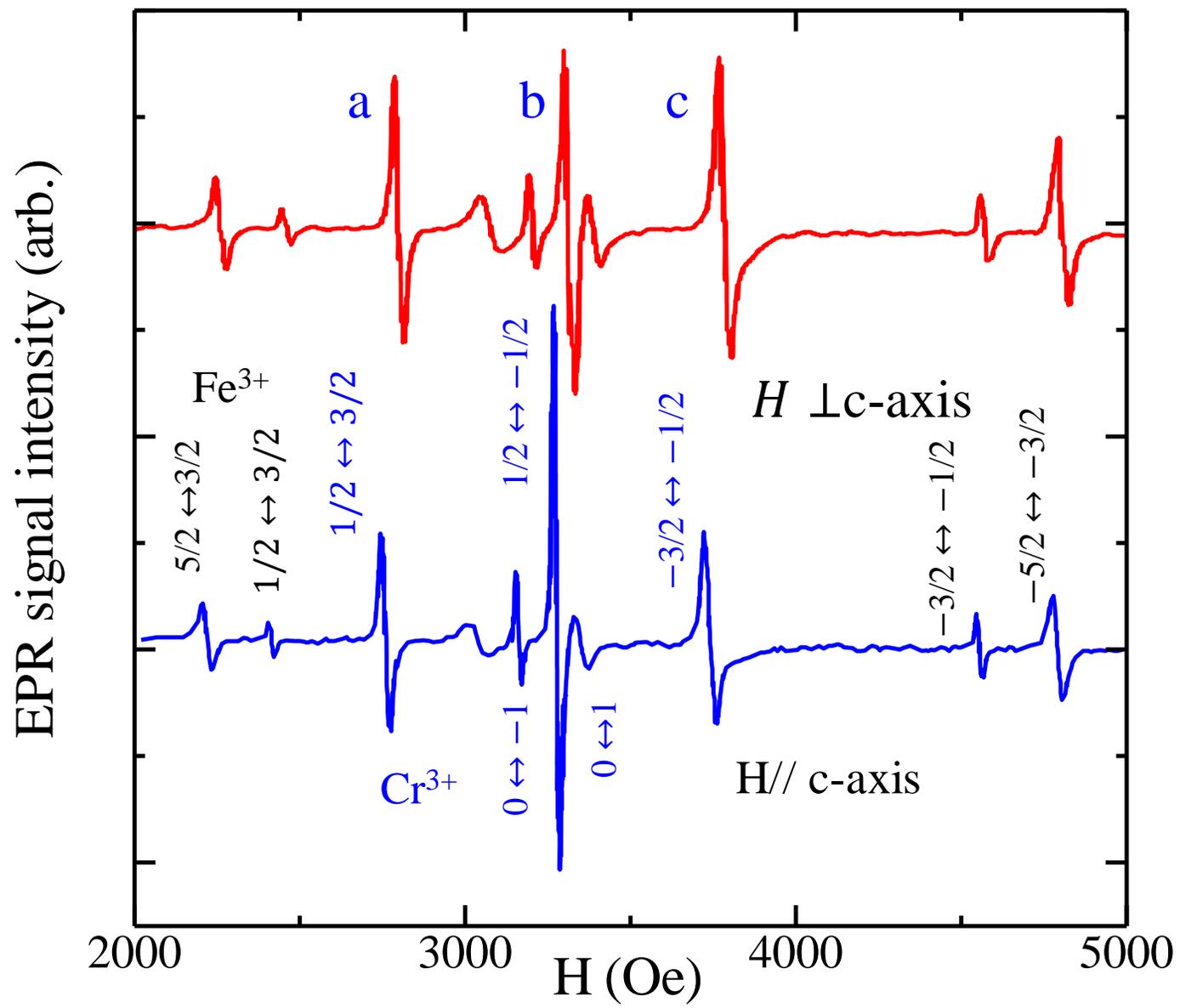
The form frequently used for the analysis of experiments

$$\tilde{\mathcal{H}} = \mu_B \mathbf{S} \tilde{\mathbf{g}} \mathbf{H} + D \left[S_z^2 - \frac{S(S+1)}{3} \right] + E(S_x^2 - S_y^2)$$

Weak crystal field approximation



EPR signal from Cr^{3+} and Fe^{3+} ions in BaTiO_3

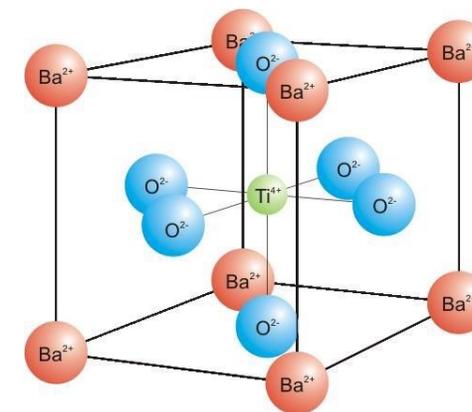


hexagonal $E = 0$
tetragonal $E \neq 0$

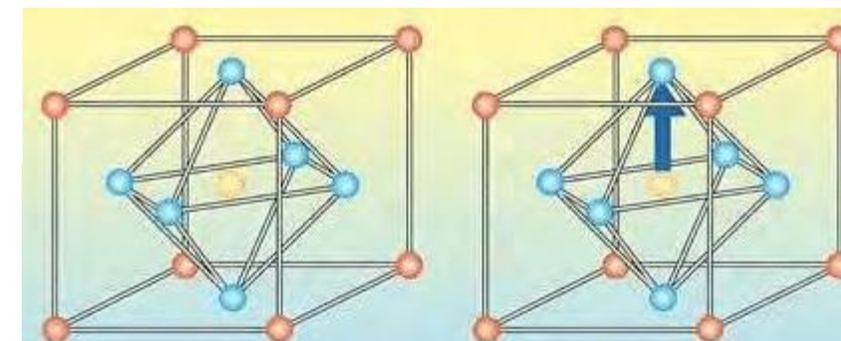
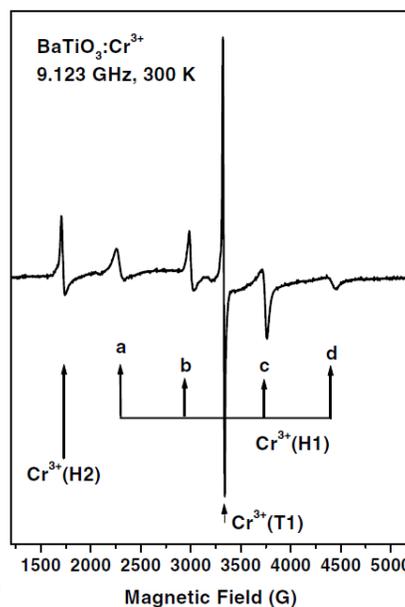
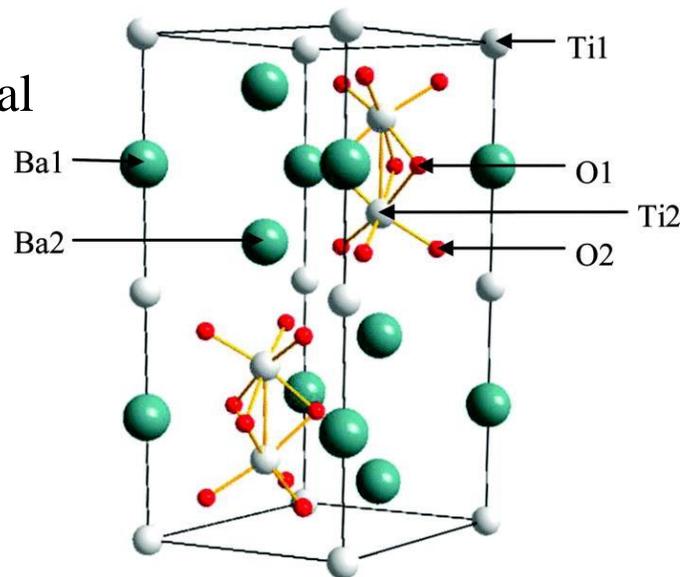
Bairavarasu et al. SPIE Proc. 6698-05

EPR signal from Cr^{3+} and Fe^{3+} ions in BaTiO_3

Ion	Crystal	g	$ D $ (cm^{-1})	$ E $ (cm^{-1})
Fe^{3+}	BaTiO_3	2.000	0.022	0.0079
	another report	2.003	0.0987	
Cr^{3+}	BaTiO_3	1.975	0.046	0.0055
	h- BaTiO_3	H1 $g_z =$	1.9797	0.105
		H1 $g_{x,y} =$	1.9857	
		H2 $g_z =$	1.9736	0.3220
H2 $g_{x,y} =$		1.9756		



hexagonal



cubic
 $E = 0$

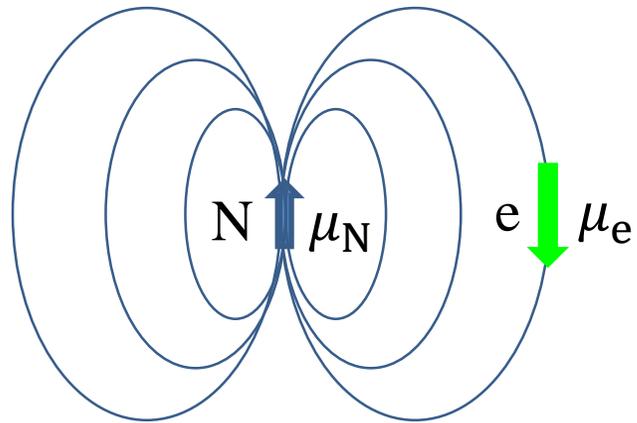
tetragonal
 $E \neq 0$

Boettcher et al. JPCM **17**, 2763 (2005)

Bairavarasu et al. SPIE Proc. 6698-05

Hyperfine structures

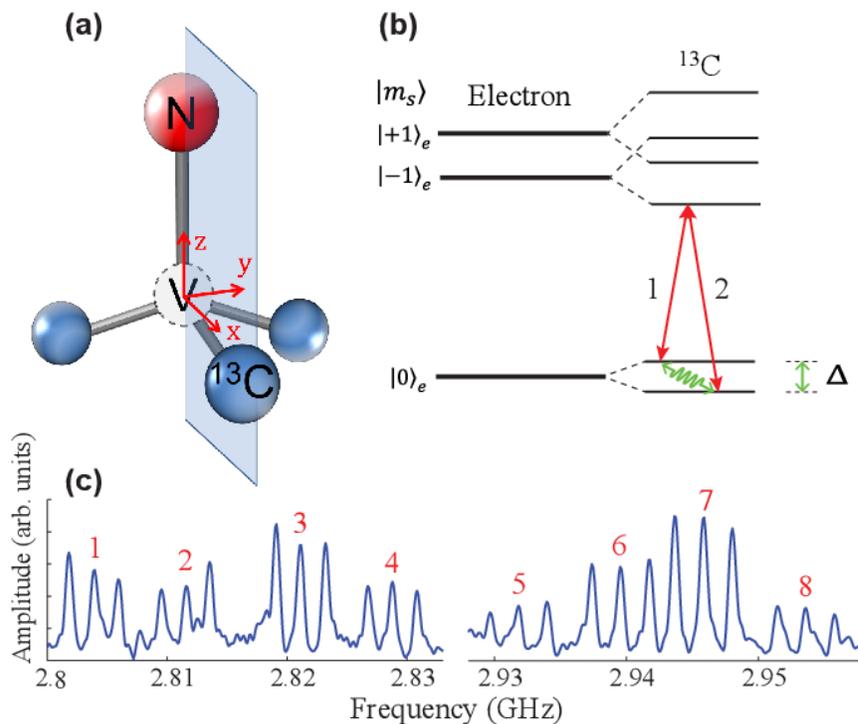
electron-nuclear spin exchange interaction:



$\mathcal{H}_{\text{HF}} = A \mathbf{I} \cdot \mathbf{J}$ the same form as spin-orbit interaction

$$\mathbf{F} = \mathbf{I} + \mathbf{J}$$

$$\begin{aligned} \mathcal{H}_{\text{HF}} |F, M_F\rangle &= A \frac{\mathbf{F}^2 - \mathbf{I}^2 - \mathbf{J}^2}{2} |F, M_F\rangle \\ &= A \frac{F(F+1) - I(I+1) - J(J+1)}{2} |F, M_F\rangle \end{aligned}$$



NV center

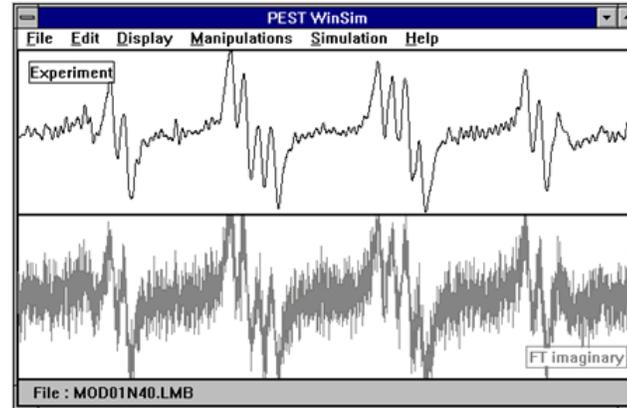
Rama et al., PRB 94, 060101 (2016).

ESR detector/analyzer

EPR public software

EPR-WinSim

<https://www.niehs.nih.gov/research/resources/software/tox-pharm/tools/>



Easyspin

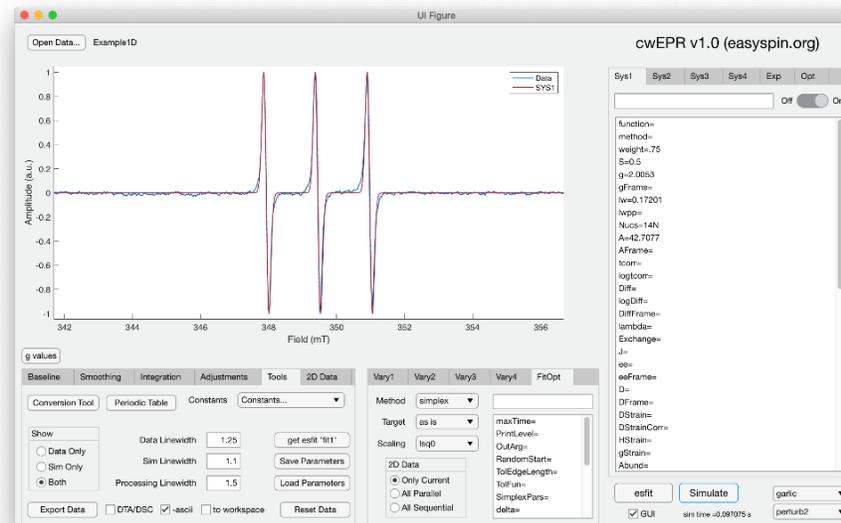
<https://www.easyspin.org/>

Works on MATLAB

GUI front: cwEPR etc.

Announcement of Easyspin for Octave

<https://octave.discourse.group/t/easyspin-for-octave/1177>



Commercial machines

JEOL 日本電子株式会社 ESR装置 製品情報 アプリケーションノート サービス&ソリューション 会社情報 採用情報

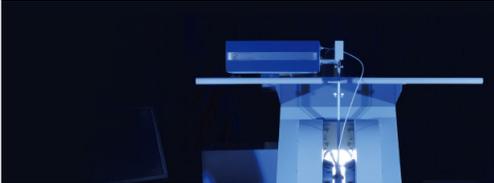
特長 仕様/オプション アプリケーション 関連製品 more info

特長

JES-X3シリーズは、低雑音Gunn発振器を改良し従来より高感度化を実現した電子スピン共振装置です。電子状態を間接・直接的に評価、さまざまな応用分野にて研究・開発・検査・評価のサポートを行います。

30%アップの高感度化を実現！*1

試料中の極微量の不安定電子が素材の機能を大きく左右することが明らかになりつつある現在、ESR計測は更なる高感度化を求められています。JEOLは、低雑音ガン発振器を改良し従来比30%アップの高感度化を実現しました。



BRUKER 製品とソリューション アプリケーション サービス ニュースとイベント キャリア 企業情報

ELEXSYS

ELEXSYS-II ESR分光計シリーズは、ライフサイエンス、材料科学、量子コンピューティングのための優れた性能と柔軟性を提供する研究用プラットフォームです。



Magnetic refrigeration

Many attempts for commercial use

Cambridge Clean, Green & Magnetic

Using novel metal alloys and magnetic fields Cambridge is creating a new generation of low carbon cooling products that will dramatically reduce energy consumption and use no polluting gases.

Cambridge was selected as part of the Cleantech 100 - one of the top 100 private European clean technology companies.

CleanTech 100

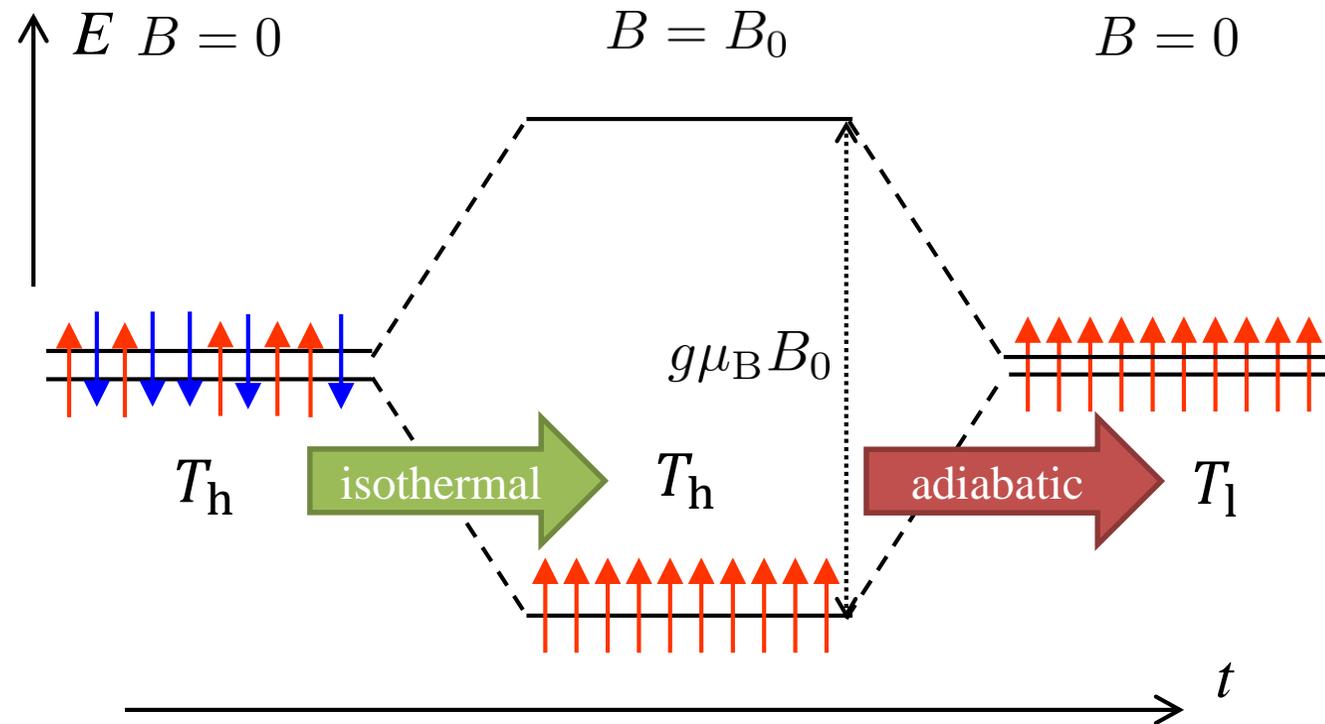
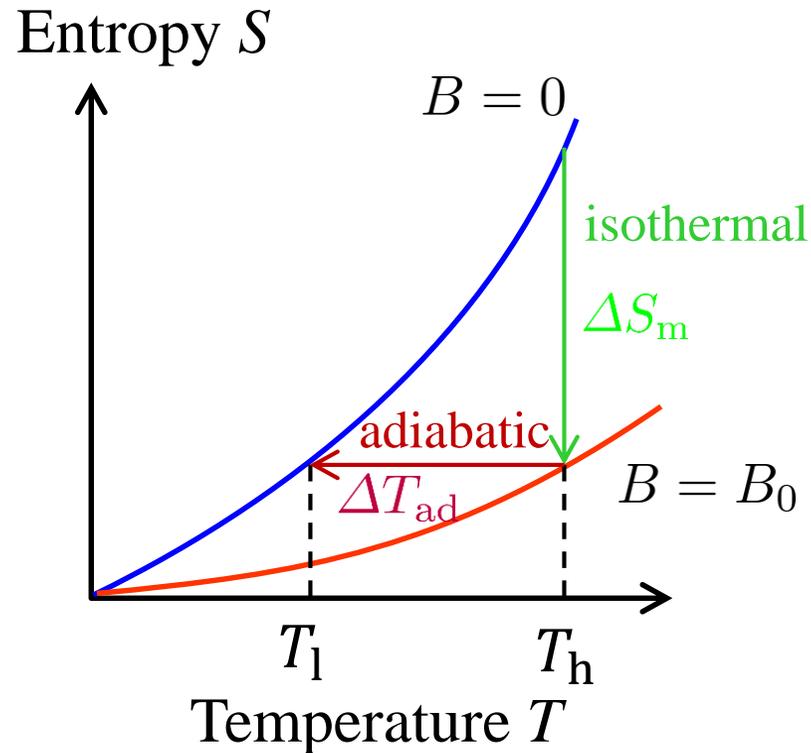
AWARD WINNER EVC

EUROPEAN VENTURE CONTEST 2011/12 3-7 December 2012

Air Conditioning with Magnetic Refrigeration

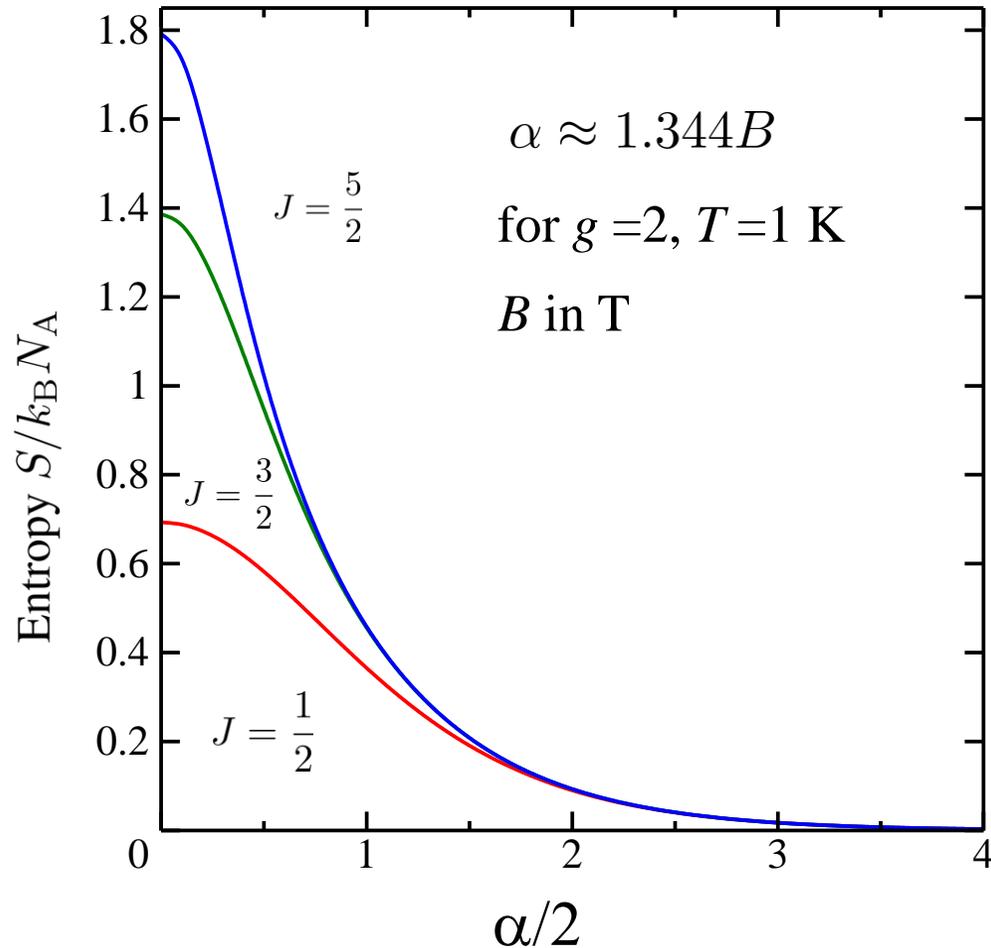
- Building Efficiency
- Efficiency

PRINT



Magnetic refrigeration (2)

Entropy of a free spin system



$$\Delta S(B, T_i) = S(0, T_i) - S(B, T_i) = \int_{T_f}^{T_i} \frac{C_m}{T} dT,$$

$$C_m = T \left(\frac{\partial S}{\partial T} \right)_{B=0}$$

$$M = N_A g \mu_B \left[\frac{2J+1}{2} \coth \left(\frac{2J+1}{2} \alpha \right) - \frac{1}{2} \coth \frac{\alpha}{2} \right]$$

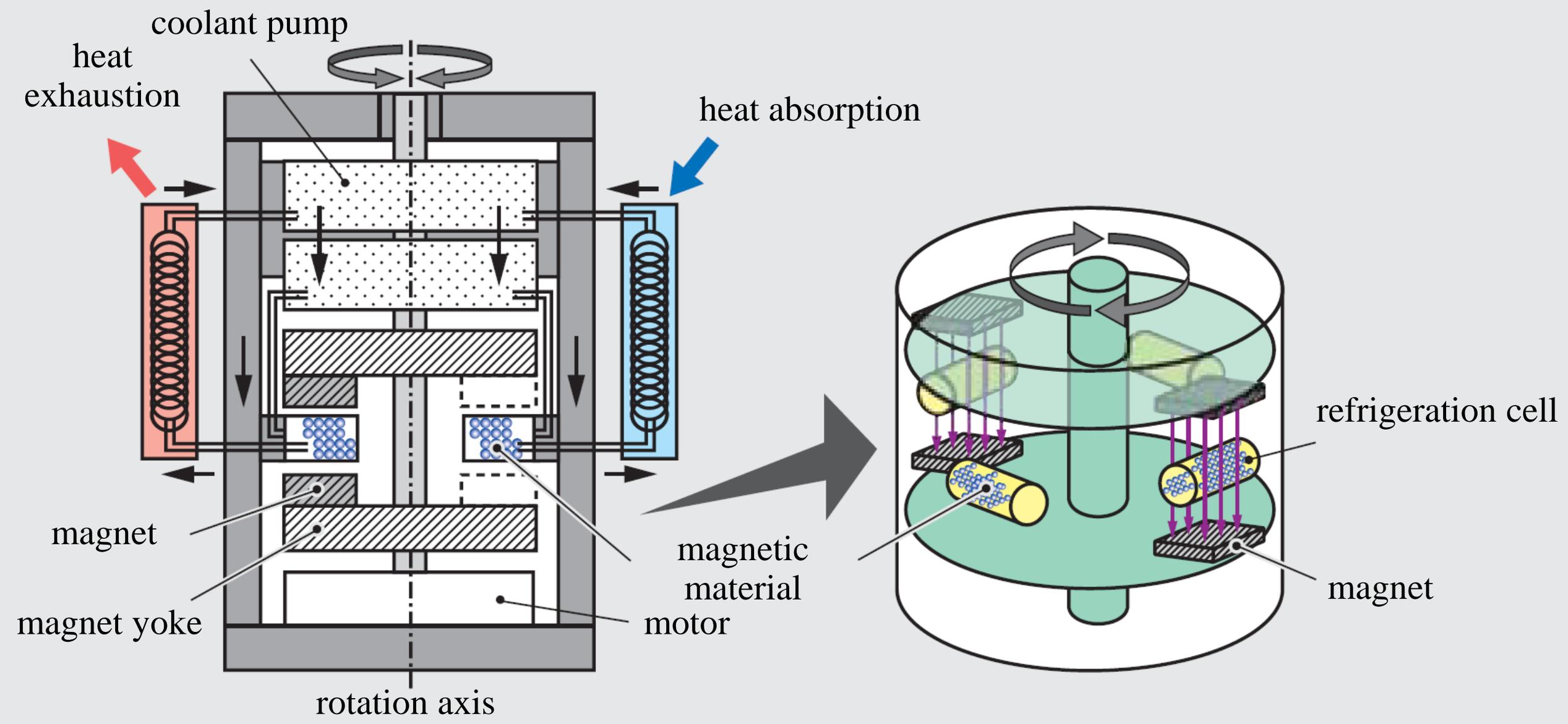
$$\alpha \equiv \frac{g \mu_B B}{k_B T}$$

$$\frac{S}{N_A k_B} = \frac{\alpha}{2} \coth \frac{\alpha}{2} - \frac{2J+1}{2} \alpha \coth \left[\frac{2J+1}{2} \alpha \right] + \ln \left[\frac{\sinh[(2J+1)\alpha/2]}{\sinh \alpha/2} \right].$$

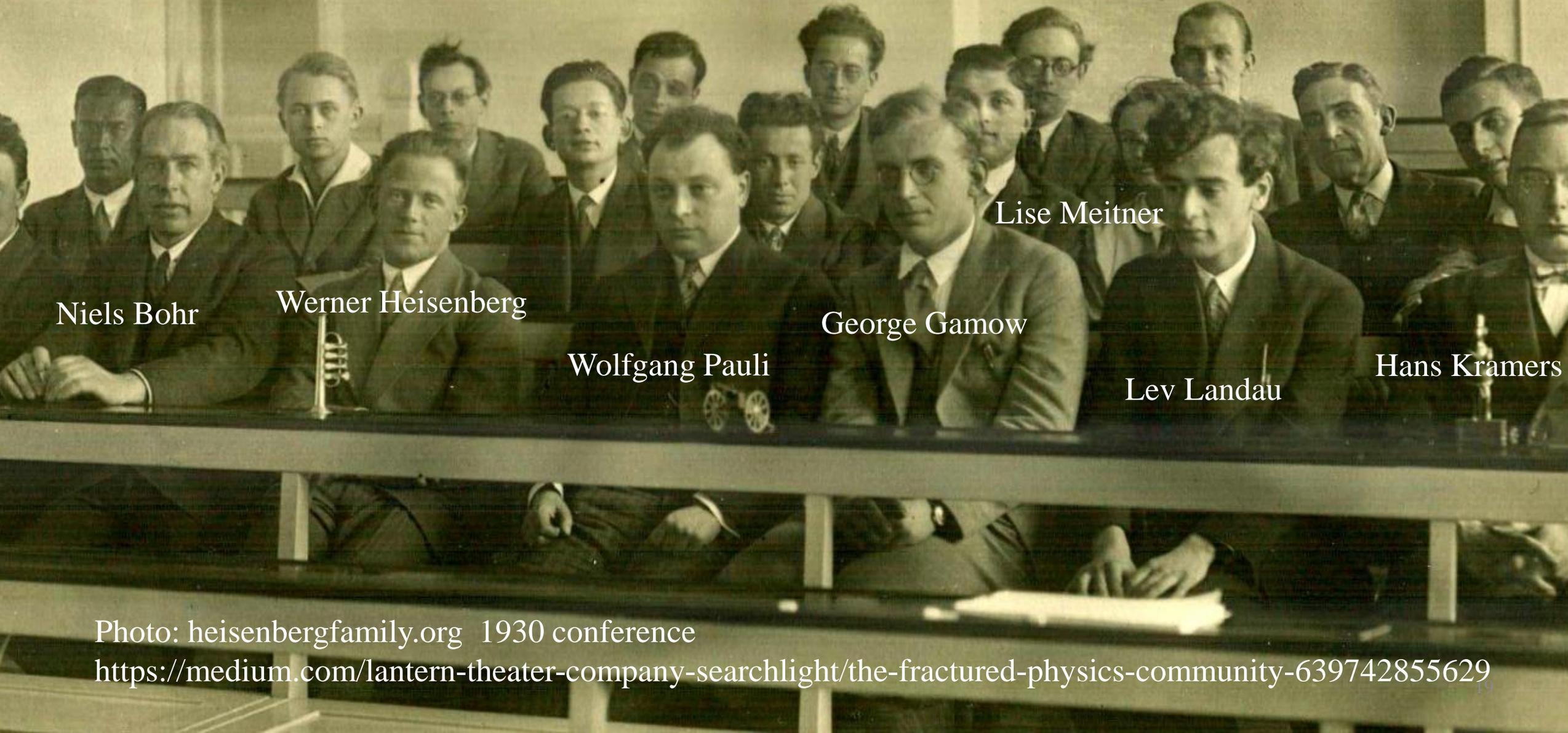
Cooling material

Maxwell relation: $\left(\frac{\partial S}{\partial B} \right)_T = \left(\frac{\partial M}{\partial T} \right)_B$

Active magnetic refrigeration



Chapter 3 Magnetism of Conduction Electrons



Niels Bohr

Werner Heisenberg

Wolfgang Pauli

George Gamow

Lise Meitner

Lev Landau

Hans Kramers

Photo: heisenbergfamily.org 1930 conference

<https://medium.com/lantern-theater-company-searchlight/the-fractured-physics-community-639742855629>

Chapter 3 Magnetism of Conduction Electrons

3.1 Pauli paramagnetism

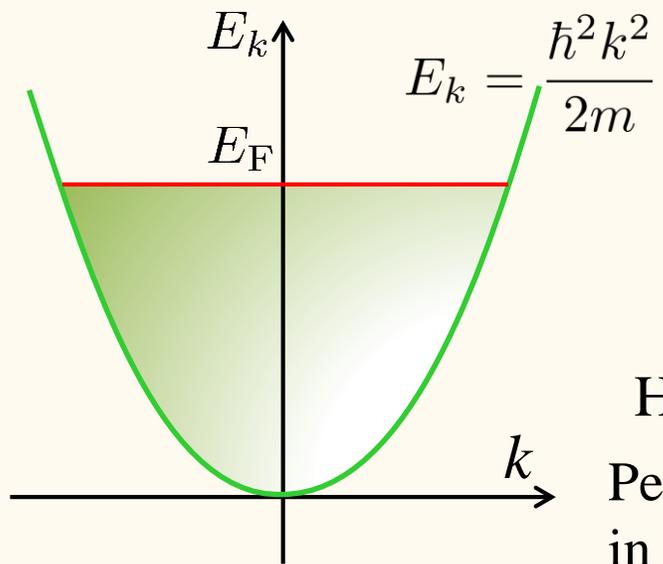
3.2 Landau diamagnetism

3.3 An example of orbital diamagnetism



<https://sci-toys.com/scitoys/scitoys/magnets/pyrolytic>

Spin paramagnetism in free electrons



Hamiltonian: kinetic energy + spin
spin variable:

$$\mathcal{H} = \sum_{\mathbf{k}\sigma} E_{\mathbf{k}} c_{\mathbf{k}\sigma}^\dagger c_{\mathbf{k}\sigma} + \frac{1}{2} g \mu_B B \sum_{\mathbf{k}\sigma} \sigma c_{\mathbf{k}\sigma}^\dagger c_{\mathbf{k}\sigma}$$

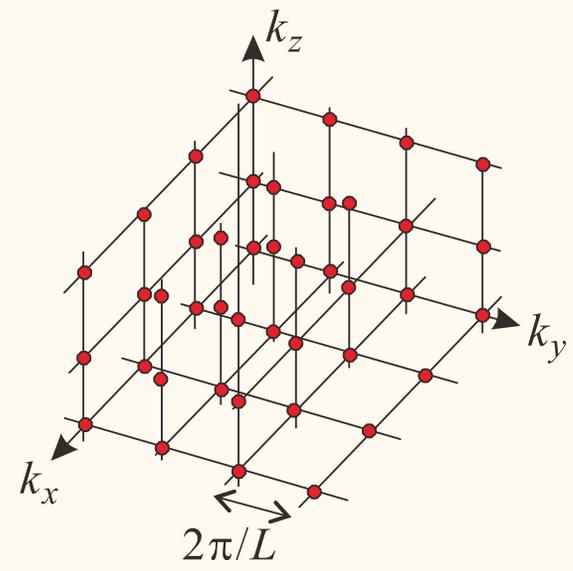
$$\sigma : (\uparrow, \downarrow) \rightarrow (1, -1)$$

How to count k in metals?
Periodic boundary condition in L -cube

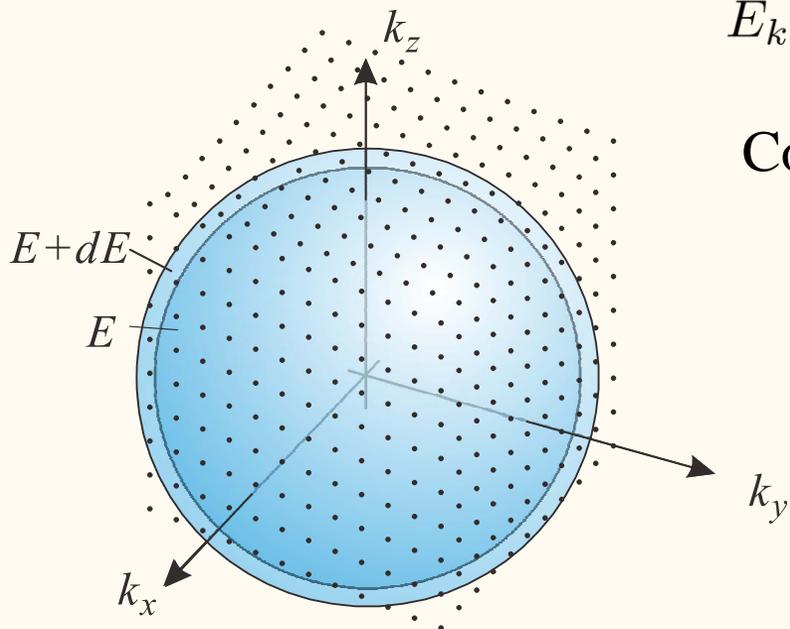
$$\mathbf{k} = \frac{2\pi}{L} (n_x, n_y, n_z) \quad (n_x, n_y, n_z : \text{integers})$$

$$E_{\mathbf{k}} = \frac{\hbar^2 k^2}{2m}$$

Constant E sphere radius: $k_E = \frac{\sqrt{2mE}}{\hbar}$



(a)



(b)

$$\begin{aligned} \rho(E) &= \frac{1}{L^3} \left(\frac{L}{2\pi} \right)^3 4\pi k_E^2 \frac{dk_E}{dE} \\ &= \frac{1}{\pi^2 \hbar^3} \sqrt{\frac{mE}{2}} \end{aligned}$$

Pauli paramagnetism

Expectation value of magnetic moment:
$$-\frac{g\mu_B}{2} \sum_{\mathbf{k}\sigma} \sigma \langle c_{\mathbf{k}\sigma}^\dagger c_{\mathbf{k}\sigma} \rangle = \frac{g\mu_B}{2} \sum_{\mathbf{k}} \left[f \left(E_{\mathbf{k}} - \frac{g\mu_B B}{2} \right) - f \left(E_{\mathbf{k}} + \frac{g\mu_B B}{2} \right) \right]$$

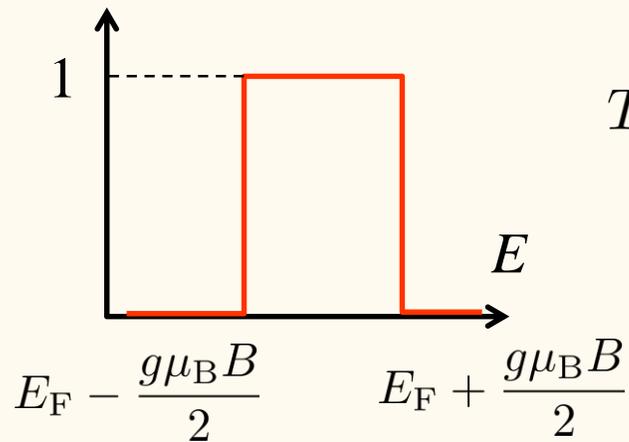
Fermi distribution function:
$$f(E) = \frac{1}{\exp[(E - \mu)/k_B T] + 1}$$

Chemical potential μ is determined from
$$N_e = \int_0^\infty dE \rho(E) \left[f \left(E_{\mathbf{k}} - \frac{g\mu_B B}{2} \right) + f \left(E_{\mathbf{k}} + \frac{g\mu_B B}{2} \right) \right]$$

 $\mu \rightarrow E_F$

Magnetization:
$$M = \frac{g\mu_B}{2} \int_0^\infty dE \rho(E) \left[f \left(E_{\mathbf{k}} - \frac{g\mu_B B}{2} \right) - f \left(E_{\mathbf{k}} + \frac{g\mu_B B}{2} \right) \right]$$

$T \rightarrow 0$



$$2 \left(\frac{g\mu_B B}{2} \right)$$

$\frac{\partial M}{\partial B} \rightarrow$

Pauli paramagnetic susceptibility

$$\chi_{\text{Pauli}} = \left(\frac{g\mu_B}{2} \right)^2 [2\rho(E_F)]$$

Landau quantization



Hamiltonian free electron +
magnetic field

$$\mathcal{H} = \frac{1}{2m} \sum_i (\mathbf{p}_i + e\mathbf{A})^2$$

Landau gauge:

$$\mathbf{A} = (0, Bx, 0) \quad \mathbf{B} = \text{rot}\mathbf{A} \\ = (0, 0, B)$$

Schrödinger
equation:

$$-\frac{\hbar^2}{2m} \left[\frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial z^2} + \left(\frac{\partial}{\partial y} - i\frac{eB}{\hbar}x \right)^2 \right] \psi = E\psi$$

Homogeneous for y and z

→ Functional form assumption: $\psi = \exp[i(k_y y + k_z z)]u(x)$

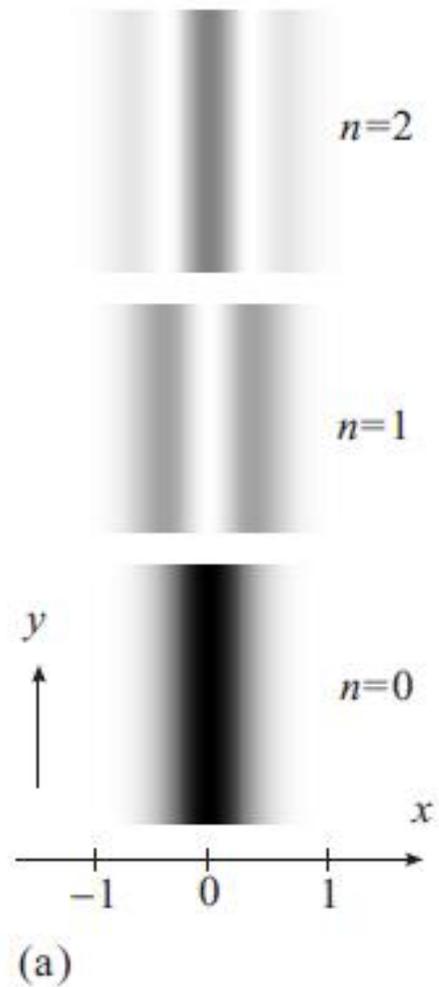
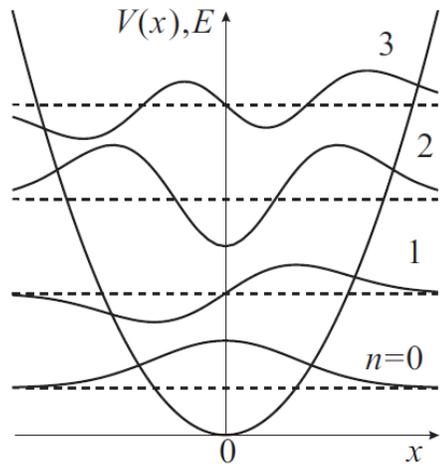
Differential equation for x

$$-\frac{\hbar^2}{2m} \left[\frac{d^2 u}{dx^2} + \left(k_y - \frac{eB}{\hbar}x \right)^2 u \right] = \left(E - \frac{\hbar^2 k_z^2}{2m} \right) u$$

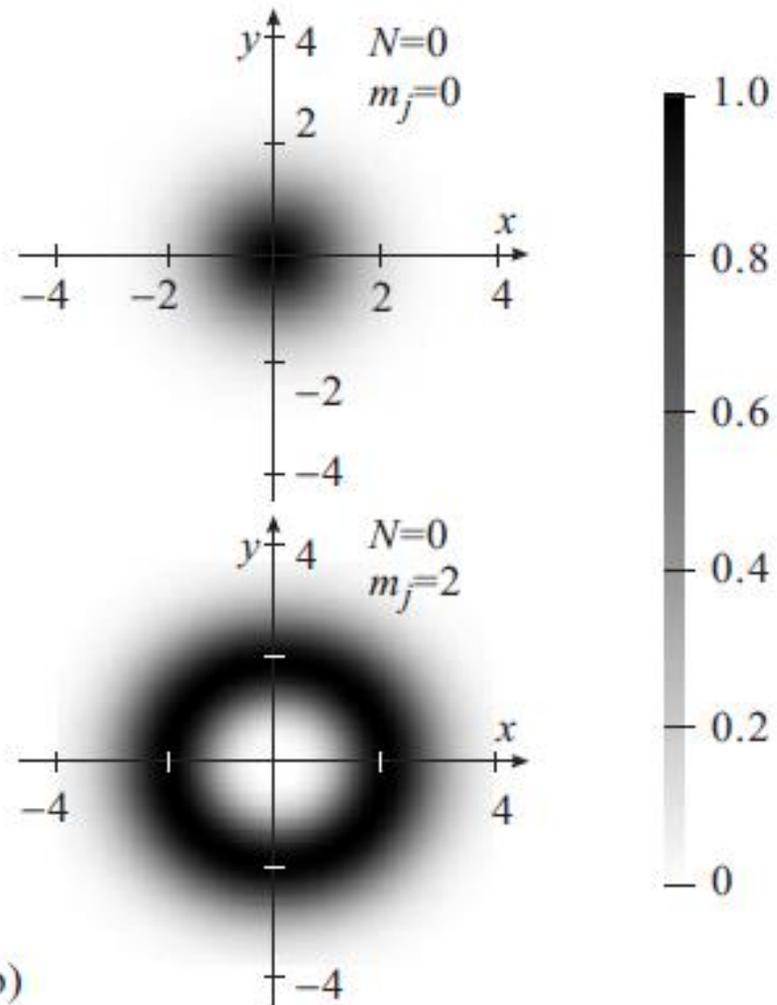
Harmonic oscillator at $x_c = \frac{\hbar k_y}{eB}$ $\frac{m\omega_c^2}{2} = \frac{(eB)^2}{2m} \quad \therefore \omega_c = \frac{eB}{m}$: Cyclotron frequency

Landau quantization $E(n, k_z) = \frac{\hbar^2 k_z^2}{2m} + \left(n + \frac{1}{2} \right) \hbar\omega_c = \frac{\hbar^2 k_z^2}{2m} + (2n + 1)\mu_B B \quad (n = 0, 1, 2, \dots)$

Landau quantization: forms of wavefunctions



Diagonalize X



Diagonalize $X^2 + Y^2$ ← Symmetric gauge

$$\mathbf{A} = \mathbf{B} \times \mathbf{r}/2$$

Orbital diamagnetism

How to count density of states?

Periodic boundary condition in a cube with side length L

$$\text{z-direction} \quad k_z = \frac{2\pi}{L}n_z \quad (n_z = 0, \pm 1, \dots) \quad E_z = \frac{\hbar^2 k_z^2}{2m} \quad \text{Number of } k_z \text{ below } E_z \quad \frac{2L\sqrt{2mE_z}}{h}$$

$$\text{y-direction} \quad k_y = \frac{2\pi}{L}n_y \quad (n_y = 0, \pm 1, \dots)$$

$$\text{x-direction} \quad -\frac{L}{2} \leq x_c \leq \frac{L}{2} \quad -\frac{L}{2} \leq \frac{\hbar}{eB}k_y = \frac{\hbar}{eB} \frac{2\pi}{L}n_y \leq \frac{L}{2} \quad \therefore |n_y| \leq \frac{eBL^2}{4\pi\hbar}$$

Landau level degeneracy (in xy-plane) is $\frac{eBL^2}{h}$

the number of states below the total energy: $\Omega(E) = \frac{L^3}{h^2} \sqrt{8meB} \sum_{n=0}^{n_{\max}} \sqrt{E - (2n+1)\mu_B B} \quad n_{\max} = \text{int} \left(\frac{E - \mu_B B}{2} \right)$

Free energy: $F = N\mu - 2k_B T \int \frac{d\Omega}{dE} \ln\{1 + \exp[-(E - \mu)/k_B T]\} dE$

Partial integration

$$\begin{aligned}
 \int \frac{d\Omega}{dE} \ln\{1 + \exp[-(E - \mu)/k_B T]\} dE &= - \int \Omega(E) \left(-\frac{1}{k_B T} \right) \frac{\exp[-(E - \mu)/k_B T]}{1 + \exp[-(E - \mu)/k_B T]} dE \\
 &= \frac{1}{k_B T} \int \left[\int \Omega(E) dE \right] \frac{d}{dE} \frac{1}{1 + \exp[(E - \mu)/k_B T]} dE \\
 &= \frac{1}{k_B T} \frac{2\sqrt{8m}}{3} \frac{eBL^3}{h^2} \int \sum_{n=0}^{n_{\max}} [E - (2n + 1)\mu_B B]^{3/2} \frac{d}{dE} \frac{1}{1 + \exp[(E - \mu)/k_B T]} dE
 \end{aligned}$$

$$F = N_e \mu - A \int \phi(E) \frac{d}{dE} \frac{1}{1 + \exp[(E - \mu)/k_B T]} dE$$

$$\left\{ \begin{aligned}
 A &= \frac{16L^3}{3\pi^2 \hbar^3} m^{3/2} (\mu_B B)^{5/2}, \\
 \phi(E) &= \sum_{n=0}^{n_{\max}} \left[\frac{E}{2\mu_B B} - \left(n + \frac{1}{2} \right) \right]^{3/2} \\
 \mu_B &= \frac{e\hbar}{2m}
 \end{aligned} \right.$$

$$T \rightarrow 0 \quad F = N_e E_F - A \phi(E_F)$$

Orbital diamagnetism (3)

To calculate $\phi(E) = \sum_{n=0}^{n_{\max}} \left[\frac{E}{2\mu_B B} - \left(n + \frac{1}{2} \right) \right]^{3/2}$

We use an asymptotic expansion $x \gg 1 \quad \sum_{n=0}^{n_{\max}} \left[x - \left(n + \frac{1}{2} \right) \right]^{3/2} \approx \frac{2}{5} x^{5/2} - \frac{1}{16} x^{1/2} + \dots$

which can be obtained by applying Euler-Maclaurin formula to $F(y) = (x - y)^{3/2}$

$$\sum_{n=0}^{n_0} F(n + 1/2) \approx \int_0^{n_0+1} dy F(y) - \frac{1}{24} [F'(n_0 + 1) - F'(0)] \approx \frac{2}{5} x^{5/2} - \frac{1}{16} x^{1/2}$$

The free energy: $F = \text{const.} - \frac{L^3}{3} \rho(E_F) (\mu_B B)^2 + \dots$

Landau orbital diamagnetism: $\chi_{\text{Landau}} = -\frac{2}{3} \rho(E_F) \mu_B^2$

Total susceptibility of free electrons: $\chi = \chi_{\text{Pauli}} + \chi_{\text{Landau}} = \frac{4}{3} \rho(E_F) \mu_B^2$

Summary

- Magnetic resonance (continued)
- Spin Hamiltonian
- Example of analyzing experimental data on electron paramagnetic resonance
- Application of paramagnetism: magnetic refrigeration

Chapter 3 Magnetism of conduction electrons

- Pauli paramagnetism
- Landau diamagnetism

2022.5.18 Lecture 6

10:25 – 11:55

Lecture on Magnetic Properties of Materials

磁性 (Magnetism)

Institute for Solid State Physics, University of Tokyo

Shingo Katsumoto

- Magnetic resonance (continued)
- Spin Hamiltonian
- Example of analyzing experimental data on electron paramagnetic resonance
- Application of paramagnetism: magnetic refrigeration

Chapter 3 Magnetism of conduction electrons

- Pauli paramagnetism
- Landau quantization (→ diamagnetism)

1. Landau diamagnetism
2. de Haas-van Alphen effect
3. Orbital diamagnetism of graphene, graphite

Chapter 4 Interaction between spins

1. Exchange interaction from Heitler-London approximation

Landau quantization

Hamiltonian free electron +
magnetic field

$$\mathcal{H} = \frac{1}{2m} \sum_i (\mathbf{p}_i + e\mathbf{A})^2$$

Landau gauge:

$$\mathbf{A} = (0, Bx, 0) \quad \mathbf{B} = \text{rot}\mathbf{A} = (0, 0, B)$$

Schrödinger
equation:

$$-\frac{\hbar^2}{2m} \left[\frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial z^2} + \left(\frac{\partial}{\partial y} - i\frac{eB}{\hbar}x \right)^2 \right] \psi = E\psi$$

Homogeneous for y and z

→ Functional form assumption: $\psi = \exp[i(k_y y + k_z z)]u(x)$

Differential equation for x

$$-\frac{\hbar^2}{2m} \left[\frac{d^2 u}{dx^2} + \left(k_y - \frac{eB}{\hbar}x \right)^2 u \right] = \left(E - \frac{\hbar^2 k_z^2}{2m} \right) u$$

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Orbital diamagnetism

How to count density of states?

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$$\text{the number of states below the total energy: } \Omega(E) = \frac{L^3}{h^2} \sqrt{8meB} \sum_{n=0}^{n_{\max}} \sqrt{E - (2n+1)\mu_B B} \quad n_{\max} = \text{int} \left(\frac{E - \mu_B B}{2} \right)$$

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Partial integration

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 &= \frac{1}{k_B T} \frac{2\sqrt{8m}}{3} \frac{eBL^3}{h^2} \int \sum_{n=0}^{n_{\max}} [E - (2n + 1)\mu_B B]^{3/2} \frac{d}{dE} \frac{1}{1 + \exp[(E - \mu)/k_B T]} dE
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 \phi(E) &= \sum_{n=0}^{n_{\max}} \left[\frac{E}{2\mu_B B} - \left(n + \frac{1}{2} \right) \right]^{3/2} \\
 \mu_B &= \frac{e\hbar}{2m}
 \end{aligned} \right.$$

$$B \rightarrow 0 \quad F = N_e E_F - A \phi(E_F)$$

Orbital diamagnetism (3)

To calculate $\phi(E) = \sum_{n=0}^{n_{\max}} \left[\frac{E}{2\mu_B B} - \left(n + \frac{1}{2} \right) \right]^{3/2}$

We use an asymptotic expansion $x \gg 1$ $\sum_{n=0}^{n_{\max}} \left[x - \left(n + \frac{1}{2} \right) \right]^{3/2} \approx \frac{2}{5} x^{5/2} - \frac{1}{16} x^{1/2} + \dots$

which can be obtained by applying Euler-Maclaurin formula to $F(y) = (x - y)^{3/2}$

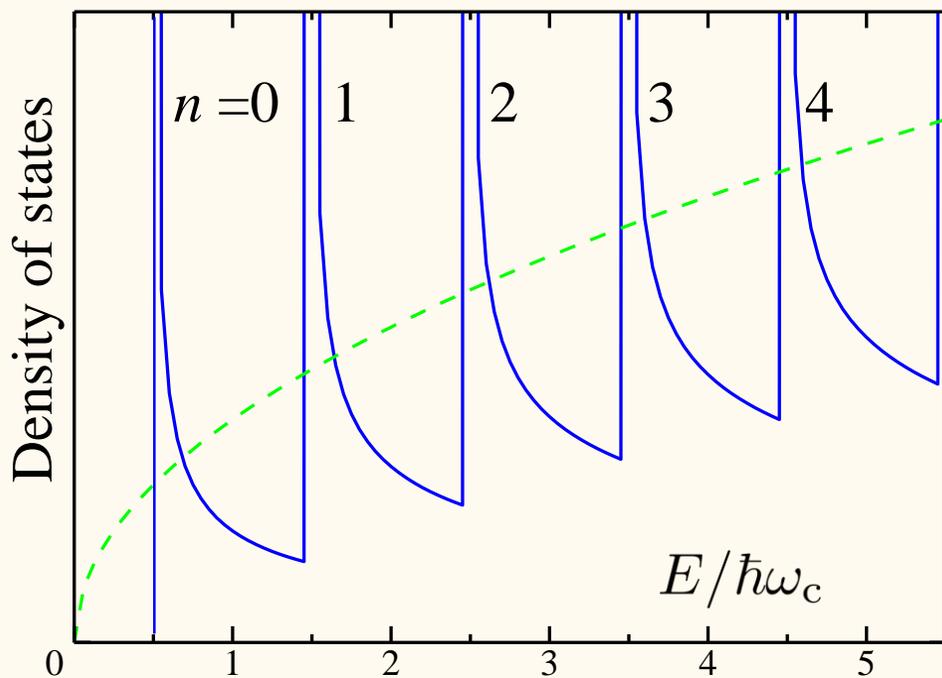
$$\sum_{n=0}^{n_0} F(n + 1/2) \approx \int_0^{n_0+1} dy F(y) - \frac{1}{24} [F'(n_0 + 1) - F'(0)] \approx \frac{2}{5} x^{5/2} - \frac{1}{16} x^{1/2}$$

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Landau orbital diamagnetism: $\chi_{\text{Landau}} = -\frac{2}{3} \rho(E_F) \mu_B^2$

Total susceptibility of free electrons: $\chi = \chi_{\text{Pauli}} + \chi_{\text{Landau}} = \frac{4}{3} \rho(E_F) \mu_B^2$

de Haas-van Alphen effect: orbital magnetization at high magnetic field



Free energy expression

$$\frac{F}{n_e} = \mu - \frac{\hbar\omega_c}{E_F^{3/2}} \int_0^\infty dE \sum_{n=0} \left[E - \left(n + \frac{1}{2} \right) \hbar\omega_c \right]^{3/2} \left(-\frac{\partial f}{\partial E} \right)$$

$$n_e = N_e/L^3$$

Rapid change in the free energy at $(n + 1/2)\hbar\omega_c \approx E_F$

Motion in z-direction: Density of states in one-dimensional system

$$E_k = \frac{\hbar^2 k^2}{2m}, \quad \rho_{1d}(E) = \frac{1}{L} \frac{L}{2\pi} \left(\frac{\hbar^2 k}{m} \right)^{-1} = \frac{1}{2\pi\hbar} \sqrt{\frac{m}{2E}}$$

Then the density of states is given by

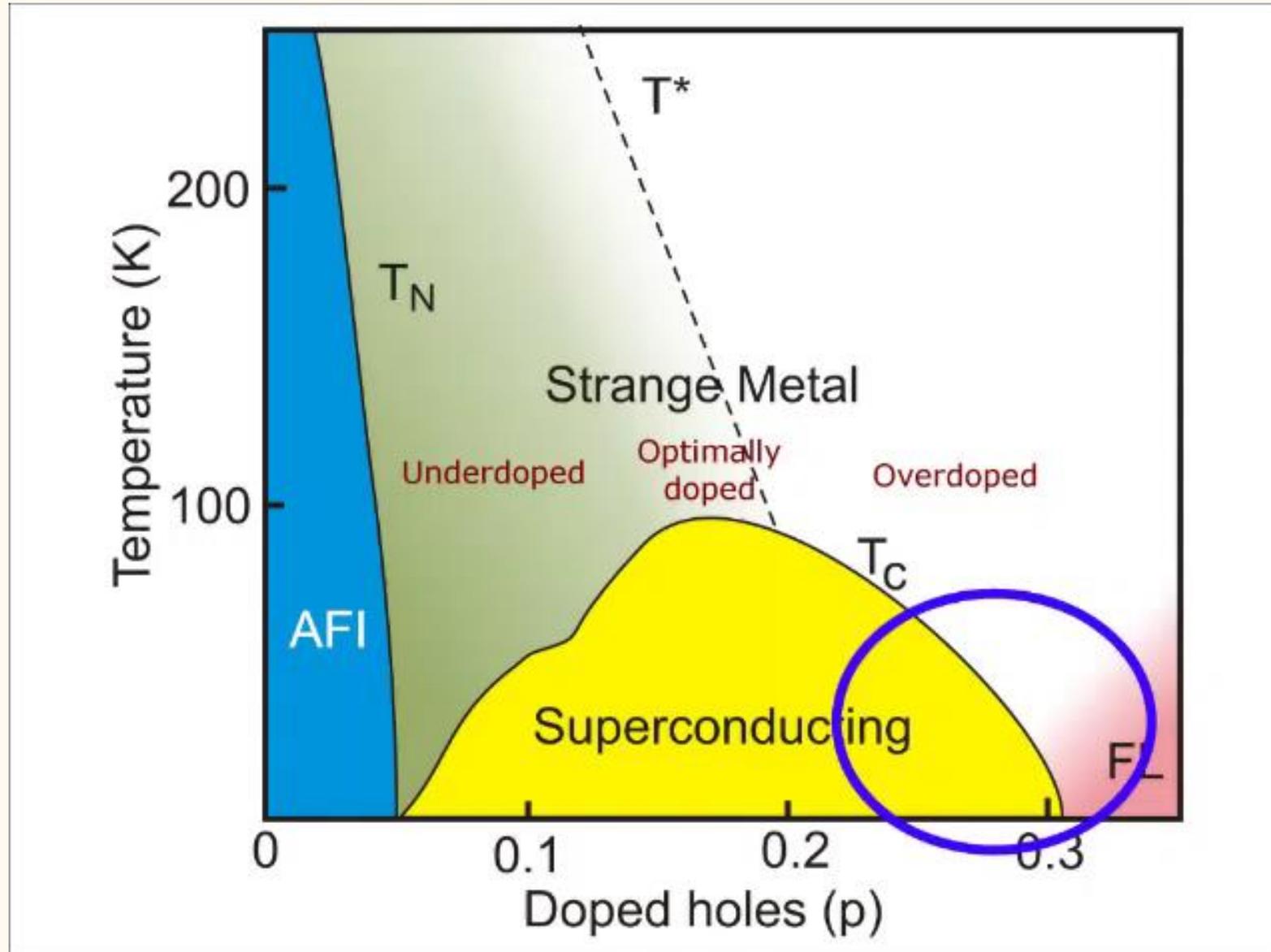
$$\rho(E) = \frac{1}{2\pi\hbar} \sqrt{\frac{m}{2}} \sum_{n=0} \frac{1}{\sqrt{(E - (n + 1/2)\hbar\omega_c)}}$$

Magnetization formula for a spherical Fermi surface

$$M = \frac{e}{4\pi^3} \sum_p \frac{(-1)^p}{p} \int_{-k_F}^{k_F} dk_z \cdot E'_F \sin \left[\frac{p\pi}{\hbar\omega_c} \left(E_F - \frac{\hbar^2 k_z^2}{2m} \right) \right]$$

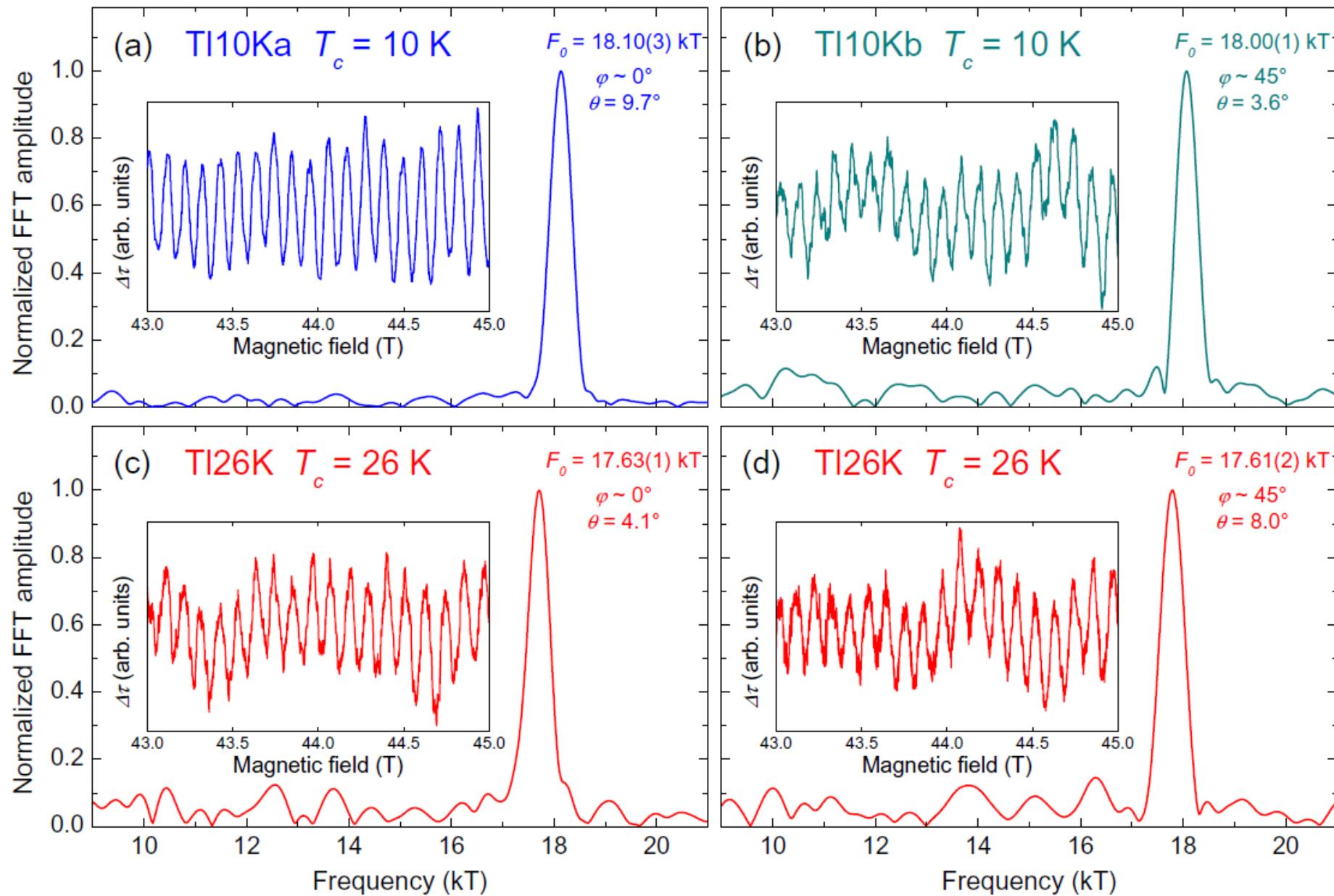
$E'_F = E_F - \frac{\hbar^2 k_z^2}{2m}$ varies slowly compared with the rapidly oscillating sine term other than at around $k_z = 0$

de Haas-van Alphen effect in $Tl_2Ba_2CuO_{6+\delta}$



Rourke et al., New J. Phys.
12, 105009 (2010).

Experimental data on $Tl_2Ba_2CuO_{6+\delta}$



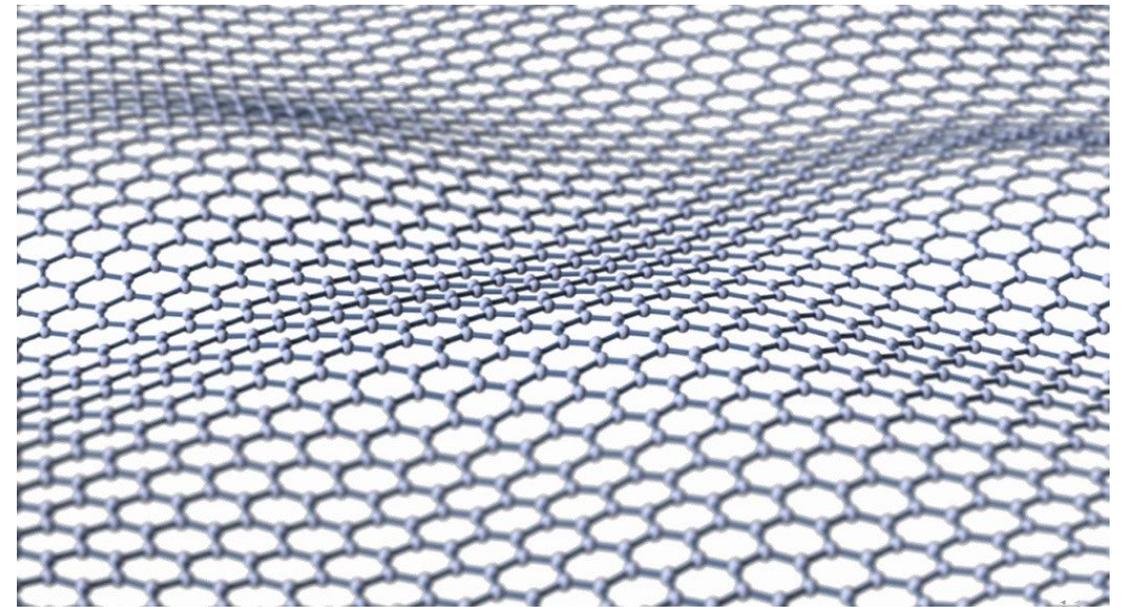
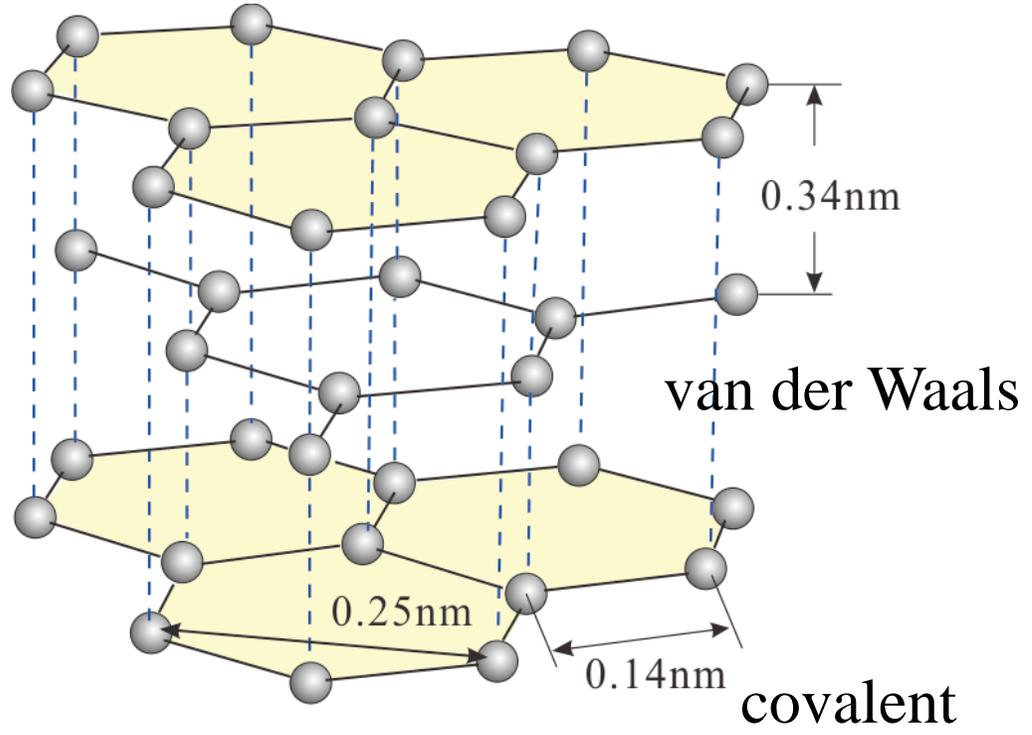
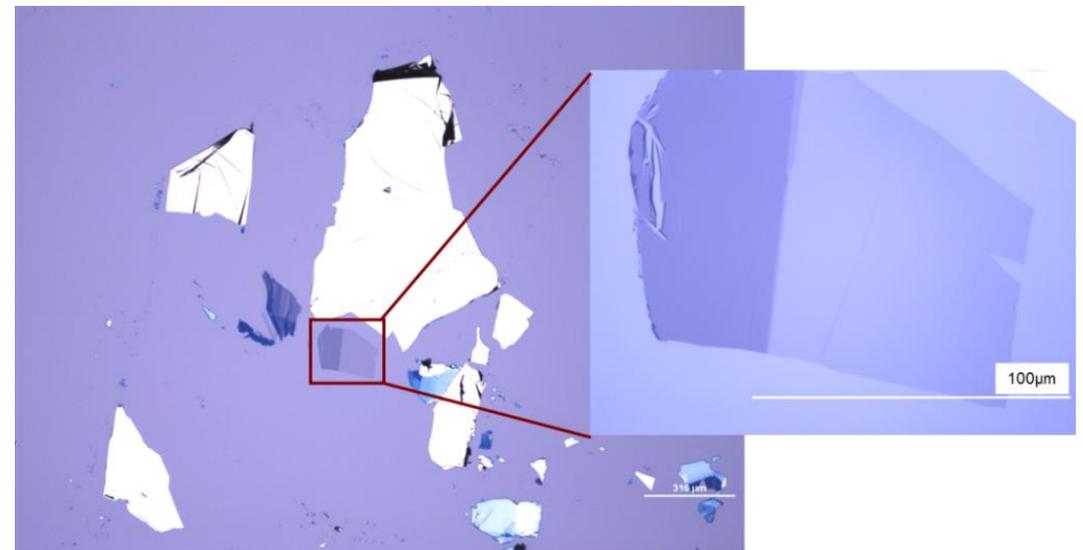
Torque measurement to detect the oscillations in magnetization

Graphite and Graphene

Graphite

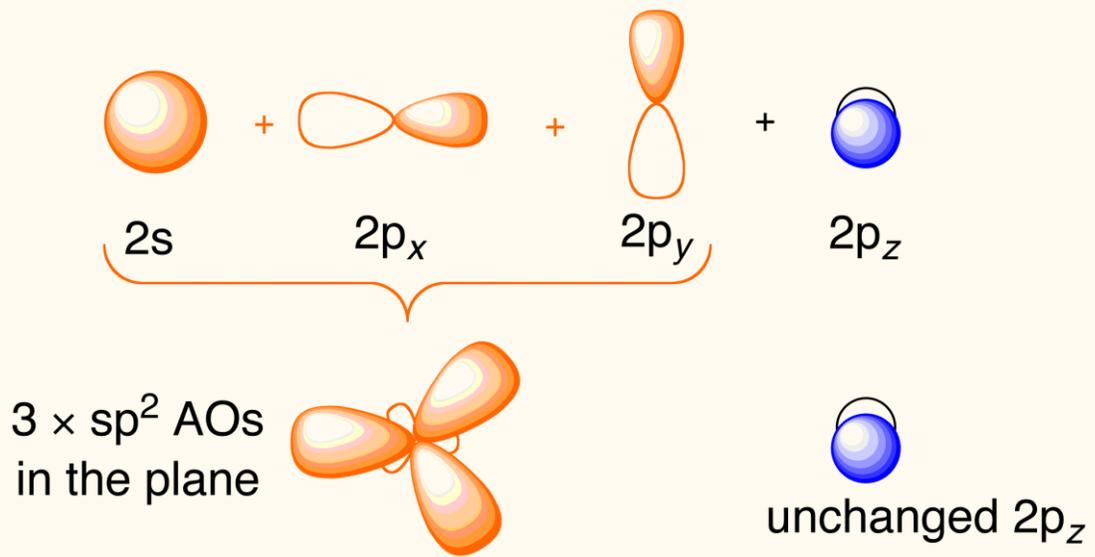


Graphene

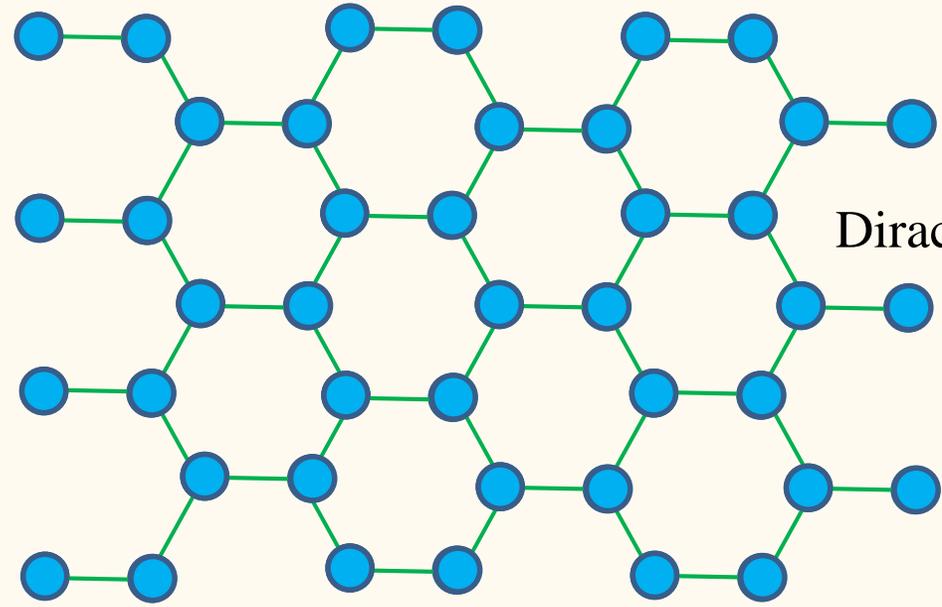


Graphene lattice structure and a simple thought on the band structure

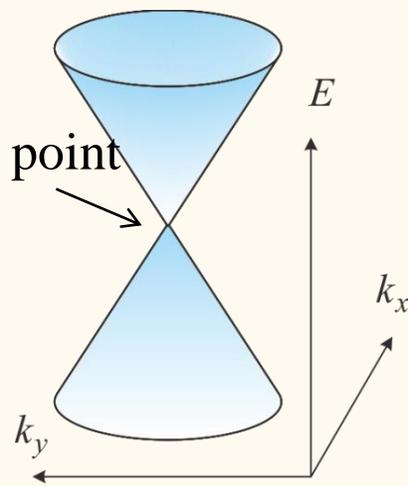
Atomic orbitals



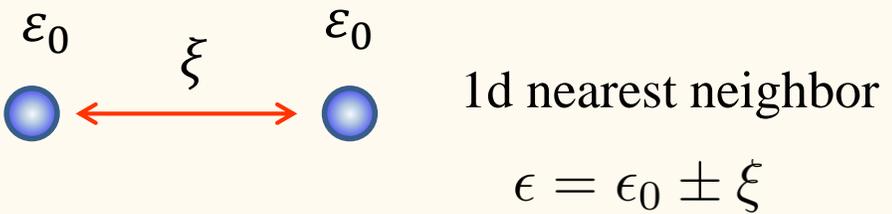
Honeycomb lattice



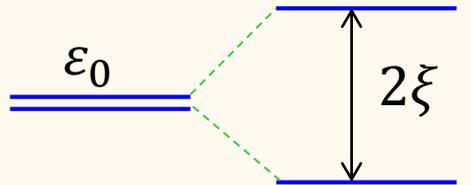
Dirac cone



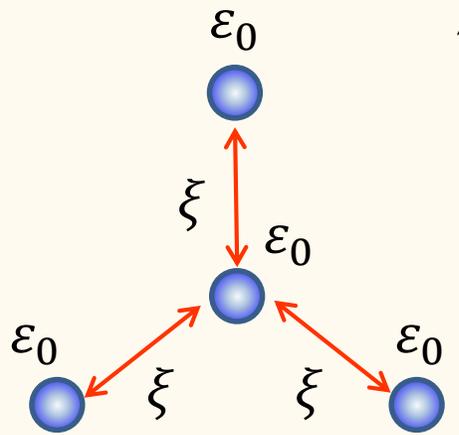
Simple thought on band gap opening



$$\mathcal{H} = \begin{pmatrix} \epsilon_0 & \xi \\ \xi & \epsilon_0 \end{pmatrix}$$

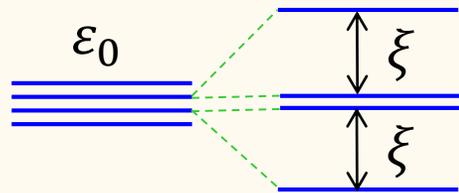


Triangular neighborhood



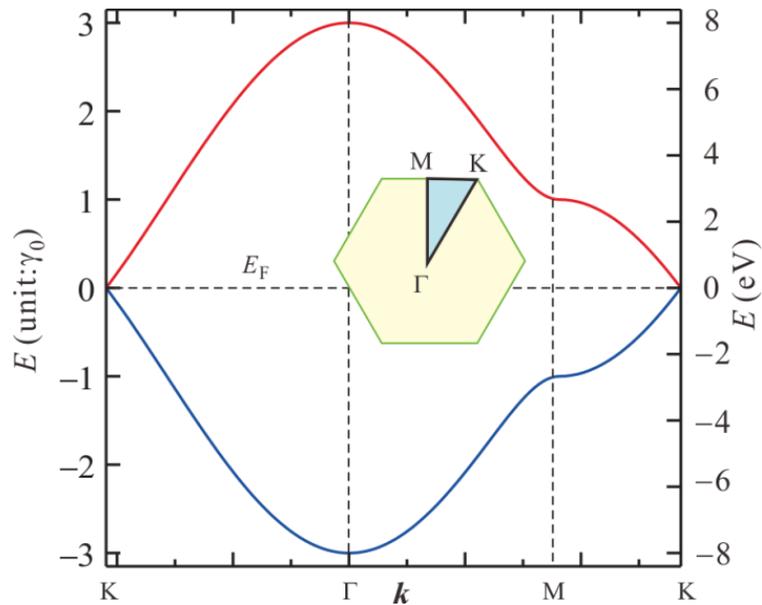
$$\mathcal{H} = \begin{pmatrix} \epsilon_0 & \xi & \xi & \xi \\ \xi & \epsilon_0 & 0 & 0 \\ \xi & 0 & \epsilon_0 & 0 \\ \xi & 0 & 0 & \epsilon_0 \end{pmatrix}$$

$$\epsilon = \epsilon_0 (\times 2), \quad \epsilon_0 \pm \xi$$

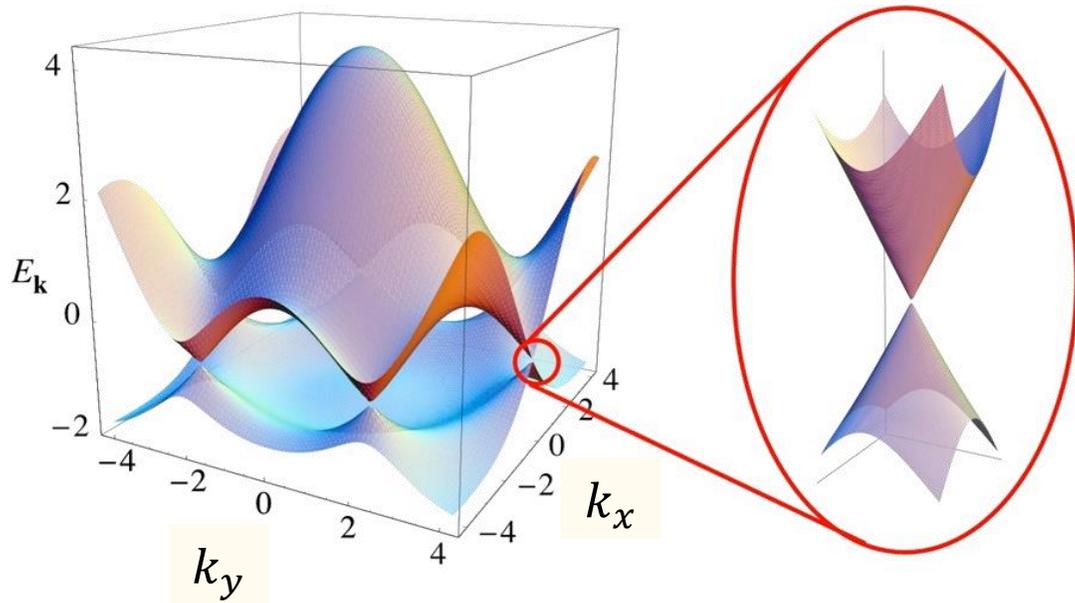


Degeneracy remains

Graphene band structure: Dirac points in k -space



A Dirac point

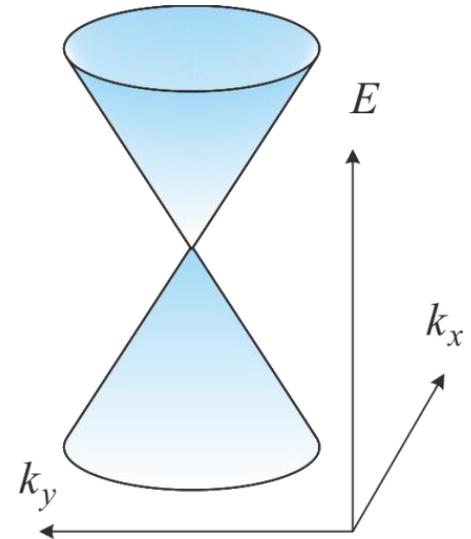


$$E = h_{AA} \pm \xi \sqrt{1 + 4 \cos \frac{\sqrt{3}k_x a}{2} \cos \frac{k_y a}{2} + 4 \cos^2 \frac{k_y a}{2}}$$

$$k_x = 0$$

$$E = h_{AA} \pm \xi \left| 1 + 2 \cos \frac{k_y a}{2} \right|$$

$$E \left(k_x, \frac{4\pi}{3a} \right) \approx h_{AA} + \frac{\sqrt{3}\xi a}{2} |k_x|$$



Graphene magnetic susceptibility

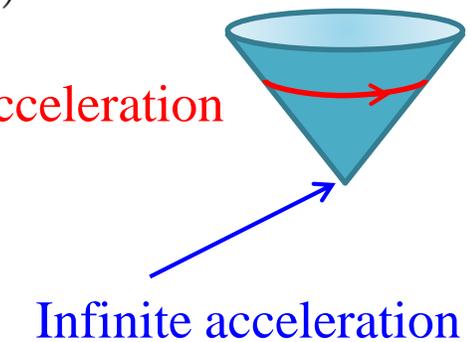
$$\chi(E_F) = -\frac{g_v g_s e^2}{6\pi} \left(\frac{e}{c} \right)^2 \delta(E_F)$$

Why this happens?

Remember classical diamagnetism

$$\frac{dL}{dt} = r \times (-eE) = e \frac{r^2}{2} \frac{dB}{dt}$$

No acceleration



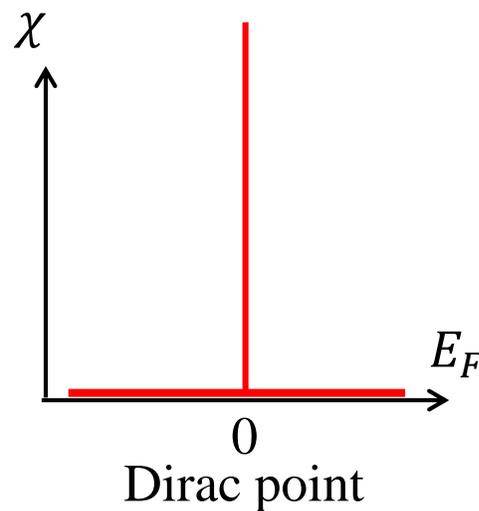
Infinite acceleration

Measurement of graphene diamagnetic susceptibility

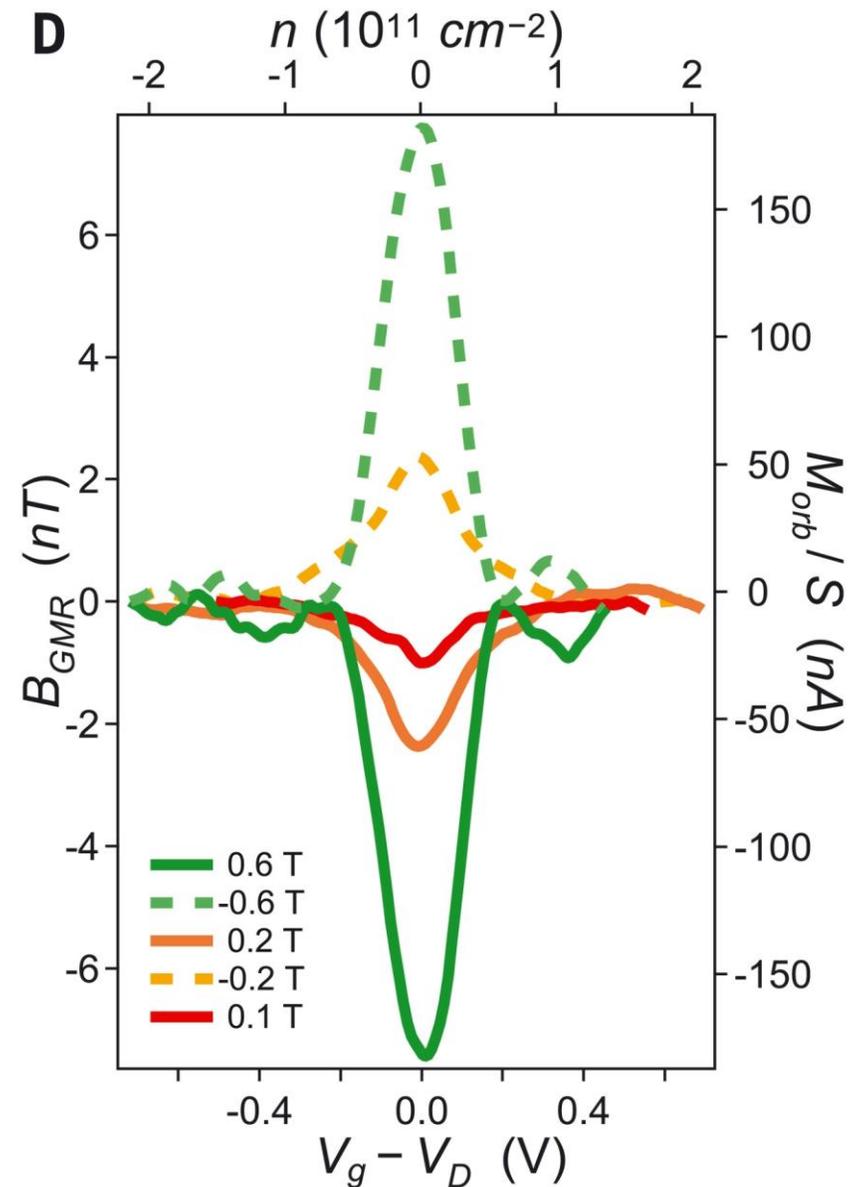
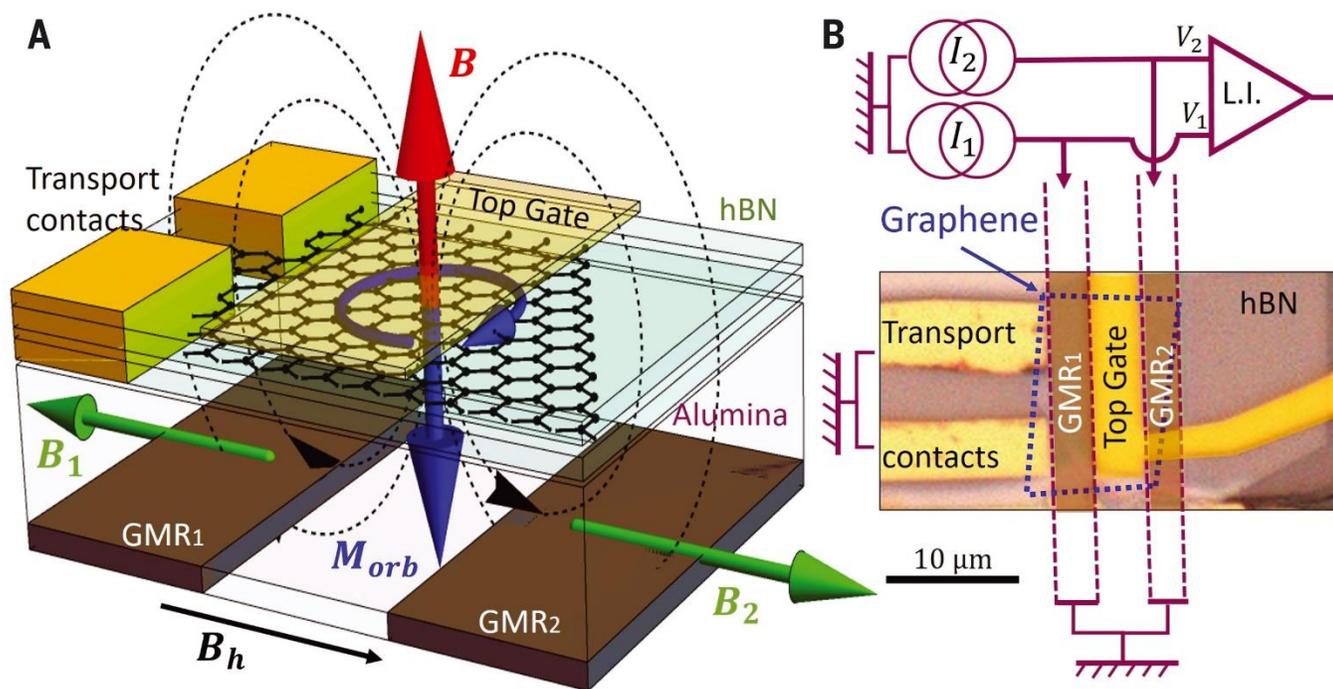
Graphene magnetic susceptibility

$$\chi(E_F) = -\frac{g_v g_s e^2}{6\pi} \left(\frac{e}{c}\right)^2 \delta(E_F)$$

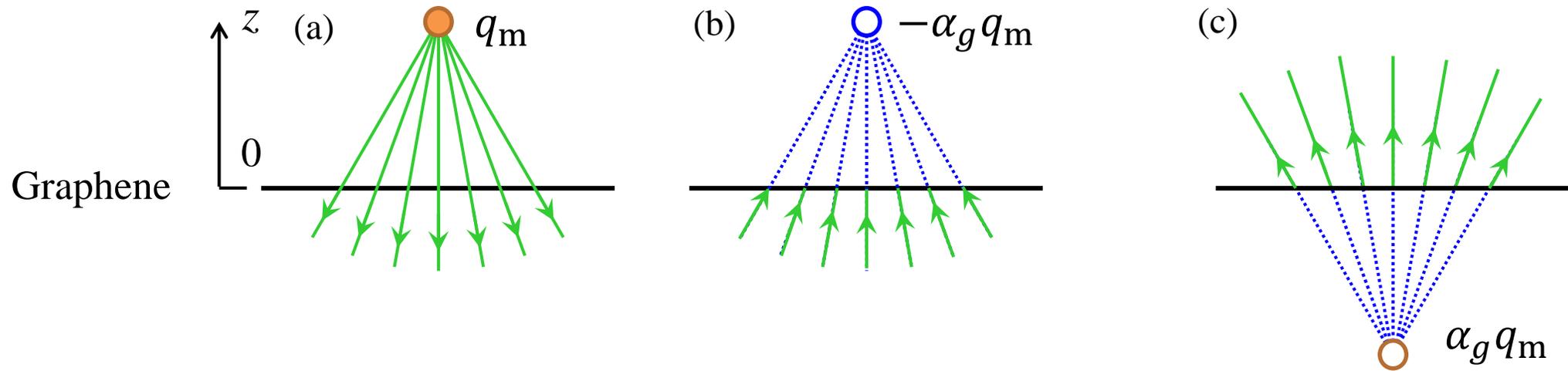
McClure, Phys. Rev. **104**, 666 (1956).



Bustamante et al., Science **374**, 6573 (2011).



Magnetic field screening, repulsion in graphene



Magnetic charge magnetic force line

Virtual charge to express induction field in the region $z < 0$.

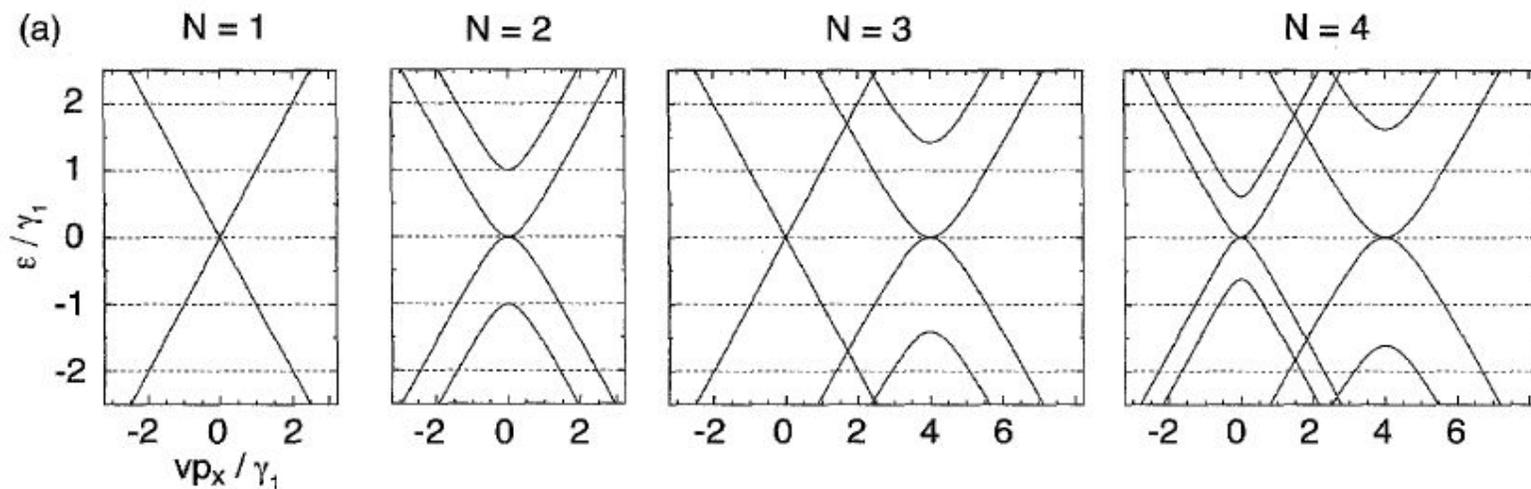
Mirror charge to express induction field in the region $z > 0$.

$B(\mathbf{r}) = B(q) \cos qx$
Magnetic field induced current and magnetization
 $j_y = -c \frac{\partial m}{\partial x} \rightarrow m(\mathbf{r}) = m(q) \cos qx$
 $m(q) = -\frac{j_y(q)}{cq}$

$$j_y(\mathbf{r}) = -\frac{g_v g_s e^2 v}{16 \hbar c} B(q) \sin qx$$

$$B_{\text{ind}}(\mathbf{r}) = -\alpha_g B(\mathbf{r}), \quad \alpha_g = \frac{2\pi g_v g_s e^2 v}{16 \hbar c^2} \approx 4 \times 10^{-5} \quad \sigma_m \sim 1 \text{ T} \quad 0.16 \text{ g/cm}^2$$

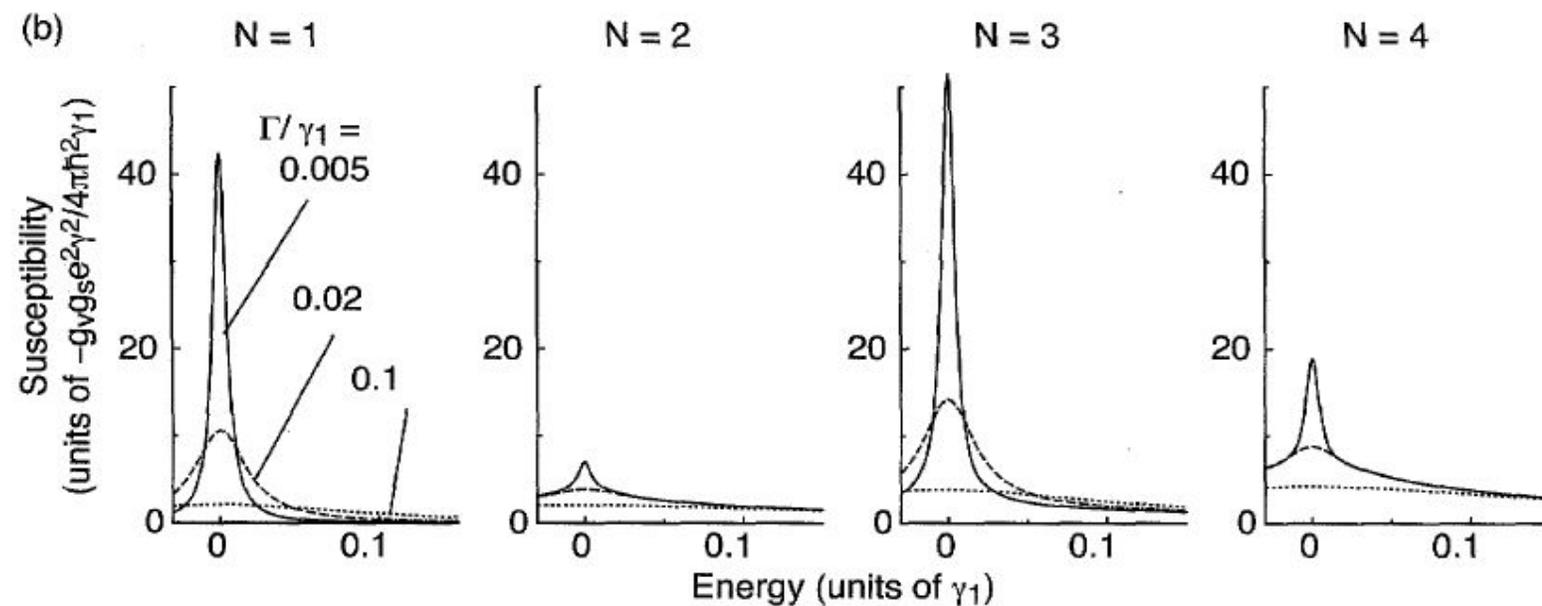
Multi-layer graphene



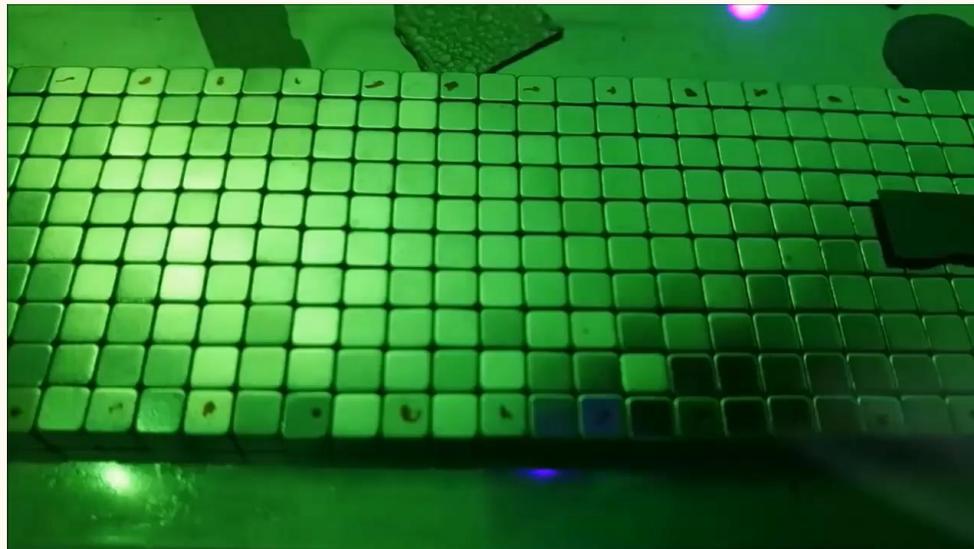
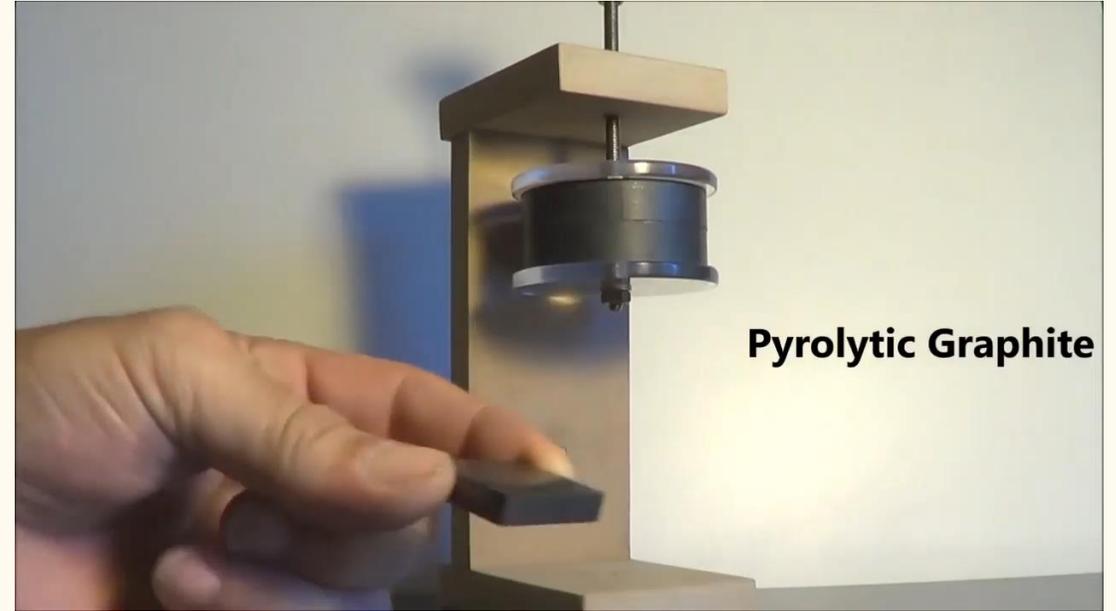
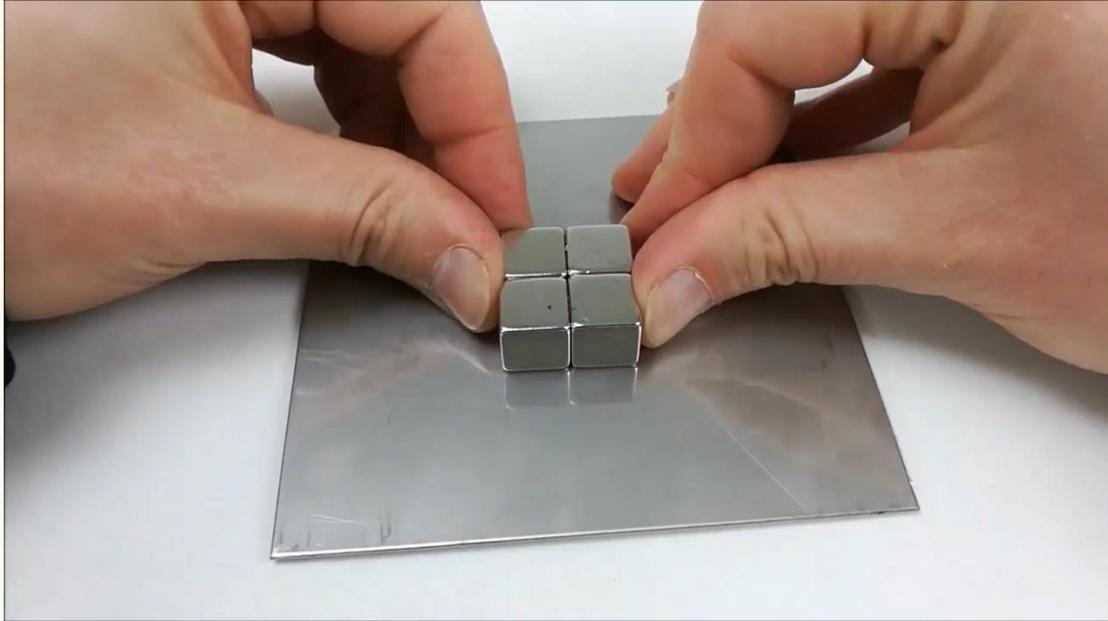
$N = 2M + 1$ layers

1: Dirac point

M : zero gap + gapped



Magnetic levitation of graphite



Chapter 4

Interaction between Spins

Chiririn Goma

小泉製作所

Exchange interaction

Classical dipole interaction:
$$U(\boldsymbol{\mu}_1, \boldsymbol{\mu}_2, \mathbf{r}_{12}) = \frac{\mu_0}{4\pi} \left[\frac{\boldsymbol{\mu}_1 \cdot \boldsymbol{\mu}_2}{r_{12}^3} - 3 \frac{(\mathbf{r}_1 \cdot \mathbf{r}_{12})(\mathbf{r}_2 \cdot \mathbf{r}_{12})}{r_{12}^5} \right]$$

This cannot explain ferromagnetism $\mu_1 = \mu_2 = 5\mu_B, r_{12} = 0.2 \text{ nm} \rightarrow U \sim 2 \text{ K}$

Quantum mechanical origin of spin-spin interaction: **Symmetry of wavefunction**

Fermion wavefunction is anti-symmetric: (Orbital part: anti-symmetric) \rightarrow (Spin part: Symmetric)

If the anti-symmetric orbital part is energetically favorable, this should work as ferromagnetic coupling.

Heitler-London approximation: A two-atom system without hopping

Atomic orbitals: φ_a, φ_b Spin states: χ_a, χ_b

Wavefunction Slater determinant

Spin up (α): $\chi(1/2) = 1, \quad \chi(-1/2) = 0$

Spin down (β): $\chi(1/2) = 0, \quad \chi(-1/2) = 1$

$$\Psi = \frac{1}{\sqrt{N}} \begin{vmatrix} \varphi_a(\mathbf{r}_1)\chi_a(s_1) & \varphi_b(\mathbf{r}_1)\chi_b(s_1) \\ \varphi_a(\mathbf{r}_2)\chi_a(s_2) & \varphi_b(\mathbf{r}_2)\chi_b(s_2) \end{vmatrix}$$

Heitler-London approximation

Pauli exclusion: $\Psi(\mathbf{r}_1, s_1; \mathbf{r}_1, s_1) = 0, \quad \Psi(\mathbf{r}_1, s_1; \mathbf{r}_2, s_2) = -\Psi(\mathbf{r}_2, s_2; \mathbf{r}_1, s_1)$

Basis: $\{\Psi_{\alpha\alpha}, \Psi_{\alpha\beta}, \Psi_{\beta\alpha}, \Psi_{\beta\beta}\}$

Example of interaction
Hamiltonian calculation:

$$\begin{aligned} \langle \alpha\alpha | \mathcal{H}_{\text{int}} | \alpha\alpha \rangle &= \sum_{s_1, s_2} \int d\mathbf{r}_1 d\mathbf{r}_2 \Psi_{\alpha\alpha}^* \mathcal{H}_{\text{int}} \Psi_{\alpha\alpha} \\ &= \int d\mathbf{r}_1 d\mathbf{r}_2 \underbrace{\varphi_a^*(\mathbf{r}_1) \varphi_b^*(\mathbf{r}_2) \mathcal{H}_{\text{int}} \varphi_a(\mathbf{r}_1) \varphi_b(\mathbf{r}_2)}_{K_{ab}} \\ &\quad - \int d\mathbf{r}_1 d\mathbf{r}_2 \underbrace{\varphi_a^*(\mathbf{r}_1) \varphi_b^*(\mathbf{r}_2) \mathcal{H}_{\text{int}} \varphi_b(\mathbf{r}_1) \varphi_a(\mathbf{r}_2)}_{J_{ab}} \end{aligned}$$

Matrix elements:

	$\alpha\alpha$	$\alpha\beta$	$\beta\alpha$	$\beta\beta$
$\alpha\alpha$	$K_{ab} - J_{ab}$	0	0	0
$\alpha\beta$	0	K_{ab}	$-J_{ab}$	0
$\beta\alpha$	0	$-J_{ab}$	K_{ab}	0
$\beta\beta$	0	0	0	$K_{ab} - J_{ab}$

J_{ab}
Exchange integral

Spin Hamiltonian

$$\text{Eigenstates: } \left. \begin{array}{l} \Psi_{\alpha\alpha} \\ \frac{1}{\sqrt{2}}(\Psi_{\alpha\beta} + \Psi_{\beta\alpha}) \\ \Psi_{\beta\beta} \end{array} \right\} (s_1 + s_2 = 1), \quad \frac{1}{\sqrt{2}}(\Psi_{\alpha\beta} - \Psi_{\beta\alpha}) (s_1 + s_2 = 0)$$

Spin triplet Spin singlet

$$\text{Spin operators: } \mathbf{s}_a, \mathbf{s}_b \quad 2\mathbf{s}_a \cdot \mathbf{s}_b = (\mathbf{s}_a + \mathbf{s}_b)^2 - \mathbf{s}_a^2 - \mathbf{s}_b^2 = \mathbf{S}^2 - \mathbf{s}_a^2 - \mathbf{s}_b^2$$

$$\langle \uparrow\uparrow | \mathbf{S}^2 | \uparrow\uparrow \rangle = S(S+1) = 2, \quad \mathbf{S}^2 | \uparrow\downarrow \rangle = 0,$$

$$\mathbf{s}_a^2 = \mathbf{s}_b^2 = \frac{1}{2} \left(\frac{1}{2} + 1 \right) = \frac{3}{4}$$

$$(\uparrow\uparrow) \rightarrow 2\mathbf{s}_a \cdot \mathbf{s}_b = 2 - 2 \times \frac{3}{4} = \frac{1}{2} \implies \frac{1}{2}(1 + 4\mathbf{s}_a \cdot \mathbf{s}_b) = +1,$$

$$(\uparrow\downarrow) \rightarrow 2\mathbf{s}_a \cdot \mathbf{s}_b = 2 - 2 \times \frac{3}{4} = -\frac{3}{2} \implies \frac{1}{2}(1 + 4\mathbf{s}_a \cdot \mathbf{s}_b) = -1$$

Heisenberg Hamiltonian

Effective Hamiltonian: $\mathcal{H}_{\text{int}} = K_{ab} - \frac{1}{2}J_{ab}(1 + 4\mathbf{s}_a \cdot \mathbf{s}_b)$ Direct exchange interaction

Heisenberg Hamiltonian: $\mathcal{H} = -2 \sum_{\langle i,j \rangle} J_{ij} \mathbf{S}_i \cdot \mathbf{S}_j$ Exchange interaction

Exchange integral: $J_{ab} = \frac{e^2}{4\pi\epsilon_0} \int d\mathbf{r}_1 d\mathbf{r}_2 \varphi_a^*(\mathbf{r}_1) \varphi_b^*(\mathbf{r}_2) \frac{1}{r_{12}} \varphi_b(\mathbf{r}_1) \varphi_a(\mathbf{r}_2)$

Positive \rightarrow Ferromagnetic interaction

Weak hopping correction. Superposition of $\Psi' = \frac{1}{\sqrt{N'}} \begin{vmatrix} \varphi_a(\mathbf{r}_1)\chi_a(s_1) & \varphi_a(\mathbf{r}_1)\chi'_a(s_1) \\ \varphi_a(\mathbf{r}_2)\chi_a(s_2) & \varphi_a(\mathbf{r}_2)\chi'_a(s_2) \end{vmatrix}$

Electron hopping: $\varphi_b\chi_b \rightarrow \varphi_a\chi'_a$ $\langle \Psi | \mathcal{H} | \Psi' \rangle \neq 0$

$\mathbf{s}_a, \mathbf{s}_b$: anti-parallel \longrightarrow Energy gain $W_{ab} = -\frac{1}{\Delta E} |\langle \Psi' | \mathcal{H} | \Psi \rangle|^2$

$\frac{1}{2}(1 - 4\mathbf{s}_a \cdot \mathbf{s}_b)W_{ab}$ $\mathcal{H}'_{\text{int}} = \frac{1}{2}(-J_{ab} + W_{ab}) - 2(J_{ab} + W_{ab})\mathbf{s}_a \cdot \mathbf{s}_b$

Summary

1. Landau diamagnetism
2. de Haas-van Alphen effect
3. Orbital diamagnetism of graphene, graphite

Chapter 4 Interaction between spins

1. Exchange interaction from Heitler-London approximation

2022.5.25 Lecture 7

10:25 – 11:55

Lecture on

Magnetic Properties of Materials

磁性 (Magnetism)

Institute for Solid State Physics, University of Tokyo

Shingo Katsumoto

1. Landau diamagnetism
2. de Haas-van Alphen effect
3. Orbital diamagnetism of graphene, graphite

Chapter 4 Interaction between spins

1. Exchange interaction from Heitler-London approximation

Spin Hamiltonian and quantum entanglement

Hubbard Hamiltonian

Superexchange interaction

RKKY interaction

Double exchange interaction

Theory of Magnetic insulators

Molecular field approximation

Spin Hamiltonian and quantum entanglement

Spin Hamiltonian for EPR analysis:

Obtained by integrating out the orbital part in the second order perturbation.

$$\tilde{\mathcal{H}} = \mu_B \mathbf{S} \tilde{g} \mathbf{H} + D \left[S_z^2 - \frac{S(S+1)}{3} \right] + E(S_x^2 - S_y^2)$$

Direct exchange interaction:

This gives the same matrix elements for the basis of relevant levels.

$$\mathcal{H}_{\text{int}} = K_{ab} - \frac{1}{2} J_{ab} (1 + 4\mathbf{s}_a \cdot \mathbf{s}_b)$$

Quantum entanglement

Two systems, freedoms

bases $\left\{ \begin{array}{l} \{|1\rangle, |2\rangle\} \\ \{|p\rangle, |q\rangle\} \end{array} \right.$

states

$$|\psi\rangle = a_1 |1\rangle + a_2 |2\rangle$$

$$|\phi\rangle = a_p |p\rangle + a_q |q\rangle$$

Not entangled: $|\Psi_n\rangle = |\psi\rangle \otimes |\phi\rangle = a_1 a_p \underline{|1\rangle |p\rangle} + a_1 a_q |1\rangle |q\rangle + a_2 a_p \underline{|2\rangle |p\rangle} + a_2 a_q |2\rangle |q\rangle$

The state is written as a direct product.

Maximally entangled state:

$$|\xi\rangle = \frac{1}{\sqrt{2}} (|1\rangle |p\rangle + |2\rangle |q\rangle)$$

Two states are unseparable.

Quantum entanglement and effective Hamiltonian

Maximally entangled state: $|\xi\rangle = \frac{1}{\sqrt{2}}(|1\rangle|p\rangle + |2\rangle|q\rangle)$

Another maximally entangled state: $|\zeta\rangle = \frac{1}{\sqrt{2}}(|1\rangle|q\rangle + |2\rangle|p\rangle)$

Let us consider the case **the basis is limited to** $\{|\xi\rangle, |\zeta\rangle\}$

Consider a Hamiltonian working on $\{|1\rangle, |2\rangle\}$ $\mathcal{H}_n = \begin{pmatrix} h_{11} & h_{12} \\ h_{21} & h_{22} \end{pmatrix}$

$$\langle\xi|\mathcal{H}_n|\xi\rangle = h_{11} + h_{22}, \quad \langle\xi|\mathcal{H}_n|\zeta\rangle = h_{12} + h_{21},$$

$$\langle\zeta|\mathcal{H}_n|\zeta\rangle = h_{11} + h_{22}$$

Consider a Hamiltonian working on $\{|p\rangle, |q\rangle\}$ $\mathcal{H}_a = \begin{pmatrix} h_{11} & h_{12} \\ h_{21} & h_{22} \end{pmatrix}$

Though \mathcal{H}_n and \mathcal{H}_a are completely different, as long as we limit the basis to $\{|\xi\rangle, |\zeta\rangle\}$ we cannot distinguish \mathcal{H}_n and \mathcal{H}_a .

Quantum measurement and entanglement

$$N_{\min} = \frac{3k_B TV}{2\pi g^2 \mu_B^2 S(S+1) Q_0} \left(\frac{\Delta H_0}{H_0} \right) \sqrt{\frac{k_B T_d F B}{P_0}}$$

In inductive measurement the EPR needs $N_{\min} \sim 10^{10}$

How you make this to one?

What is measurement?

System to be measured: $\{|\uparrow\rangle, |\downarrow\rangle\}$

Degree of freedom which human can distinguish: $\{|A\rangle, |B\rangle\}$

Measurement is to create a maximally entangled state between them.

$$\Psi = \frac{1}{\sqrt{2}} [|\uparrow\rangle |A\rangle + |\downarrow\rangle |B\rangle]$$

Schrödinger's cat problem is a problem of measurement.

$$|\text{Alive cat}\rangle |\gamma-\rangle + |\text{Dead cat}\rangle |\gamma+\rangle$$



Coulomb blockade in quantum dots

Constant interaction: U

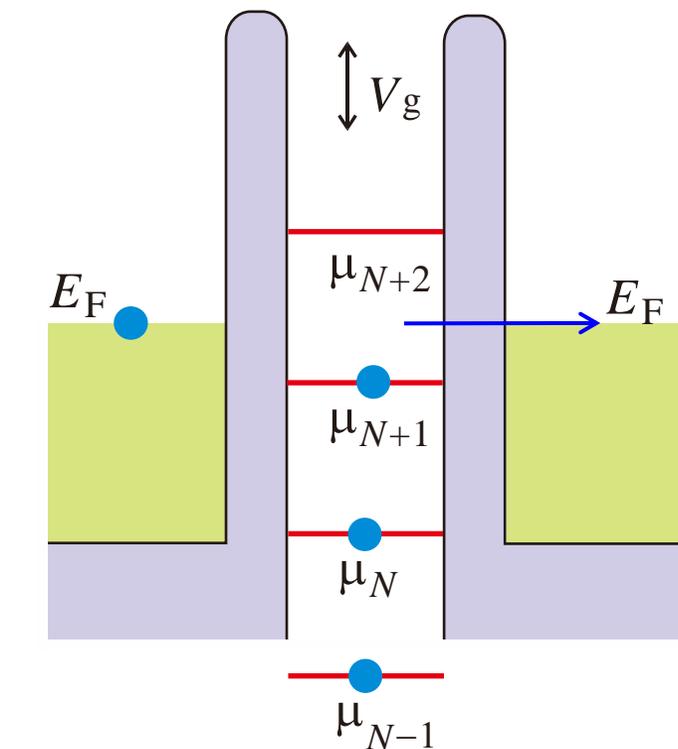
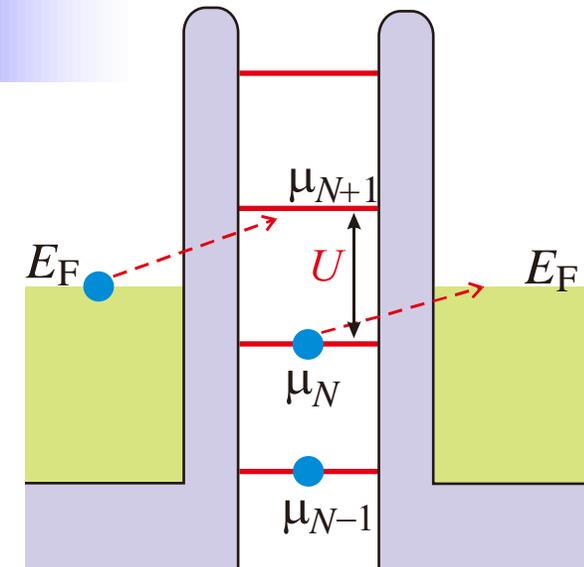
Electron number: N

Interaction energy

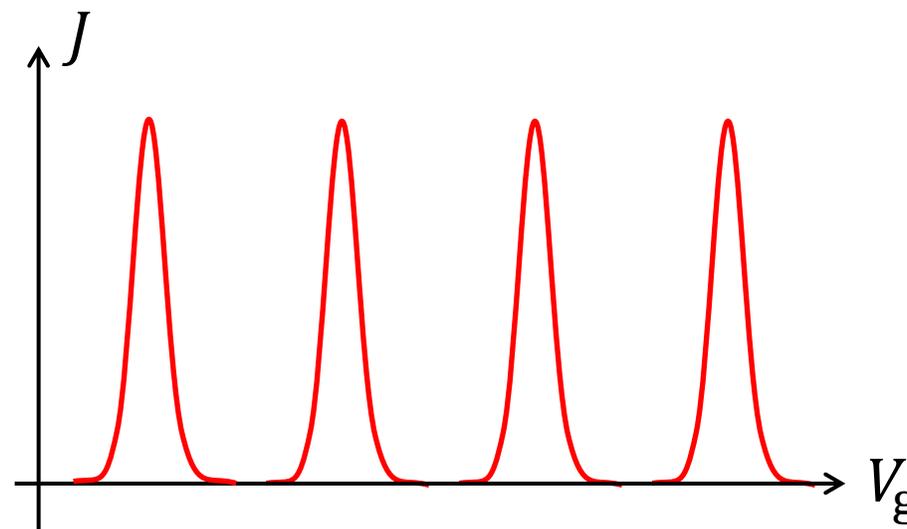
$$E_{cN} = {}_N C_2 U = \frac{N(N-1)U}{2} = \frac{U(N-1/2)^2}{2} - \frac{U}{8}$$

Chemical potential

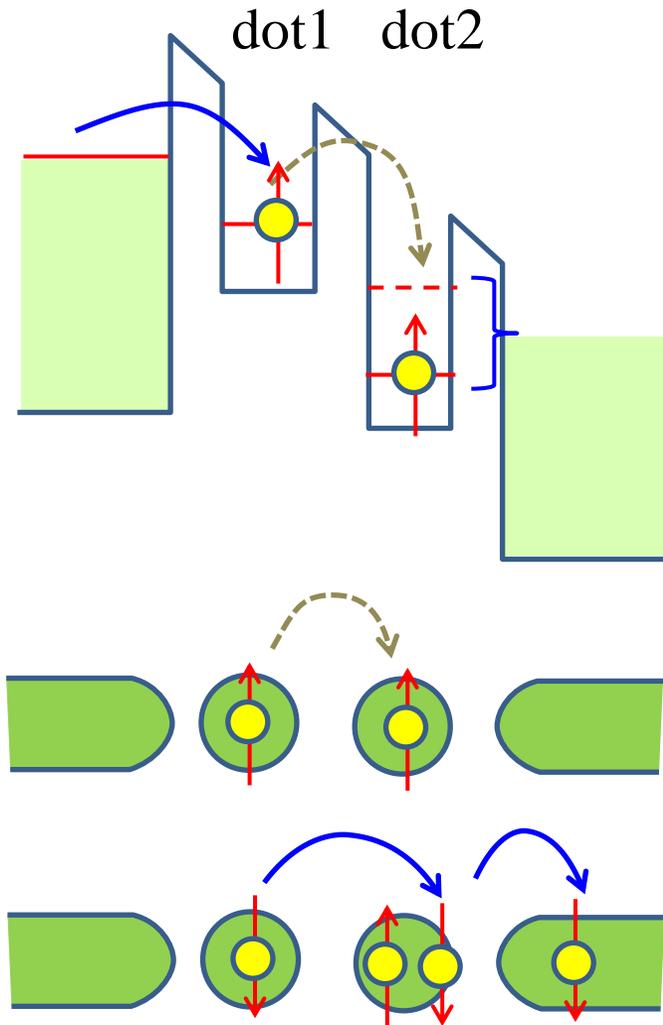
$$\Delta E_+(N) = (N-1)U$$



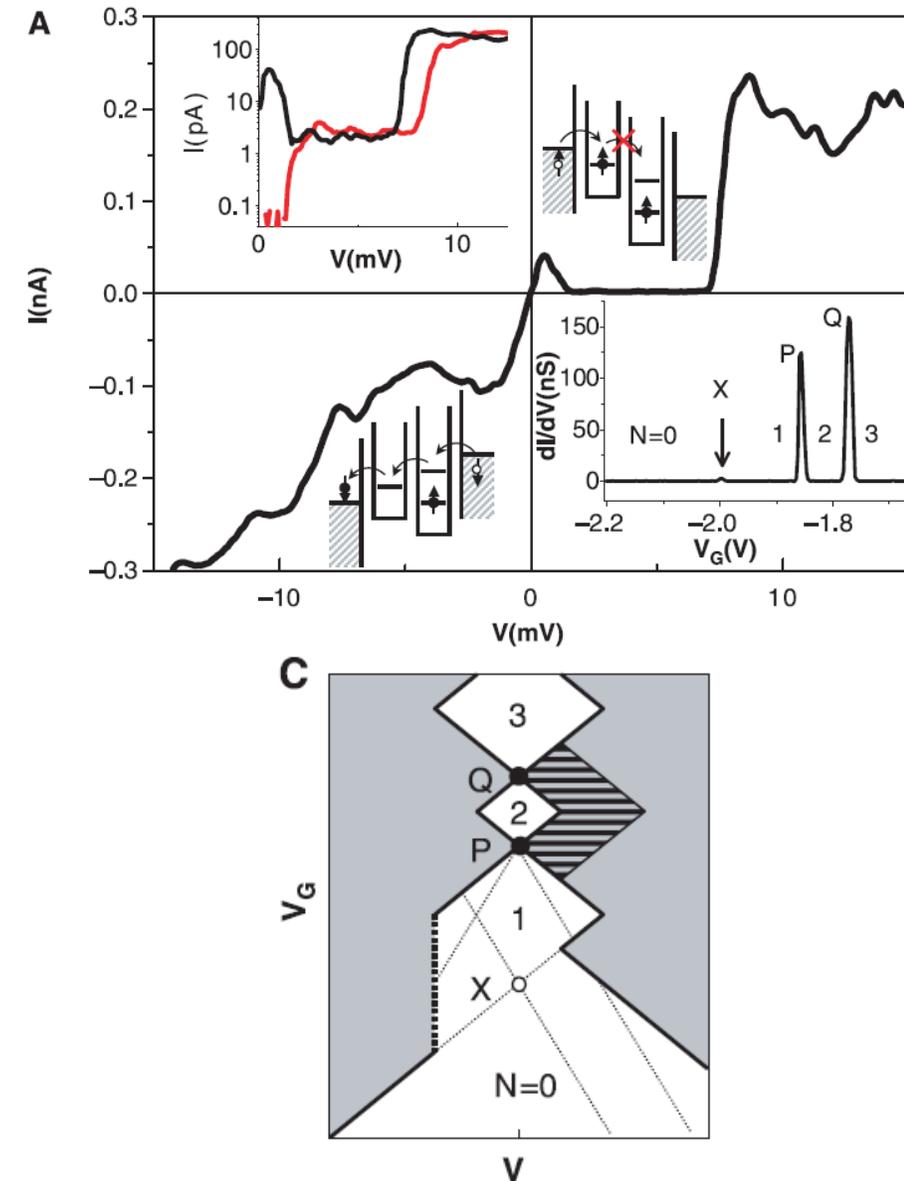
Coulomb oscillation



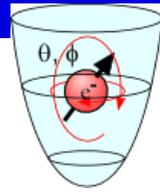
Pauli blockade



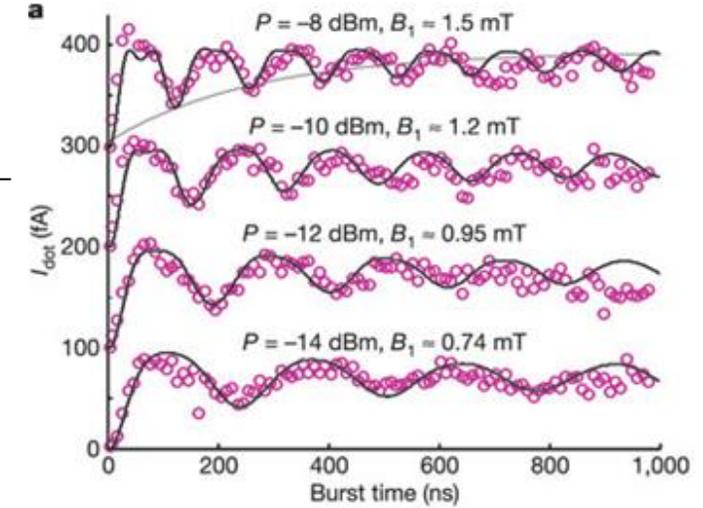
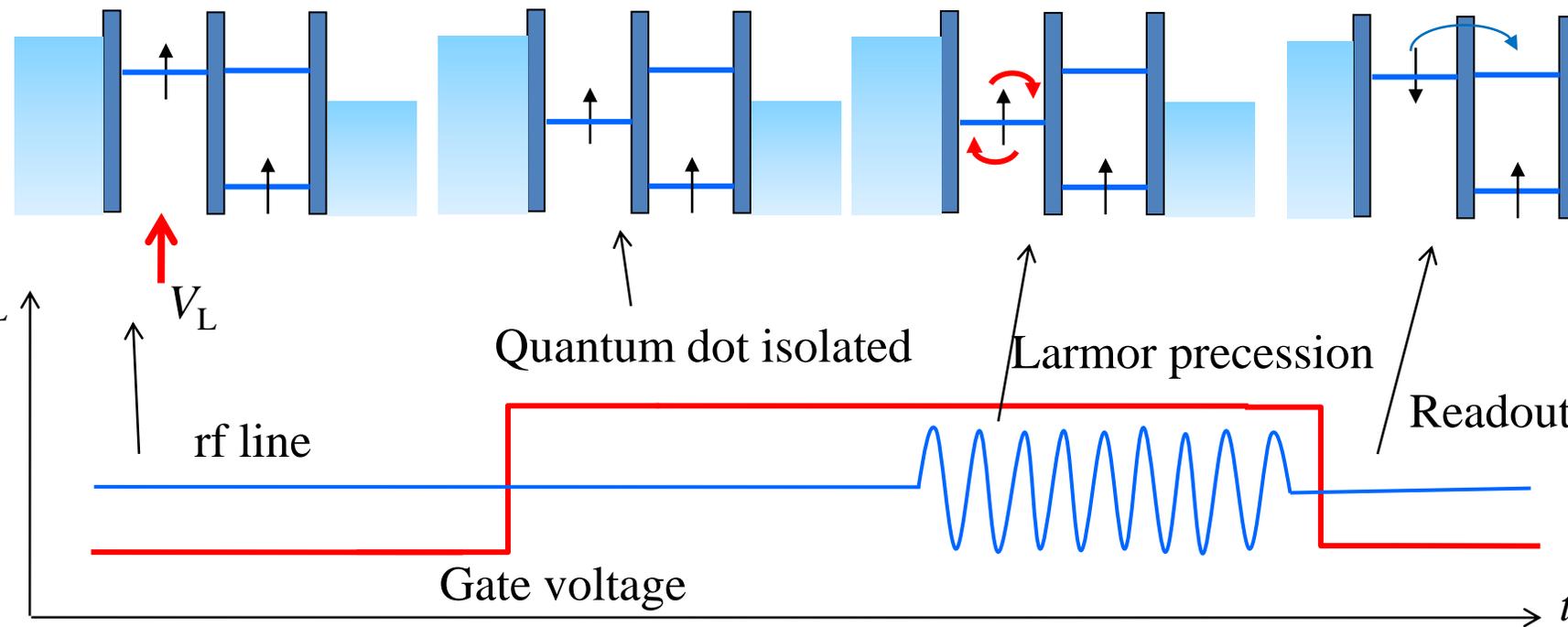
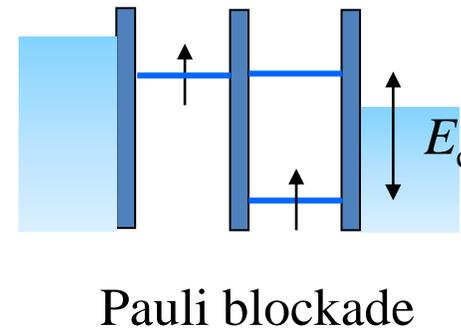
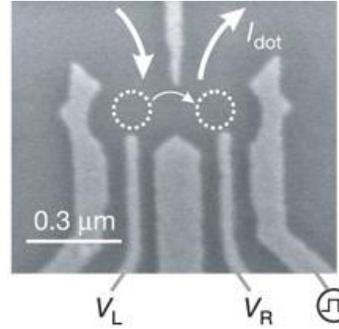
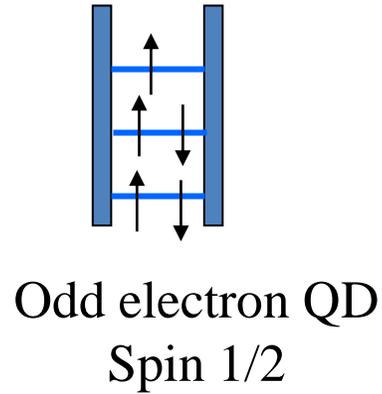
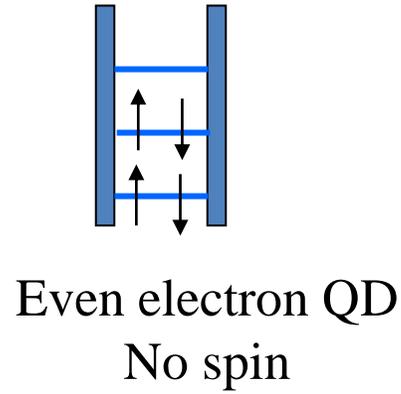
When both dot1 and dot2 are occupied by up (down) spin, the conduction is blocked.



Spin quantum bit

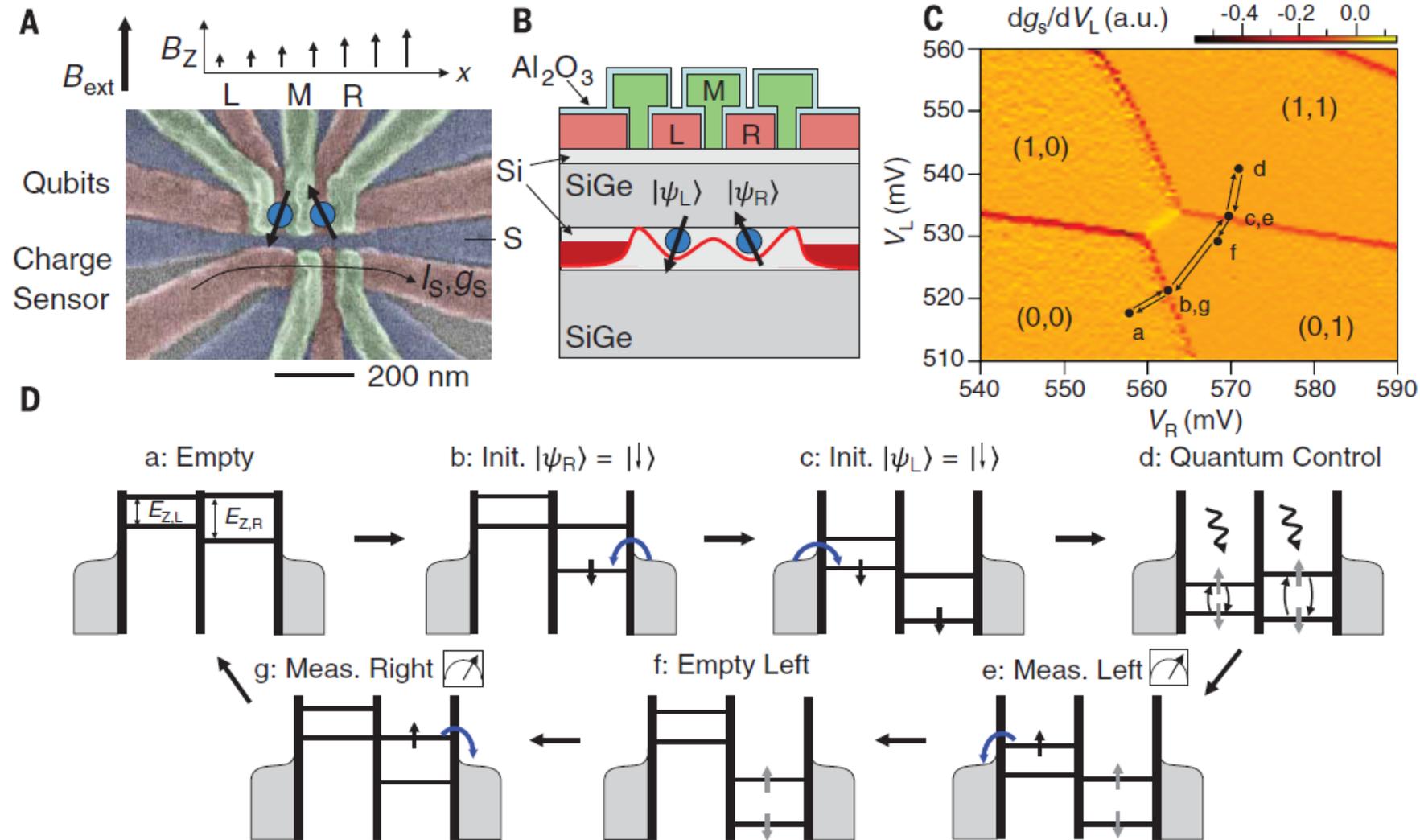


F. H. Koppens et al. Nature 442, 766 (2006)

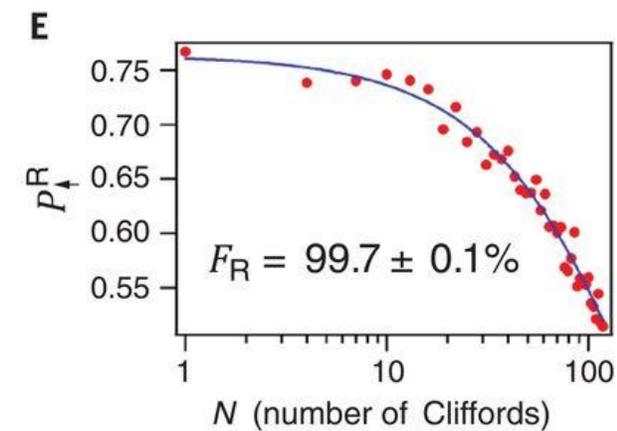
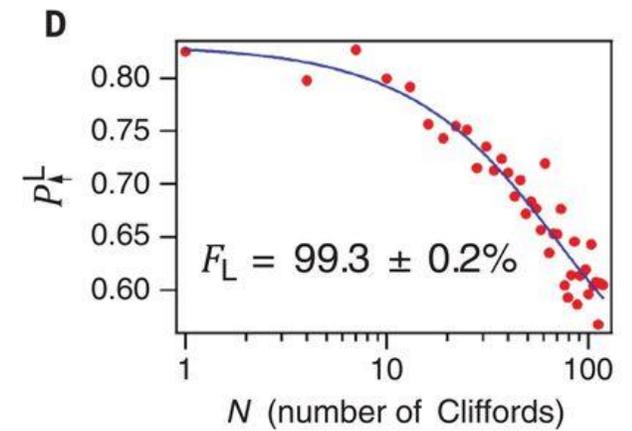
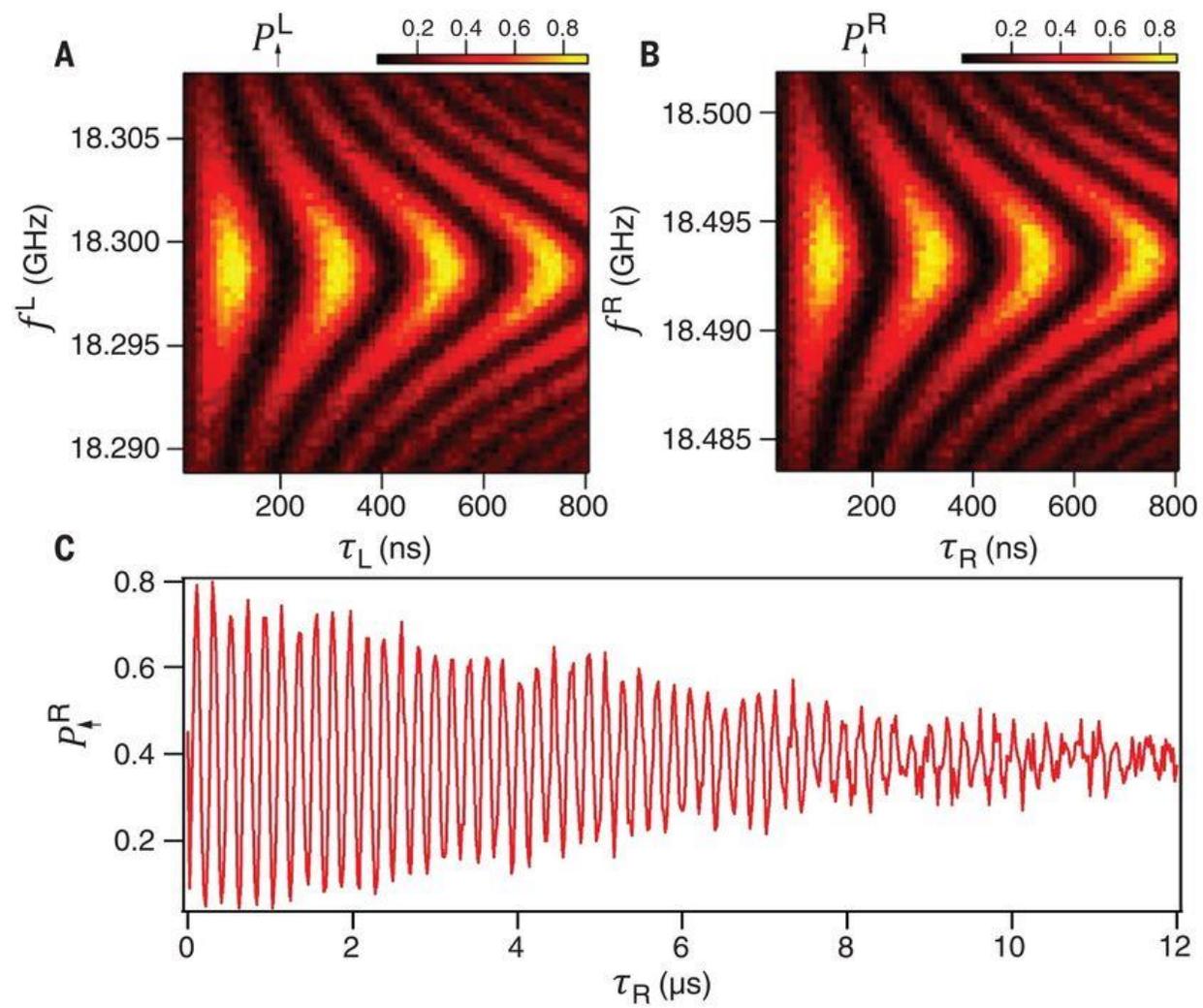


CNOT gate for electron spins

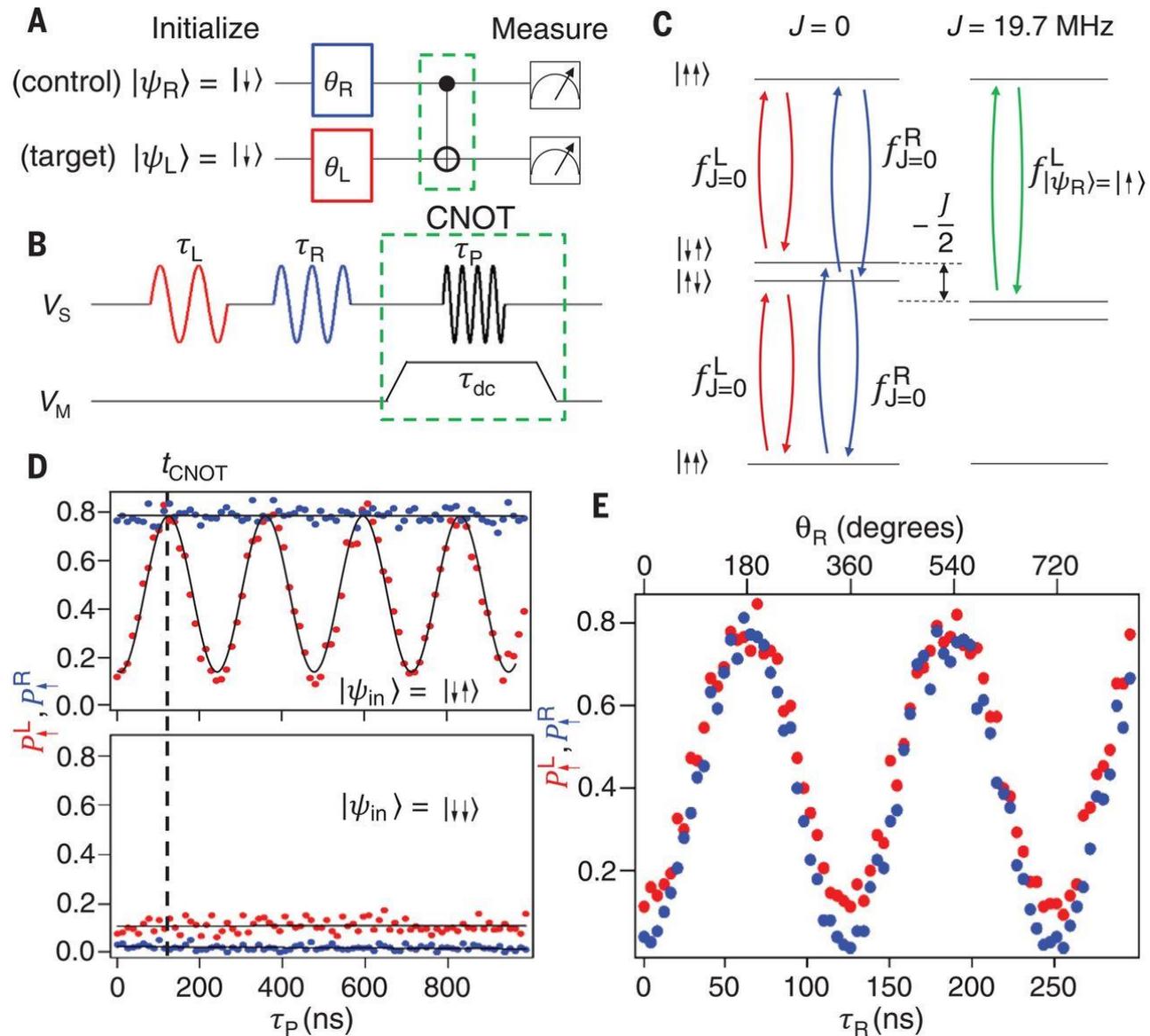
Zajac et al. Science **359**, 439 (2018).



Detection of Larmor precession



Spin-charge entanglement and detection of spin-spin interaction

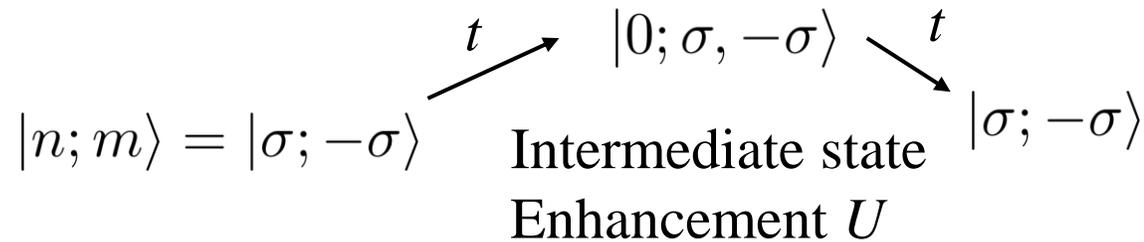


Hubbard model

Anti-ferromagnetic exchange interaction by electron transfer

Two site model: (i, j)

Hopping operator: $t(a_{i\sigma}^\dagger a_{j\sigma} + \text{h.c.})$



Second perturbation energy gain

$$\frac{t^2}{U}$$

$$n_{i\sigma} = a_{i\sigma}^\dagger a_{i\sigma}$$

In Hamiltonian form: $\mathcal{H} = t \sum_{\sigma=\uparrow\downarrow} (a_{1\sigma}^\dagger a_{2\sigma} + a_{2\sigma}^\dagger a_{1\sigma}) + U(n_{1\uparrow}n_{1\downarrow} + n_{2\uparrow}n_{2\downarrow})$

Two-site Hubbard Hamiltonian

Effective Hamiltonian for 2-site Hubbard Hamiltonian

Possible 6-states:

$$|\uparrow\downarrow; 0\rangle, |0; \uparrow\downarrow\rangle, |\uparrow; \uparrow\rangle, \frac{1}{\sqrt{2}}(|\uparrow; \downarrow\rangle + |\downarrow; \uparrow\rangle), |\downarrow; \downarrow\rangle, \frac{1}{\sqrt{2}}(|\uparrow; \downarrow\rangle - |\downarrow; \uparrow\rangle)$$

Good quantum number operators

$$\mathbf{s}_i = \sum_{\sigma\sigma'} a_{i\sigma}^\dagger \left(\frac{\boldsymbol{\sigma}}{2}\right)_{\sigma\sigma'} a_{i\sigma'}, \quad \mathbf{S} = \sum_{i=1,2} \mathbf{s}_i, \quad N = \sum_{i,\sigma} n_{i\sigma}$$

$$a^{-2} = 1 + (4t/U)^2$$

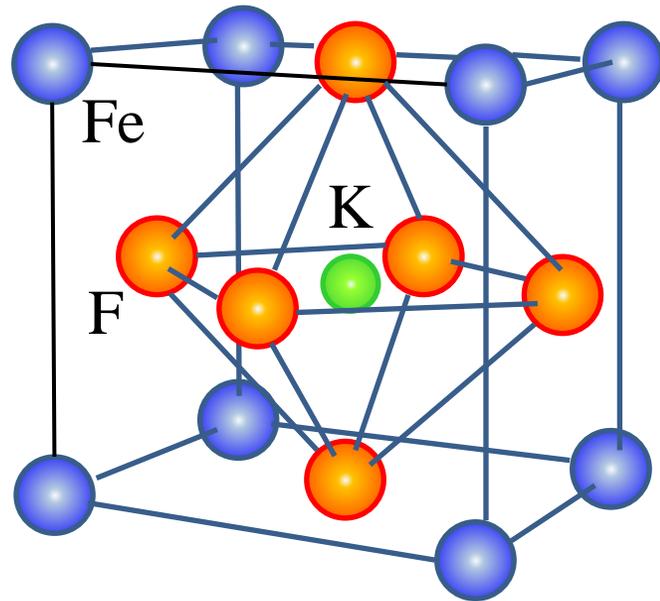
$$\mathcal{H}_{\text{eff}} = -J \left(\mathbf{s}_1 \cdot \mathbf{s}_2 - \frac{1}{4} \right),$$

$$J = -\frac{4t^2}{U}$$

Anti-ferromagnetic

No.	S	S_z	E	Eigenstate
1	0	0	U	$\frac{1}{\sqrt{2}}(\uparrow\downarrow; 0\rangle - 0; \uparrow\downarrow\rangle)$
2			$\left(1 + \frac{1}{a}\right) \frac{U}{2}$	$\frac{\sqrt{1+a}}{2}(\uparrow\downarrow; 0\rangle + 0; \uparrow\downarrow\rangle) + \sqrt{\frac{1-a}{2}} 0, 0\rangle$
3			$\left(1 - \frac{1}{a}\right) \frac{U}{2}$	$\sqrt{\frac{1+a}{2}} 0, 0\rangle - \frac{\sqrt{1-a}}{2}(\uparrow\downarrow; 0\rangle + 0; \uparrow\downarrow\rangle)$
4	1	+1	0	$ 1, +1\rangle$
5		0		$ 1, 0\rangle$
6		-1		$ 1, -1\rangle$

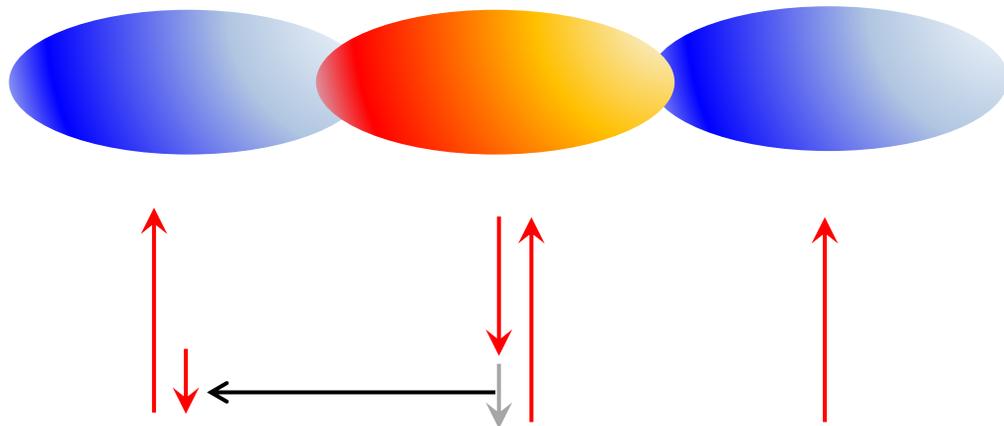
Superexchange interaction



Compounds of magnetic ions and closed shell negative ions often have anti-ferromagnetism or ferromagnetism.

What is the mechanism (spin-spin interaction?) of magnetism?

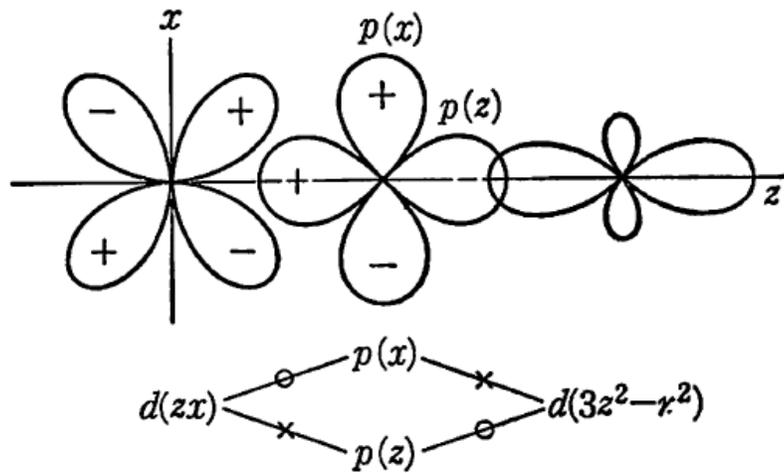
Magnetic ion Negative ion Magnetic ion



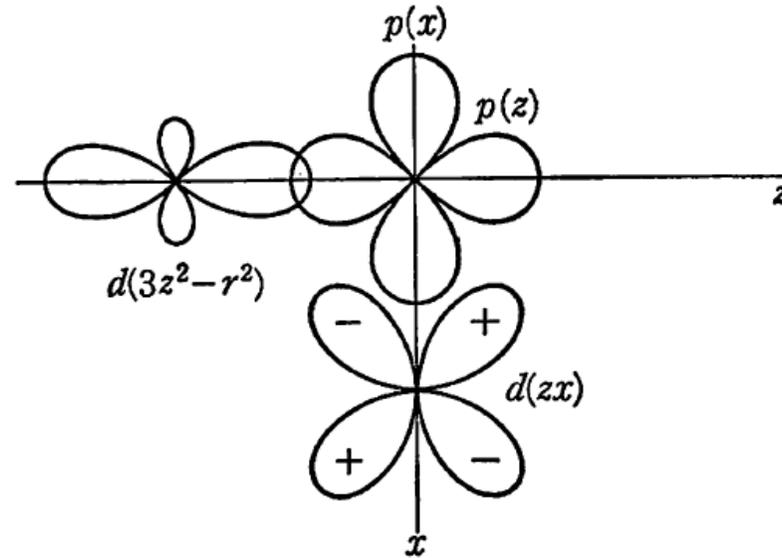
Superexchange mechanism

Small amount of electrons on a negative ions moves to a neighboring magnetic ion. Then spin appears on the negative ion which have exchange interaction with another neighboring magnetic ion.

Goodenough-Kanamori rules



(a)



(b)

Angles, orbitals, electrons numbers determine ferromagnetic, anti-ferromagnetic and the strength

s-d exchange interaction

Scattering of electrons s by a local magnetic ion S at the origin.

$$|\mathbf{k}, \sigma\rangle \rightarrow |\mathbf{k}', \sigma'\rangle \quad \mathcal{H}_{\text{scatt}} = -2J\delta(\mathbf{r})\mathbf{S} \cdot \mathbf{s}$$

This works as if a delta-function magnetic field:

$$2J\mathbf{S}\delta(\mathbf{r})/(g_e\mu_B)$$

Fourier transformation:

$$\mathbf{B}_{\text{eff}}(\mathbf{r}) = \frac{2J\delta(\mathbf{r})}{g_e\mu_B} \cdot \mathbf{S} = \int \frac{d\mathbf{q}}{(2\pi)^3\sqrt{V}} \mathbf{B}_{\mathbf{q}} e^{i\mathbf{q}\cdot\mathbf{r}}$$

Magnetic moment spatial distribution
and susceptibility in frequency space.

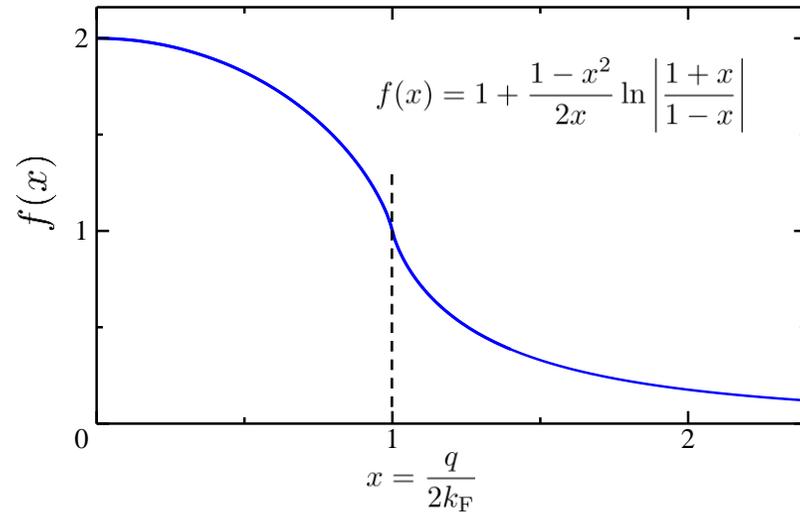
$$\mathbf{m}(\mathbf{r}) = \int \chi(\mathbf{q}) \mathbf{B}_{\mathbf{q}} \frac{d\mathbf{q}}{(2\pi)^3\sqrt{V}}$$

Perturbation of $\mathcal{H}_{\text{scatt}}$ on plane waves

$$\varphi_{\mathbf{k}}(\mathbf{r}) = \frac{e^{i\mathbf{k}\cdot\mathbf{r}}}{\sqrt{V}} \pm \frac{JS}{V} \int \frac{e^{i\mathbf{k}\cdot\mathbf{r}}}{E(\mathbf{k} + \mathbf{q}) - E(\mathbf{k})} \frac{d\mathbf{q}}{(2\pi)^3\sqrt{V}}$$

$$\mathbf{m}_{\mathbf{k}}(\mathbf{r}) = \frac{g_e\mu_B}{2} (\varphi_{\mathbf{k}-}^* \varphi_{\mathbf{k}-} - \varphi_{\mathbf{k}+}^* \varphi_{\mathbf{k}+})$$

RKKY interaction



$$\begin{aligned} \mathbf{m}_{\mathbf{k}}(\mathbf{r}) &= \frac{g_e \mu_B}{2} (\varphi_{\mathbf{k}-}^* \varphi_{\mathbf{k}-} - \varphi_{\mathbf{k}+}^* \varphi_{\mathbf{k}+}) \\ &= -\frac{g_e \mu_B J S}{V^2} \int \left(\frac{1}{E(\mathbf{k} + \mathbf{q}) - E(\mathbf{k})} + \frac{1}{E(\mathbf{k} - \mathbf{q}) - E(\mathbf{k})} \right) e^{i\mathbf{q} \cdot \mathbf{r}} \frac{d\mathbf{q}}{(2\pi)^3} \end{aligned}$$

$$\begin{aligned} \chi(\mathbf{q}) &= \frac{g_e^2 \mu_B^2}{2V} \int_{k \leq k_F} \left(\frac{1}{E(\mathbf{k} + \mathbf{q}) - E(\mathbf{k})} + \frac{1}{E(\mathbf{k} - \mathbf{q}) - E(\mathbf{k})} \right) \frac{d\mathbf{k}}{(2\pi)^3} \\ &= \frac{3N}{8} \frac{(g_e \mu_B)^2}{E_F} \frac{1}{2} \left(1 + \frac{4k_F^2 - q^2}{4qk_F} \log \left| \frac{2k_F + q}{2k_F - q} \right| \right) \end{aligned}$$

$$x = q/2k_F \quad f(x) = 1 + \frac{1-x^2}{2x} \log \left| \frac{1+x}{1-x} \right|$$

$$\begin{aligned} F(r) &= \frac{1}{2\pi} \int d\mathbf{q} e^{i\mathbf{q} \cdot \mathbf{r}} f\left(\frac{q}{2k_F}\right) = \frac{2}{r} \int_0^\infty q \sin(qr) f\left(\frac{q}{2k_F}\right) dq \\ &= \frac{1}{r} \int_{-\infty}^\infty q \sin(qr) f\left(\frac{q}{2k_F}\right) dq \end{aligned}$$

RKKY interaction (2)

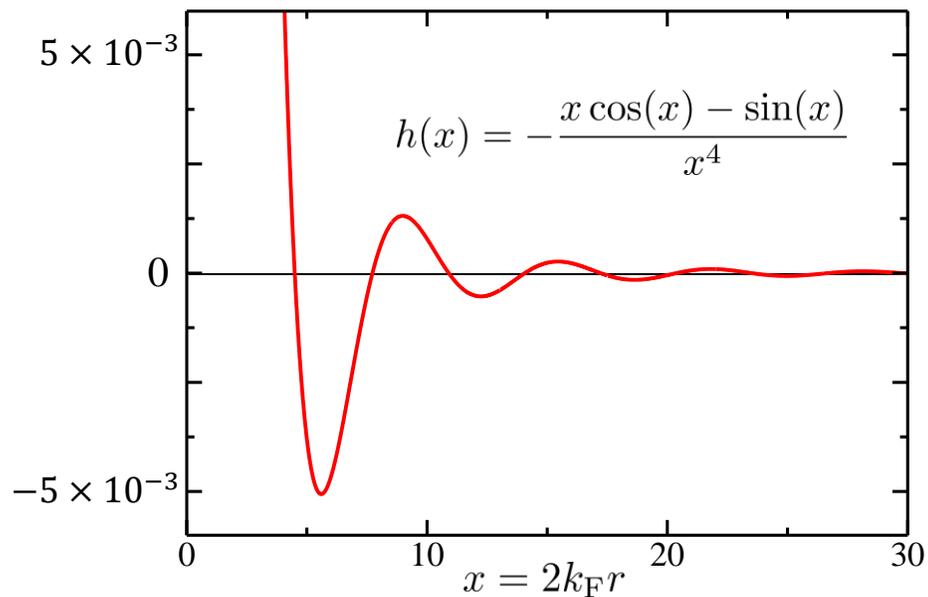
$$\int_{-\infty}^{\infty} \frac{\sin[2k_F r(1 \pm x)]}{1 \pm x} dx = \pi, \quad \int_{-\infty}^{\infty} \frac{\cos[2k_F r(1 \pm x)]}{1 \pm x} dx = 0$$

$$F(r) = -16\pi k_F^3 \frac{2k_F r \cos(2k_F r) - \sin(2k_F r)}{(2k_F r)^4}$$

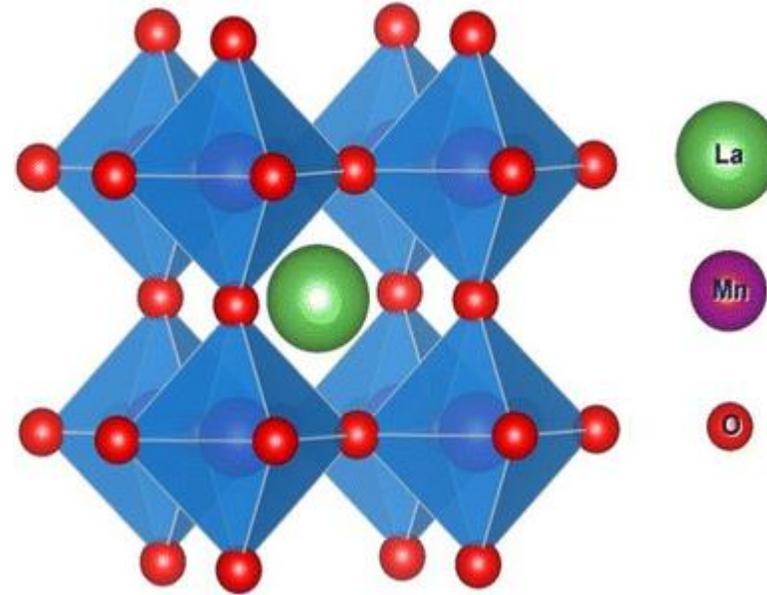
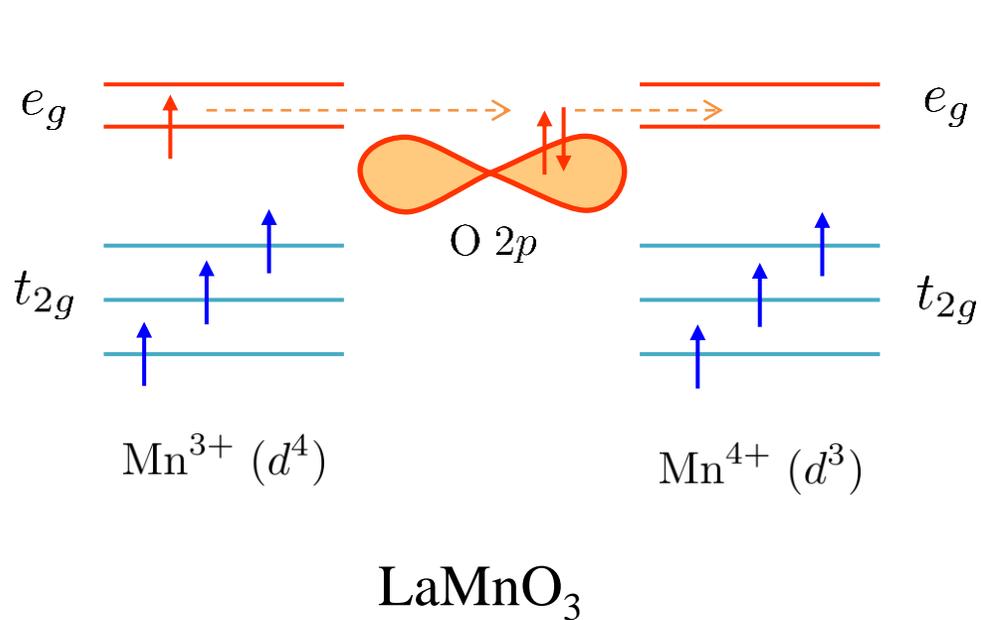
$$\mathbf{m}(\mathbf{r}) = -\frac{3}{32\pi^2} \frac{N g_e \mu_B F(r) J}{E_F} S_z$$

Second magnetic ion at \mathbf{R}

$$-\int \mathbf{m}(\mathbf{r}) \mathbf{B}_{\text{eff}}(\mathbf{r} - \mathbf{R}) d\mathbf{r} = \frac{3N}{16\pi^2} \frac{J^2}{E_F} F(R) S_{1z} S_{2z}$$

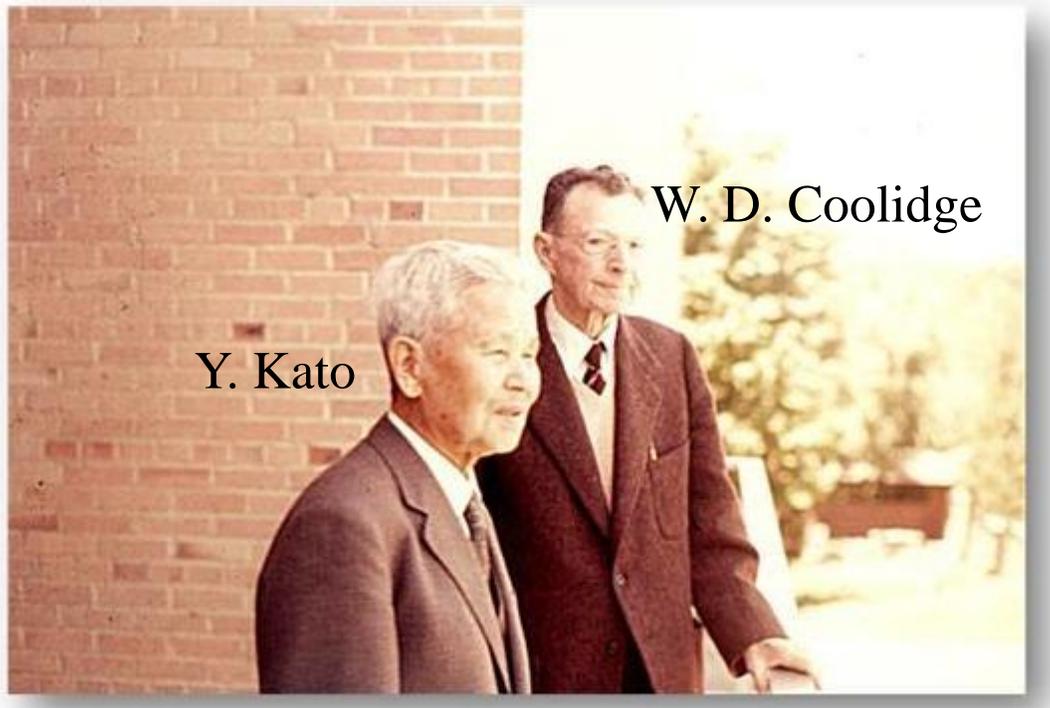


Double exchange interaction



LaMnO_3 are anti-ferromagnetic insulator (Mott insulator). But when some La is replaced with Ca, Mn^{4+} ions appear. The system becomes metallic and at the same time this material shows ferromagnetism.

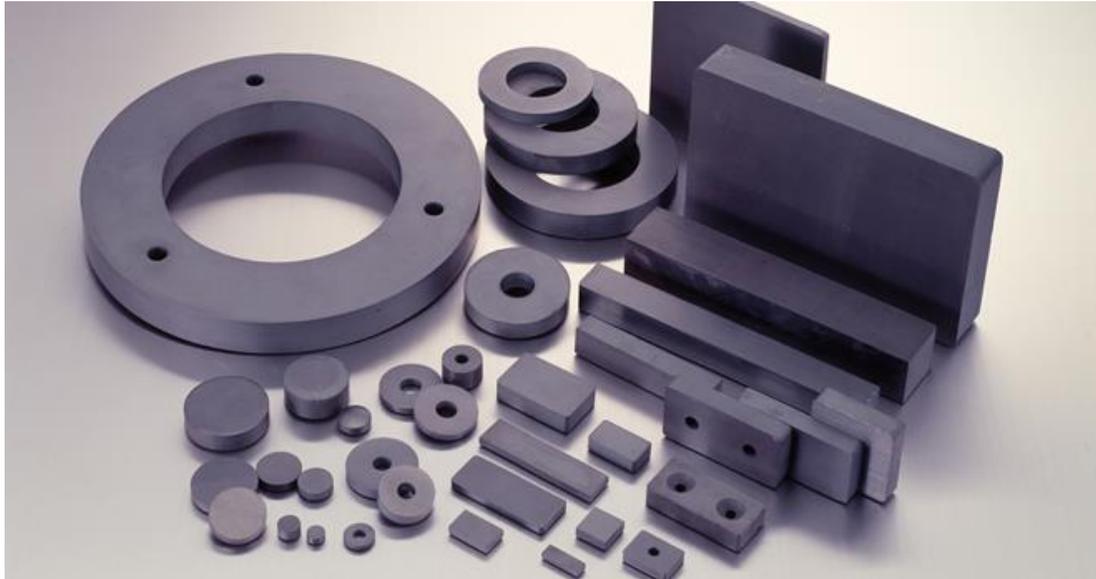
: Kind of kinetic exchange interaction.



Y. Kato

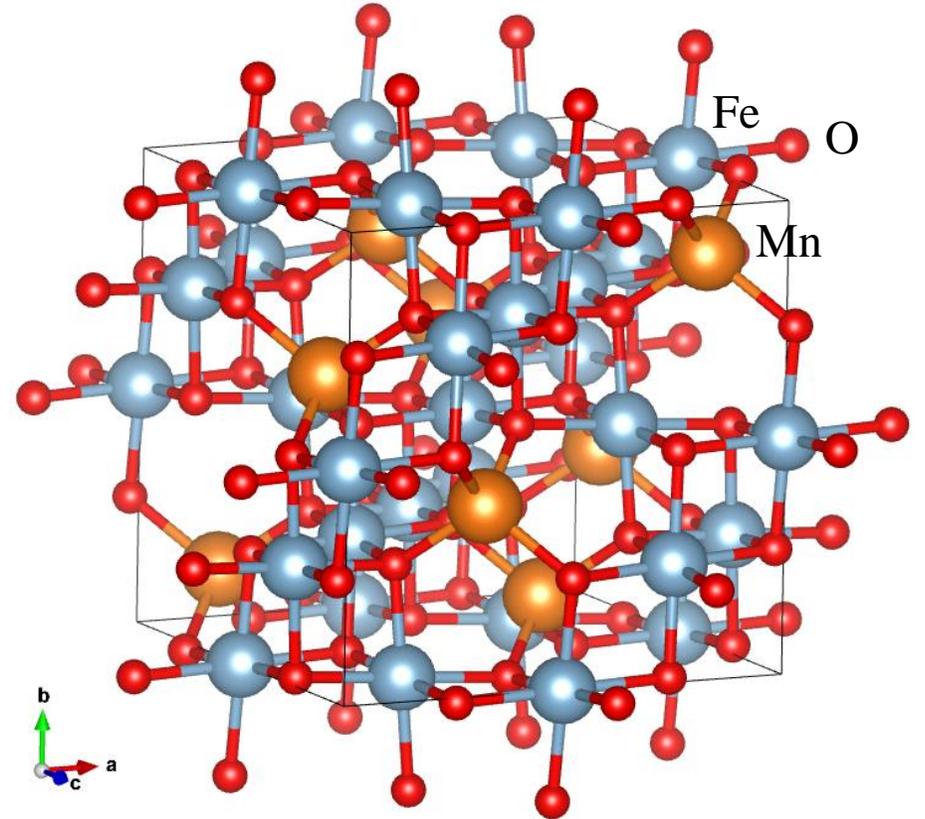
W. D. Coolidge

http://teetokue.air-nifty.com/blog/2008/04/59_9f47.html



Chapter 5

Theories of Magnetic Insulators



Molecular field approximation

Ferromagnetic Heisenberg Hamiltonian: $\mathcal{H} = -2J \sum_{\langle i,j \rangle} \mathbf{S}_i \cdot \mathbf{S}_j - \mu \sum_i \mathbf{B} \cdot \mathbf{S}_i \quad J, \mu > 0$

Average field approximation:
(molecular field approximation) $\mathcal{H}_{\text{eff}}(i) = -2J \sum_{\delta} \langle \mathbf{S}_{i+\delta} \rangle \cdot \mathbf{S}_i - \mu \mathbf{B} \cdot \mathbf{S}_i = -\mu \mathbf{B}_{\text{eff}} \cdot \mathbf{S}_i$

$$\mu \mathbf{B}_{\text{eff}} = 2J \sum_{\delta} \langle \mathbf{S}_{i+\delta} \rangle + \mu \mathbf{B}$$

Remember $M = g_J \mu_B J B_J \left(\frac{g_J \mu_B J B}{k_B T} \right)$ replace $g_J \mu_B \rightarrow \mu, J \rightarrow S, B_J \rightarrow B_S$

then $M = \mu S B_S \left[\frac{\mu S}{k_B T} \left(B + \frac{2\alpha_z J}{\mu^2} M \right) \right]$

Brillouin function is expanded as $B_S(x) = \frac{S+1}{3S} x - \frac{1}{90} \frac{[(S+1)^2 + S^2](S+1)}{S^3} x^3 + \dots$

Molecular field approximation (2)

$$\text{then} \quad \left(1 - \frac{2\alpha_z J}{\mu^2} \chi_0\right) M + \frac{1}{90} [(S+1)^2 + S^2] \frac{1}{(k_B T)^3} \left(\frac{2\alpha_z J}{\mu^2}\right)^2 M^3 = \chi_0 B$$

$$\text{with} \quad \chi_0 = \mu^2 S(S+1)/3$$

The first order term drops at $k_B T_C = \frac{2}{3} S(S+1) \alpha_z J$ which gives the Curie temperature.

$$\text{Curie-Weiss law} \quad \chi = \chi_0 \left(1 - \frac{2\alpha_z J}{\mu^2} \chi_0\right)^{-1} = \mu^2 \frac{S(S+1)}{3k_B(T - T_C)}$$

Summary

Spin Hamiltonian and quantum entanglement

Hubbard Hamiltonian

Superexchange interaction

RKKY interaction

Double exchange interaction

Theory of Magnetic insulators

Molecular field approximation

2022.6.01 Lecture 8

10:25 – 11:55

Lecture on

Magnetic Properties of Materials

磁性 (Magnetism)

Institute for Solid State Physics, University of Tokyo

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- Spin Hamiltonian and quantum entanglement
 - Hubbard Hamiltonian
 - Superexchange interaction
 - RKKY interaction
 - Double exchange interaction

Ch. 5 Theory of Magnetic insulators

- Molecular field approximation

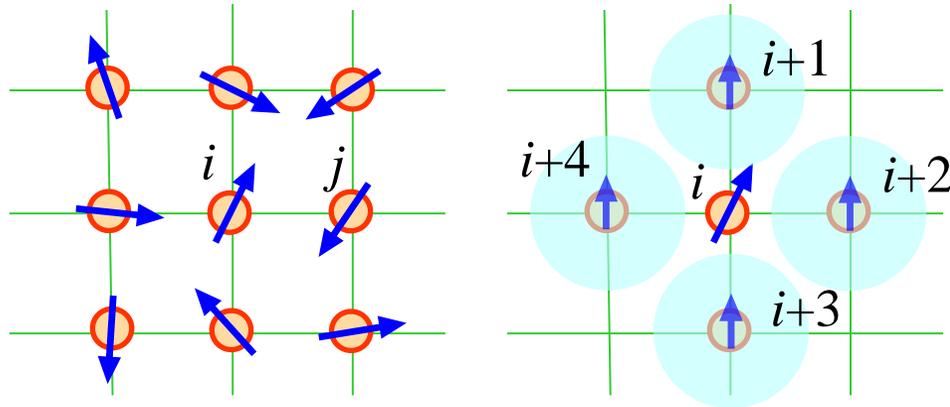
- Molecular field approximation
- Phenomenology of phase transition GL theory
 - Free energy
 - Spontaneous Symmetry Breaking
- Critical Exponent
- Theoretical models of magnetic materials
 - XY model
 - Ising model
- Antiferromagnetic Heisenberg model

Molecular-field approximation on ferromagnetic Heisenberg model

Ferromagnetic ($J > 0$) Heisenberg model:

$$\mathcal{H} = -2J \sum_{\substack{\langle i,j \rangle \\ \text{nearest neighbor}}} \mathbf{S}_i \cdot \mathbf{S}_j - \mu \sum_i \mathbf{B} \cdot \mathbf{S}_i$$

Mean field (molecular-field) approximation:



Replace the neighboring spins with averaged one

$$\mathcal{H}_{\text{eff}}(i) = -2J \sum_{\delta} \langle \mathbf{S}_{i+\delta} \rangle \cdot \mathbf{S}_i - \mu \mathbf{B} \cdot \mathbf{S}_i = -\mu \mathbf{B}_{\text{eff}} \cdot \mathbf{S}_i$$

The averaged spins work as an effective field:

$$\mu \mathbf{B}_{\text{eff}} = 2J \sum_{\delta} \langle \mathbf{S}_{i+\delta} \rangle + \mu \mathbf{B}$$

Remember paramagnetic representation of magnetization:

$$M = g_J \mu_B J B_J \left(\frac{g_J \mu_B J B}{k_B T} \right)$$

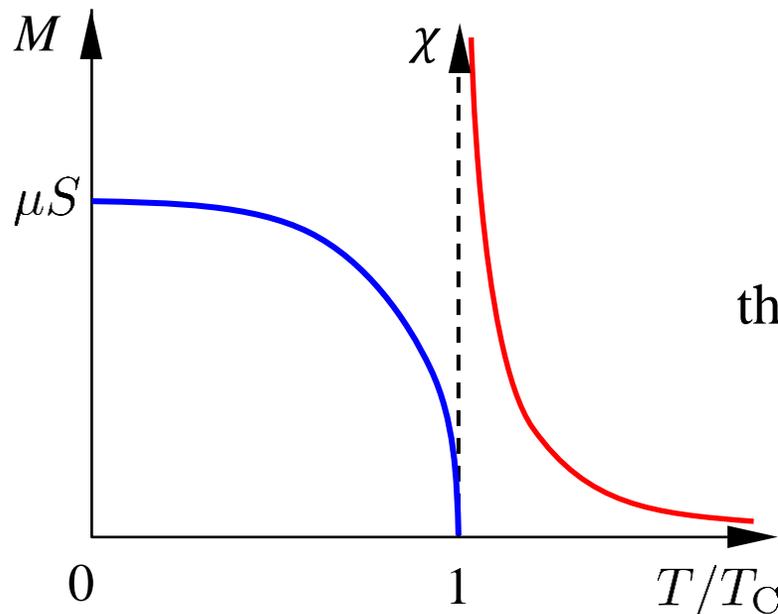
Replacement:

$$g_J \mu_B \rightarrow \mu, \quad J \rightarrow S, \quad B_J \rightarrow B_S \quad B \rightarrow B_{\text{eff}}$$

then

$$M = \mu S B_S \left[\frac{\mu S}{k_B T} \left(B + \frac{2\alpha_z J}{\mu^2} M \right) \right]$$

Curie-Weiss law



Brillouin function is expanded as

$$B_S(x) = \frac{S+1}{3S}x - \frac{1}{90} \frac{[(S+1)^2 + S^2](S+1)}{S^3} x^3 + \dots$$

then $\left(1 - \frac{2\alpha_z J}{\mu^2} \chi_0\right) M + \frac{1}{90} [(S+1)^2 + S^2] \frac{1}{(k_B T)^3} \left(\frac{2\alpha_z J}{\mu^2}\right)^2 M^3 = \chi_0 B$

where $\chi_0 = \frac{\mu^2 S(S+1)}{3k_B T}$: the Curie law

The first order term drops at $k_B T_C = \frac{2}{3} S(S+1) \alpha_z J$

which gives the Curie temperature and the Curie-Weiss law

$$\chi = \chi_0 \left(1 - \frac{2\alpha_z J}{\mu^2} \chi_0\right)^{-1} = \mu^2 \frac{S(S+1)}{3k_B(T - T_C)}$$

$T < T_C$

There exists non-zero solution for M , which has lower energy than $M=0$.

Solving the above we get

$$M = \mu \sqrt{\frac{10}{3}} \frac{S(S+1)}{\sqrt{(S+1)^2 + S^2}} \sqrt{1 - \frac{T}{T_C}}$$

$$M = \mu \left[S - \exp\left(-\frac{3}{S+1} \frac{T_C}{T}\right) \right]$$

$T \ll T_C$

$$x \gg 1 \quad B_S(x) \sim 1 - \frac{1}{S} \exp\left(-\frac{x}{S}\right)$$

Ginzburg-Landau Theory(1)

The Curie-Weiss law $\chi \propto \frac{1}{1 - (T_C/T)} = 1 + \frac{T_C}{T} + \left(\frac{T_C}{T}\right)^2 + \left(\frac{T_C}{T}\right)^3 + \dots$

involves that the establishment of spontaneous magnetization is the result of a cooperative phenomenon.

Phenomenology: discuss the physical properties that do not depend on details of models.

The Ginzburg-Landau theory was developed for phenomenology of superconductivity.

Consider a symmetry of the Heisenberg model at $B = 0$. $\mathcal{H} = -2J \sum_{\langle i,j \rangle} \mathbf{S}_i \cdot \mathbf{S}_j$

A symmetry operation: $\forall i \mathbf{S}_i \rightarrow -\mathbf{S}_i$ \mathcal{H} : unchanged

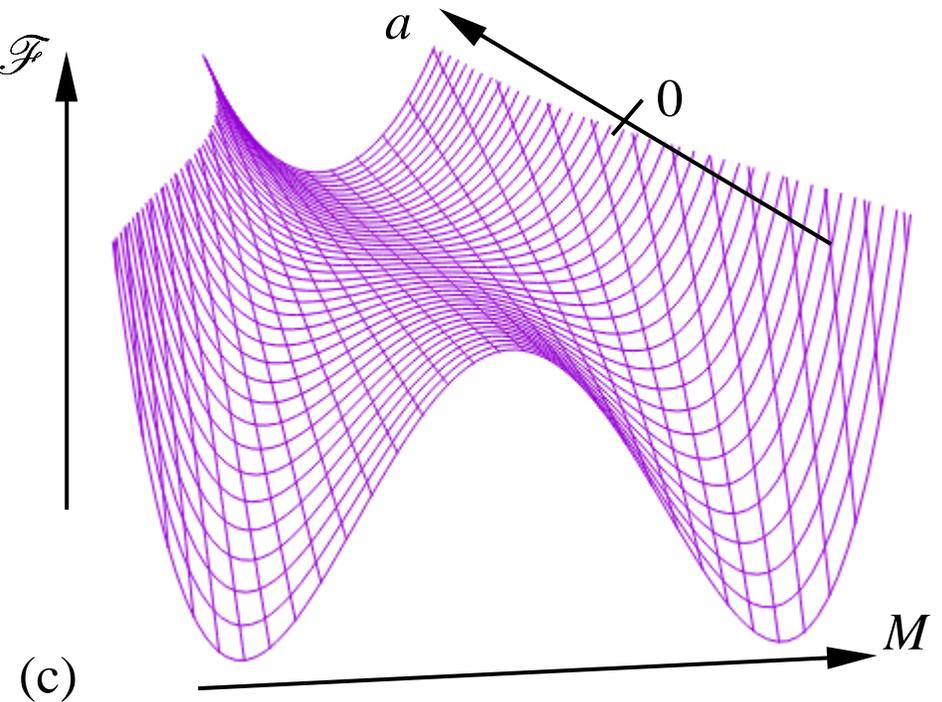
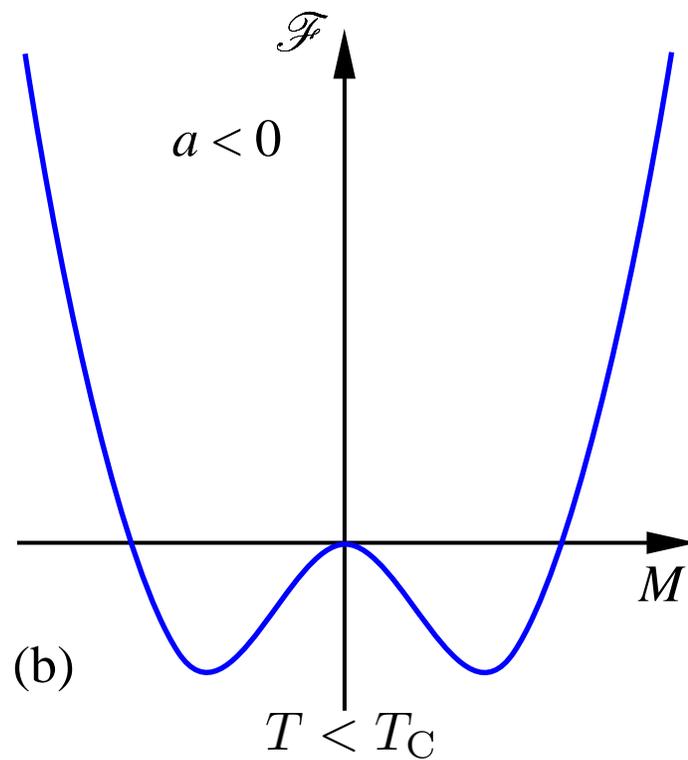
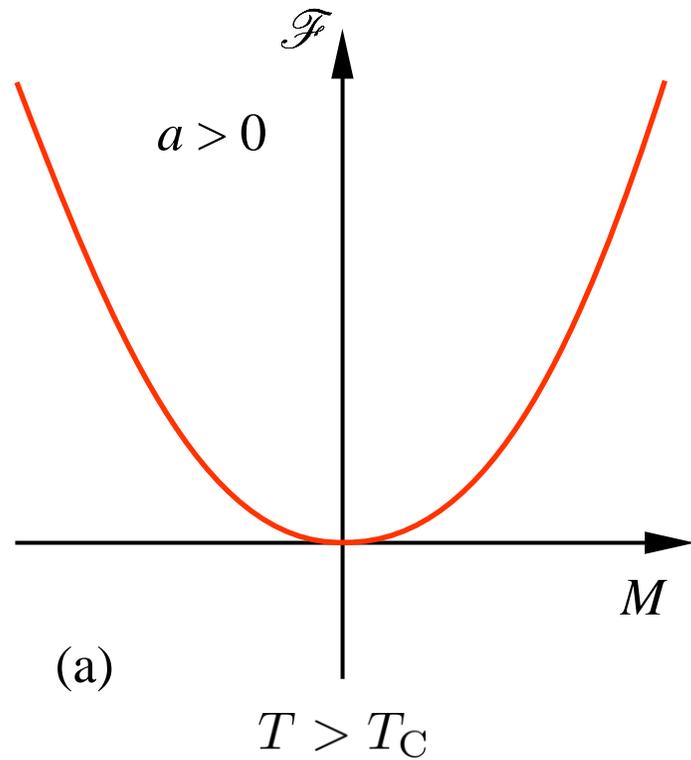
Free energy \mathcal{F} : unchanged

On the other hand $\mathbf{M} = \langle \mathbf{S}_i \rangle \rightarrow \langle -\mathbf{S}_i \rangle = -\mathbf{M}$ hence $\mathcal{F}(\mathbf{M}) = \mathcal{F}(-\mathbf{M})$

Expansion to the series of power should be $\mathcal{F}(\mathbf{M}) = \mathcal{F}_0 + aM^2 + bM^4$

To obtain stable (minimum) points $\frac{\partial \mathcal{F}}{\partial M} = 0 = 2aM + 4bM^3 = 2M(2bM^2 + a)$

Ginzburg-Landau Theory (2)



Continuous variation in free energy: Second order phase transition

$$\mathcal{F}(M) = \mathcal{F}_0 + aM^2 + bM^4$$

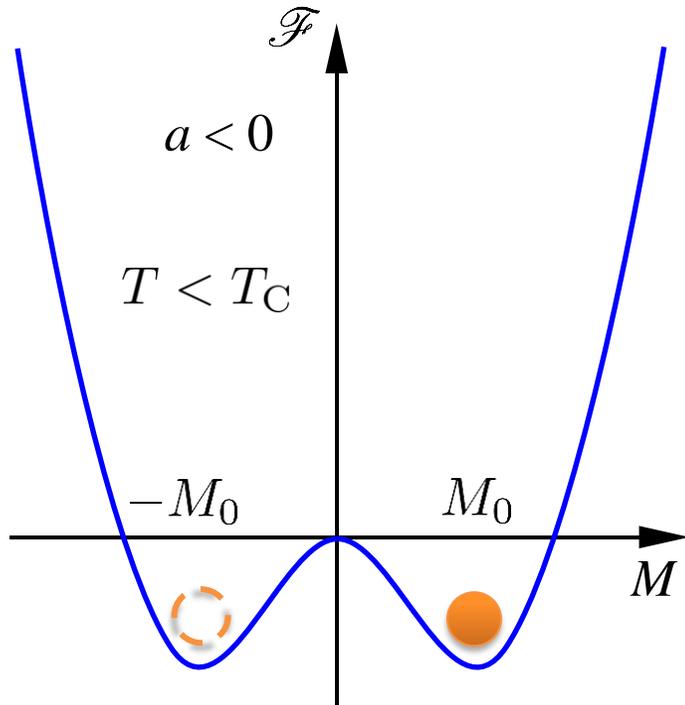
$$\frac{\partial \mathcal{F}}{\partial M} = 0 = 2aM + 4bM^3 = 2M(2bM^2 + a)$$

Magnetic equation of state

$$a = k(T_C - T)/T_C \quad T : \text{relevant parameter}$$

$$M_0 = \sqrt{-\frac{a}{2b}} = \sqrt{\frac{k(T_C - T)}{2bT_C}}$$

Spontaneous Symmetry Breaking

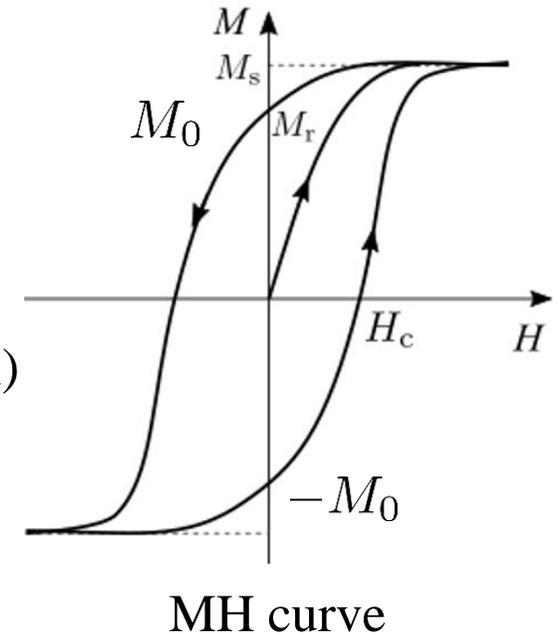


Spontaneous magnetization

$$M_0 = \sqrt{-\frac{a}{2b}} = \sqrt{\frac{k(T_C - T)}{2bT_C}}$$

The symmetry of the system (Hamiltonian) is kept unchanged.

However the symmetry of the state is broken.



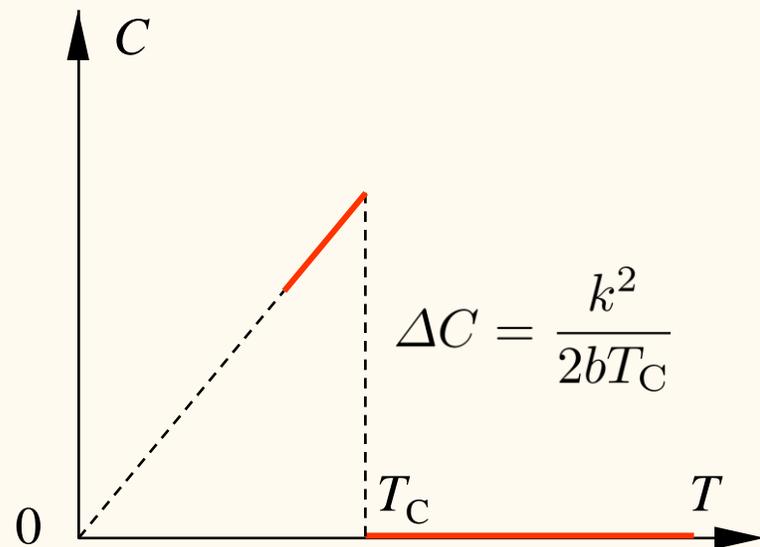
Spontaneous Symmetry Breaking

One of the central concepts in physics.

Phase transition, mass appearance, big bang, ...

Associated with appearance of Nambu-Goldstone mode

Critical exponent



In the presence of spontaneous magnetization, the free energy around the stable point is

$$\mathcal{F}(T) = \mathcal{F}_0 + aM_0^2 + bM_0^4 = \mathcal{F}_0 - \frac{a^2}{4b} = \mathcal{F}_0 - \frac{k^2(T_C - T)^2}{4bT_C^2}$$

Then the specific heat is obtained by

$$C = -T \frac{\partial^2 \mathcal{F}}{\partial T^2} = \frac{k^2 T}{2bT_C^2} \quad T < T_C$$

$$\mathcal{F}(T) = \mathcal{F}_0 \quad \therefore C = 0 \quad T > T_C$$

$$\Delta C = \frac{k^2}{2bT_C}$$

Small B $\mathcal{F}(M) = \mathcal{F}_0 + aM^2 + bM^4 - BM$ $\frac{\partial \mathcal{F}}{\partial M} = 0 = 2aM + 4bM^3 - B$ $M^3 \propto B$
 at the critical point

$$M \propto \begin{cases} B^{1/\delta} & (T = T_C), \\ (T_C - T)^\beta & (T < T_C), \end{cases} \quad \chi \propto \begin{cases} (T - T_C)^{-\gamma} & (T > T_C), \\ (T_C - T)^{-\gamma'} & (T < T_C), \end{cases} \quad C \propto \begin{cases} (T - T_C)^{-\alpha} & (T > T_C), \\ (T_C - T)^{-\alpha'} & (T < T_C). \end{cases}$$

Physical quantity that appears at the critical point

$A \propto (x - x_c)^\nu$
 ν : Critical Exponent

Shift of a relevant parameter from the critical point

Critical Exponent and Universality Class

Universality Class: Classification of the systems by symmetry, range of interaction, etc.

Each system which belongs to a universality class has the same set of critical exponents.

In the case of mean field approximation:

Critical exponent	α	β	γ	δ
Mean field approximation	0	1/2	1	3

One of the key features in analyzing phase transitions.

class	dimension	Symmetry	α	β	γ	δ	ν	η
3-state Potts	2	S_3	$\frac{1}{3}$	$\frac{1}{9}$	$\frac{13}{9}$	14	$\frac{5}{6}$	$\frac{4}{15}$
Ashkin-Teller (4-state Potts)	2	S_4	$\frac{2}{3}$	$\frac{1}{12}$	$\frac{7}{6}$	15	$\frac{2}{3}$	$\frac{1}{4}$
Ordinary percolation	1	1	1	0	1	∞	1	1
	2	1	$-\frac{2}{3}$	$\frac{5}{36}$	$\frac{43}{18}$	$\frac{91}{5}$	$\frac{4}{3}$	$\frac{5}{24}$
	3	1	-0.625(3)	0.4181(8)	1.793(3)	5.29(6)	0.87619(12)	0.46(8) or 0.59(9)
	4	1	-0.756(40)	0.657(9)	1.422(16)	3.9 or 3.198(6)	0.689(10)	-0.0944(28)
	5	1	≈ -0.85	0.830(10)	1.185(5)	3.0	0.569(5)	-0.075(20) or -0.0565
	6+	1	-1	1	1	2	$\frac{1}{2}$	0
Directed percolation	1	1	0.159464(6)	0.276486(8)	2.277730(5)	0.159464(6)	1.096854(4)	0.313686(8)
	2	1	0.451	0.536(3)	1.60	0.451	0.733(8)	0.230
	3	1	0.73	0.813(9)	1.25	0.73	0.584(5)	0.12
	4+	1	-1	1	1	2	$\frac{1}{2}$	0
Conserved directed percolation (Manna, or "local linear interface")	1	1		0.28(1)		0.14(1)	1.11(2) ^[1]	0.34(2) ^[1]
	2	1		0.64(1)	1.59(3)	0.50(5)	1.29(8)	0.29(5)
	3	1		0.84(2)	1.23(4)	0.90(3)	1.12(8)	0.16(5)
	4+	1		1	1	1	1	0
Protected percolation	2	1		5/41 ^[2]	86/41 ^[2]			
	3	1		0.28871(15) ^[2]	1.3066(19) ^[2]			
Ising	2	Z_2	0	$\frac{1}{8}$	$\frac{7}{4}$	15	1	$\frac{1}{4}$
	3	Z_2	0.11008(1)	0.326419(3)	1.237075(10)	4.78984(1)	0.629971(4)	0.036298(2)
XY	3	$O(2)$	-0.01526(30)	0.34869(7)	1.3179(2)	4.77937(25)	0.67175(10)	0.038176(44)
Heisenberg	3	$O(3)$	-0.12(1)	0.366(2)	1.395(5)		0.707(3)	0.035(2)
Mean field	all	any	0	$\frac{1}{2}$	1	3	$\frac{1}{2}$	0
Molecular beam epitaxy ^[3]								
Gaussian free field								

Models of magnetic systems (spin systems)

XY model: Spins are confined in a two-dimensional plane. $\mathbf{S}_i = (S_i^x, S_i^y)$

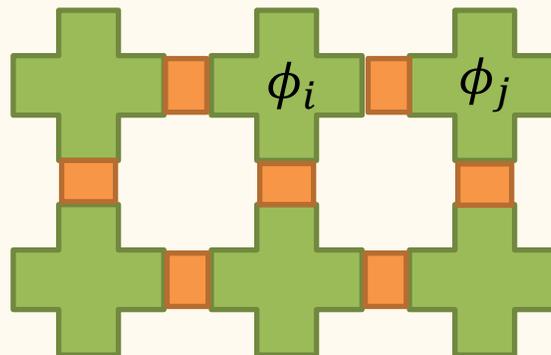
$$\mathcal{H} = -J \sum_{\langle i,j \rangle} \cos(\phi_i - \phi_j) \quad \phi_i : \text{Angle of each spin}$$

Two-dimensional XY model: No long range order (Mermin-Wagner theorem)

Berezinskii-Kosterlitz-Thouless (BKT) transition

Quasi long range order (power decay)

Realization of XY model: Josephson array



Josephson energy

$$E_J = -E_0 \cos(\phi_i - \phi_j)$$

Berezinskii-Kosterlitz-Thouless Transition

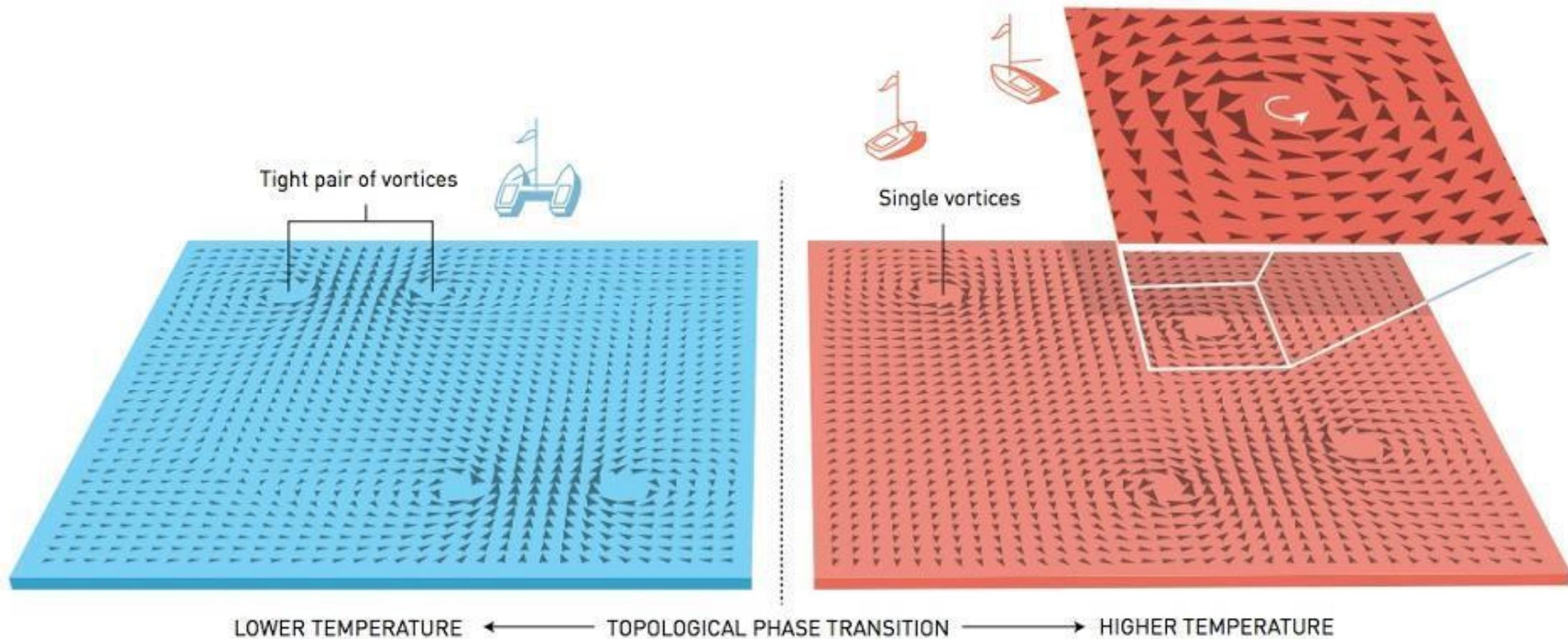


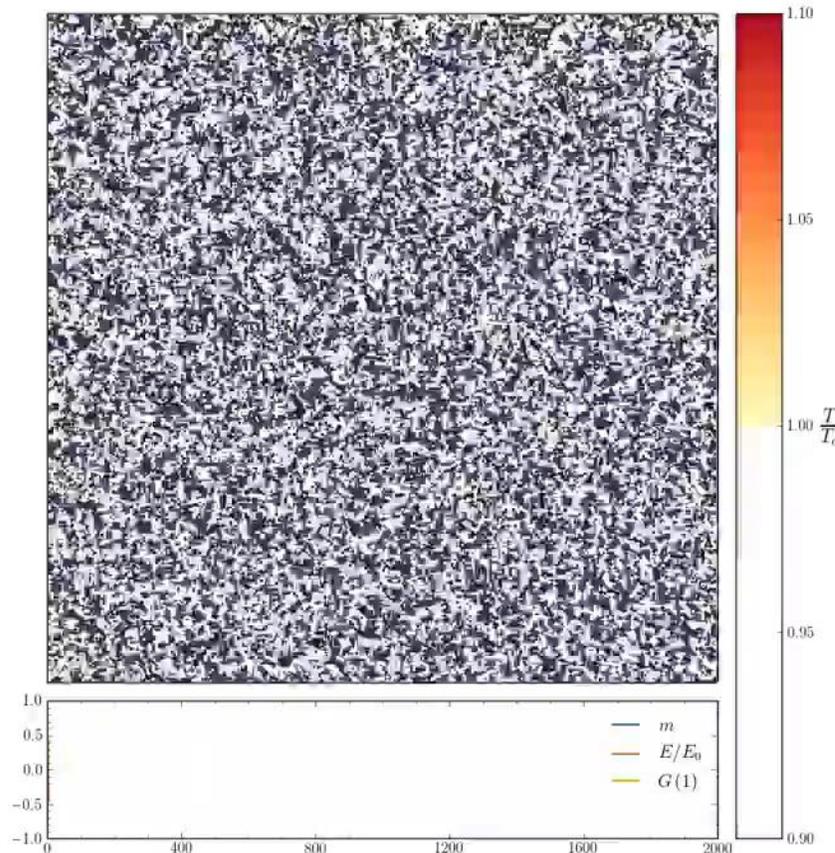
Illustration: ©Johan Jarnestad/The Royal Swedish Academy of Sciences

Ising model

Directions of spins are limited to z

$$\mathcal{H} = -J \sum_{\langle i,j \rangle} S_i S_j - h \sum_i S_i$$

Solution: 1d Ising, 2d Onsager



Model (Universality class)	α	β	γ	δ
2D Ising	0	1/8	7/4	15
3D Ising	0.115	0.324	1.239	4.82
3D XY	-0.01	0.34	1.32	4.9
3D Heisenberg	-0.11	0.36	1.39	4.9
Mean field approximation	0	1/2	1	3

<https://www.youtube.com/watch?v=kjwKgpQ-11s>

Antiferromagnetic Heisenberg model: Néel order and lattice partitioning

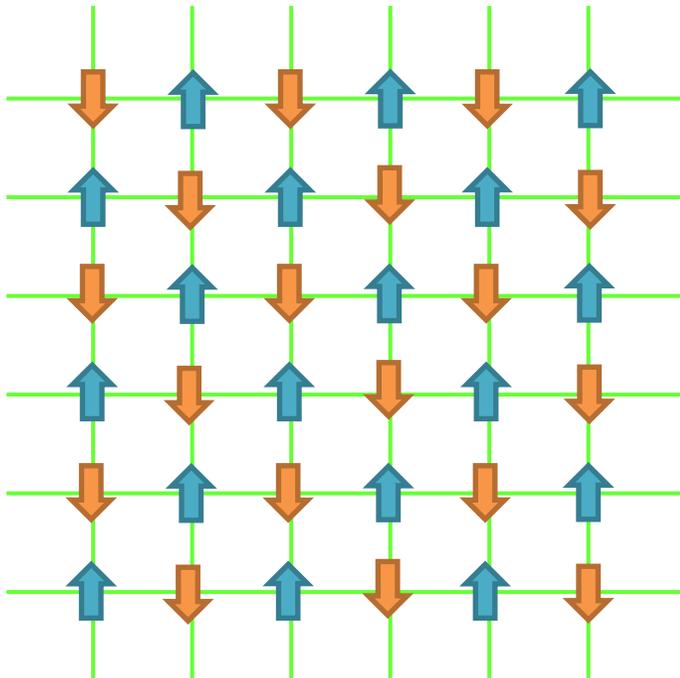
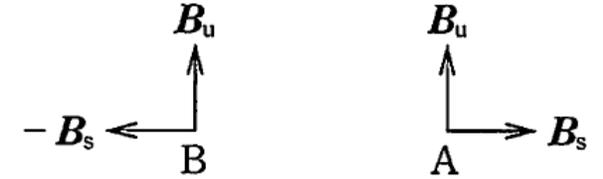
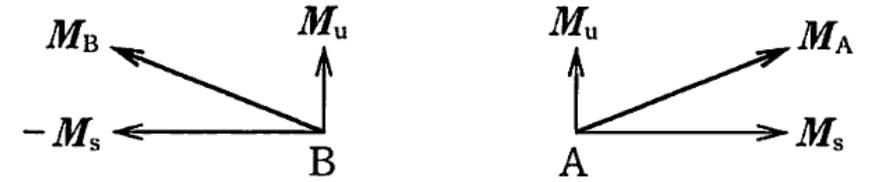
Antiferromagnetic
Heisenberg Hamiltonian

$$\mathcal{H} = -2J \sum_{\langle i,j \rangle} \mathbf{S}_i \cdot \mathbf{S}_j - \mu \sum_i \mathbf{B} \cdot \mathbf{S}_i$$

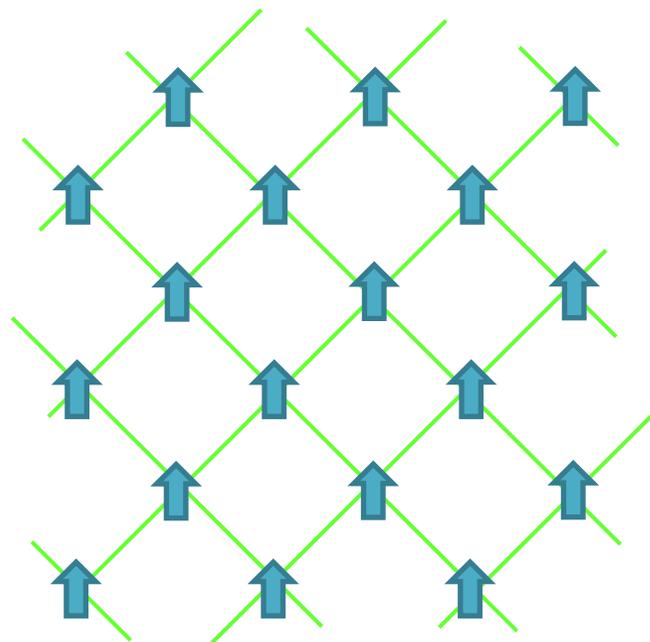
$$J < 0$$

$$\mathbf{B}_A = \mathbf{B}_u + \mathbf{B}_s$$

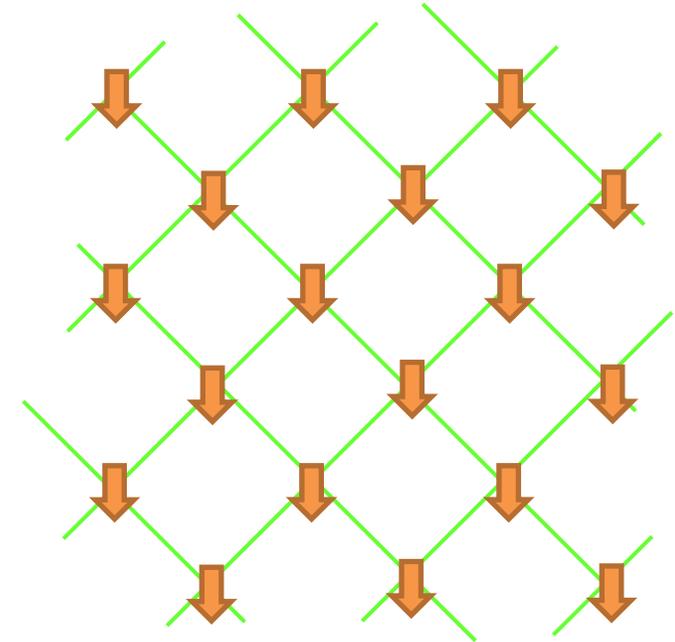
$$\mathbf{B}_B = \mathbf{B}_u - \mathbf{B}_s$$



Néel order in 2D square lattice



Partial Lattice A



Partial Lattice B

Antiferromagnetic Heisenberg model(2)

Molecular-field effective
Hamiltonian

$$\mathcal{H}_{\text{eff}}(i) = -2J \sum_{\delta} \langle \mathbf{S}_{i+\delta} \rangle \cdot \mathbf{S}_i - \mu \mathbf{B}_A \cdot \mathbf{S}_i \quad (i \in A)$$

$$\mathcal{H}_{\text{eff}}(j) = -2J \sum_{\delta} \langle \mathbf{S}_{j+\delta} \rangle \cdot \mathbf{S}_j - \mu \mathbf{B}_B \cdot \mathbf{S}_j \quad (j \in B)$$

Averaged moments

$$\begin{cases} \mathbf{M}_A = \mu \langle \mathbf{S}_i \rangle = \mathbf{M}_u + \mathbf{M}_s \\ \mathbf{M}_B = \mu \langle \mathbf{S}_j \rangle = \mathbf{M}_u - \mathbf{M}_s \end{cases}$$

Vector Brillouin function

$$\vec{B}_S(\mathbf{x}) = B_S(x) \frac{\mathbf{x}}{x}$$

Self-consistent equation

$$\mathbf{M}_u + \mathbf{M}_s = \mu S \vec{B}_S \left\{ \frac{\mu S}{k_B T} \left[\mathbf{B}_u + \mathbf{B}_s + \frac{2\alpha_z J}{\mu^2} (\mathbf{M}_u - \mathbf{M}_s) \right] \right\}$$

Uniform susceptibility

$$\chi_u = \lim_{B_u \rightarrow 0} \frac{M_u}{B_u} = \chi_0 \left(1 - \frac{2\alpha_z J}{\mu^2} \chi_0 \right)^{-1}$$

Alternative susceptibility

$$\chi_s = \lim_{B_s \rightarrow 0} \frac{M_s}{B_s} = \chi_0 \left(1 + \frac{2\alpha_z J}{\mu^2} \chi_0 \right)^{-1}$$

Antiferromagnetic Heisenberg model

Around stable points

$$M_u + M_s = \mu S \left[\vec{B}_S \left(\frac{\mu S}{k_B T} \frac{-2\alpha_z J}{\mu^2} M_s \right) + \frac{d}{dM_s} B_S \left(\frac{\mu S}{k_B T} \frac{-2\alpha_z J}{\mu^2} M_s \right) \left(-M_u - \frac{\mu^2}{2\alpha_z J} B_u \right) \right]$$

$$\because M_u \perp M_s$$

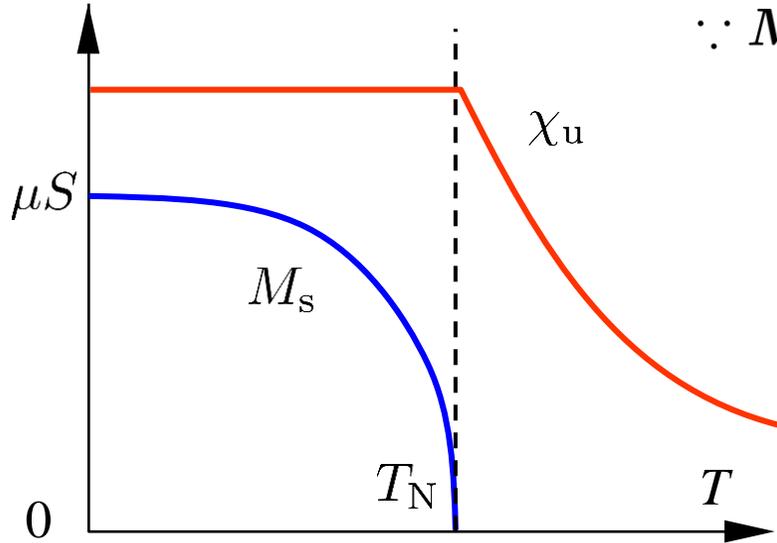
Self-consistent equation for M_s

$$M_s = \mu S B_S \left(\frac{\mu S}{k_B T} \frac{-2\alpha_z J}{\mu^2} M_s \right)$$

$$1 = \mu S \frac{d}{dM_s} B_S \left(\frac{\mu S}{k_B T} \frac{-2\alpha_z J}{\mu^2} M_s \right)$$

$$M_u = -M_u - \frac{\mu^2}{2\alpha_z J} B_u$$

$$\chi_u = \lim_{B_u \rightarrow 0} \frac{M_u}{B_u} = -\frac{\mu^2}{-4\alpha_z J}$$



Summary

- Molecular field approximation
- Phenomenology of phase transition GL theory
 - Free energy
 - Spontaneous Symmetry Breaking
- Critical Exponent
- Theoretical models of magnetic materials
 - XY model
 - Ising model
- Antiferromagnetic Heisenberg model



2022.6.8 Lecture 9

Lecture on

10:25 – 11:55

Magnetic Properties of Materials

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Shingo Katsumoto

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- Anti-ferromagnetic Heisenberg model : parallel field susceptibility
- Spin flop and metamagnetic transition
- Ferrimagnetism
- Molecular-field approximation
- Helimagnetism
- Spin wave

Antiferromagnetic Heisenberg model

Antiferromagnetic
Heisenberg Hamiltonian

$$\mathcal{H} = -2J \sum_{\langle i,j \rangle} \mathbf{S}_i \cdot \mathbf{S}_j - \mu \sum_i \mathbf{B} \cdot \mathbf{S}_i$$

$$J < 0$$

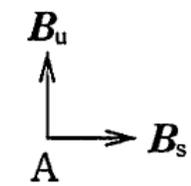
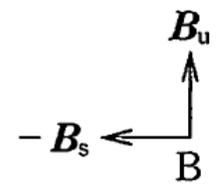
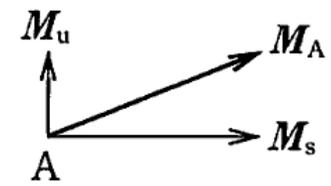
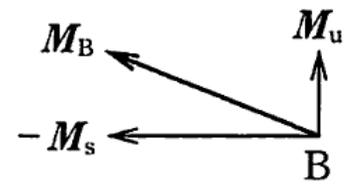
Sublattice magnetic field

$$\mathbf{B}_A = \mathbf{B}_u + \mathbf{B}_s$$

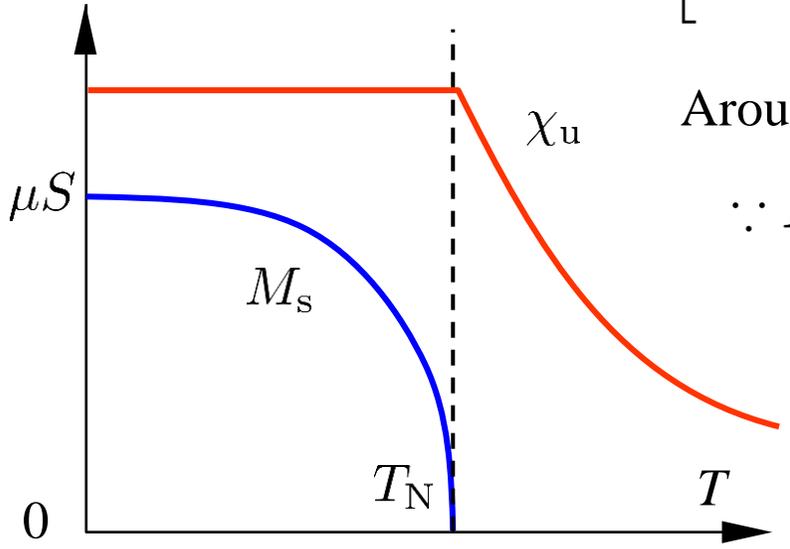
$$\mathbf{B}_B = \mathbf{B}_u - \mathbf{B}_s$$

Self-consistent equation

→ spontaneous sublattice magnetization



$$\mathbf{M}_u + \mathbf{M}_s = \mu S \left[\vec{B}_S \left(\frac{\mu S}{k_B T} \frac{-2\alpha_z J}{\mu^2} \mathbf{M}_s \right) + \frac{d}{dM_s} B_S \left(\frac{\mu S}{k_B T} \frac{-2\alpha_z J}{\mu^2} \mathbf{M}_s \right) \left(-\mathbf{M}_u - \frac{\mu^2}{2\alpha_z J} \mathbf{B}_u \right) \right]$$



Around stable points

$$\because \mathbf{M}_u \perp \mathbf{M}_s$$

Self-consistent equation for M_s

$$M_s = \mu S B_S \left(\frac{\mu S}{k_B T} \frac{-2\alpha_z J}{\mu^2} M_s \right)$$

$$1 = \mu S \frac{d}{dM_s} B_S \left(\frac{\mu S}{k_B T} \frac{-2\alpha_z J}{\mu^2} M_s \right)$$

$$\mathbf{M}_u = -\mathbf{M}_u - \frac{\mu^2}{2\alpha_z J} \mathbf{B}_u$$

$$\chi_u = \lim_{B_u \rightarrow 0} \frac{M_u}{B_u} = -\frac{\mu^2}{-4\alpha_z J}$$

Antiferromagnetic Heisenberg model: parallel field

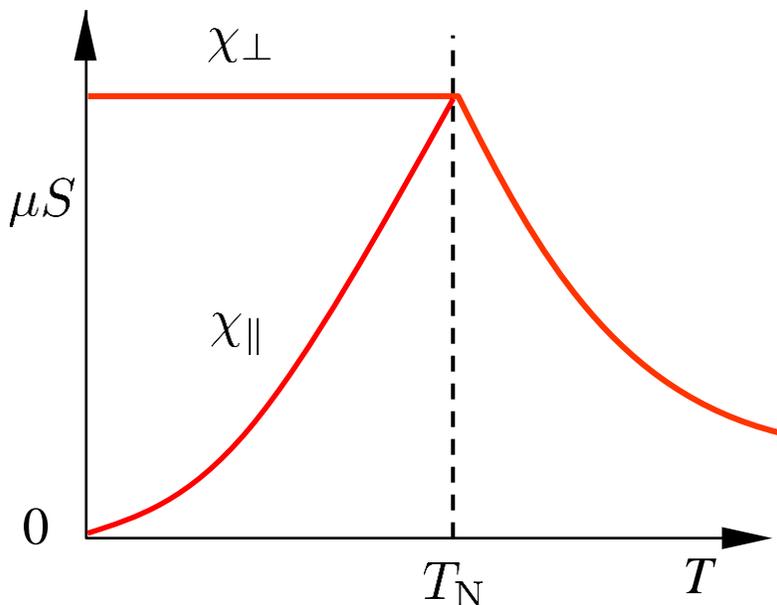
Consider sublattice-dependent effective magnetic field

$$\begin{cases} B_{\text{eff}}(\text{A}) = B + B_{\text{sub}}(\text{A}), \\ B_{\text{eff}}(\text{B}) = B + B_{\text{sub}}(\text{B}) \end{cases}$$

Set of self-consistent equations for sublattice-dependent magnetic field

$$\begin{aligned} \langle M_{\text{A}} \rangle &= \mu S \mathcal{B}_S \left[\frac{\mu S}{k_{\text{B}} T} \left(B + \frac{2\alpha_z J}{\mu^2} \langle M_{\text{B}} \rangle \right) \right], \\ \langle M_{\text{B}} \rangle &= \mu S \mathcal{B}_S \left[\frac{\mu S}{k_{\text{B}} T} \left(B + \frac{2\alpha_z J}{\mu^2} \langle M_{\text{A}} \rangle \right) \right] \end{aligned}$$

$\mathcal{B}_S(x)$: Brillouin function



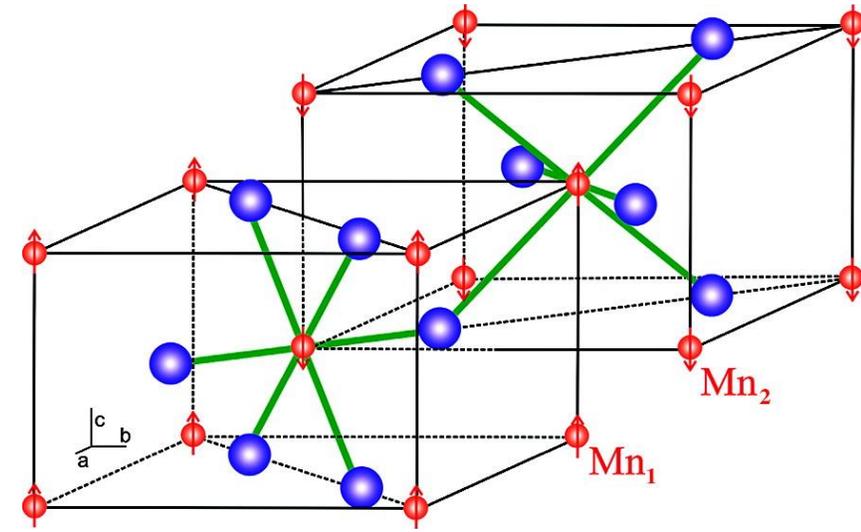
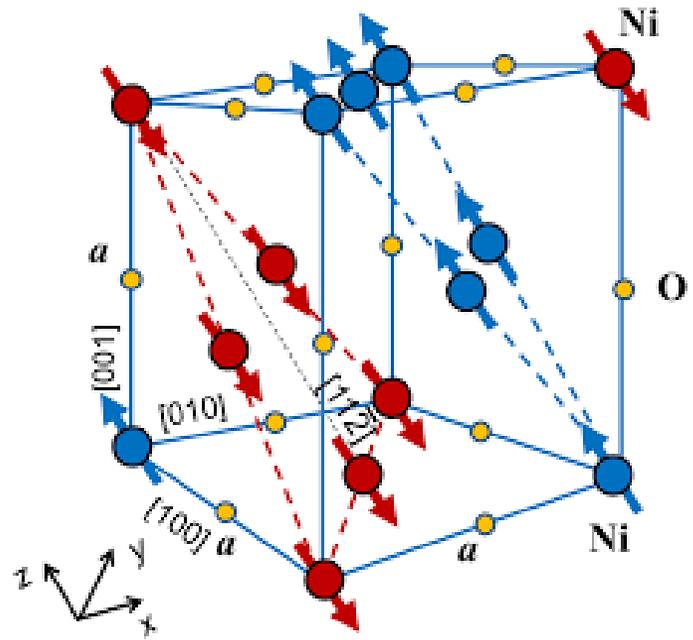
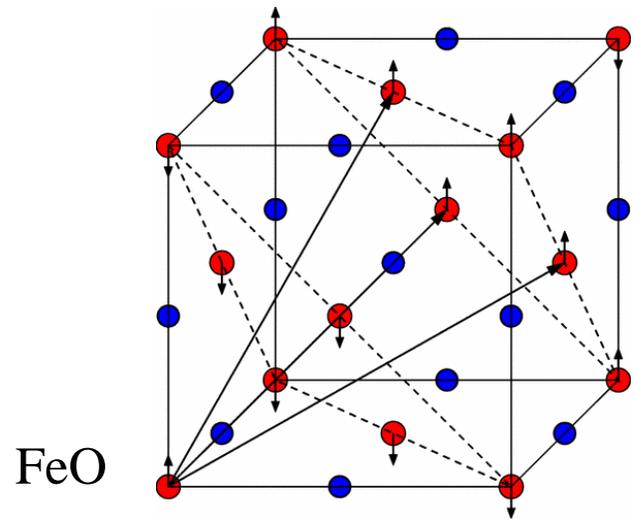
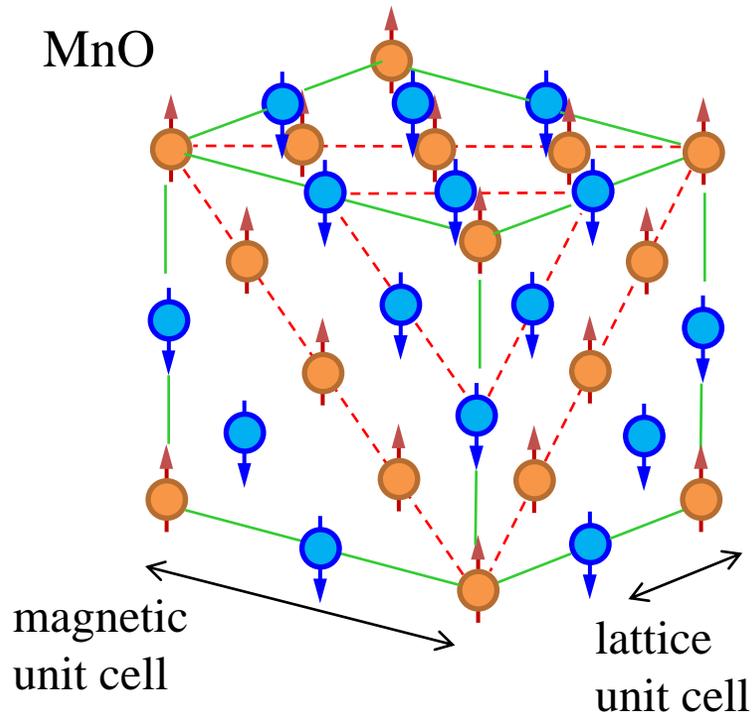
This set of equations should be solved numerically.

Then the parallel susceptibility is given by $\chi_{\parallel} = \lim_{B \rightarrow 0} \frac{M_{\text{A}} + M_{\text{B}}}{B}$

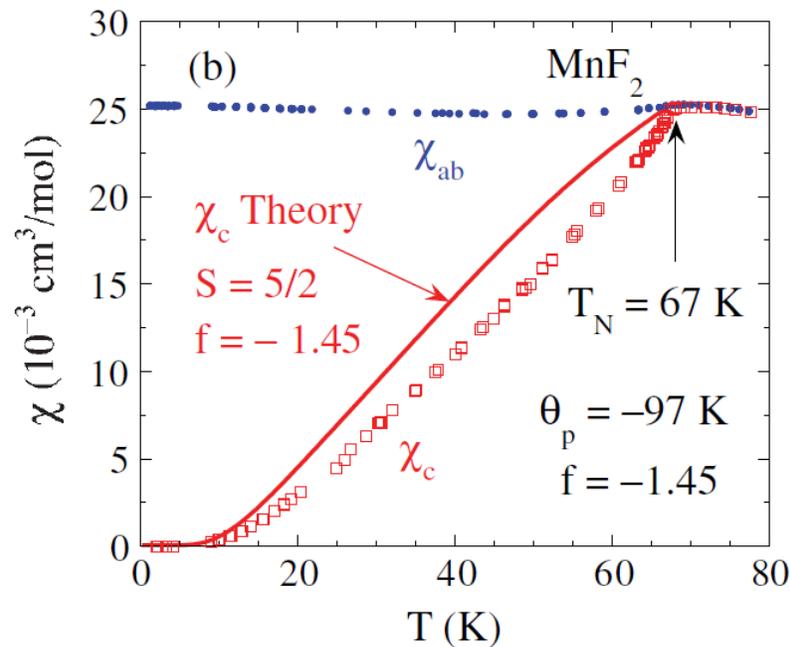
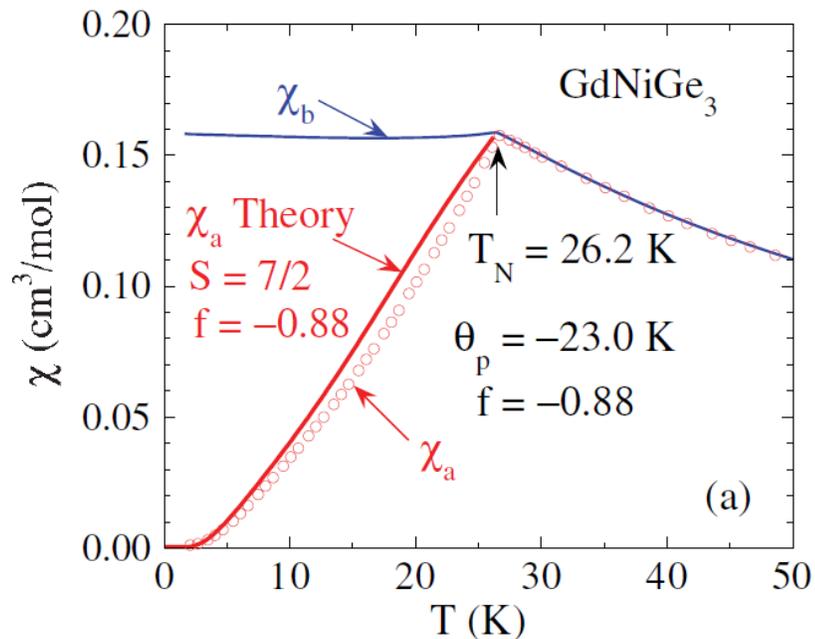
$$T \rightarrow 0 \quad M_{\text{A}} = -M_{\text{B}} = \mu S \quad \text{then} \quad \chi_{\parallel} \rightarrow 0$$

On the other hand, at $T = T_{\text{N}}$ $\chi_{\parallel} = \chi_{\perp}$

Examples of spin configuration in metal-oxide antiferromagnets



Temperature dependence of susceptibility



High temperature side ($T > T_N$) $\chi_u \propto \frac{1}{T + \theta}$ θ : Weiss temperature

$$\chi_u = \lim_{B_u \rightarrow 0} \frac{M_u}{B_u} = \chi_0 \left(1 - \frac{2\alpha_z J}{\mu^2} \chi_0 \right)^{-1}$$

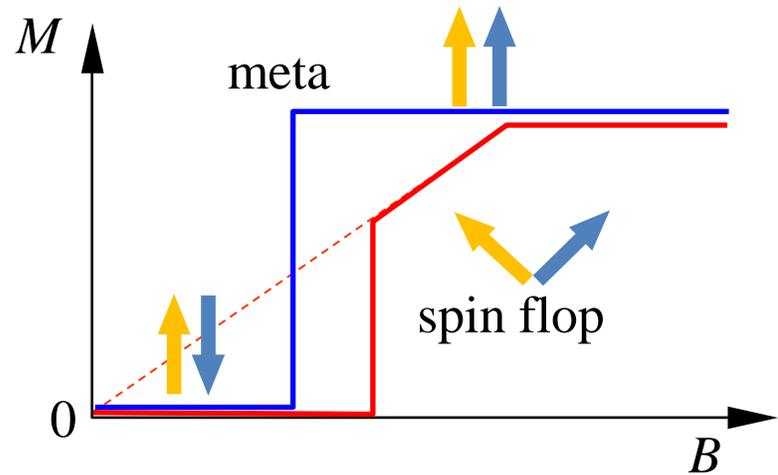
$$\chi_s = \lim_{B_s \rightarrow 0} \frac{M_s}{B_s} = \chi_0 \left(1 + \frac{2\alpha_z J}{\mu^2} \chi_0 \right)^{-1}$$

$$\left. \vphantom{\begin{matrix} \chi_u \\ \chi_s \end{matrix}} \right\} \theta = T_N$$

Typical anti-ferromagnets Néel and Weiss temperatures

Material	Lattice-type of magnetic ions	Néel temperature (K)	Weiss temperature (K)
MnO	fcc	116	610
MnS	fcc	160	528
MnTe	hexagonal	307	690
MnF ₂	bct	67	82
FeF ₂	bct	79	117
FeCl ₂	hexagonal	24	48
FeO	fcc	198	570
CoCl ₂	hexagonal	25	38
CoO	fcc	291	330
NiCl ₂	hexagonal	50	62
NiO	fcc	525	~ 2000
Cr	fcc	308	

Spin phase transition at higher fields in antiferromagnets



Consider a material with susceptibility χ

With applying magnetic field B the energy lowering in the material is

$$E_m = - \int_0^B \frac{M(B')}{\mu_0} dB' - \chi \int_0^B \frac{B'}{\mu_0} dB' = -\frac{\chi}{2\mu_0^2} B^2$$

$$T < T_N \quad \chi_{\perp} > \chi_{\parallel}$$

→ States under vertical magnetic field is more stable

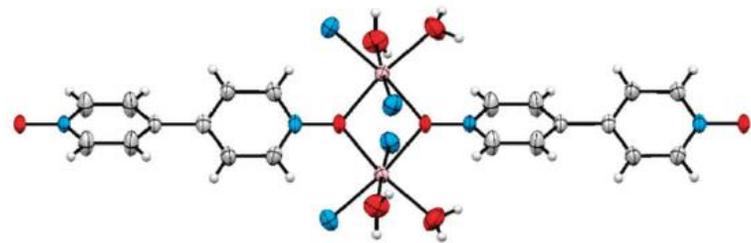
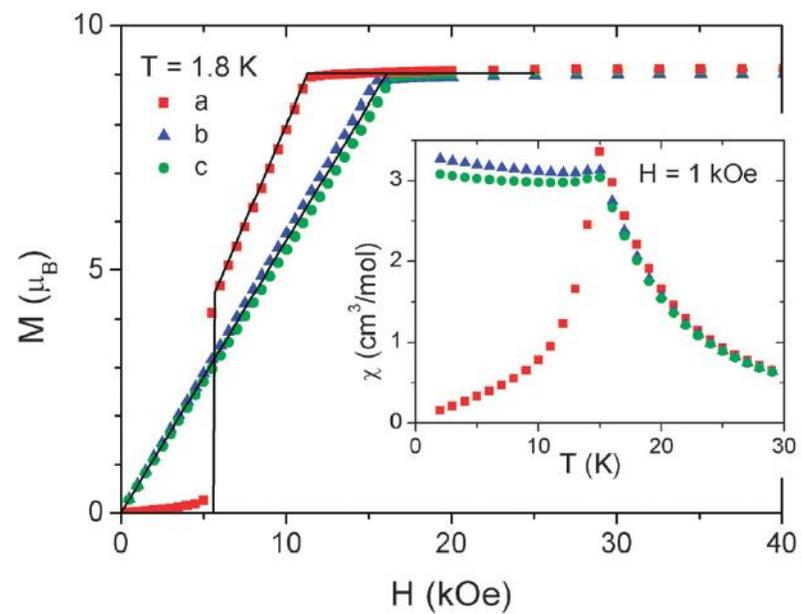
However crystals often have magnetic anisotropy. Let K be the anisotropic energy.

The anisotropic energy is overcome by the Zeeman energy at $\frac{\chi_{\perp} - \chi_{\parallel}}{2\mu_0^2} B_c^2 = K$ **Spin flop transition**

$$B_c = \mu_0 \sqrt{\frac{2K}{\chi_{\perp} - \chi_{\parallel}}}$$

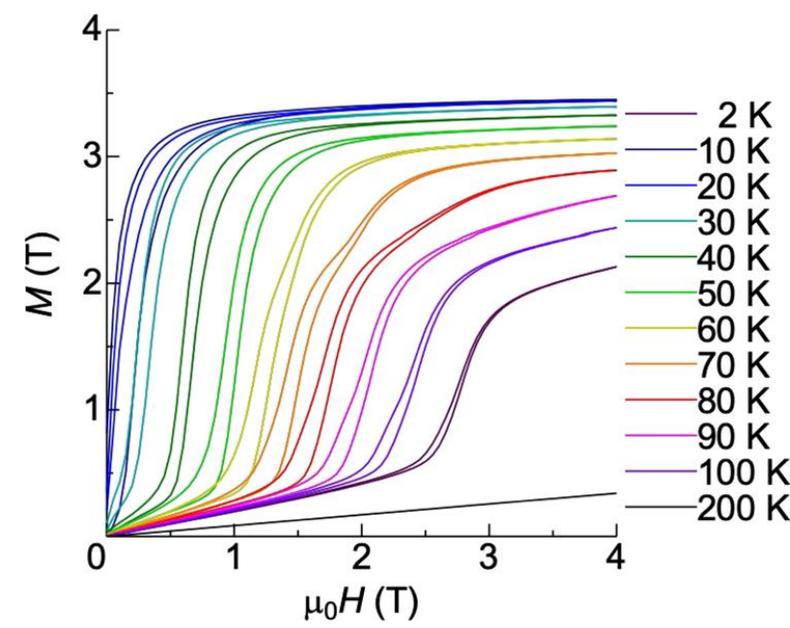
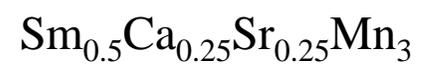
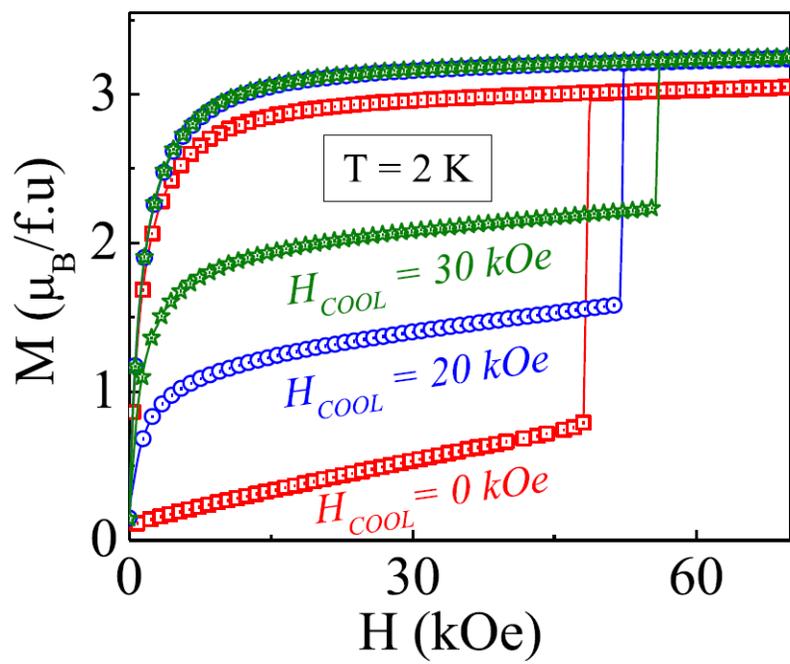
Meta magnetism transition: antiferromagnetic interaction is overcome by the Zeeman energy

Spin flop transition, metamagnetic transition



Spin flop transition in polymer anti-ferromagnet

Metamagnetic transition



Helical magnet Ho single crystal

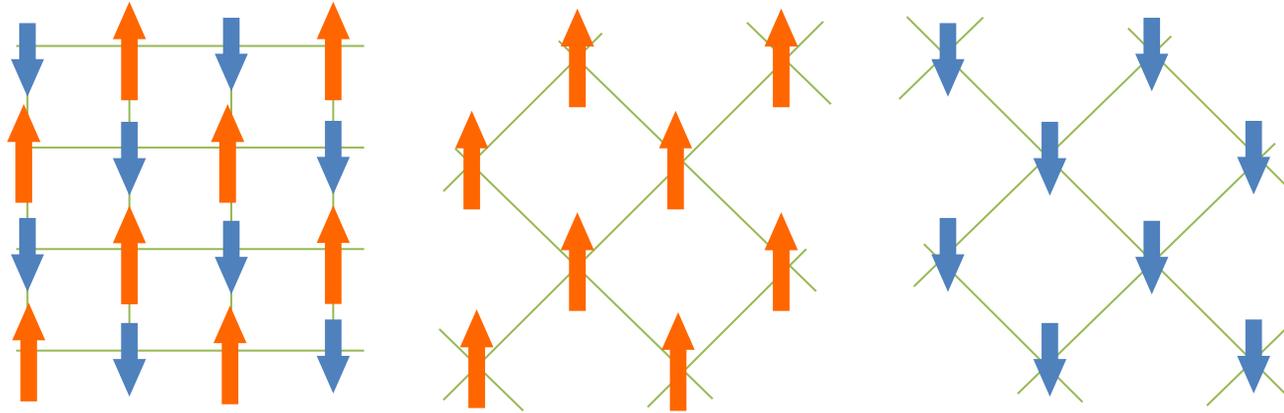
Remember in magnetic refrigeration, to have a good efficiency

$$\left(\frac{\partial S}{\partial B}\right)_T = \left(\frac{\partial M}{\partial T}\right)_B \quad \text{should be large.}$$

Because the metamagnetic transition is temperature sensitive, very high efficiency may be attainable.

Ferrimagnetism

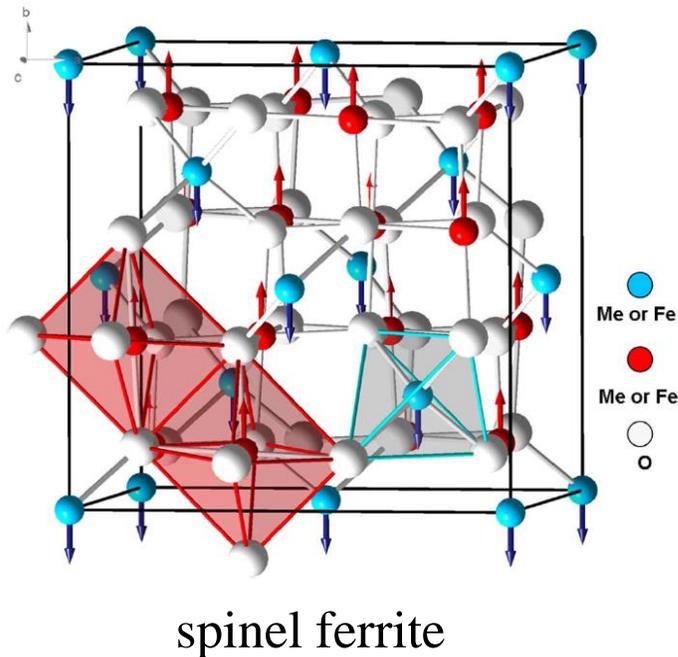
Ferrimagnetism ← Magnetism in ferrites



Anti-ferromagnetic exchange interaction between the two sublattices: **the same as anti-ferromagnetism**

However the amplitudes of magnetization (the magnetic moments) are not the same.

Spontaneous magnetizations do not cancel out.



Molecular field approximation

$$\begin{cases} B_A = \alpha M_A + (-\gamma)(-M_B) = \alpha M_A + \gamma M_B, \\ B_B = \gamma M_A + \beta M_B \end{cases}$$

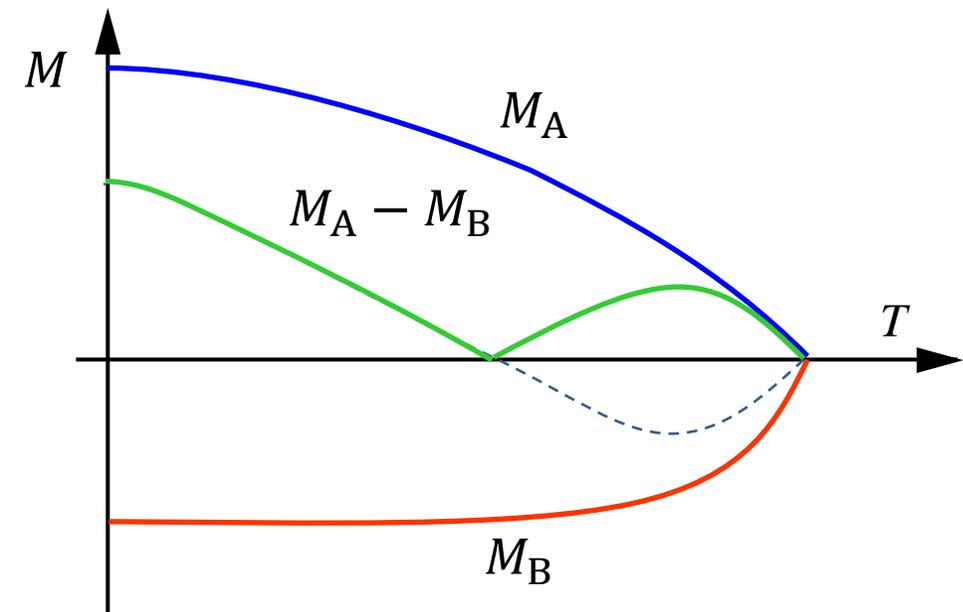
Molecular fields: intra-sublattice interaction is included

$$\begin{cases} M_A = \mu S_A \mathcal{B}_{S_A} \left[\frac{\mu S_A}{k_B T} (\alpha M_A + \gamma M_B) \right], \\ M_B = \mu S_B \mathcal{B}_{S_B} \left[\frac{\mu S_B}{k_B T} (\gamma M_A + \beta M_B) \right]. \end{cases}$$

Self-consistent set of equations

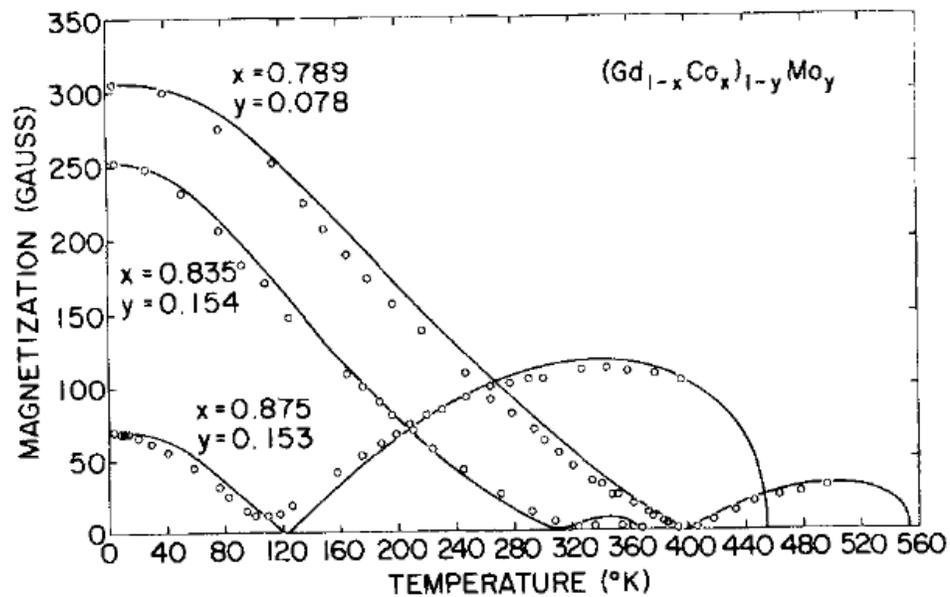
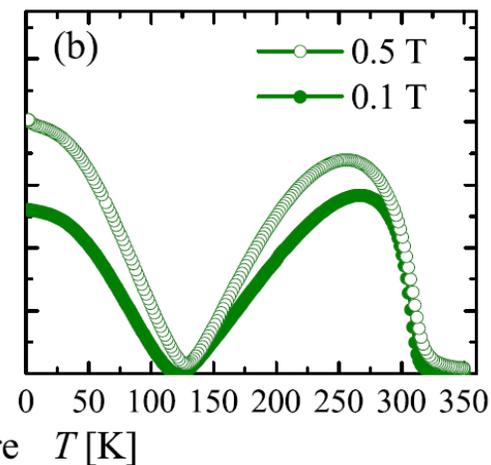
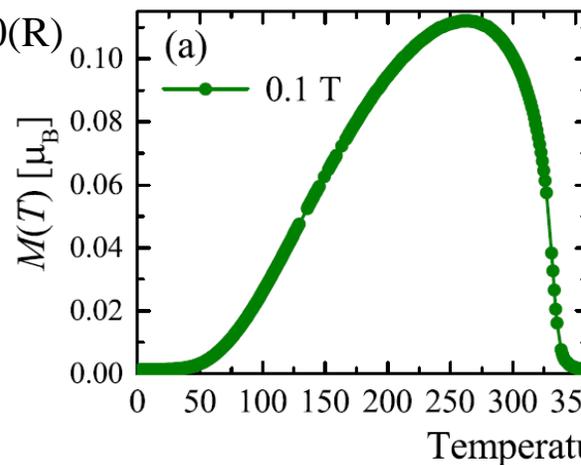
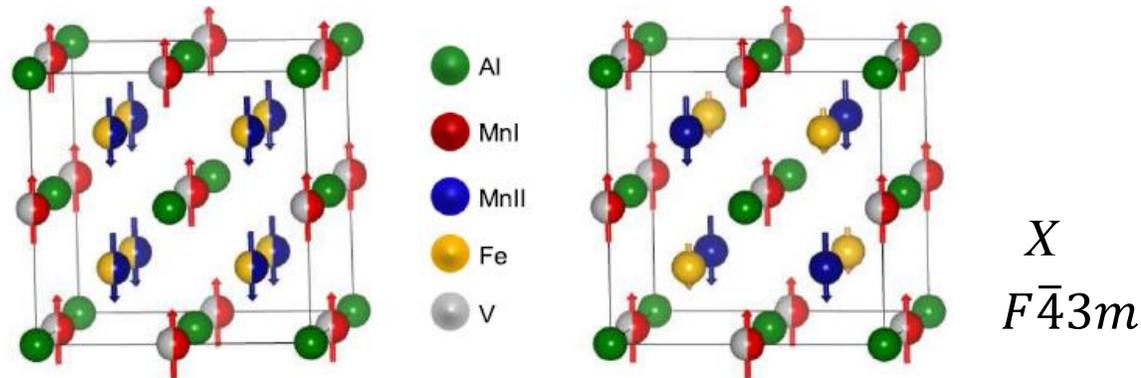
$\mathcal{B}_S(x)$: Brillouin function

Compensated ferrimagnetism

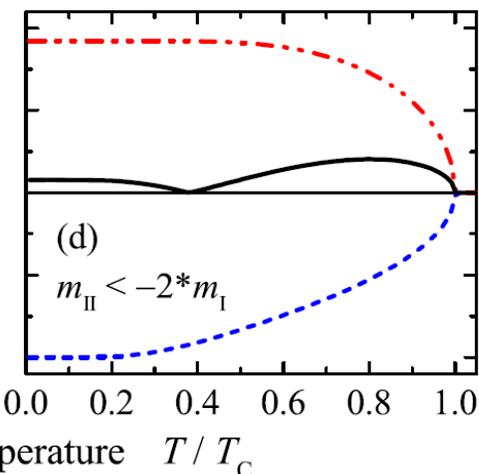
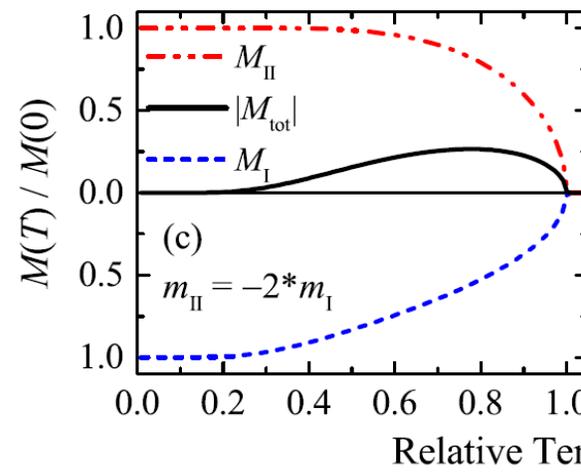


$\text{Mn}_{1.5}\text{V}_{0.5}\text{FeAl}$
Cubic Heusler
 $L2_1$
 $Fm\bar{3}m$

Stinshoff et al.
PRB **95**, 060410(R)
(17)



Hasegawa et al. AIP conf. proc. **24**, 110 (75).



Helimagnetism

Heisenberg model (again!) $\mathcal{H} = - \sum_{\langle i,j \rangle} J_{ij} \mathbf{S}_i \cdot \mathbf{S}_j - \mu \sum_i \mathbf{B}_i \cdot \mathbf{S}_i$

Remember the agenda of molecular field approximation.

$\mathbf{B}_i = \mathbf{0}$ in the first place

1. Find classical ground state
2. Consider the field configuration to stabilize the classical ground state
3. Write down the self consistent equation

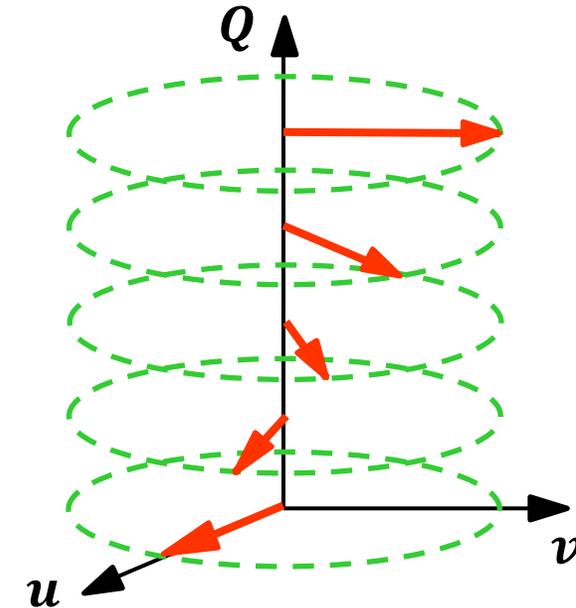
Look for a stable state.

Fourier expansion $\langle \mathbf{S}_i \rangle = \frac{1}{\sqrt{N}} \sum_{\mathbf{q}} \langle \mathbf{S}_{\mathbf{q}} \rangle \exp(i\mathbf{q} \cdot \mathbf{r}_i)$

Then $|\langle \mathbf{S}_i \rangle|^2 = S^2 = \frac{1}{N} \sum_{\mathbf{q}, \mathbf{q}'} \langle \mathbf{S}_{\mathbf{q}} \rangle \cdot \langle \mathbf{S}_{\mathbf{q}'} \rangle \exp(i(\mathbf{q} + \mathbf{q}') \cdot \mathbf{r}_i)$

The expectation value of the Hamiltonian is written as $\langle \mathcal{H} \rangle = - \sum_{\langle i,j \rangle} J_{ij} \langle \mathbf{S}_i \rangle \cdot \langle \mathbf{S}_j \rangle = - \sum_{\mathbf{q}} J_{\mathbf{q}} \langle \mathbf{S}_{\mathbf{q}} \rangle \cdot \langle \mathbf{S}_{-\mathbf{q}} \rangle$

where $J_{\mathbf{q}} = \sum_j J_{ij} \exp[-i\mathbf{q} \cdot (\mathbf{r}_i - \mathbf{r}_j)]$



Helimagnetism (2)

In $|\langle \mathbf{S}_i \rangle|^2 = S^2 = \frac{1}{N} \sum_{\mathbf{q}, \mathbf{q}'} \langle \mathbf{S}_{\mathbf{q}} \rangle \cdot \langle \mathbf{S}_{\mathbf{q}'} \rangle \exp(i(\mathbf{q} + \mathbf{q}') \cdot \mathbf{r}_i)$

the summation on i in the right hand side can be carried out as $\frac{1}{N} \sum_i \sum_{\mathbf{q}, \mathbf{q}'} \langle \mathbf{S}_{\mathbf{q}} \rangle \cdot \langle \mathbf{S}_{\mathbf{q}'} \rangle \exp(i(\mathbf{q} + \mathbf{q}') \cdot \mathbf{r}_i) = \sum_{\mathbf{q}, \mathbf{q}'} \langle \mathbf{S}_{\mathbf{q}} \rangle \cdot \langle \mathbf{S}_{\mathbf{q}'} \rangle \delta_{\mathbf{q}, -\mathbf{q}'}$

Then $NS^2 = \sum_{\mathbf{q}} \langle \mathbf{S}_{\mathbf{q}} \rangle \cdot \langle \mathbf{S}_{-\mathbf{q}} \rangle$ this should be a constraint.

Let $\pm \mathbf{Q}$ be wavenumbers at which $J_{\mathbf{q}}$ take the maxima $\mathbf{Q} = 0$: Ferromagnetism
 $\mathbf{Q} = \mathbf{K} - \mathbf{Q}$: Antiferromagnetism

Then we assume $\langle \mathbf{S}_{\mathbf{Q}} \rangle \neq 0$, $\langle \mathbf{S}_{-\mathbf{Q}} \rangle \neq 0$, (others) = 0

The equation on the top is $NS^2 = \langle \mathbf{S}_{\mathbf{Q}} \rangle \cdot \langle \mathbf{S}_{\mathbf{Q}} \rangle \exp(2i\mathbf{Q} \cdot \mathbf{r}_i) + \langle \mathbf{S}_{-\mathbf{Q}} \rangle \cdot \langle \mathbf{S}_{-\mathbf{Q}} \rangle \exp(-2i\mathbf{Q} \cdot \mathbf{r}_i) + 2 \langle \mathbf{S}_{\mathbf{Q}} \rangle \cdot \langle \mathbf{S}_{-\mathbf{Q}} \rangle$

From the constraint $\langle \mathbf{S}_{\mathbf{Q}} \rangle \cdot \langle \mathbf{S}_{\mathbf{Q}} \rangle = \langle \mathbf{S}_{-\mathbf{Q}} \rangle \cdot \langle \mathbf{S}_{-\mathbf{Q}} \rangle = 0$

$$\text{Re}[\langle \mathbf{S}_{\mathbf{Q}} \rangle] = \mathbf{a}, \text{Im}[\langle \mathbf{S}_{\mathbf{Q}} \rangle] = \mathbf{b} \mapsto |\mathbf{a}|^2 - |\mathbf{b}|^2 = 0, \mathbf{a} \cdot \mathbf{b} = 0$$

Helimagnetism (3)

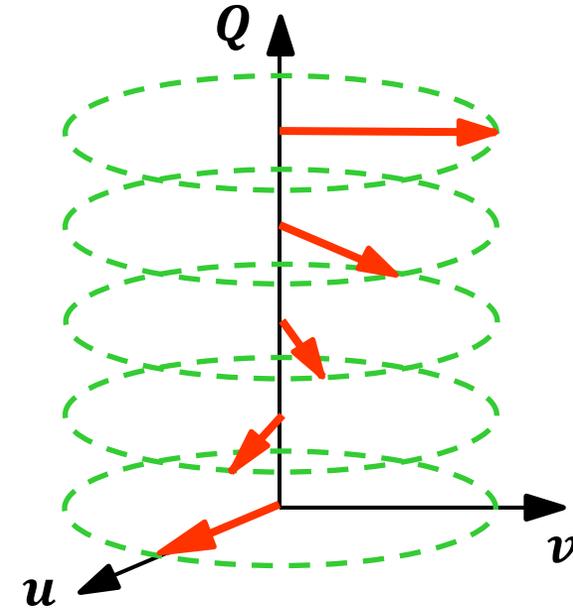
Then we can write with taking \mathbf{u} and \mathbf{v} as orthogonal unit vectors as

$$\langle \mathbf{S}_{\mathbf{Q}} \rangle = \frac{\sqrt{N}}{2} S(\mathbf{u} - i\mathbf{v})$$

Then the ground state spin configuration is given by

$$\langle \mathbf{S}_i \rangle = S[\mathbf{u} \cos(\mathbf{Q} \cdot \mathbf{r}_i) + \mathbf{v} \sin(\mathbf{Q} \cdot \mathbf{r}_i)]$$

This represents the helical structure.



Molecular field approximation

Stabilization field

$$\mathbf{B}_i = B_q[\mathbf{u} \cos(\mathbf{q} \cdot \mathbf{r}_i) + \mathbf{v} \sin(\mathbf{q} \cdot \mathbf{r}_i)]$$

Molecular field

$$\langle \mathbf{S}_i \rangle = m_q[\mathbf{u} \cos(\mathbf{q} \cdot \mathbf{r}_i) + \mathbf{v} \sin(\mathbf{q} \cdot \mathbf{r}_i)]$$

Effective Hamiltonian

$$\mathcal{H}_{\text{eff}}(i) = -(2m_q J_q + \mu B_q)[\mathbf{u} \cos(\mathbf{q} \cdot \mathbf{r}_i) + \mathbf{v} \sin(\mathbf{q} \cdot \mathbf{r}_i)] \cdot \mathbf{S}_i$$

Self consistent equation

$$m_q = S \mathcal{B}_S \left[\frac{S}{k_B T} (2m_q J_q + \mu B_q) \right]$$

Helical susceptibility

$$\chi_q = \lim_{B_q \rightarrow 0} \frac{\mu m_q}{B_q} = \chi_0 \left(1 - \frac{2J_q}{\mu^2} \chi_0 \right)^{-1}$$

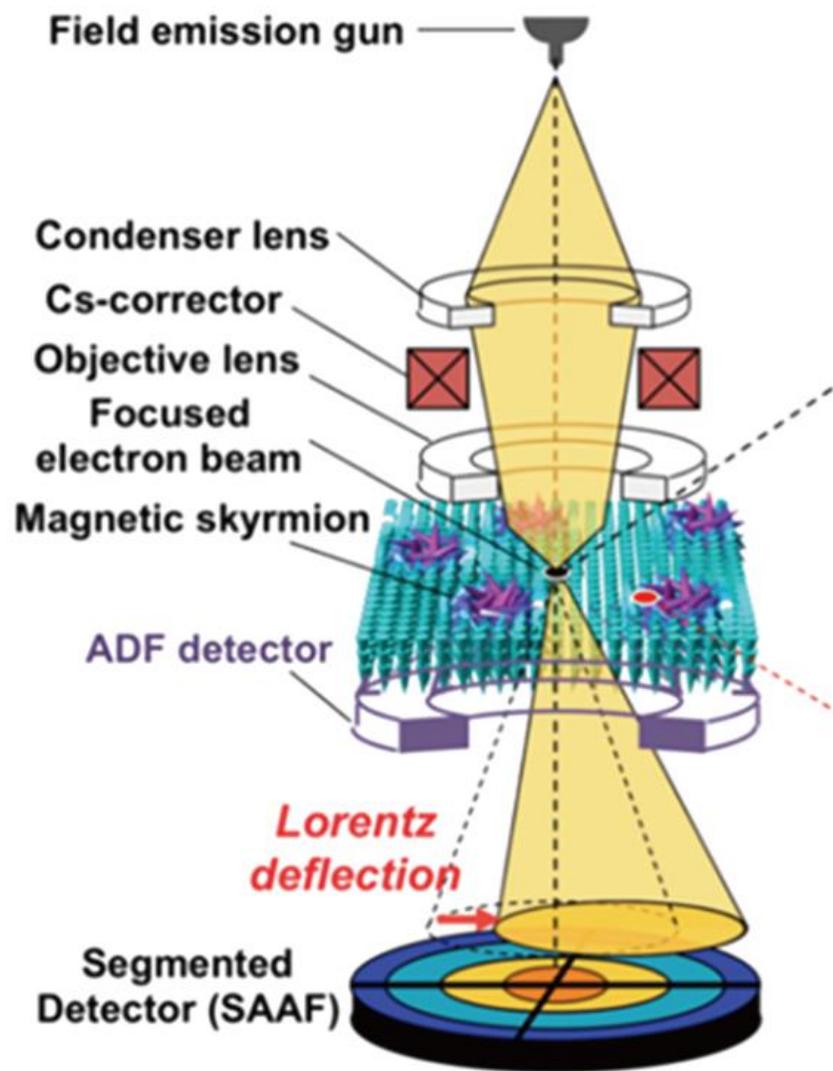
Helical order temperature

$$k_B T_Q = \frac{2}{3} S(S+1) J_Q$$

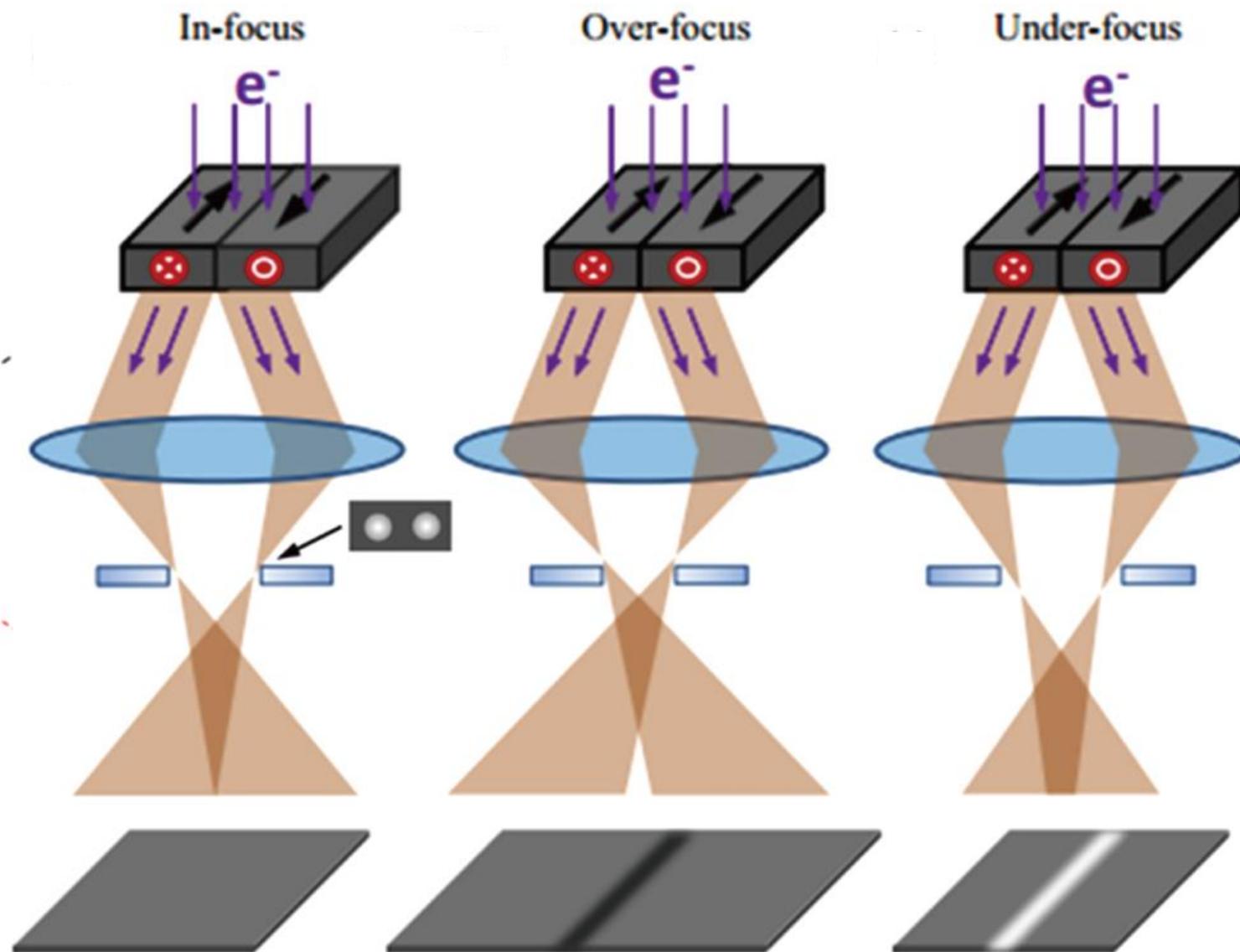
Lorentz transmission microscope

Li-cong et al. Ch. Phys. B **27**, 066802 (2018)

Overview

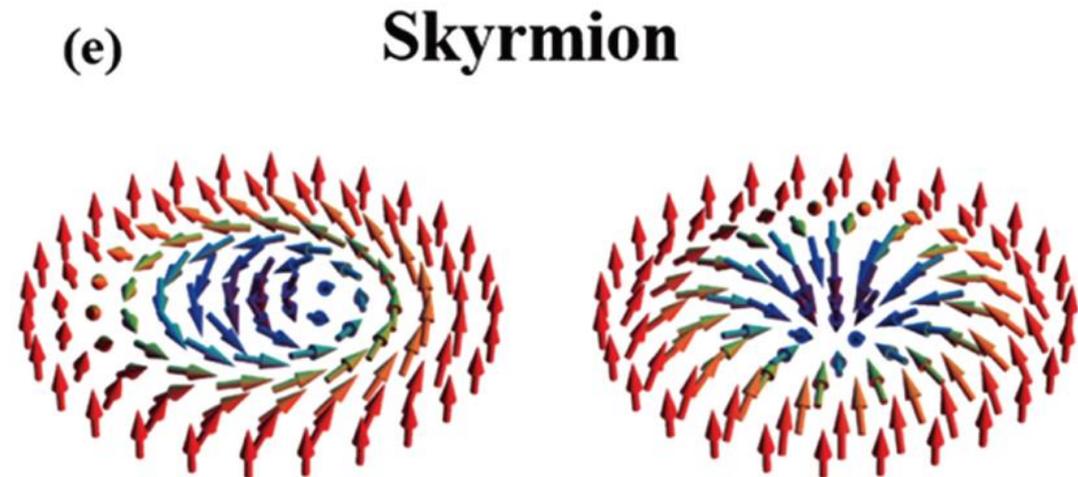
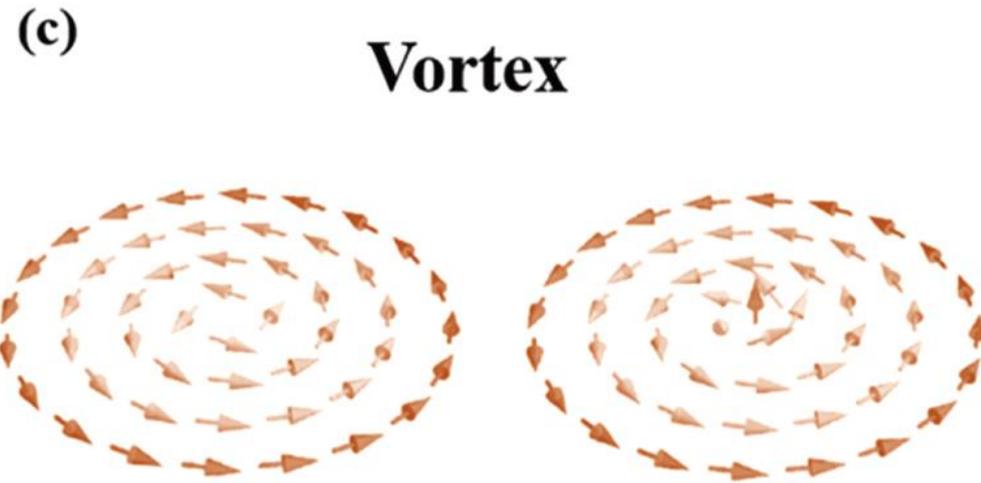
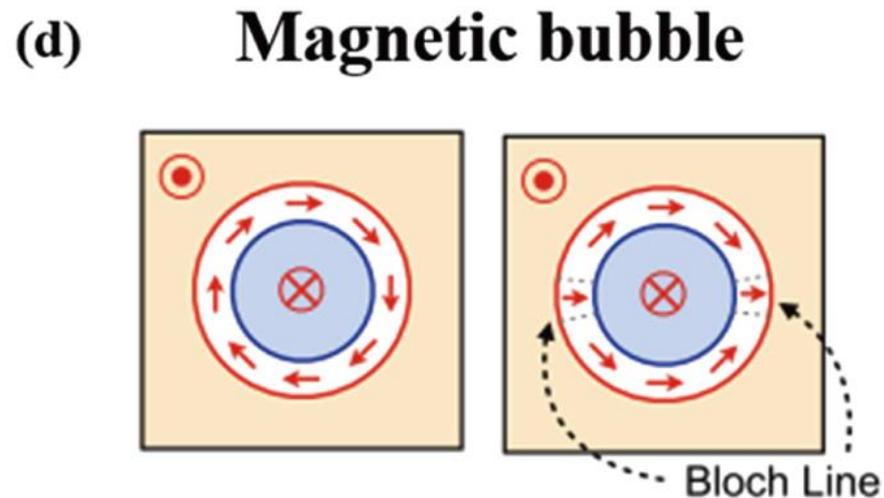
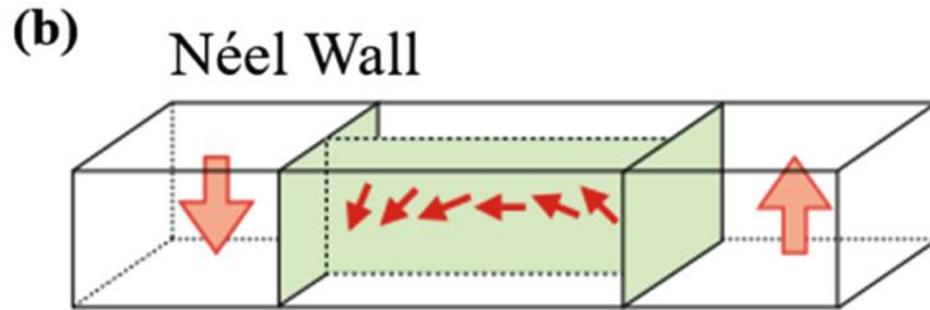
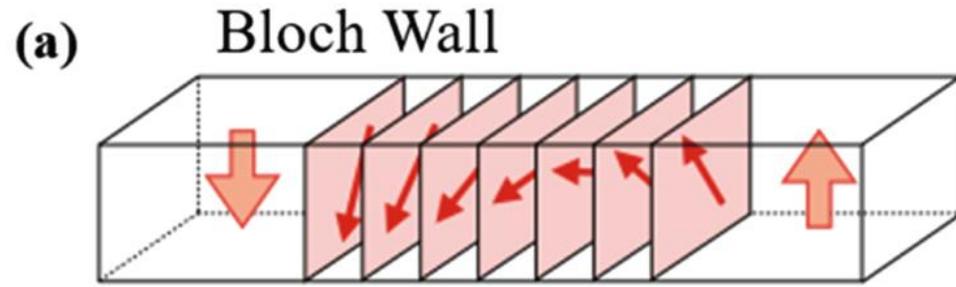


Effect of defocusing

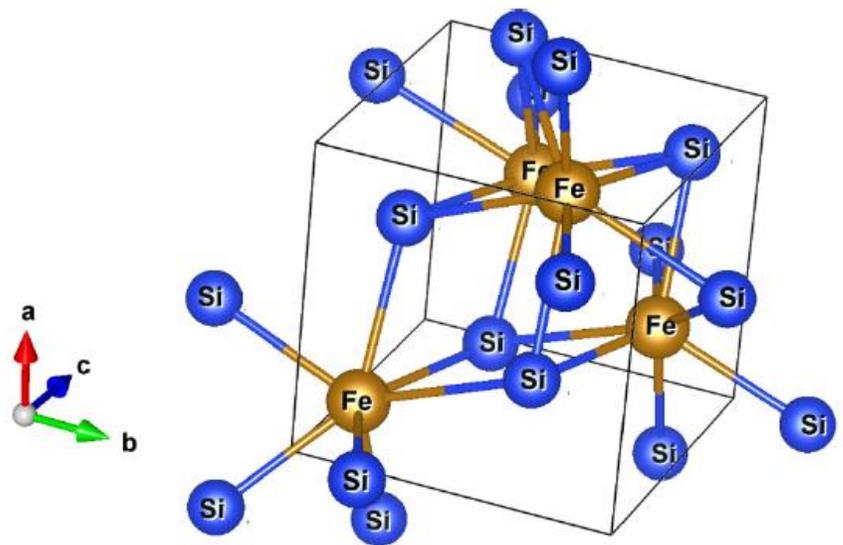


Spatially localized magnetic structures

Li-cong et al. Ch. Phys. B **27**, 066802 (2018)



Real space observations of spin structures by Lorentz microscope

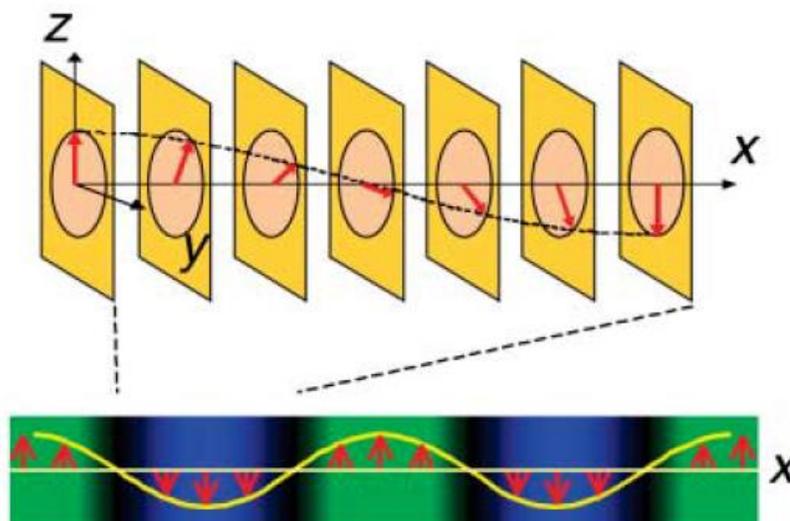


ϵ -FeSi B20-type cubic non-centrosymmetric lattice

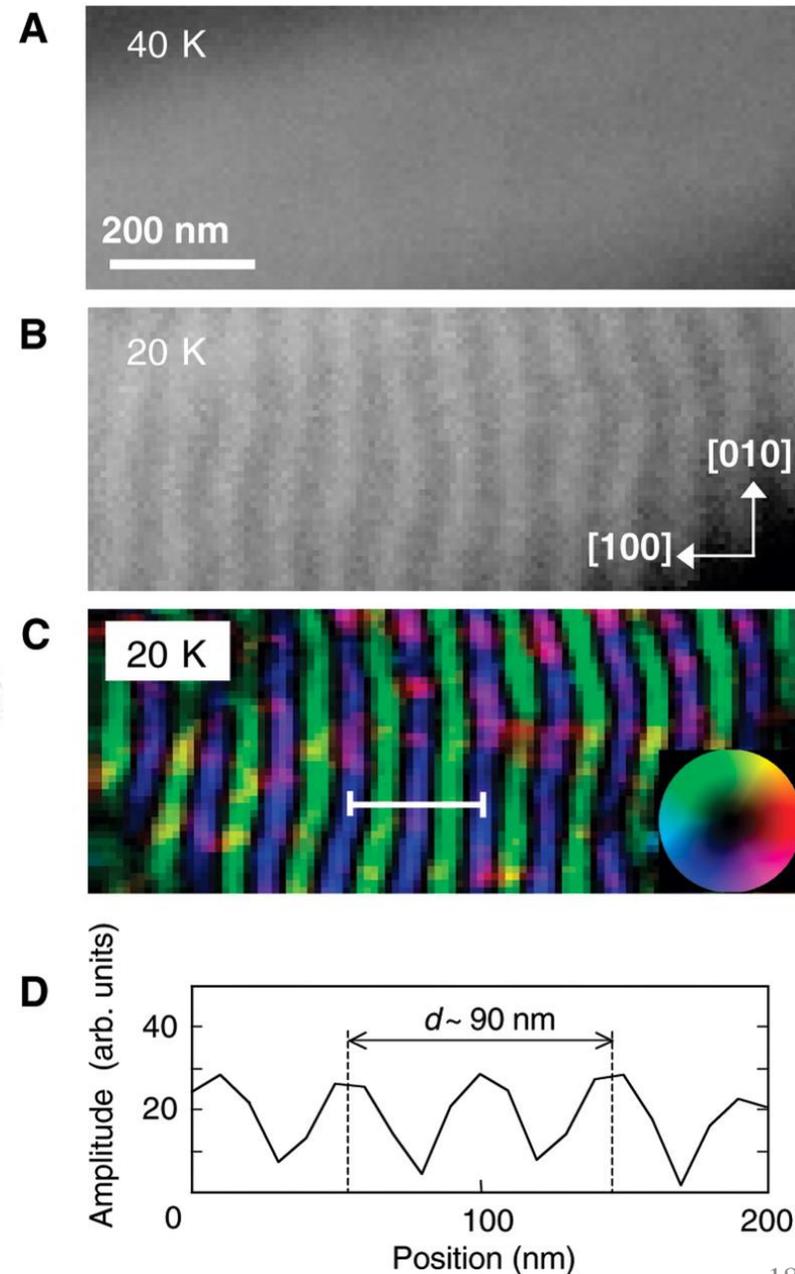


Dzyaloshinsky-Moriya interaction causes helimagnetism

Uchida et al., Science **311**, 359 (2006)



Helical structure can be detected in the Fresnel mode of Lorentz microscope.

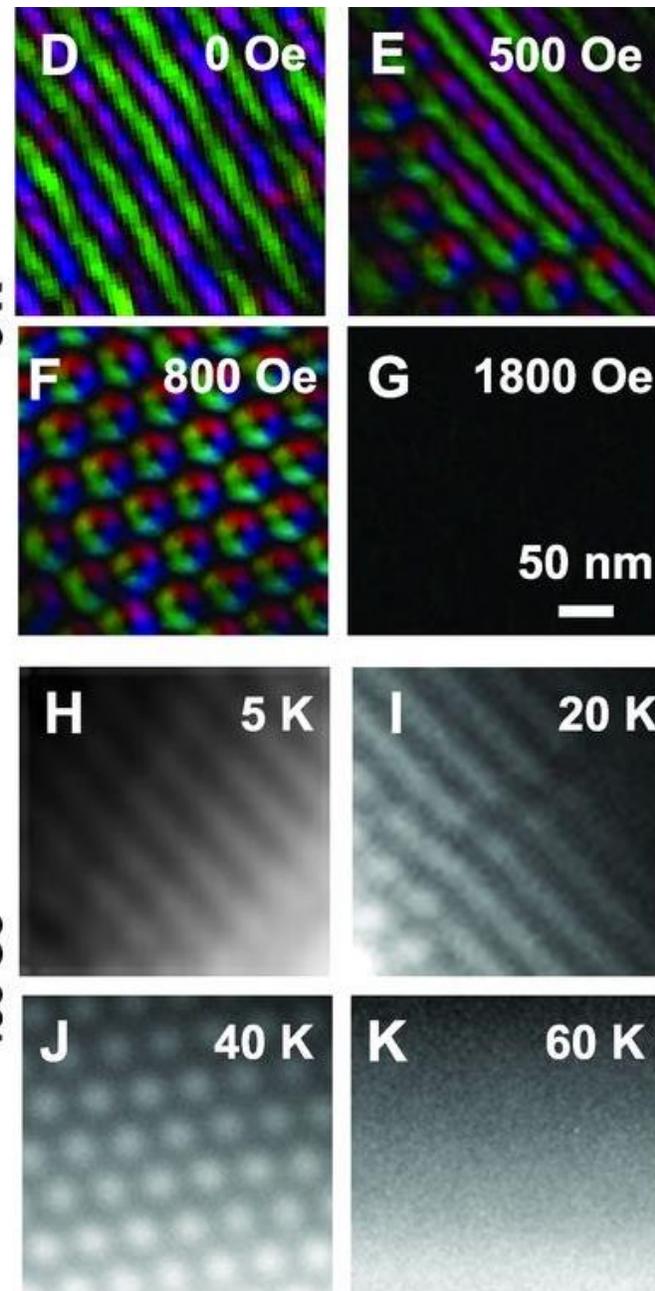
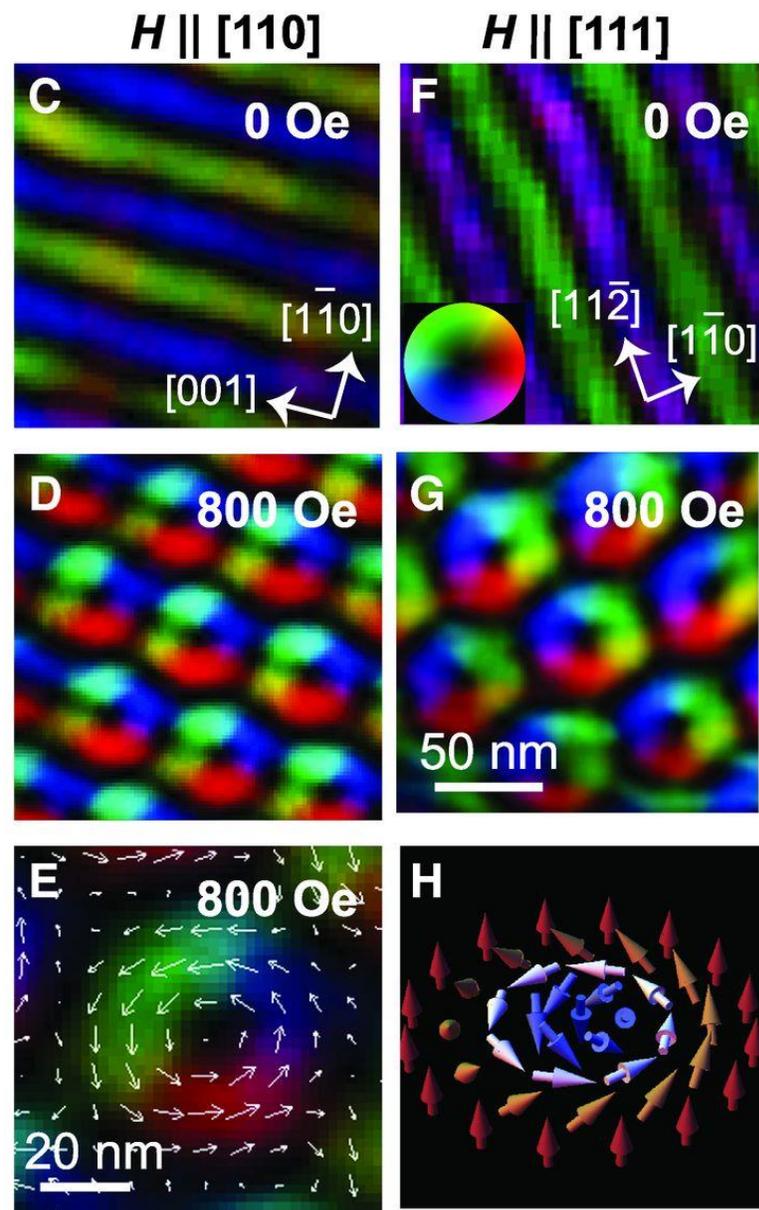
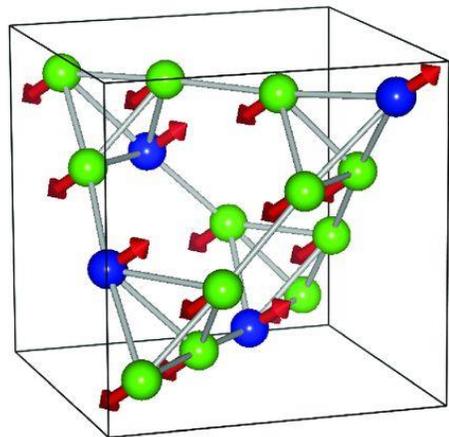
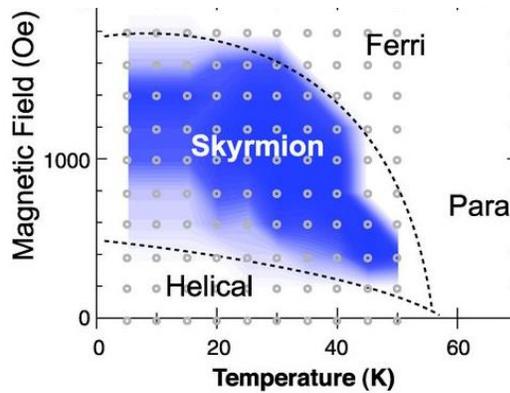
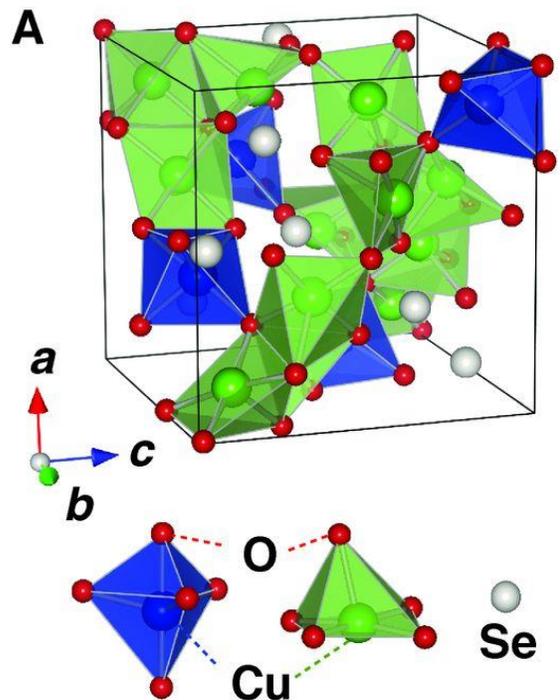


Observation of skyrmions by Lorentz microscope



The DM interaction causes helimagnetism.

Seki et al. Science **336**, 198 (2012)



Spin wave (ferromagnetic)

Ferromagnetic Heisenberg model

$$\mathcal{H} = -2J \sum_{\langle i,j \rangle} \mathbf{S}_i \cdot \mathbf{S}_j - \mu \sum_i \mathbf{B} \cdot \mathbf{S}_i$$

Heisenberg equation of motion can be re-written as a torque equation

$$\hbar \frac{d\mathbf{S}_i}{dt} = \frac{1}{i} [\mathbf{S}_i, \mathcal{H}] = -2J \sum_{\delta} \mathbf{S}_{i+\delta} \times \mathbf{S}_i - \mu \mathbf{B} \times \mathbf{S}_i$$

$$\left[\begin{array}{l} [S^\alpha, S^\beta] = iS^\gamma, (\alpha, \beta, \gamma) = (x, y, z; \text{cyclic}) \\ [S_i^x, S_i^x S_j^x + S_i^y S_j^y + S_i^z S_j^z] = [S_i^x, S_i^y S_j^y] + [S_i^x, S_i^z S_j^z] = i(S_i^z S_j^y - S_i^y S_j^z) = i(\mathbf{S}_j \times \mathbf{S}_i)_x \end{array} \right]$$

$$\mathbf{S}_q = \frac{1}{\sqrt{N}} \sum_i \mathbf{S}_i \exp(-i\mathbf{q} \cdot \mathbf{r}_i), \quad J_q = \sum_{\delta} J \exp[-i\mathbf{q} \cdot (\mathbf{r}_i - \mathbf{r}_{i+\delta})]$$

Fourier transformed equation of motion

$$\hbar \frac{d\mathbf{S}_q}{dt} = -\frac{2}{\sqrt{N}} \sum_{q'} J_{q'} \mathbf{S}_{q'} \times \mathbf{S}_{q-q'} - \mu \mathbf{B} \times \mathbf{S}_q$$

$$\langle \mathbf{S}_0 \rangle = \sqrt{N} S \mathbf{e}_z \quad \text{has much larger value than others.}$$

Then we can approximate

$$\hbar \frac{d\mathbf{S}_q}{dt} = -[2(J_0 - J_q)S + \mu B] \mathbf{e}_z \times \mathbf{S}_q$$

Spin wave (ferromagnetic) (2)

These equation represents precession around z-axis (in Fourier space)

$$\left\{ \begin{array}{l} \hbar \frac{dS_{qx}}{dt} = [2(J_0 - J_q)S + \mu B]S_{qy}, \\ \hbar \frac{dS_{qy}}{dt} = -[2(J_0 - J_q)S + \mu B]S_{qx}, \\ \hbar \frac{dS_{qz}}{dt} = 0 \end{array} \right.$$

Hence we write $S_{qx} + iS_{qy} \propto \exp[-i\epsilon_q t/\hbar]$

to obtain the excitation energy $\epsilon_q = 2(J_0 - J_q)S + \mu B$

Holstein-Primakoff transformation

Summary

- Anti-ferromagnetic Heisenberg model : parallel field susceptibility
- Spin flop and metamagnetic transition
- Ferrimagnetism
- Molecular-field approximation
- Helimagnetism
- Spin wave

2022.06.15 Lecture 10

Lecture on

10:25 – 11:55

Magnetic Properties of Materials

磁性 (Magnetism)

Institute for Solid State Physics, University of Tokyo

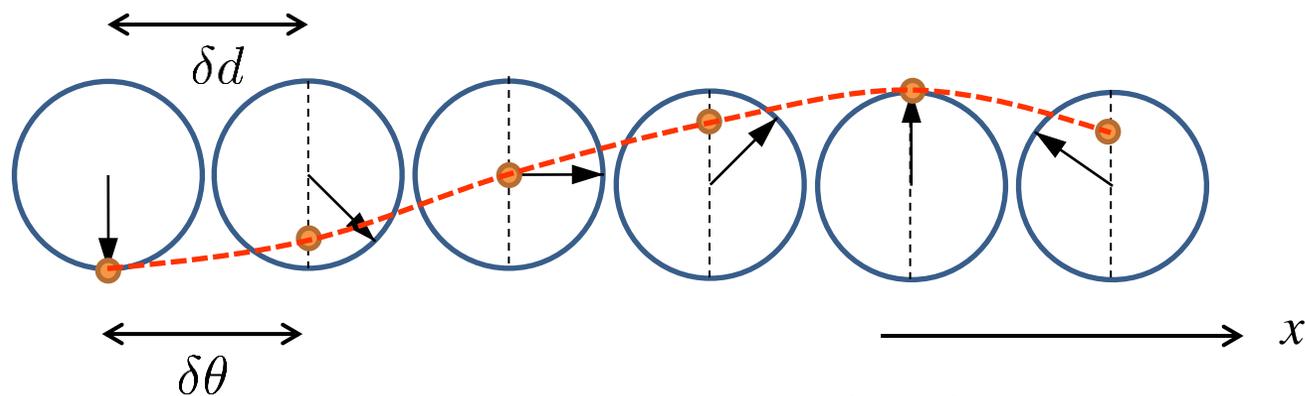
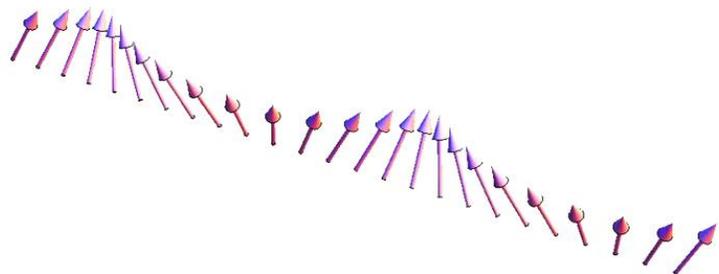
Shingo Katsumoto

- Anti-ferromagnetic Heisenberg model : parallel field susceptibility
- Spin flop and metamagnetic transition
- Ferrimagnetism
- Molecular-field approximation
- Helimagnetism
- Spin wave

- Spin wave (classical) in a ferromagnet
- Quantization of spin wave (magnon)
- Magnons in an anti-ferromagnet
- Magnon approximation for weak excitations in ferro- and anti-ferromagnets
- Nambu-Goldstone mode in phase transition
- Experiments on magnons

Spin wave from phase shift of spin precessions

<https://www.youtube.com/watch?v=pWQ3r-2Xjeo>



snapshot $S_x = S_0 \cos(n\delta\theta) = S_0 \cos\left(x \frac{\delta\theta}{\delta d}\right) = S_0 \cos kx$

$$S = S_x + iS_y = S_0 \exp(ikx)$$

cf. Bloch electrons \rightarrow Magnons can be described in magnetic Brillouin zone.

Total spin $S = \sum_i S_i$ Heisenberg equation: $i\hbar \frac{\partial S}{\partial t} = [S, \mathcal{H}]$ Such a motion of macroscopic magnetic moment can be confirmed by Ferromagnetic resonance (FMR)

Phase shifts of precessions with sites:

$S_{ix} = A \cos(\omega_0 t + \theta_i), \quad S_{iy} = A \sin(\omega_0 t + \theta_i)$ Then a snapshot should be expressed in a Fourier form.

However for ω_0 we need to consider the spin-spin interaction.

Equations of motion in the momentum space

Fourier transform, inverse Fourier transform:

$$S_{\mathbf{q}x} = \frac{1}{\sqrt{N}} \sum_j S_{jz} \exp(-i\mathbf{q} \cdot \mathbf{r}_j), \quad S_{jz} = \frac{1}{\sqrt{N}} \sum_{\mathbf{q}} S_{\mathbf{q}x} \exp(i\mathbf{q} \cdot \mathbf{r}_j)$$

Heisenberg Hamiltonian, equation of motion:

$$\mathcal{H} = -2J \sum_{\langle i,j \rangle} \hat{\mathbf{S}}_i \cdot \hat{\mathbf{S}}_j, \quad i\hbar \frac{\partial \mathbf{S}}{\partial t} = [\mathbf{S}, \mathcal{H}]$$

Substituting the above Fourier transforms into equation of motion, we obtain a set of equations of motion in the momentum space as:

$$\left\{ \begin{aligned} i\hbar \frac{\partial S_{\mathbf{q}x}}{\partial t} &= \frac{4i}{\sqrt{N}} J \sum_{\langle i,j \rangle} S_{iy} S_{jz} \exp(-i\mathbf{q} \cdot \mathbf{r}_i) \{1 - \exp[i\mathbf{q} \cdot (\mathbf{r}_i - \mathbf{r}_j)]\} \\ i\hbar \frac{\partial S_{\mathbf{q}y}}{\partial t} &= -\frac{4i}{\sqrt{N}} J \sum_{\langle i,j \rangle} S_{ix} S_{jz} \exp(-i\mathbf{q} \cdot \mathbf{r}_i) \{1 - \exp[i\mathbf{q} \cdot (\mathbf{r}_i - \mathbf{r}_j)]\}. \end{aligned} \right.$$

Fourier transform of interaction J :

$$J_{\mathbf{q}} = \sum_j J \exp[i\mathbf{q} \cdot (\mathbf{r}_i - \mathbf{r}_j)] \quad (i \text{ can be taken somewhere})$$

Nearest neighbor approximation:

Small angle approximation, i.e.,
replace S_{jz} with S :

$$\left\{ \begin{aligned} \hbar \frac{\partial S_{\mathbf{q}x}}{\partial t} &= 2[J_0 - J_{\mathbf{q}}] S S_{\mathbf{q}y}, \\ \hbar \frac{\partial S_{\mathbf{q}y}}{\partial t} &= -2[J_0 - J_{\mathbf{q}}] S S_{\mathbf{q}x}. \end{aligned} \right.$$

Spin wave (ferromagnetic)

These are the equation we obtained in the last lecture but B :

$$\hbar \frac{d\mathbf{S}_q}{dt} = -[2(J_0 - J_q)S + \mu B] \mathbf{e}_z \times \mathbf{S}_q$$

These equation represents precession around z-axis (in Fourier space)

$$\left\{ \begin{array}{l} \hbar \frac{dS_{qx}}{dt} = [2(J_0 - J_q)S + \mu B] S_{qy}, \\ \hbar \frac{dS_{qy}}{dt} = -[2(J_0 - J_q)S + \mu B] S_{qx}, \\ \hbar \frac{dS_{qz}}{dt} = 0 \end{array} \right.$$

Hence we write

$$S_{qx} + iS_{qy} \propto \exp[-i\epsilon_q t / \hbar]$$

to obtain the excitation energy

$$\underline{\epsilon_q = 2(J_0 - J_q)S + \mu B}$$

Remember the message:

$$\left[\begin{array}{l} S_{ix} = A \cos(\omega_0 t + \theta_i), \quad S_{iy} = A \sin(\omega_0 t + \theta_i) \\ \text{However for } \omega_0 \text{ we need to consider the spin-spin interaction.} \end{array} \right]$$

Holstein-Primakoff transformation

Let us consider the **quantization of the spin wave**.

Spin operator: $\mathbf{S} \quad |m\rangle$: eigenfunction of S_z with eigenvalue of m .

We define up/down operator as: $S_{\pm} = S_x \pm S_y$

Then from the properties of spin operator:
$$\left. \begin{aligned} S_+ |m\rangle &= \sqrt{S(S+1) - m(m+1)} |m+1\rangle \\ S_- |m\rangle &= \sqrt{S(S+1) - m(m-1)} |m-1\rangle \end{aligned} \right\} \begin{array}{l} \text{vacuum} \\ |S\rangle \rightarrow (|0\rangle) \end{array}$$

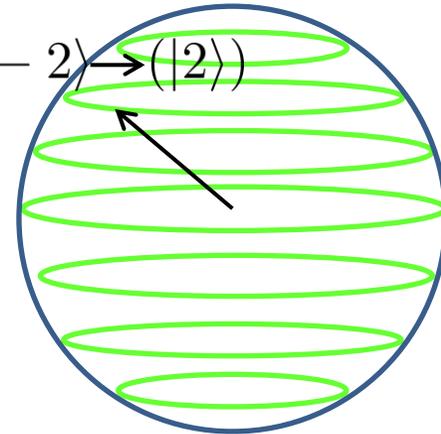
This is as if we are treating number states $|n\rangle$.

Let us introduce boson creation/annihilation operators:

“Vacuum” of the boson: $|S\rangle \quad (S_z = S)$

n -boson state: $|S - n\rangle$

$$\left\{ \begin{array}{l} a_j |n_j\rangle = \sqrt{n_j} |n_j - 1\rangle \\ a_j^\dagger |n_j\rangle = \sqrt{n_j + 1} |n_j + 1\rangle \end{array} \right. \quad |S - 2\rangle \rightarrow (|2\rangle)$$



Then as is for ordinary boson operators, we obtain:

$$a |S\rangle = 0, \quad |S - n\rangle = \frac{1}{\sqrt{n!}} (a^\dagger)^n |S\rangle$$

With number operator $\hat{n} = a^\dagger a$ we can write

$$\left. \begin{aligned} S_z &= S - \hat{n}, \\ S_+ &= \sqrt{2S - \hat{n}} a, \\ S_- &= a^\dagger \sqrt{2S - \hat{n}} \end{aligned} \right\}$$

Holstein-Primakoff transformation

Non-interacting spin wave approximation

In Holstein-Primakoff transformation we have nonlinear terms. → Interaction between the bosons.

Expand the square roots in Holstein-Primakoff transformation

$$\left. \begin{aligned} \hat{S}_{j+} &= \sqrt{2S} \left(1 - \frac{a_j^\dagger a_j}{4S} + \dots \right) a_j, \\ \hat{S}_{j-} &= \sqrt{2S} a_j^\dagger \left(1 - \frac{a_j^\dagger a_j}{4S} + \dots \right) \end{aligned} \right\}$$

Then the Hamiltonian is expanded as

$$\begin{aligned} \mathcal{H} &= -2 \sum_{\langle i,j \rangle} J_{ij} \hat{\mathbf{S}}_i \cdot \hat{\mathbf{S}}_j = -2 \sum_{\langle i,j \rangle} J_{ij} \{ \hat{S}_{iz} \hat{S}_{jz} + (\hat{S}_{i+} \hat{S}_{j-} + \hat{S}_{i-} \hat{S}_{j+})/2 \} \\ &= -2 \sum_{\langle i,j \rangle} J_{ij} \left[S^2 - S(\hat{n}_i + \hat{n}_j) + S(a_i^\dagger a_j + a_j^\dagger a_i) + \hat{n}_i \hat{n}_j - \frac{1}{4} a_i^\dagger a_j^\dagger a_j a_j - \frac{1}{4} a_j^\dagger a_j^\dagger a_j a_i + \dots \right]. \end{aligned}$$

Take up to quadratic terms

$$\mathcal{H} = -2 \sum_{\langle i,j \rangle} J_{ij} [S^2 - S(\hat{n}_i + \hat{n}_j) + S(a_i^\dagger a_j + a_j^\dagger a_i)]$$

Ferromagnetic spin wave: ferromagnetic magnon

Fourier transform of creation/annihilation operators:

$$\left. \begin{aligned} a_{\mathbf{q}} &= \frac{1}{\sqrt{N}} \sum_j a_j \exp(i\mathbf{q} \cdot \mathbf{r}), \\ a_{\mathbf{q}}^\dagger &= \frac{1}{\sqrt{N}} \sum_j a_j \exp(-i\mathbf{q} \cdot \mathbf{r}) \end{aligned} \right\}$$

Substitute these to the approximated Hamiltonian \longrightarrow

Magnon Hamiltonian

$$\begin{aligned} \mathcal{H} &= -2 \sum_{\langle i,j \rangle} J_{ij} S^2 + 2 \sum_{\mathbf{q}} [J_0 - J_{\mathbf{q}}] S a_{\mathbf{q}}^\dagger a_{\mathbf{q}} \\ &= E_0 + \sum_{\mathbf{q}} \hbar \omega_{\mathbf{q}} a_{\mathbf{q}}^\dagger a_{\mathbf{q}} \end{aligned}$$

Total magnetization: $M = \mu \left\langle \sum_i S_{iz} \right\rangle = \mu S N - \mu \sum_i \langle a_i^\dagger a_i \rangle = \mu S N - \mu \sum_{\mathbf{q}} n(\epsilon_{\mathbf{q}})$

with Bose distribution function: $n(\epsilon) = \left(\exp \frac{\epsilon}{k_B T} - 1 \right)^{-1}$

Magnon dispersion

$$\hbar \epsilon_{\mathbf{q}} = 2S(J_0 - J_{\mathbf{q}}) = 2SJ \{ 2 - [\exp(iqa) + \exp(-iqa)] \} \simeq 2SJ \left[2 - 2 \left(1 - \frac{(qa)^2}{2} \right) \right] = \underline{2SJ(qa)^2}$$

Then we obtain $M = \mu N \left[S - \zeta \left(\frac{3}{2} \right) \left(\frac{k_B T}{8\pi JS} \right)^{3/2} \right]$ $\zeta(z) = \sum_{n=1}^{\infty} \frac{1}{n^z}$ $\zeta \left(\frac{3}{2} \right) \approx 2.612$

Why we have introduced the concept: Magnon?

Low temperature Magnetic moment: $M = \mu N \left[S - \zeta \left(\frac{3}{2} \right) \left(\frac{k_B T}{8\pi JS} \right)^{3/2} \right]$ $\zeta(z) = \sum_{n=1}^{\infty} \frac{1}{n^2}$ $\zeta \left(\frac{3}{2} \right) \approx 2.612$

The internal energy is: $U = E_0 + \sum_{\mathbf{q}} n(\epsilon_{\mathbf{q}}) = E_0 + 12\pi JSN \zeta \left(\frac{5}{2} \right) \left(\frac{k_B T}{8\pi JS} \right)^{5/2}$.

Then low temperature specific heat is obtained by $C = \frac{\partial U}{\partial T} = \frac{15}{4} N k_B \zeta \left(\frac{5}{2} \right) \left(\frac{k_B T}{8\pi JS} \right)^{3/2}$

As above, by considering magnons we can calculate low energy excitations and obtain important quantities.

Magnons: Low temperature model of ferro (anti-ferro) magnets.

Spin wave modeling of anti-ferromagnets

Anti-ferromagnet → Decompose into A, B sublattices

A sublattice: we can consider magnon model

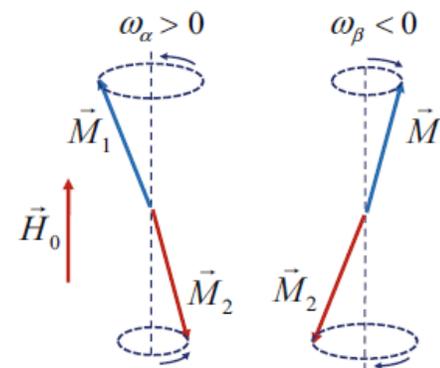
B sublattice: Magnetization is reversed

Take the vacuum as $|0\rangle_B = |-S\rangle$

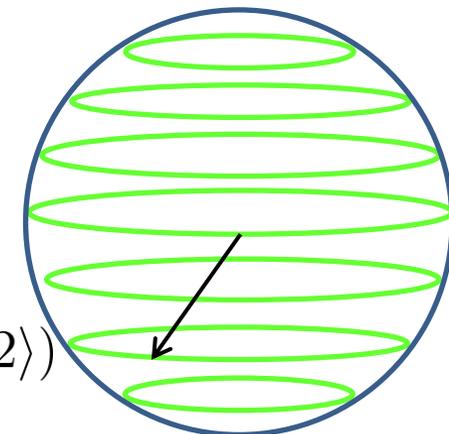
Boson creation/annihilation operators b_j^\dagger, b_j

Then the Holstein-Primakoff transform is

$$\left. \begin{aligned} S_{jz} &= -S + b_j^\dagger b_j, \\ S_{j+} &= b_j^\dagger \sqrt{2S - b_j^\dagger b_j}, \\ S_{j-} &= \sqrt{2S - b_j^\dagger b_j} b_j \end{aligned} \right\}$$



$|-S + 2\rangle \rightarrow (|2\rangle)$



$|-S\rangle \rightarrow (|0\rangle)$

vacuum

Quadratic Hamiltonian : $\mathcal{H} = -\alpha_z |J| N S^2 + 2|J| S \sum_{\langle i,j \rangle} (a_i^\dagger a_i + b_j^\dagger b_j + a_i b_j + a_i^\dagger b_j^\dagger) \quad i \in A, j \in B$

Spin wave modeling of anti-ferromagnets (2)

Fourier transformation of
creation/annihilation operators

$$\left. \begin{aligned} a_i &= \sqrt{\frac{2}{N}} \sum_{\mathbf{q}} a_{\mathbf{q}} \exp(-i\mathbf{q} \cdot \mathbf{r}_i), \\ b_j &= \sqrt{\frac{2}{N}} \sum_{\mathbf{q}} b_{\mathbf{q}} \exp(-i\mathbf{q} \cdot \mathbf{r}_j) \end{aligned} \right\}$$

Momentum representation of
the Hamiltonian

$$\mathcal{H} = -\alpha_z |J| N S^2 + 2\alpha_z |J| S \sum_{\mathbf{q}} [a_{\mathbf{q}}^\dagger a_{\mathbf{q}} + b_{\mathbf{q}}^\dagger b_{\mathbf{q}} + \gamma(\mathbf{q})(a_{\mathbf{q}}^\dagger b_{\mathbf{q}}^\dagger + a_{\mathbf{q}} b_{\mathbf{q}})]$$

with nearest neighbor summation

$$\gamma(\mathbf{q}) = \alpha_z^{-1} \sum_{\rho} \exp(-i\mathbf{q} \cdot \rho)$$

But the above Hamiltonian is still not diagonalized. (Néel ordered state is not true ground state.)

Bogoluibov transformation

$$\left. \begin{aligned} a_{\mathbf{q}} &= \cosh \theta_{\mathbf{q}} \alpha_{\mathbf{q}} - \sinh \theta_{\mathbf{q}} \beta_{\mathbf{q}}^\dagger, \\ b_{\mathbf{q}} &= \cosh \theta_{\mathbf{q}} \beta_{\mathbf{q}} - \sinh \theta_{\mathbf{q}} \alpha_{\mathbf{q}}^\dagger. \end{aligned} \right\}$$

$$(a_{\mathbf{q}}, b_{\mathbf{q}}) \rightarrow (\alpha_{\mathbf{q}}, \beta_{\mathbf{q}})$$

Bosonic commutation relations

$$[\alpha_{\mathbf{q}}, \alpha_{\mathbf{q}}^\dagger] = 1, \quad [\beta_{\mathbf{q}}, \beta_{\mathbf{q}}^\dagger] = 1, \quad [\alpha_{\mathbf{q}}, \beta_{\mathbf{q}}] = [\alpha_{\mathbf{q}}^\dagger, \beta_{\mathbf{q}}^\dagger] = 0$$

Spin wave modeling of anti-ferromagnets (3)

$$\mathcal{H} = -\alpha_z |J| N S^2 + 2\alpha_z |J| S \sum_{\mathbf{q}} [(\cosh 2\theta_{\mathbf{q}} - \gamma(\mathbf{q}) \sinh \theta_{\mathbf{q}})(\alpha_{\mathbf{q}}^\dagger \alpha_{\mathbf{q}} + \beta_{\mathbf{q}}^\dagger \beta_{\mathbf{q}} + 1) - 1 - (\sinh 2\theta_{\mathbf{q}} - \gamma(\mathbf{q}) \cosh 2\theta_{\mathbf{q}})(\alpha_{\mathbf{q}} \beta_{\mathbf{q}} + \alpha_{\mathbf{q}}^\dagger \beta_{\mathbf{q}}^\dagger)]$$

Condition for diagonalization: $\sinh 2\theta_{\mathbf{q}} / \cosh 2\theta_{\mathbf{q}} = \tanh 2\theta_{\mathbf{q}} = \gamma(\mathbf{q})$

Diagonalized Hamiltonian: $\mathcal{H} = -\alpha_z |J| N S^2 + 2\alpha_z |J| S \sum_{\mathbf{q}} [(\sqrt{1 - \gamma(\mathbf{q})^2} - 1) + \sqrt{1 - \gamma(\mathbf{q})^2}(\alpha_{\mathbf{q}}^\dagger \alpha_{\mathbf{q}} + \beta_{\mathbf{q}}^\dagger \beta_{\mathbf{q}})]$

Ground state energy: $-\alpha_z |J| N S^2 + 2\alpha_z |J| S \sum_{\mathbf{q}} [(\sqrt{1 - \gamma(\mathbf{q})^2} - 1)]$

Néel ordered state energy Energy lowering due to hybridization of $S, S - 1, \dots, -S$ states



$\langle S_{jz} \rangle$ is shorter than S

How? $\langle S_{jz} \rangle = S - \frac{2}{N} \sum_{\mathbf{q}} \sinh \theta_{\mathbf{q}} = S - \frac{1}{N} \sum_{\mathbf{q}} \left(\frac{1}{\sqrt{1 - \gamma(\mathbf{q})^2}} - 1 \right)$

Spin wave modeling of anti-ferromagnets (4)

$$\langle S_{jz} \rangle = S - \Delta \quad E_0 = N|J|\alpha_z S(S + \epsilon)$$

Lattice	Square	Simple Cubic	Body Centered Cubic
Δ	0.917	0.078	0.0593
ϵ	$0.158 + 0.0062S^{-1}$	$0.097 + 0.0024S^{-1}$	$0.073 + 0.0013S^{-1}$

Magnon dispersion $\epsilon_{\mathbf{q}} = 2\alpha_z |J| S \sqrt{1 - \gamma(\mathbf{q})^2}$ Simple cubic case $\gamma(\mathbf{q}) = \cos \frac{q_x}{2} \cos \frac{q_y}{2} \cos \frac{q_z}{2}$

Asymptotic form $q \rightarrow 0$ $\epsilon_{\mathbf{q}} = 2\sqrt{2\alpha_z} |J| S a q$

Internal energy $U = E_0 + \frac{\pi^2}{15} N \left(\frac{k_B T}{2\sqrt{2\alpha_z} |J| S} \right)^3 k_B T$

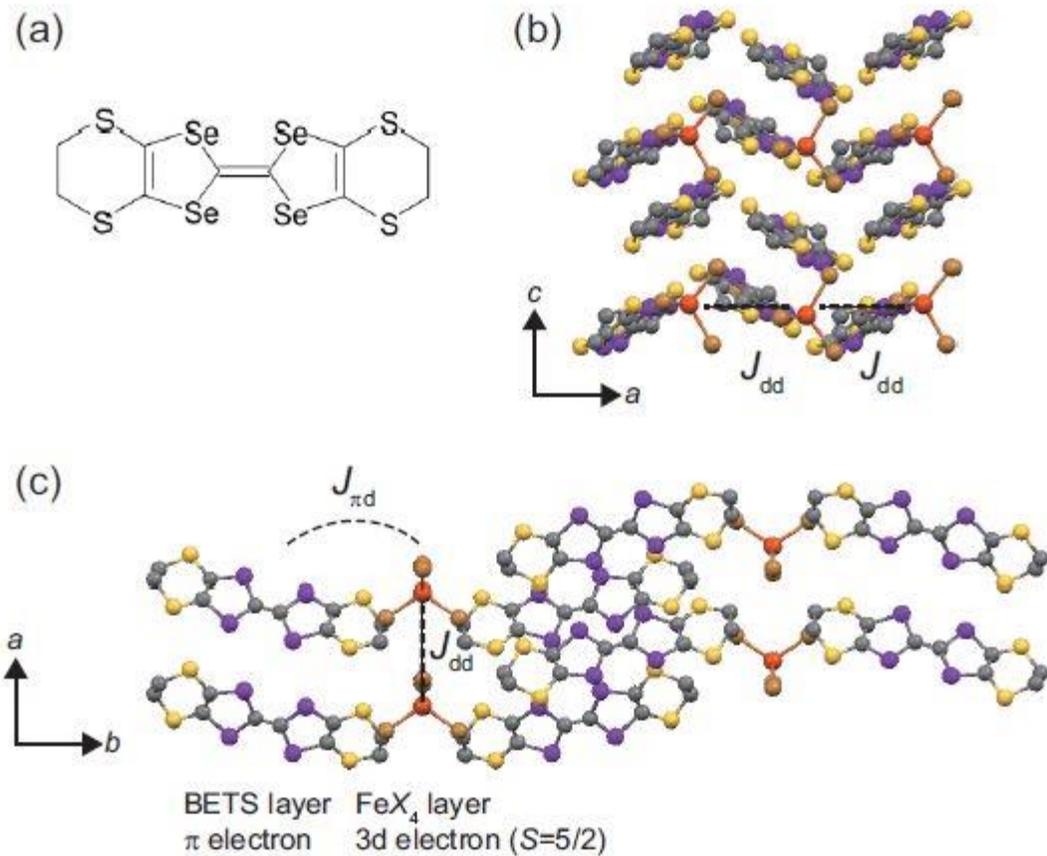
Lattice	1D Chain	2D Square Lattice	3D Simple Cubic
$-\frac{E_0}{\alpha_z J N S^2}$	$1 + 0.363S^{-1}$	$1 + 0.158S^{-1}$	$1 + 0.097S^{-1}$
$\frac{C}{Nk_B}$	$\frac{2\pi}{3} \left(\frac{k_B T}{2\alpha_z J S} \right)$	$\frac{14.42}{\pi} \left(\frac{k_B T}{2\alpha_z J S} \right)^2$	$4\sqrt{3} \frac{\pi^2}{5} \left(\frac{k_B T}{2\alpha_z J S} \right)^3$
$\frac{\Delta S}{\Delta S}$	Diverge	0.197	0.078

Specific heat of an organic anti-ferromagnet

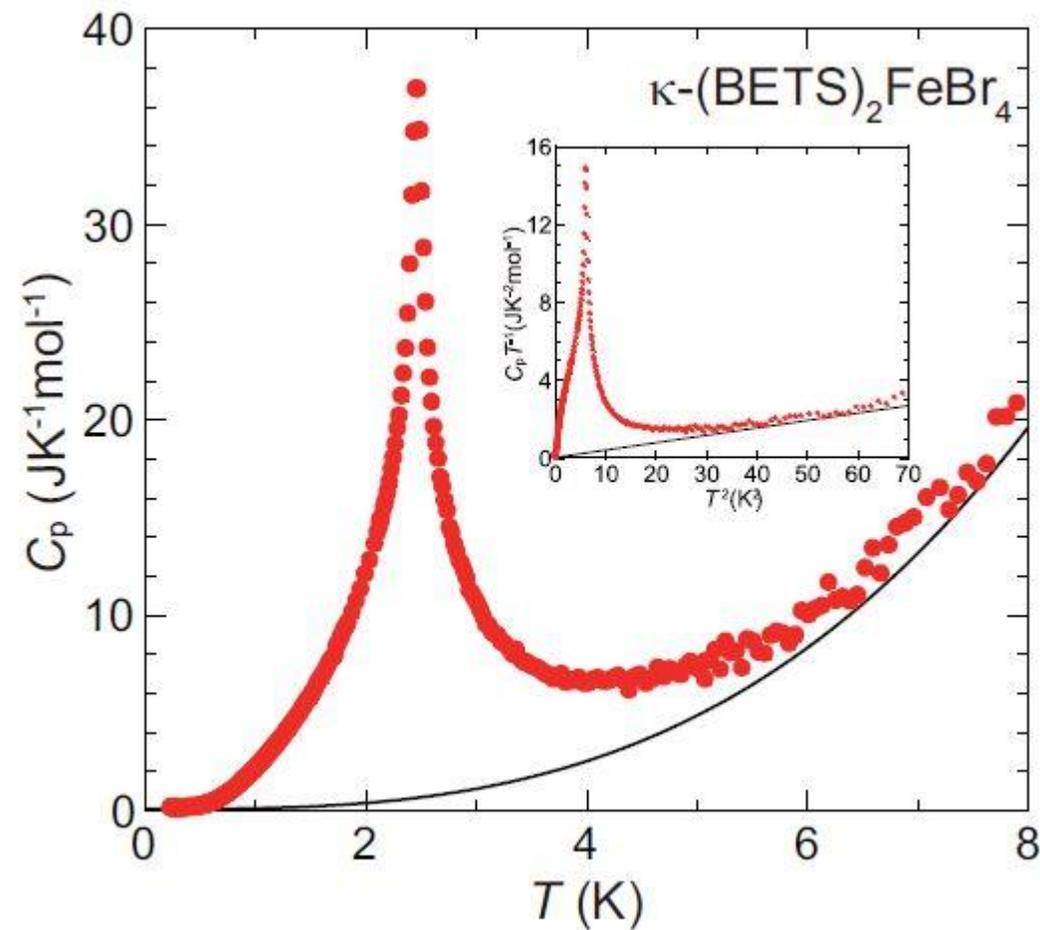


Anti-ferromagnetic T_N : 2.5 K

Superconducting T_c : 1.1 K

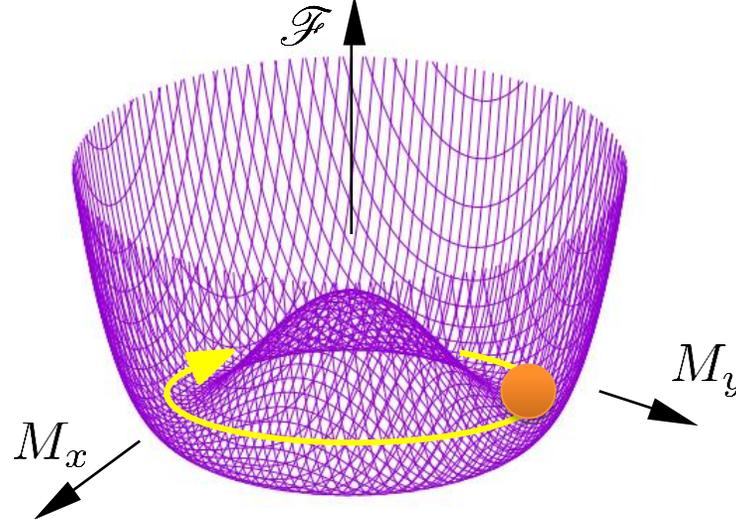
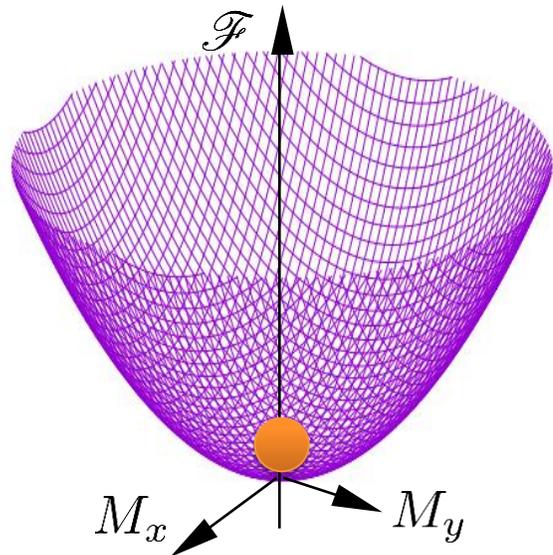
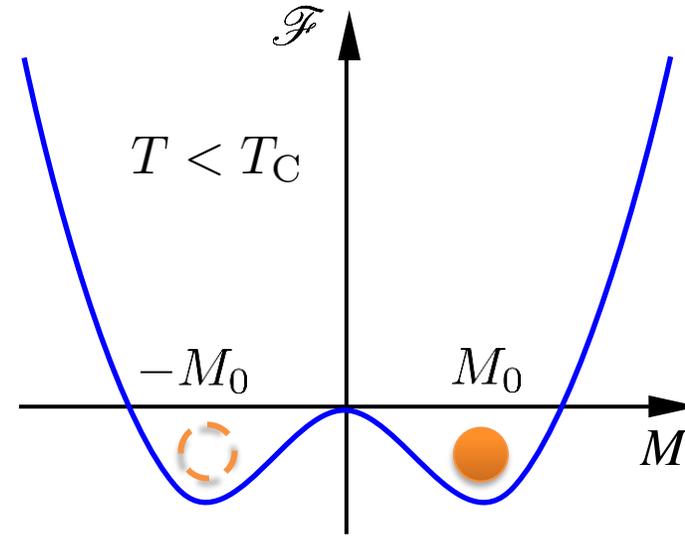
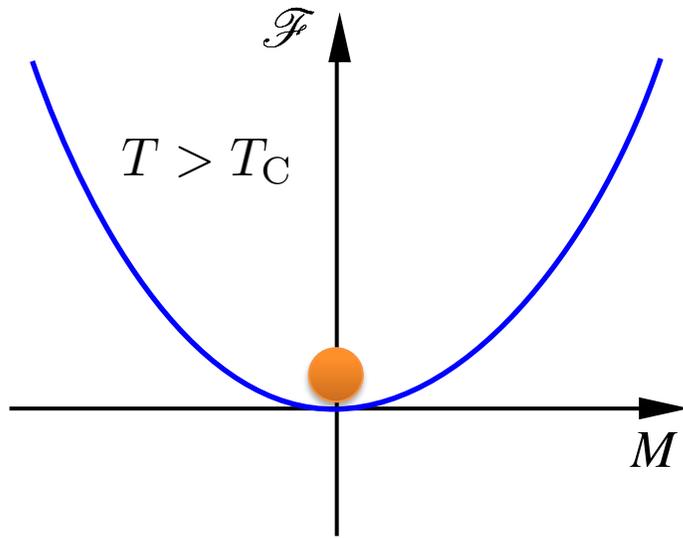


Normal metal specific heat: $C_m = AT + BT^3$



Fukuoka et al., PRB **93**, 245136 (2016)

Spontaneous Symmetry Breaking and Nambu-Goldstone mode



Spontaneous symmetry breaking

Nambu-Goldstone theorem

When a spontaneous symmetry breaking takes place, a mode with zero energy at long wavelength limit appears.

$$\begin{array}{ccc} E = mc^2 & & \\ \downarrow & \downarrow & \\ 0 & 0 & \text{massless} \end{array}$$

Nambu-Goldstone mode
(Nambu-Goldstone boson)

Magnons in the case of ferromagnets (type-B) and anti-ferromagnets (type-A).

Generalization of Nambu-Goldstone theorem (column)

Nambu-Goldstone mode, Higgs mode → Birth of particle mass; Standard theory of elementary particles
The theories based on the principle prevail all over the physics.

However, there still have been many open questions!

An example: According to the primitive statement, the number of NG mode should be the same as that of broken symmetries.

	Broken symmetry	Number of NG modes	Number of broken symmetry
N-G theorem		x	$y = x$
Crystal	Translational symmetry	3	3
3D Ferromagnet	Rotational symmetry	1	2
Spinor BEC	Rotational symmetry	2	3
Skymion crystal	Translational symmetry	1	2

Extended theorem (2012) : $x = y - \text{rank} \langle [Q_a, Q_b] \rangle / 2$

Magnon dispersion relation measurement in MnF_2

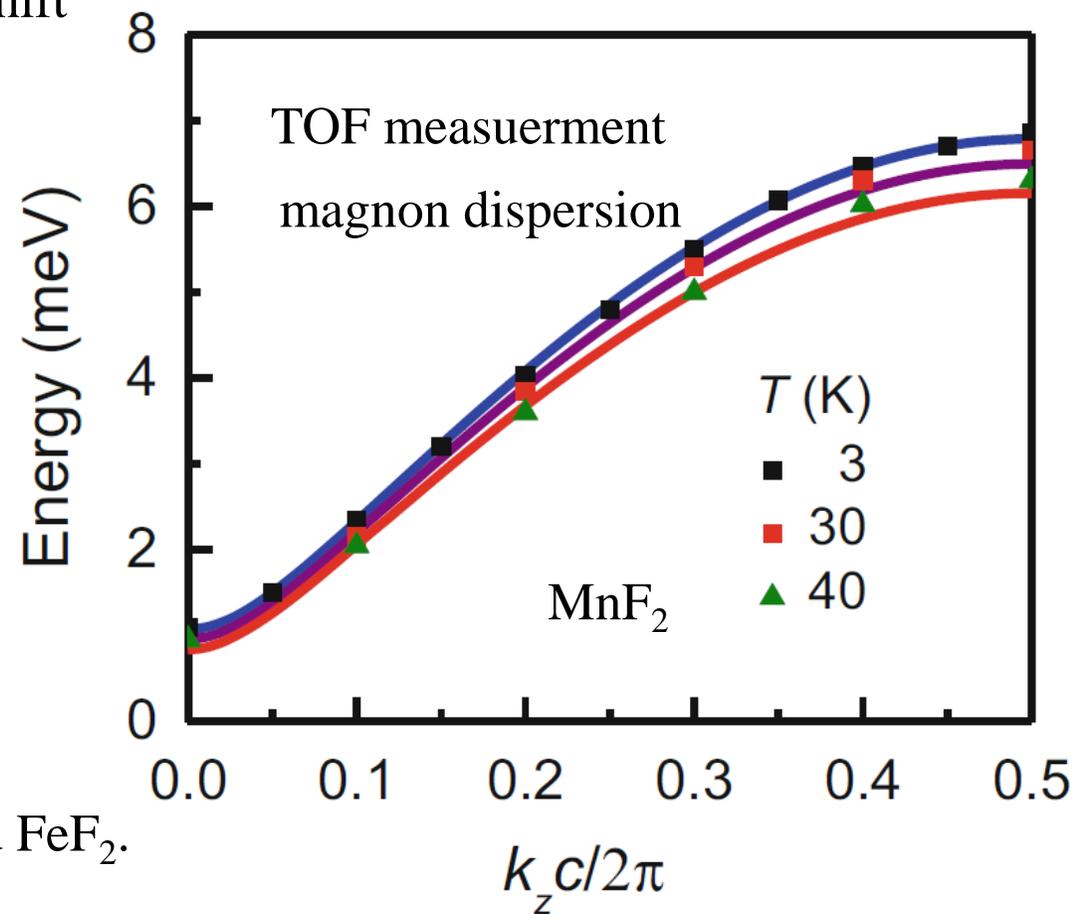
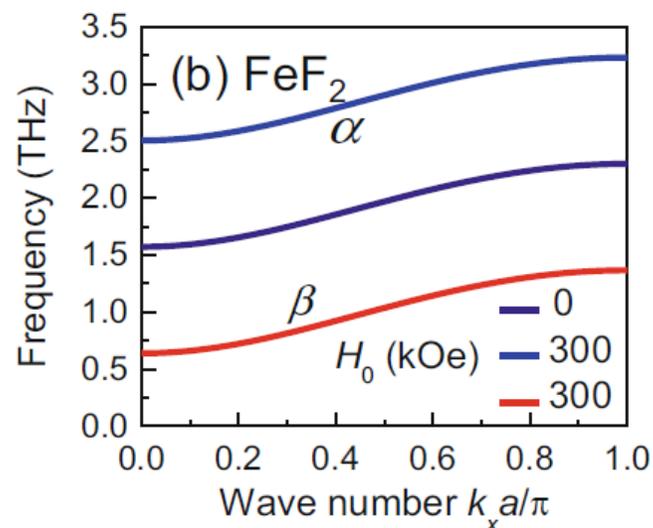
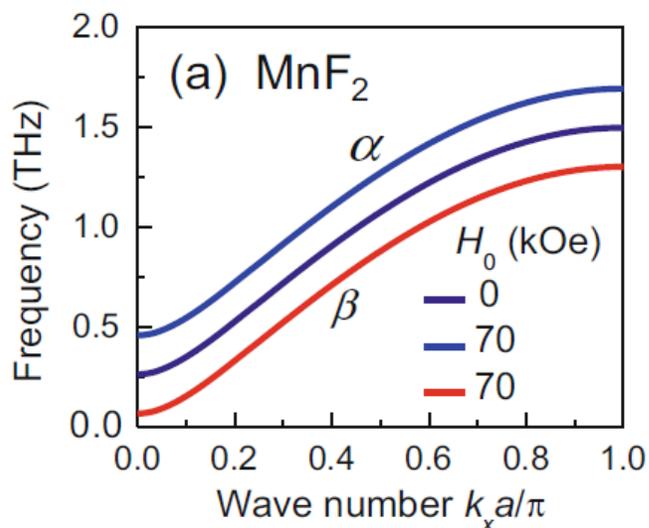
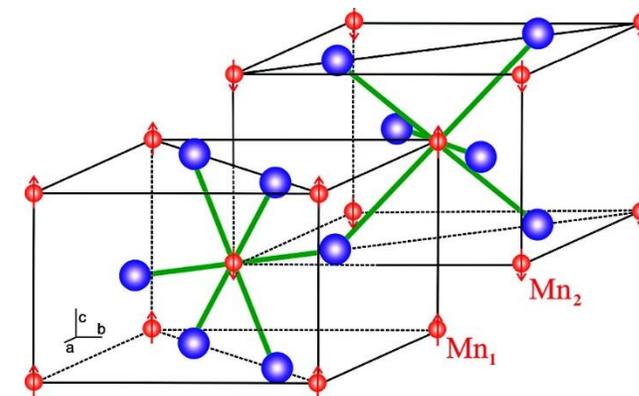
Neutron time-of-flight (TOF) measurement

$$\mathcal{H}_{\text{int}} = -\boldsymbol{\mu}_e \cdot \mathbf{B}_n \quad \mathbf{B}_n = \text{rot} \left(\boldsymbol{\mu}_n \times \frac{\mathbf{r}}{r^3} \right) \quad \text{neutron magnetic field}$$

electron moment

neutron inelastic scattering

$$\left\{ \begin{array}{l} \hbar \mathbf{k} \longrightarrow \hbar(\mathbf{k} - \mathbf{q}) \quad \text{momentum shift} \\ \Delta E = \frac{\hbar^2}{2M} (-2\mathbf{k} \cdot \mathbf{q} + \mathbf{q}^2) \quad \text{energy loss} \end{array} \right.$$

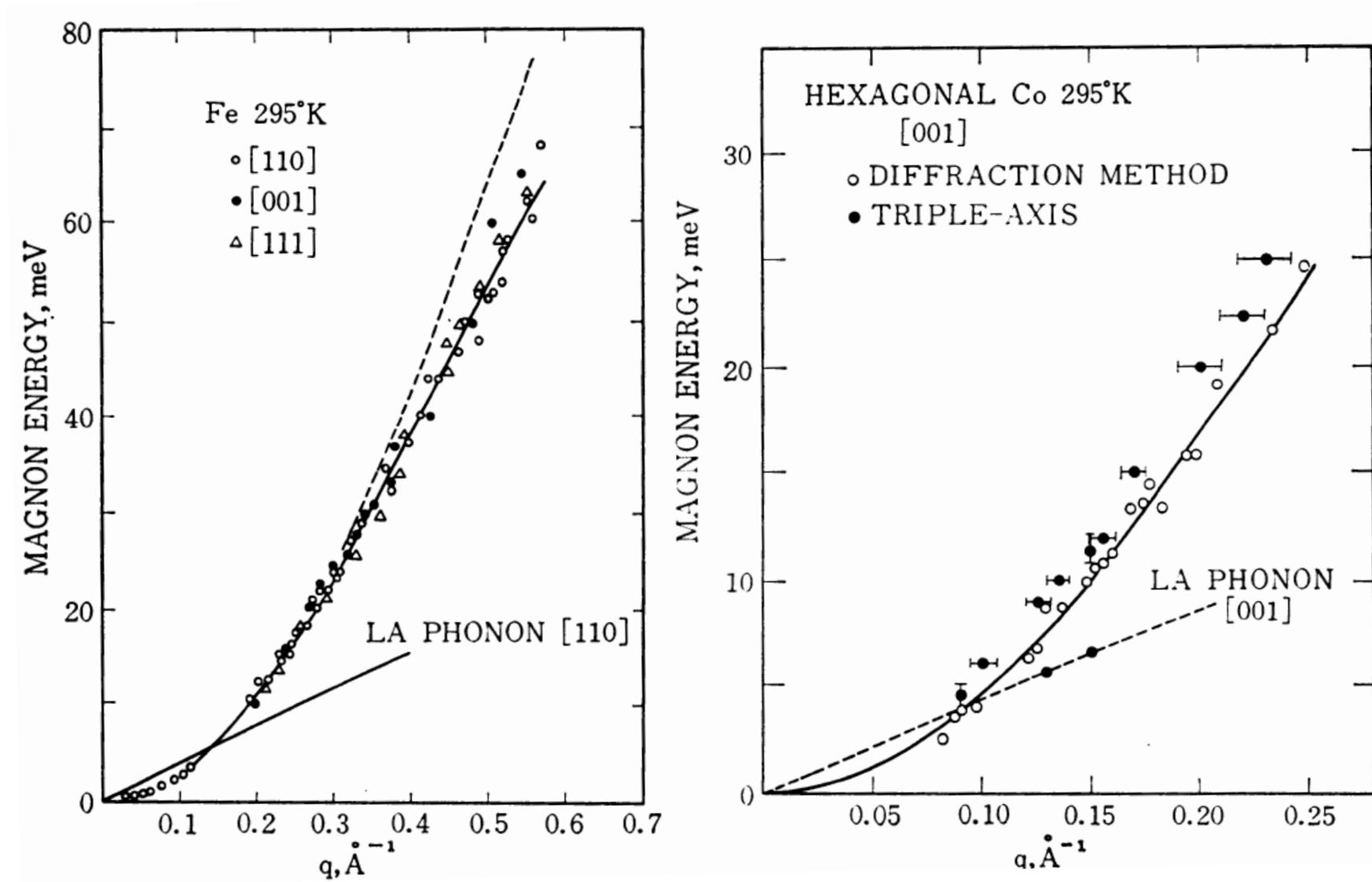


Calculated dispersions of anti-ferromagnetic magnons in MnF_2 and FeF_2 .

(Taken from *Fundamentals of Magnonics*.)

Low et al., JAP **35**, 998 (1964).

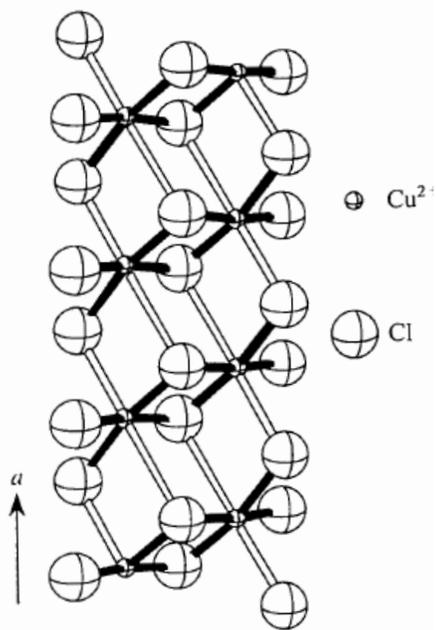
Magnon dispersions in metallic ferromagnets



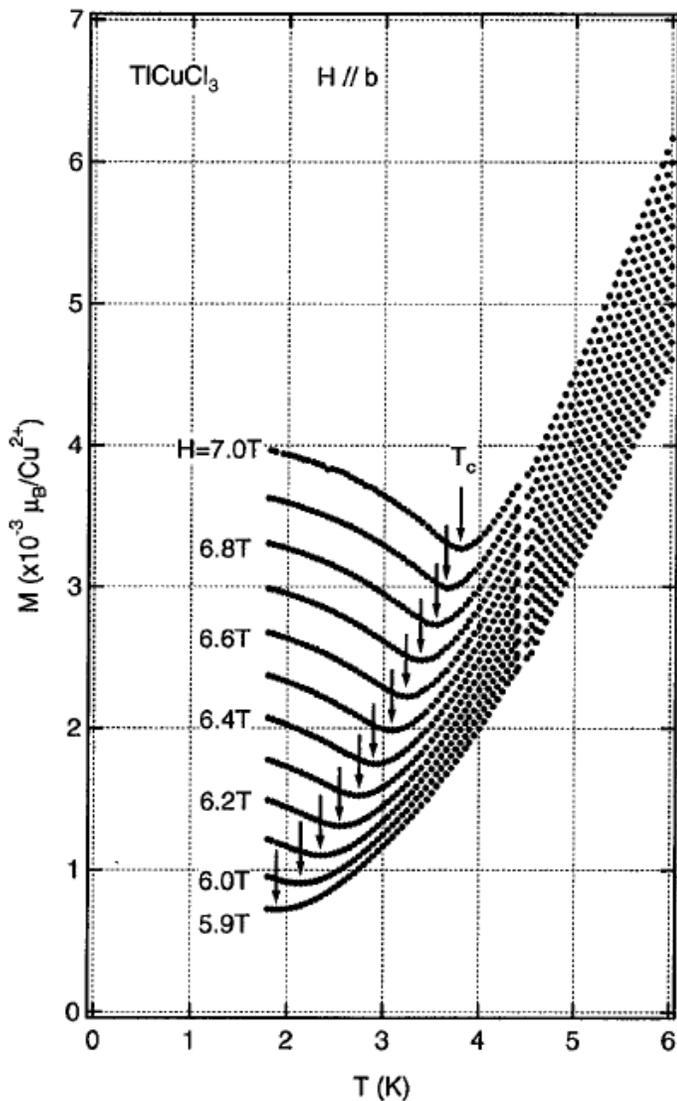
Bose-Einstein condensation of magnons

Magnons: not completely bosons (para statistics) however can be treated as “hard core” bosons

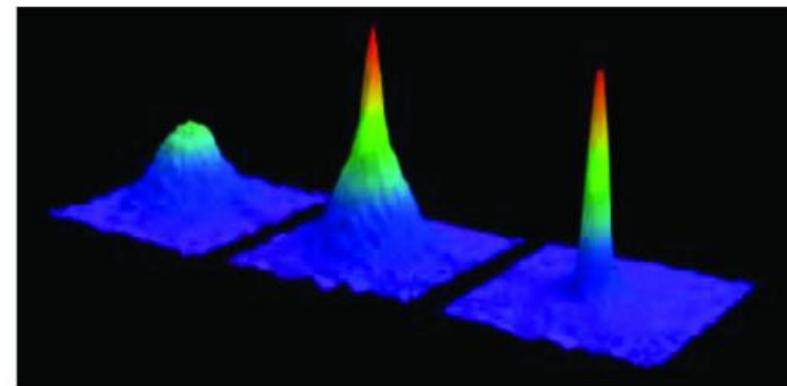
TlCuCl_3



Nikuni et al.,
PRL **84**, 5868
(2000)

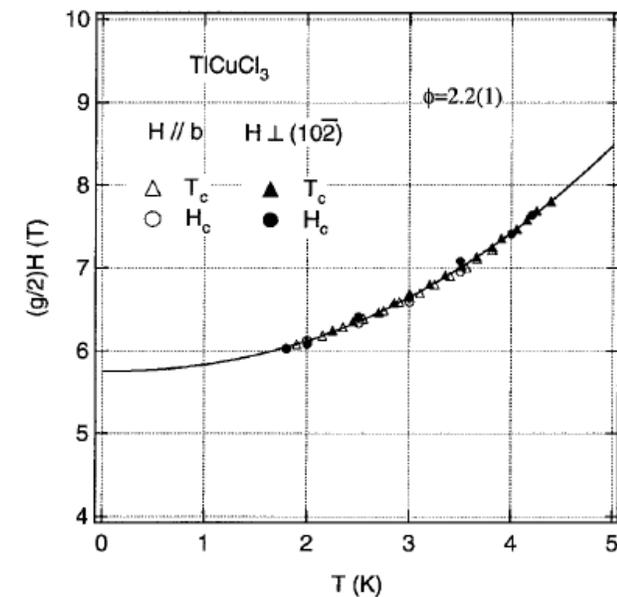
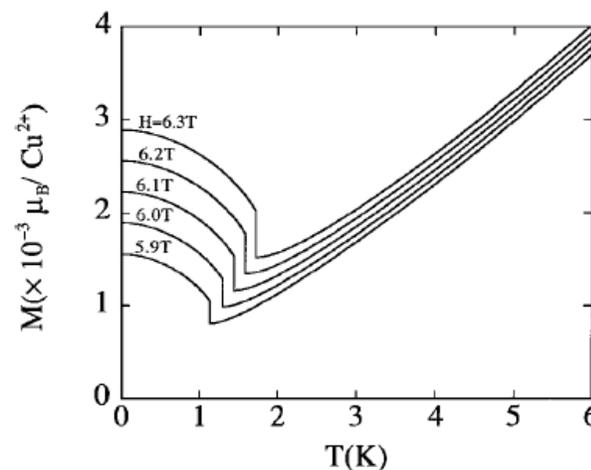


Sharp
enhancement of
magnetization

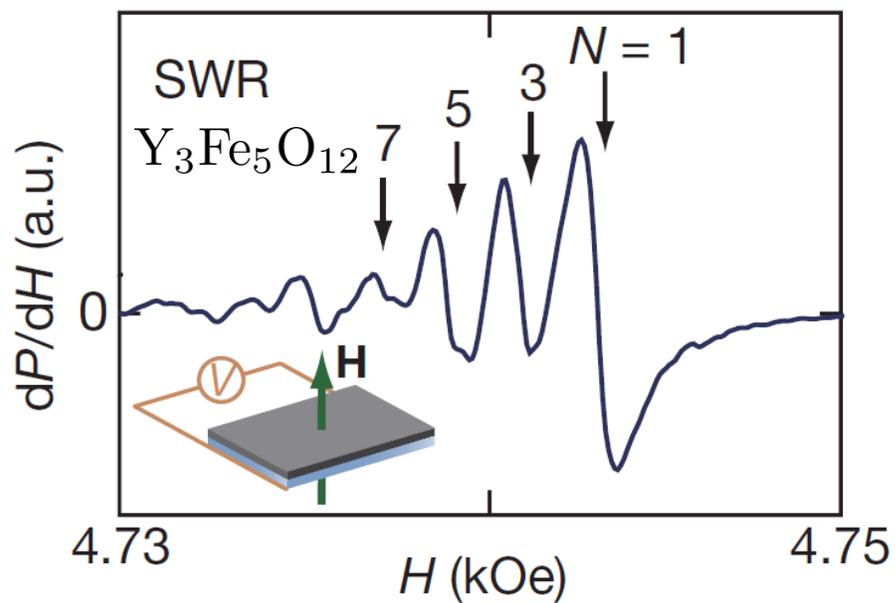


BEC in cold Rb atom ensemble
Sharp increase in particle density

Theory



Magnon (spin wave) resonance in thin films



Kajiwara et al., Nature **464**, 262 (2010)

Spin wave resonance in a thin film

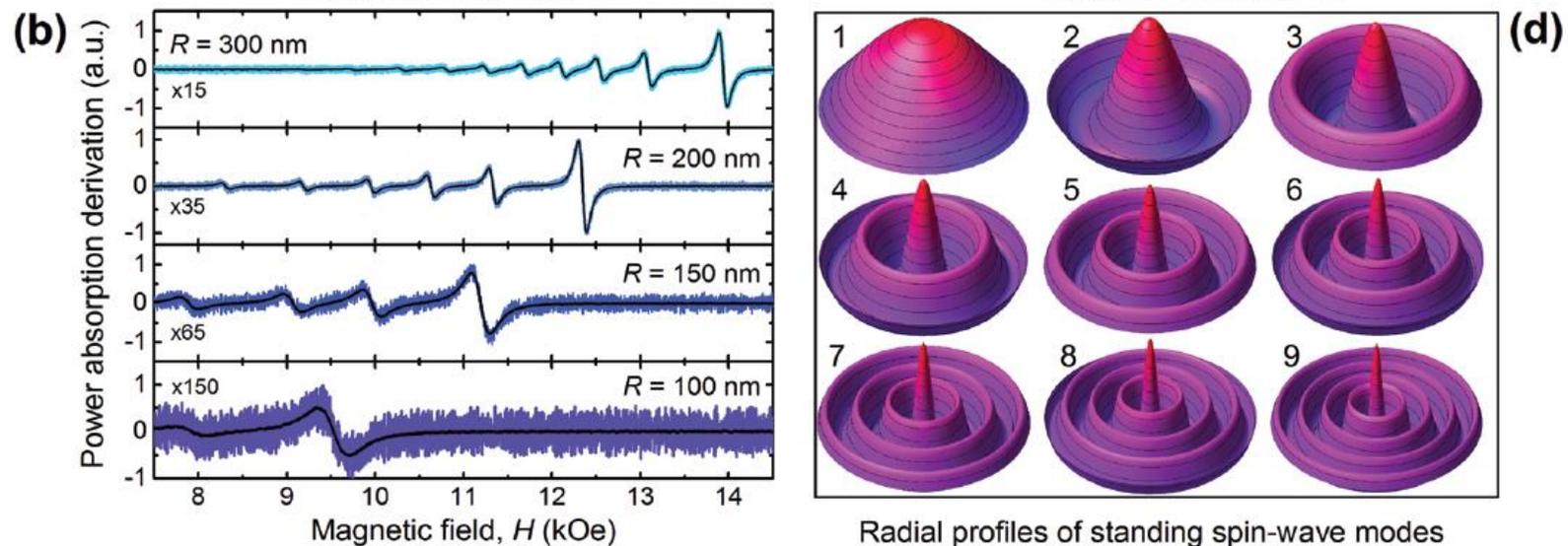
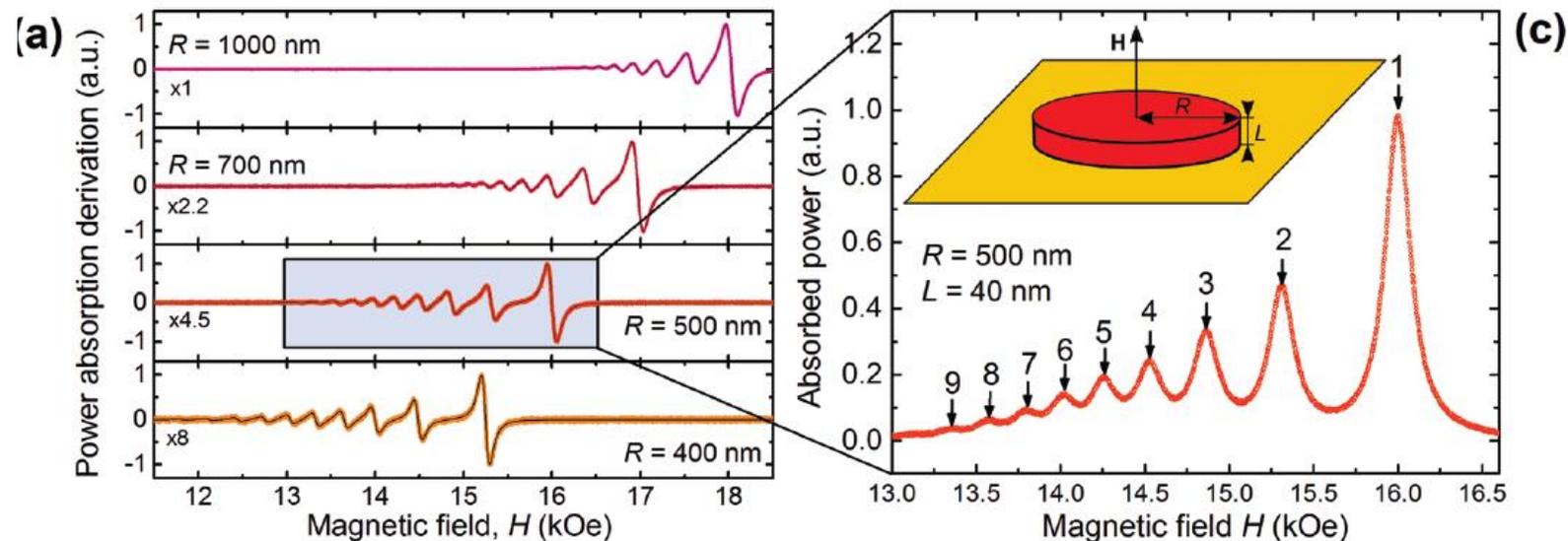
$$\frac{m}{\gamma} = \frac{B}{\mu_0} - 4\pi M_S + \frac{2Ak^2}{M_S}$$

$$k = \frac{p\pi}{L} \quad p : \text{odd number,}$$

$$L : \text{film thickness} \quad A = \frac{\alpha_z S^2 J}{a}$$

Kittel Phys. Rev. **110**, 1295 (1958)

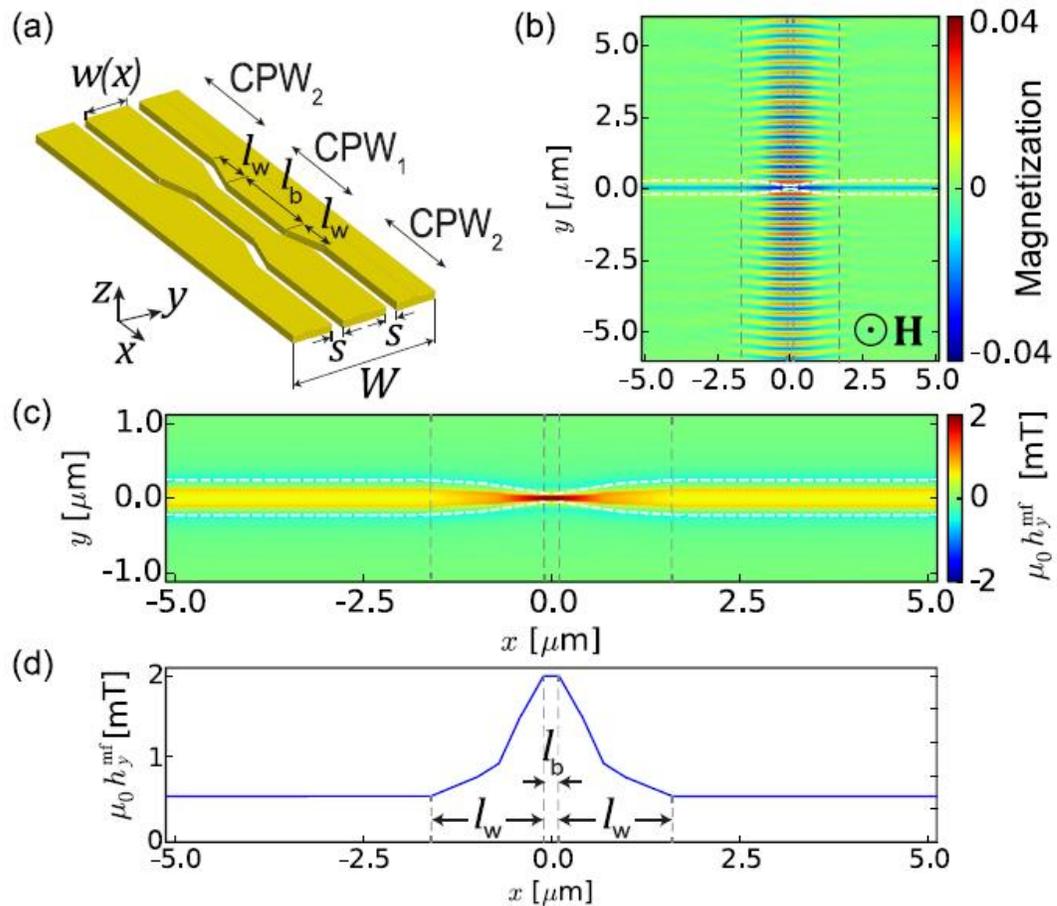
CoFe Nano disk



Dobrovolskiy et al., Nanoscale **12**, 21207 (2020).

Real space imaging of magnons

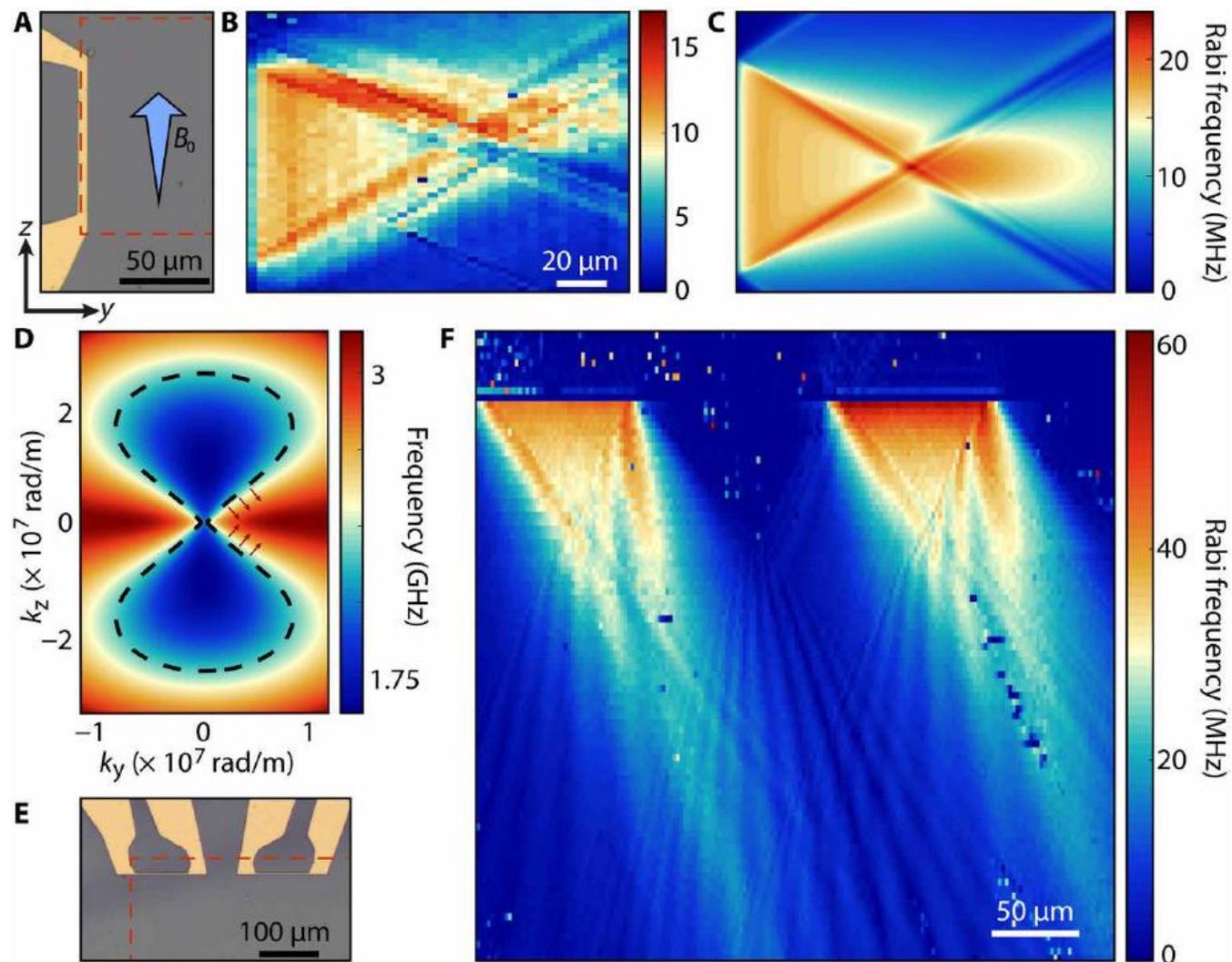
YIG



Gruszecki et al. Sci. Rep. **6**, 22367 (2016)

YIG

NV center ESR detection of magnon fields



Bertelli et al., Sci. Adv. **6**, eabd3556 (2020).

Summary

- Spin wave (classical) in a ferromagnet
- Quantization of spin wave (magnon)
- Magnons in an anti-ferromagnet
- Magnon approximation for weak excitations in ferro- and anti-ferromagnets
- Nambu-Goldstone mode in phase transition
- Experiments on magnons

2022.6.22 Lecture 11

Lecture on

10:25 – 11:55

Magnetic Properties of Materials

磁性 (Magnetism)

Institute for Solid State Physics, University of Tokyo

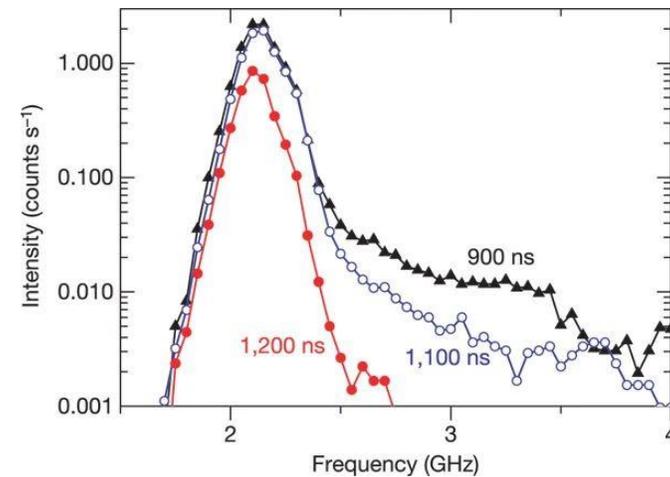
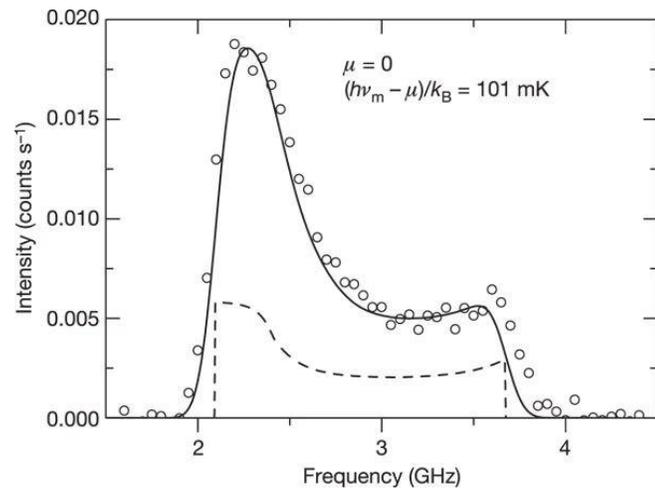
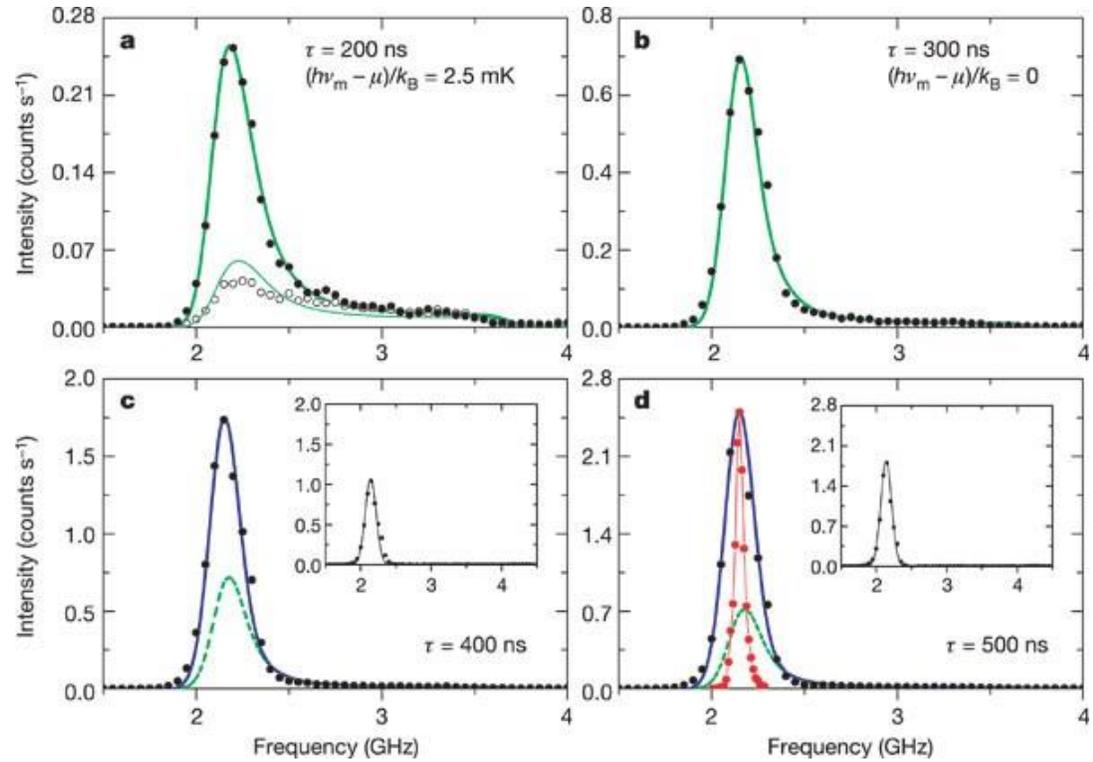
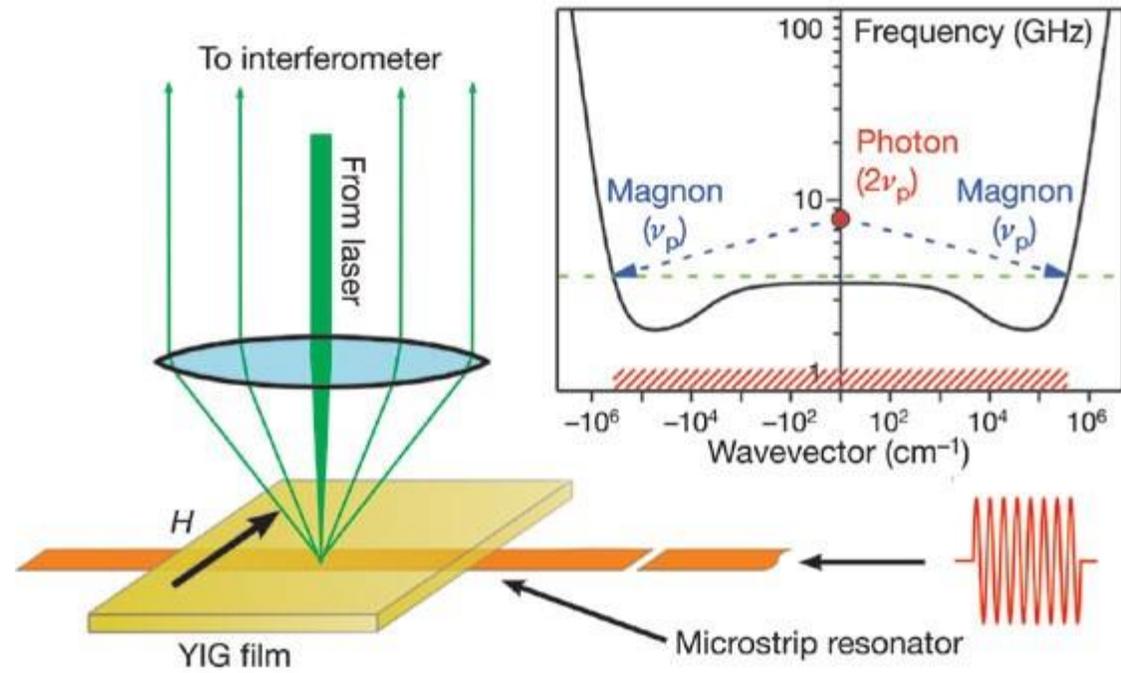
Shingo Katsumoto

- Spin wave (classical) in a ferromagnet
- Quantization of spin wave (magnon)
- Magnons in an anti-ferromagnet
- Magnon approximation for weak excitations in ferro- and anti-ferromagnets
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- Experiments on magnons

- Ferromagnetic and Antiferromagnetic resonance
- Spin wave resonance
- Experiments on magnons
- Scaling relations
- Renormalization group
- Derivation of scaling ansatz

BEC of quasi-equilibrium magnons at room temperature

Demokritov et al., Nature 443, 431 (2006).



Ferromagnetic resonance

Free energy:

$$\mathcal{F} = \sum_{\langle i,j \rangle} \lambda_{ij} \mathbf{M}_i \cdot \mathbf{M}_j - \sum_{i,j} \mathbf{M}_i \mathbf{K}_{i,j} \mathbf{M}_j - \sum_i \mathbf{M}_i \cdot \left(\mathbf{H} - \mathbf{N} \sum_j \mathbf{M}_j \right),$$

In case of ferromagnetically ordered state:

$$= -\lambda \mathbf{M}^2 + \underbrace{\mathbf{M} \cdot \mathbf{K} \mathbf{M}}_{\text{Anisotropic field}} - \mathbf{M} \cdot \left(\mathbf{H} - \underbrace{\mathbf{N} \mathbf{M}}_{\text{Demagnetizing field}} \right)$$

Anisotropic field Zeeman Demagnetizing field

Effective field other than \mathbf{H} :

$$\mathbf{H}_{\text{eff}} = \lambda \mathbf{M} - (\mathbf{K} + \mathbf{N}) \mathbf{M}$$

Kinetic equation of macroscopic moment:

$$\frac{1}{\gamma} \frac{d\mathbf{M}}{dt} = \mathbf{M} \times (\mathbf{H} - \mathbf{K} \mathbf{M} - \mathbf{N} \mathbf{M}) \quad \gamma: \text{gyromagnetic ratio}$$

When the anisotropy is uniaxial, the shape of the sample, the magnetic field are on line:

$$\omega = \gamma \sqrt{(H + (K_x - K_z + N_x - N_z)M)(H + (K_y - K_z + N_y - N_z)M)}$$

Antiferromagnetic resonance

Effective field for two magnetic sublattices (1,2) :

$$\begin{aligned} \mathbf{H}_{\text{eff1}} &= -\lambda \mathbf{M}_2 + \mathbf{K}_{11} \mathbf{M}_1 + \mathbf{K}_{12} \mathbf{M}_2 + \mathbf{N}(\mathbf{M}_1 + \mathbf{M}_2) \\ \mathbf{H}_{\text{eff2}} &= -\lambda \mathbf{M}_1 + \mathbf{K}_{21} \mathbf{M}_1 + \mathbf{K}_{22} \mathbf{M}_2 + \mathbf{N}(\mathbf{M}_1 + \mathbf{M}_2) \end{aligned}$$

In the case of antiferromagnet: $\mathbf{M}_1 = -\mathbf{M}_2$ No demagnetizing effect!

Anisotropy tensor: $\mathbf{K}_{11} = \mathbf{K}_{22}, \quad \mathbf{K}_{12} = \mathbf{K}_{21}$

Assumption: Anisotropy energy \mathcal{F}_A is uniaxial. $\mathcal{F}_A = -\frac{K_1}{2}(\cos^2 \theta_1 + \cos^2 \theta_2)$ θ_1, θ_2 : angles to $\mathbf{M}_1, \mathbf{M}_2$

Anisotropy tensor: $K_{zz} = -\frac{K_1}{|\mathbf{M}_1|}, \quad (\text{others}) = 0$

Resonance frequencies: $\frac{\omega_{\pm}}{\gamma} = \sqrt{2\lambda K_1 + (K_1/|\mathbf{M}_1|)^2} \pm H, \quad H \leq H_c,$

$$\frac{\omega_+}{\gamma} = \sqrt{B^2 - 2\lambda K_1} \quad H > H_c$$

Critical field of spin-flop transition: $H_c = \sqrt{2\lambda K_1}$

When the anisotropy is small: $\frac{\omega_{\pm}}{\gamma} = \sqrt{2\lambda K_1} \pm H, \quad H \leq H_c$

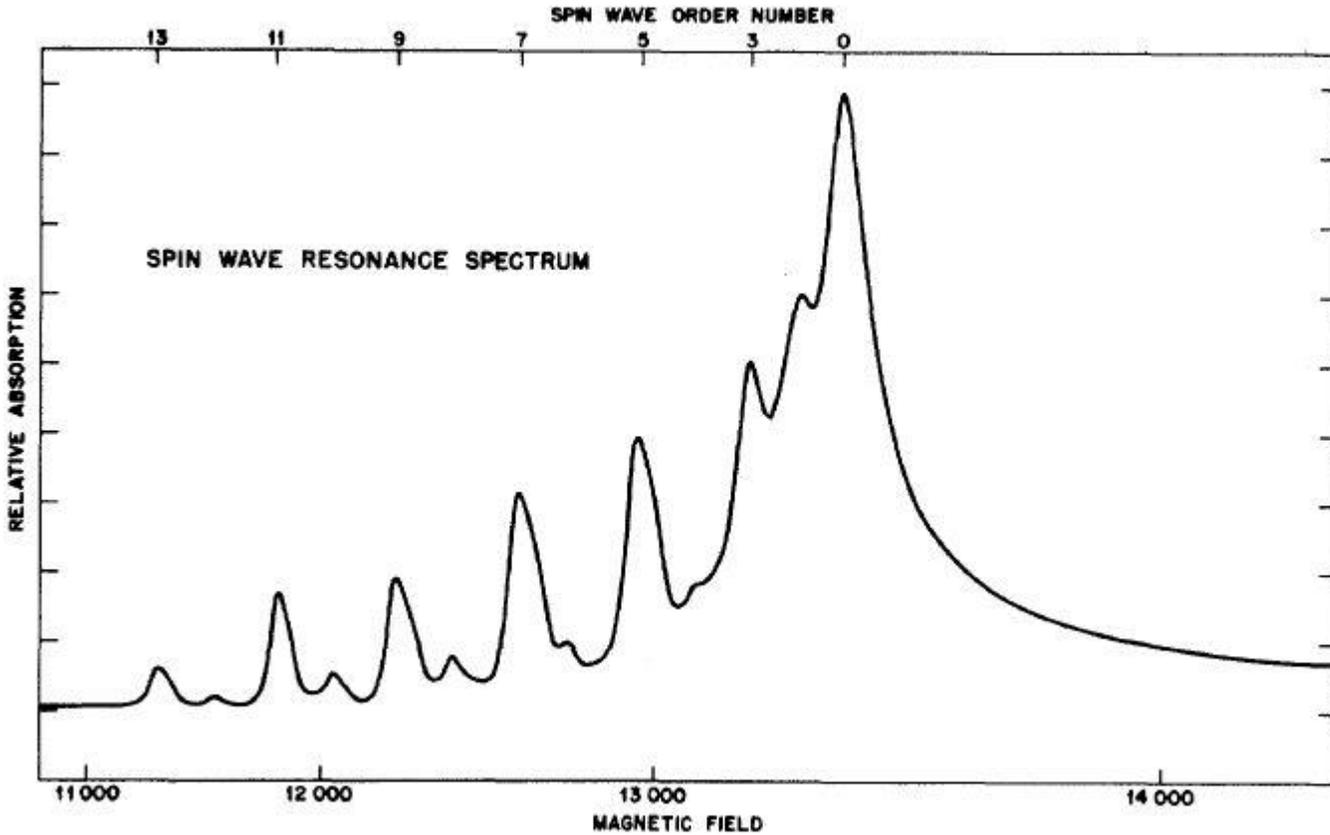
Spin wave (Magnon) resonance

Ferromagnetic spin wave dispersion relation:

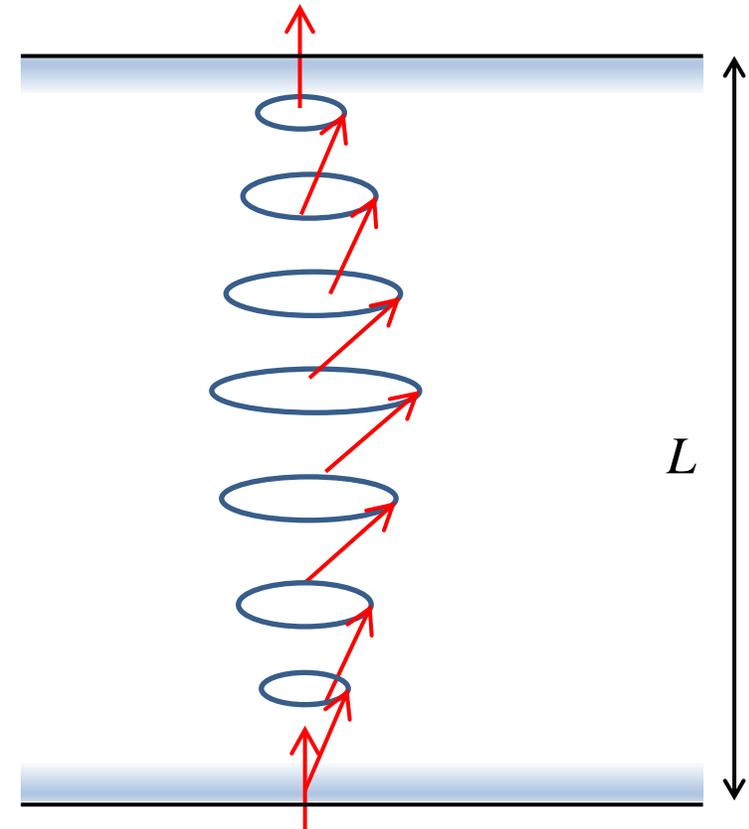
$$\omega_k = \gamma H + \frac{2SJ}{\hbar} (ka)^2$$

Standing wave condition:

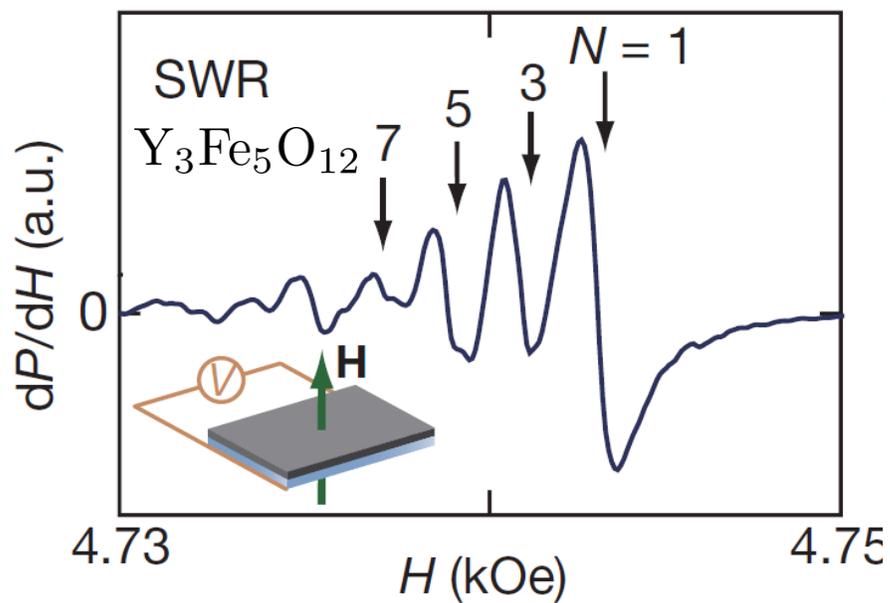
$$k = \frac{n\pi}{L}, \quad n = 1, 2, \dots$$



Seavey, Tannenwald, PRL **1**, 168 (1958).



Magnon (spin wave) resonance in thin films



Kajiwara et al., Nature **464**, 262 (2010)

Spin wave resonance in a thin film

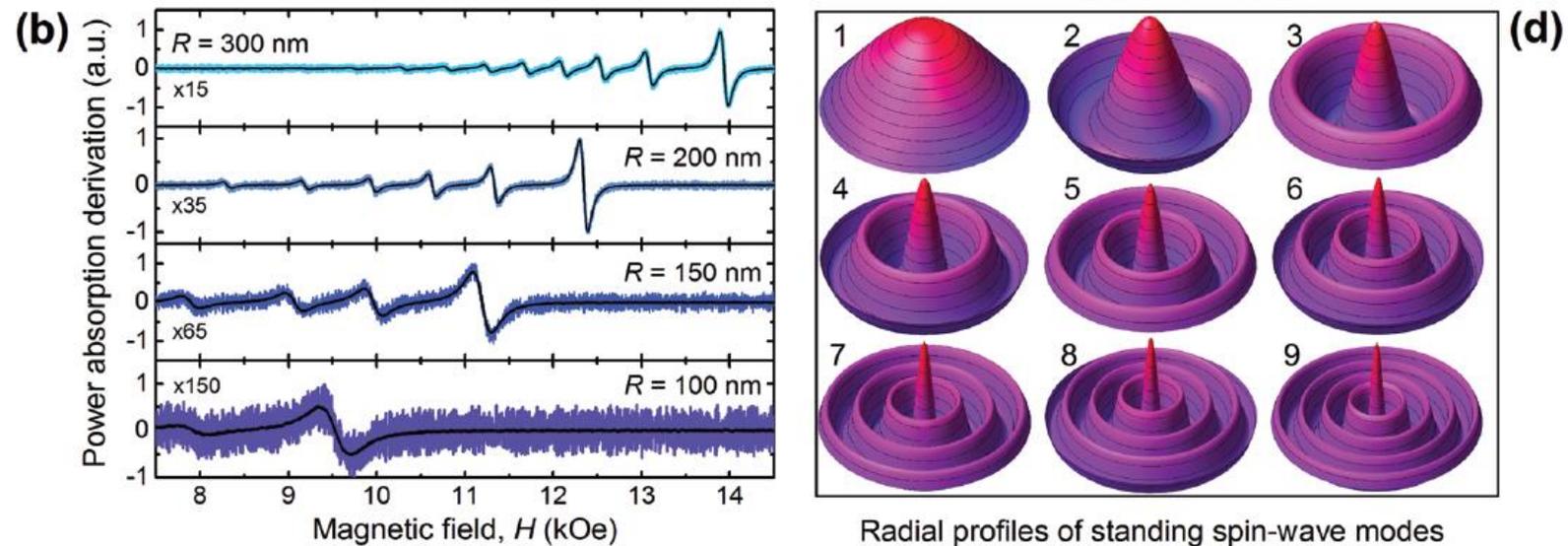
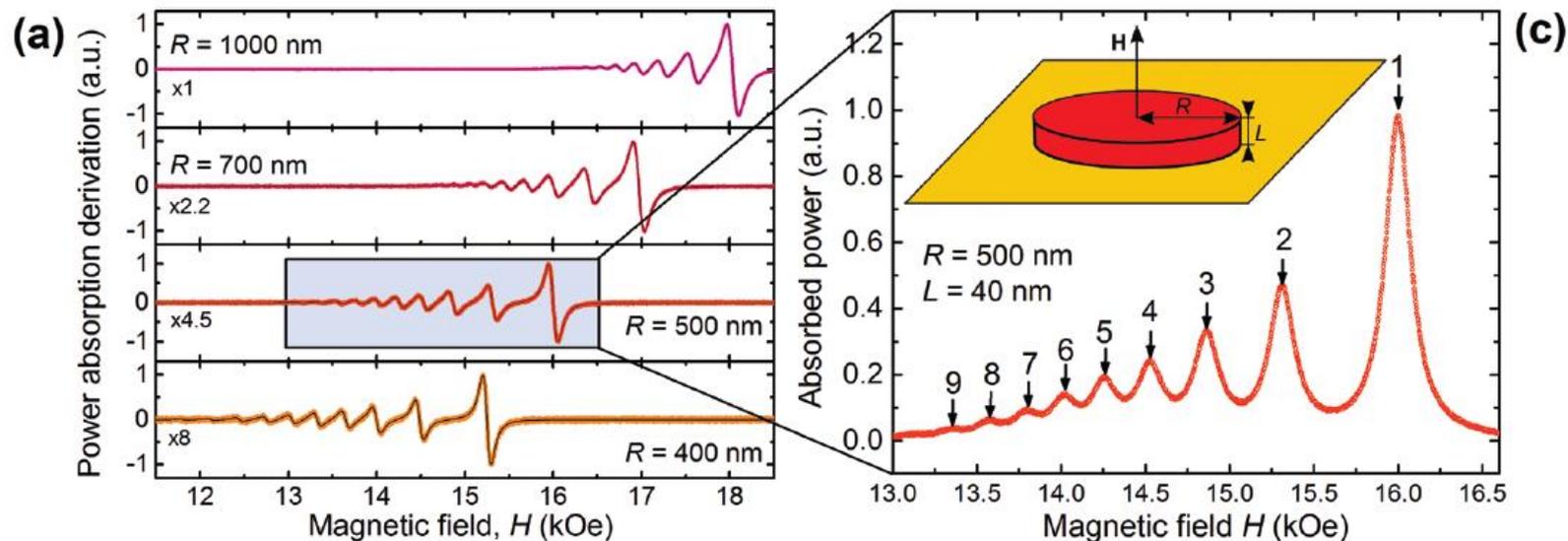
$$\frac{m}{\gamma} = \frac{B}{\mu_0} - 4\pi M_S + \frac{2Ak^2}{M_S}$$

$$k = \frac{p\pi}{L} \quad p : \text{odd number,}$$

$$L : \text{film thickness} \quad A = \frac{\alpha_z S^2 J}{a}$$

Kittel Phys. Rev. **110**, 1295 (1958)

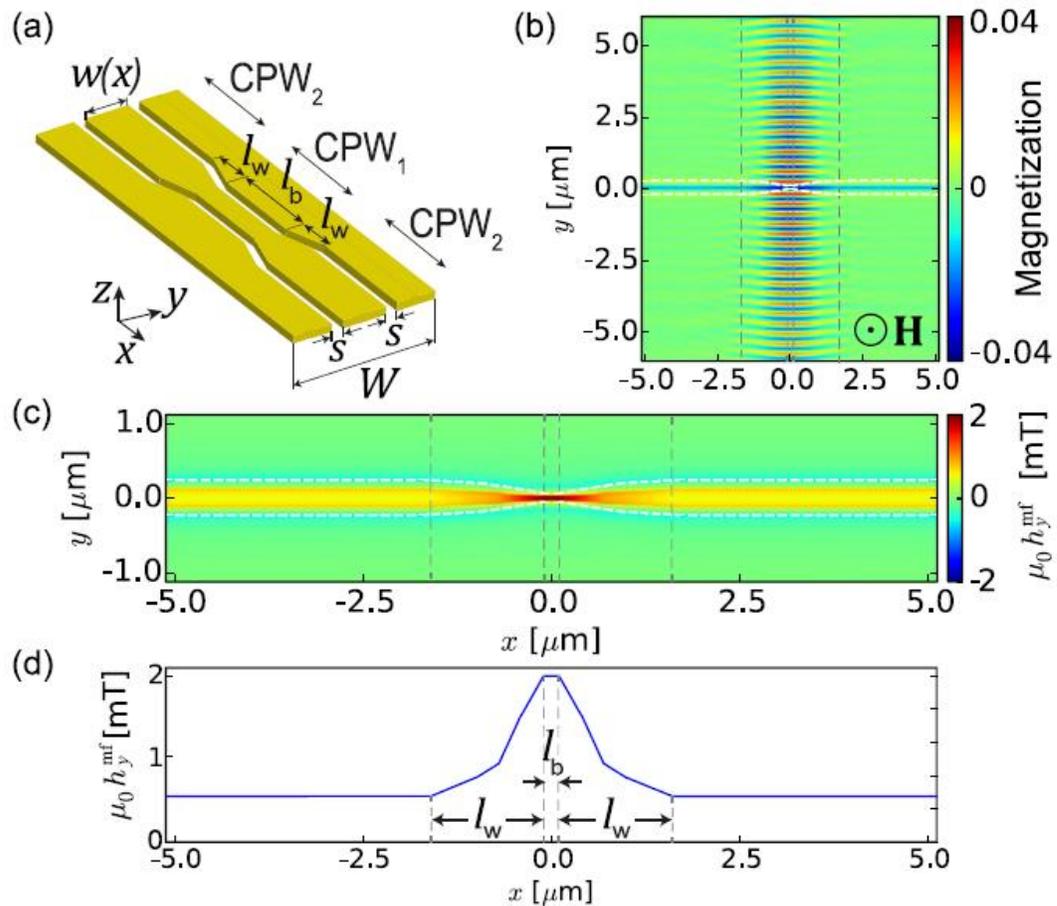
CoFe Nano disk



Dobrovolskiy et al., Nanoscale **12**, 21207 (2020).

Real space imaging of magnons

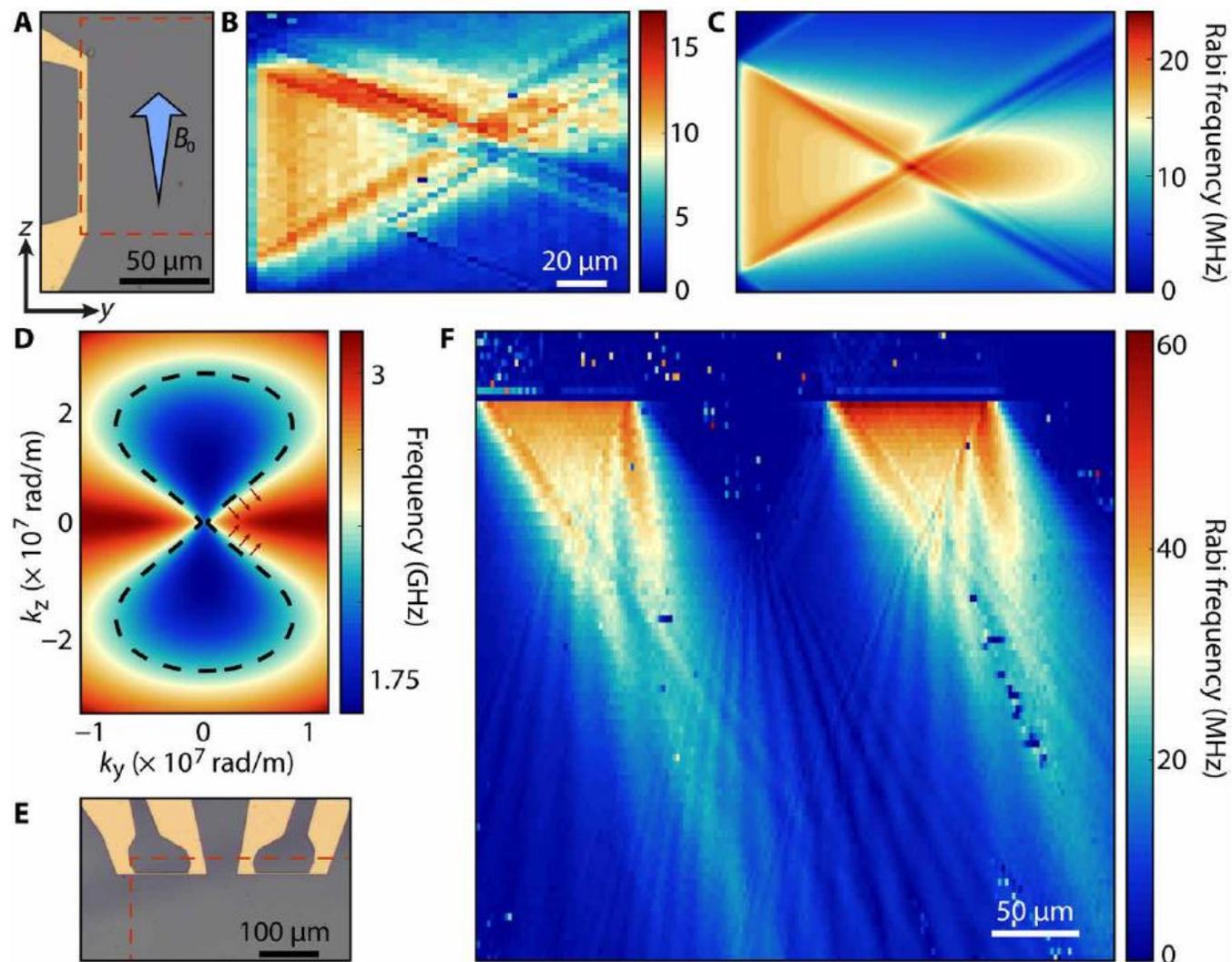
YIG



Gruszecki et al. Sci. Rep. **6**, 22367 (2016)

YIG

NV center ESR detection of magnon fields



Bertelli et al., Sci. Adv. **6**, eabd3556 (2020).

Section 5.10

Renormalization group and scaling theory of phase transition



Kenneth Wilson

1936 - 2013

1982 Nobel prize



Jacques Friedel

1921 - 2014



Jun Kondo

1930 - 2022

Correlation function

Magnetic moment (local) density : $m(\mathbf{r})$

Free energy density: $f(m(\mathbf{r}), \nabla m(\mathbf{r})) = f_0 + \frac{a}{2}m^2 + \frac{b}{4}m^4 + c|\nabla m|^2 - hm$

Free energy functional: $\mathcal{F}\{m(\mathbf{r})\} = \int_V d\mathbf{r}' f(m(\mathbf{r}'), \nabla' m(\mathbf{r}'))$

Partition function: $Z = \int \mathcal{D}m(\mathbf{r}) \exp\left[-\frac{\mathcal{F}\{m(\mathbf{r})\}}{k_B T}\right] \int \mathcal{D}m(\mathbf{r})$: functional integral

Probability density of realization of $m(\mathbf{r})$: $p\{m(\mathbf{r})\} = \frac{1}{Z} \exp\left[-\frac{\mathcal{F}\{m(\mathbf{r})\}}{k_B T}\right]$

Expectation value of physical quantity A $\langle A \rangle = \frac{1}{Z} \int \mathcal{D}m(\mathbf{r}) A \exp\left[-\frac{\mathcal{F}\{m(\mathbf{r})\}}{k_B T}\right]$

Temperature dependence assumption: $a = \alpha(T - T_C)$ ($\alpha > 0$), $b = \text{const.} (> 0)$

Correlation function (2)

Correlation function of order parameter fluctuation:

$$g(\mathbf{r}) = \langle (m(0) - \langle m(0) \rangle)(m(\mathbf{r}) - \langle m(\mathbf{r}) \rangle) \rangle = \langle m(0)m(\mathbf{r}) \rangle - \langle m(0) \rangle \langle m(\mathbf{r}) \rangle$$

The second term = 0 for $T > T_C$

Fourier representation:

$$m(\mathbf{r}) = \frac{1}{\sqrt{V}} \sum_{\mathbf{k}} m_{\mathbf{k}} \exp(i\mathbf{k} \cdot \mathbf{r}) \quad m_{-\mathbf{k}} = m_{\mathbf{k}}^*$$

Because

$$(m_{\mathbf{k}} + m_{-\mathbf{k}})(m_{\mathbf{k}} e^{i\mathbf{k} \cdot \mathbf{r}} + m_{-\mathbf{k}} e^{-i\mathbf{k} \cdot \mathbf{r}}) = 2|m_{\mathbf{k}}|^2 e^{-i\mathbf{k} \cdot \mathbf{r}} + 2|m_{-\mathbf{k}}|^2 e^{i\mathbf{k} \cdot \mathbf{r}}$$

And from translational invariance:

$$g(\mathbf{r}) = \frac{1}{V} \sum_{\mathbf{k}} \langle |m_{\mathbf{k}}|^2 \rangle \exp(-i\mathbf{k} \cdot \mathbf{r})$$

Free energy:

$$\mathcal{F} = V f_0 + \sum_{\mathbf{k}} |m_{\mathbf{k}}|^2 \left(\frac{a}{2} + ck^2 \right) + \frac{b}{4V} \sum_{\mathbf{k}_1 + \mathbf{k}_2 + \mathbf{k}_3 + \mathbf{k}_4 = \mathbf{0}} m_{\mathbf{k}_1} m_{\mathbf{k}_2} m_{\mathbf{k}_3} m_{\mathbf{k}_4}$$

Ignore 4th order

Weight function:

$$p\{m(\mathbf{r})\} = \frac{1}{Z} \exp \left[-\frac{\mathcal{F}\{m(\mathbf{r})\}}{k_B T} \right]$$

$$\frac{1}{Z} \exp \left[-\frac{2}{k_B T} \sum_{\mathbf{k}} \left(\frac{a}{2} + ck^2 \right) (m_{\mathbf{k}}^{(r)2} + m_{\mathbf{k}}^{(i)2}) \right]$$

Sum over independent \mathbf{k}

$$\text{Re}[m_{\mathbf{k}}] = m_{\mathbf{k}}^{(r)}, \quad \text{Im}[m_{\mathbf{k}}] = m_{\mathbf{k}}^{(i)}$$

Correlation function (3) Scaling relations

Finally
$$g(\mathbf{r}) = \frac{1}{V} \sum_{\mathbf{k}}' \frac{k_B T}{a + 2ck^2} e^{-i\mathbf{k}\cdot\mathbf{r}} = k_B T \int_0^\infty \frac{e^{-i\mathbf{k}\cdot\mathbf{r}}}{2ck^2 + a} \frac{d^3 k}{(2\pi)^3} = \frac{k_B T \exp(-r/\xi)}{8\pi d r}, \quad \xi = \sqrt{\frac{2c}{a}}$$

Yukawa type function
Exponential decay + (1/r) Correlation length

$T < T_C$ $\tilde{g}(\mathbf{r}) = \langle m(0)m(\mathbf{r}) \rangle$ differs from $g(\mathbf{r})$ $r \rightarrow \infty$

Appearance of **long range order**

Scaling relations temperature $t \equiv (T - T_C)/T_C$ magnetic field h

Specific heat : $C \sim |t|^{-\alpha},$

Magnetization (order parameter) : $m \sim |t|^\beta \quad (t < 0)$

Critical exponents:

$m \sim h^{1/\delta} \quad (t = 0),$

Susceptibility : $\chi \sim |t|^{-\gamma},$

Correlation length : $\xi \sim |t|^{-\nu}$

Scaling relations

$$g(\mathbf{r}) \sim \frac{\exp(-r/\xi)}{r^{d-2+\eta}} \quad d : \text{dimensionality}$$

GL theory gives $\alpha = 0, \beta = 1/2, \gamma = 1, \delta = 3, \nu = 1/2, \eta = 0$

Scaling relations:
$$\left\{ \begin{array}{l} \gamma = (2 - \eta)\nu, \\ \alpha + 2\beta + \gamma = 2, \\ \beta + \gamma = \beta\delta. \end{array} \right.$$

Scaling ansatz: Critical behavior is described by a single relevant parameter. $\frac{h}{|t|^\Delta}$ Δ : Gap exponent

Free energy expression:
$$f_s \sim |t|^{2-\alpha} f_\pm \left(\frac{h}{|t|^\Delta} \right)$$

$$m(h=0) \sim -\frac{\partial f_s}{\partial h} \sim |t|^{2-\alpha-\Delta} f'_\pm(0) \sim |t|^\beta \quad (t < 0)$$

$$\chi \sim -\frac{\partial^2 f}{\partial h^2} \sim |t|^{2-\alpha-2\Delta} f''_\pm(0) \sim |t|^{-\gamma}$$

$$\begin{aligned} \beta &= 2 - \alpha - \Delta \\ -\gamma &= 2 - \alpha - 2\Delta \end{aligned} \quad \therefore \Delta = \beta + \gamma$$

Scaling ansatz and scaling relations

$$f_s \sim |t|^{2-\alpha} f_{\pm} \left(\frac{h}{|t|^{\Delta}} \right) \quad t \rightarrow 0 \quad \frac{h}{|t|^{\Delta}} \rightarrow \infty$$

Then we assume the asymptotic form: $f'_{\pm}(x) \sim x^{\lambda_{\pm}} \quad (x \rightarrow \infty)$

$$\text{From the scaling relations: } m \sim |t|^{\beta} f'_{\pm} \left(\frac{h}{|t|^{\Delta}} \right) \sim \frac{h^{\lambda_{\pm}}}{|t|^{\Delta \lambda_{\pm} - \beta}}$$

$$\text{For } m \text{ to be finite at } t \rightarrow 0: \lambda_{\pm} = \frac{\beta}{\Delta} = \frac{\beta}{\beta + \gamma} \quad \text{Compare with } m \sim h^{1/\delta} \quad \text{then } \delta = \frac{\beta + \gamma}{\beta}$$

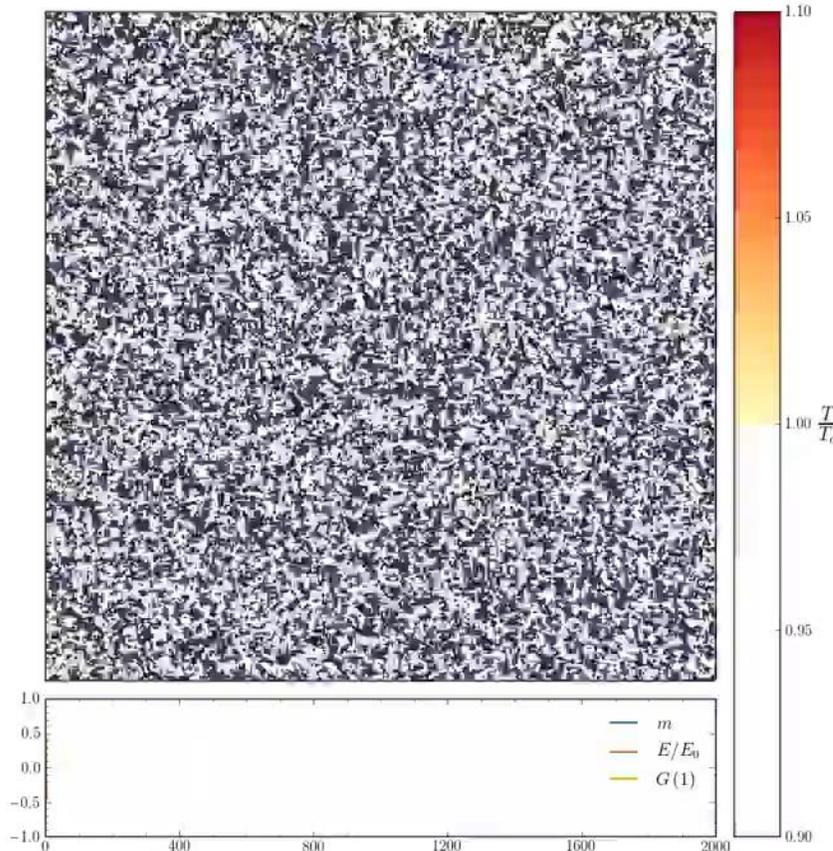
$$\text{Hyperscaling relation: } 2 - \alpha = d\nu$$

Ising model

Directions of spins are limited to z

$$\mathcal{H} = -J \sum_{\langle i,j \rangle} S_i S_j - h \sum_i S_i$$

Solution: 1d Ising, 2d Onsager

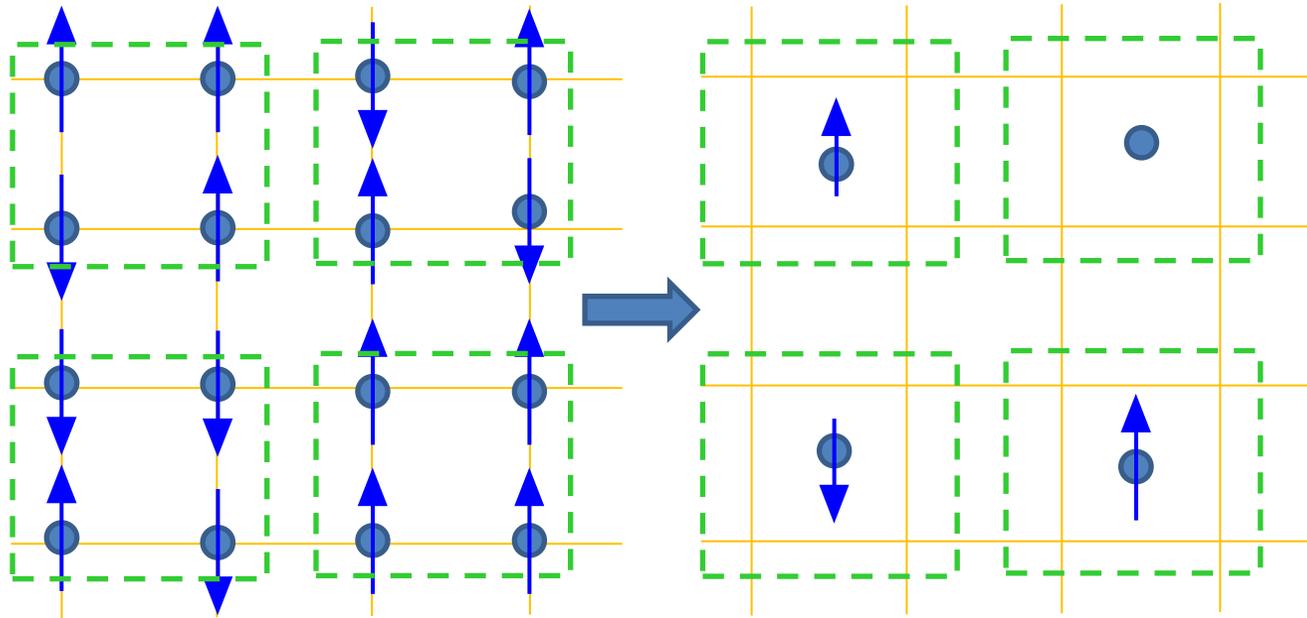


Model (Universality class)	α	β	γ	δ
2D Ising	0	1/8	7/4	15
3D Ising	0.115	0.324	1.239	4.82
3D XY	-0.01	0.34	1.32	4.9
3D Heisenberg	-0.11	0.36	1.39	4.9
Mean field approximation	0	1/2	1	3

<https://www.youtube.com/watch?v=kjwKgpQ-11s>

Renormalization group

2D square lattice Ising model



Four spins are averaged and coarse-grained to a single spin.

$$s_q = \frac{1}{4} \sum_i s_{qi} \quad \sqrt{4} = 2$$

Renormalization group transformation of scaling factor 2.

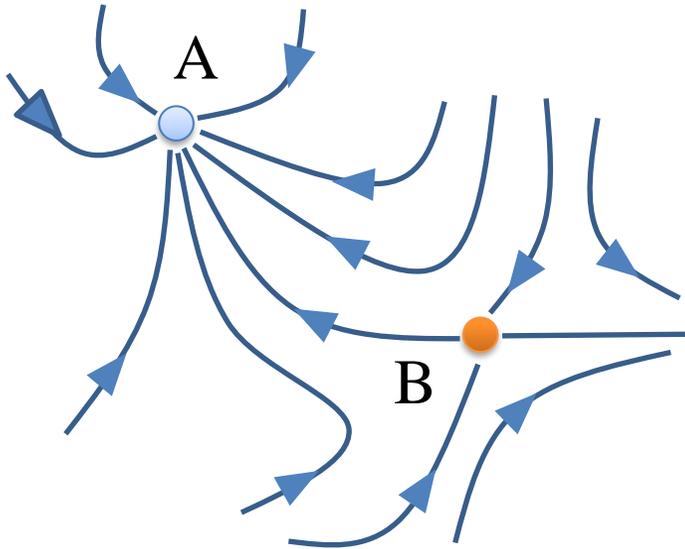
Renormalization group transformation of scaling factor x as $\mathcal{R}(x)$

$$\mathcal{H}' = \mathcal{R}(x)\mathcal{H}$$

$$\mathcal{R}(x')\mathcal{R}(x) = \mathcal{R}(x'x)$$

Ordinary no inverse element: Semigroup

Flow diagram



Flow diagram

$\mathcal{R}(x)$ x : continuous variable

Renormalization group transform \rightarrow changes system parameters

Continuous movement of the system in the parameter space



Flow diagram

Complete order, complete disorder: the parameters do not change



Stable fixed points

Just on the critical point: Unstable fixed point

Derivation of scaling ansatz

t : Temperature, h : Magnetic field

Renormalization group transform with scaling factor x $\left\{ \begin{array}{l} t' = g_1^{(x)}(t, h) \\ h' = g_2^{(x)}(t, h) \end{array} \right.$

Expansion around the unstable fixed point $t = h = 0$ $\left\{ \begin{array}{l} t' \simeq \Lambda_{11}(x)t + \Lambda_{21}(x)h, \\ h' \simeq \Lambda_{21}(x)t + \Lambda_{22}(x)h \end{array} \right.$

Symmetry difference between t and h . h is reversed by reversing the magnetization but t is not.

Then they cannot have linear relations: $\Lambda_{12}(x) = \Lambda_{21}(x) = 0$

$$(\Lambda_{11}(x))^n = \Lambda_{11}(x^n), \quad (\Lambda_{22}(x))^n = \Lambda_{22}(x^n)$$

This should hold for any $x > 1$, natural number n

Then $\Lambda_{11}(x)$, $\Lambda_{22}(x)$ should be power functions of x .

Derivation of scaling ansatz (2)

Hence we write $\Lambda_{11}(x) = x^{\lambda_1}$, $\Lambda_{22}(x) = x^{\lambda_2}$

Let us consider the case of starting at (t, h) .

n -times operation of RGT with SF x System temperature $t_0 = x^{n\lambda_1}t$ is far from the critical point (assume)

Correlation length $\frac{\xi(t)}{\xi(t_0)} = x^n = \left(\frac{t}{t_0}\right)^{-1/\lambda_1}$

Remember $\xi \sim |t|^{-\nu}$ $\nu = \lambda_1^{-1}$

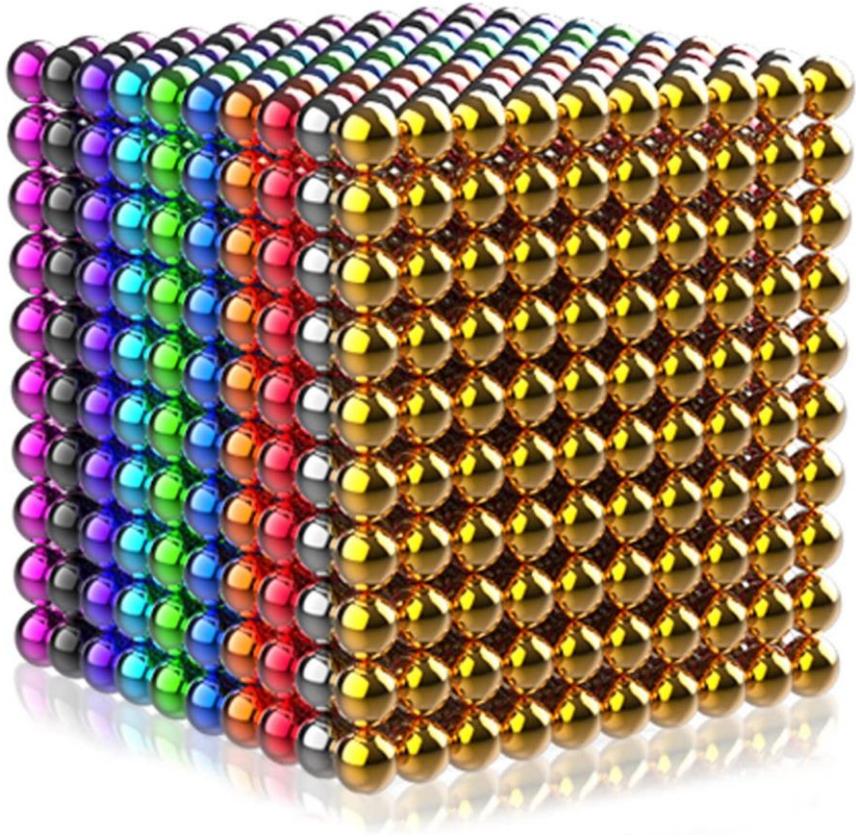
In a d -dimensional system, $f(t, h)$ becomes x^d times of the original.

$$x^{nd} f(t, h) = f(x^{n\lambda_1}t, x^{n\lambda_2}h) = f(t_0, (t/t_0)^{-\lambda_2/\lambda_1}h)$$

Hence by some function $f_{\pm}(x)$ we can write

$$f(t, h) = t^{d/\lambda_1} f_{\pm}(t^{-\lambda_2/\lambda_1}h) = t^{d\nu} f_{\pm}\left(\frac{h}{t^{\Delta}}\right) \quad \Delta = \frac{\lambda_2}{\lambda_1}$$

Chapter 6



Magnetism of Itinerant Electron Systems

Magnetic Puzzle

Summary

- Ferromagnetic and Antiferromagnetic resonance
- Spin wave resonance
- Experiments on magnons
- Scaling relations
- Renormalization group
- Derivation of scaling ansatz

2022.6.29 Lecture 12

Lecture on

Magnetic Properties of Materials

10:25 – 11:55

磁性 (Magnetism)

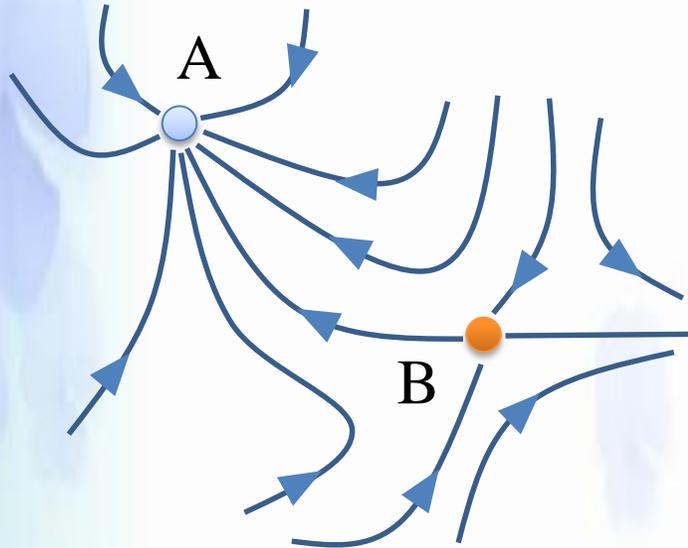
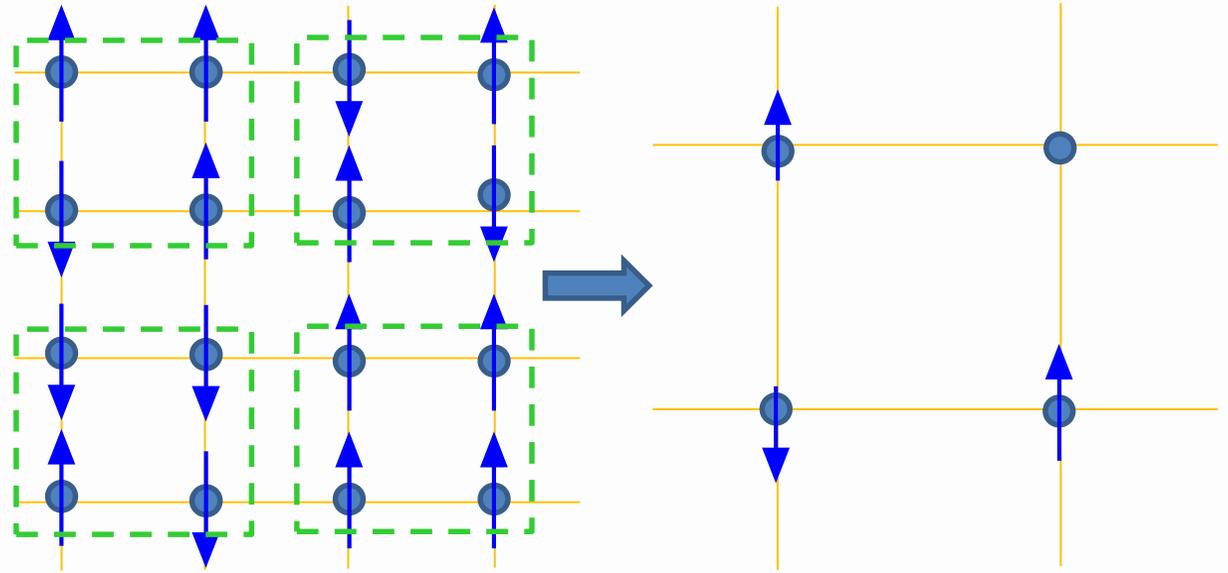
Institute for Solid State Physics, University of Tokyo

Shingo Katsumoto

Review

- Magnon condensates, Magnonics
- Scaling, Renormalization group

Coarse graining $s_q = \frac{1}{4} \sum_i s_{qi}$



$$\mathcal{H}' = \mathcal{R}(x)\mathcal{H}$$

System transition in a parameter space

Flow diagram

Stable fixed point: Extreme conditions

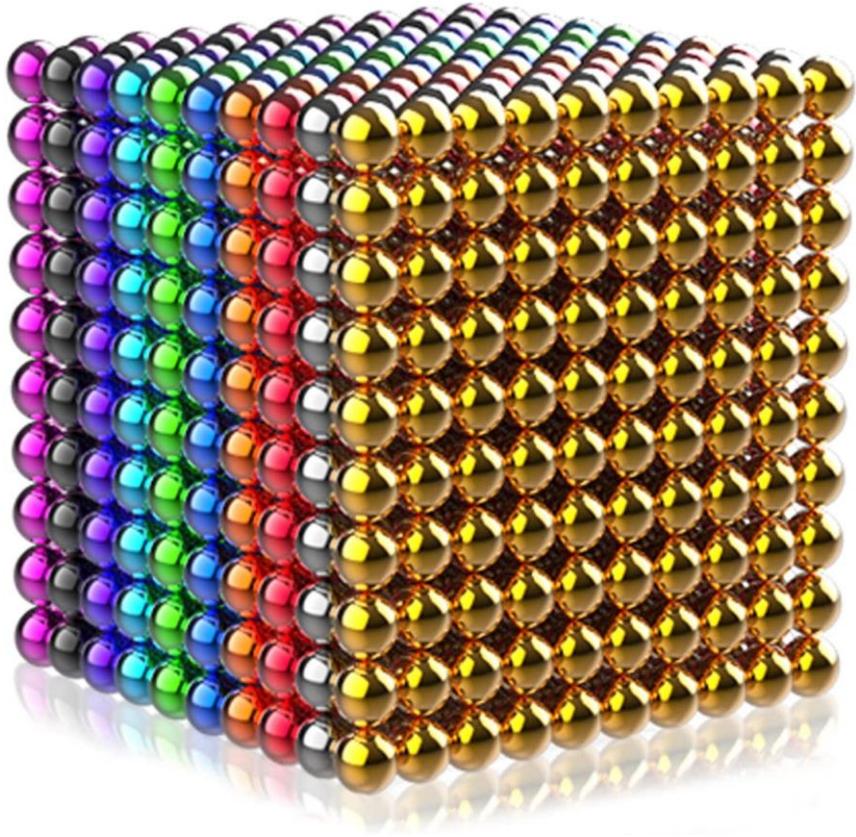
Unstable fixed point: critical point

Renormalization group transform with scaling factor x $\begin{cases} t' = g_1^{(x)}(t, h) \\ h' = g_2^{(x)}(t, h) \end{cases}$ Renormalization group equation

Chapter 6 Magnetism of Itinerant Electron Systems

- Ferromagnetism in Electron gas
 - Hartree-Fock approximation
 - Diffusion Monte-Carlo calculation
- Hubbard model: mean field theory
 - Hartree-Fock approximation: Stoner criterion
 - Magnetic susceptibility
- Magnetism in *3d* transition metals
 - Slater-Pauling's curve
 - Density of states by APW method

Chapter 6



Magnetism of Itinerant Electron Systems

Magnetic Puzzle

Periodic table of the elements

Periodic table of the elements

group	1*	2											13	14	15	16	17	18
1	1 H	2											5 B	6 C	7 N	8 O	9 F	10 Ne
2	3 Li	4 Be											13 Al	14 Si	15 P	16 S	17 Cl	18 Ar
3	11 Na	12 Mg	3	4	5	6	7	8	9	10	11	12	13 Al	14 Si	15 P	16 S	17 Cl	18 Ar
4	19 K	20 Ca	21 Sc	22 Ti	23 V	24 Cr	25 Mn	26 Fe	27 Co	28 Ni	29 Cu	30 Zn	31 Ga	32 Ge	33 As	34 Se	35 Br	36 Kr
5	37 Rb	38 Sr	39 Y	40 Zr	41 Nb	42 Mo	43 Tc	44 Ru	45 Rh	46 Pd	47 Ag	48 Cd	49 In	50 Sn	51 Sb	52 Te	53 I	54 Xe
6	55 Cs	56 Ba	57 La	72 Hf	73 Ta	74 W	75 Re	76 Os	77 Ir	78 Pt	79 Au	80 Hg	81 Tl	82 Pb	83 Bi	84 Po	85 At	86 Rn
7	87 Fr	88 Ra	89 Ac	104 Rf	105 Db	106 Sg	107 Bh	108 Hs	109 Mt	110 Ds	111 Rg	112 Cn	113 Nh	114 Fl	115 Mc	116 Lv	117 Ts	118 Og
lanthanoid series 6	58 Ce	59 Pr	60 Nd	61 Pm	62 Sm	63 Eu	64 Gd	65 Tb	66 Dy	67 Ho	68 Er	69 Tm	70 Yb	71 Lu				
actinoid series 7	90 Th	91 Pa	92 U	93 Np	94 Pu	95 Am	96 Cm	97 Bk	98 Cf	99 Es	100 Fm	101 Md	102 No	103 Lr				

*Numbering system adopted by the International Union of Pure and Applied Chemistry (IUPAC).

Hartree-Fock approximation for ferromagnetism in electron gas

Hartree-Fock approximation: A way to treat electron-electron interaction (correlation) in mean field theory.

Let us consider an N -particle system

Single-particle wavefunctions $\varphi_{k_1}, \varphi_{k_2}, \dots, \varphi_{k_N}$

Many-particle wavefunction fulfilling
particle exchange statistics-
Slater determinant:

$$\Phi = \frac{1}{\sqrt{N!}} \begin{vmatrix} \varphi_{k_1}(x_1) & \cdots & \varphi_{k_N}(x_1) \\ \vdots & \ddots & \vdots \\ \varphi_{k_1}(x_N) & \cdots & \varphi_{k_N}(x_N) \end{vmatrix}$$

Assumption: Hamiltonian =
single body + two-body:

$$\mathcal{H} = \sum_{j=1}^N h(x_j) + \sum_{\langle i,j \rangle} v(x_i, x_j)$$

x_i : all freedoms of a single particle

Expectation value of the total energy: $\mathcal{W} = (\Phi, \mathcal{H} \Phi)$

Hartree-Fock approximation = minimize \mathcal{W} in variational method on $\{\varphi_{k_j}\}$

Hartree-Fock approximation (2)

Constraint – Orthonormal basis: $\langle k_i | k_j \rangle = \delta_{ij}$

(1) Direct integral
and (2) Exchange integral:

$$\mathcal{W} = \sum_{j=1}^N \langle k_j | h | k_j \rangle + \sum_{\langle i,j \rangle} \left[\underbrace{\langle k_i k_j | v | k_i k_j \rangle}_{(1)} - \underbrace{\langle k_i k_j | v | k_j k_i \rangle}_{(2)} \right]$$

We apply the method of Lagrange multipliers. Consider the quantity: $\mathcal{W} - \sum_{\langle i,j \rangle} \lambda_{ij} \langle k_i | k_j \rangle$

Extremals condition for the variation of $\{\varphi_{k_j}^*\}$

$$h\varphi_{k_j} + \sum_{i=1}^N [\langle k_i | v | k_i \rangle \varphi_{k_j} - \langle k_i | v | k_j \rangle \varphi_{k_i}] = \sum_{i=1}^N \lambda_{ij} \varphi_{k_i}$$

Density matrix (definition): $\rho(x, x') = \sum_{i=1}^N \varphi_{k_i}^*(x) \varphi_{k_i}(x')$

We further define $v_{\text{eff}}(x) = \int dx' v(x, x') \rho(x', x)$, $A(x)\varphi(x) = \int dx' v(x, x') \varphi(x') \rho(x', x)$

Then the extremal condition is $[h(x) + v_{\text{eff}}(x) - A(x)]\varphi_{k_j}(x) = \sum_{i=1}^N \lambda_{ij} \varphi_{k_i}(x)$

Hartree-Fock approximation (3)

$$\underbrace{[h(x) + v_{\text{eff}}(x) - A(x)]}_{\mathcal{O}} \varphi_{k_j}(x) = \sum_{i=1}^N \lambda_{ij} \varphi_{k_i}(x)$$

We take φ_{k_j} for an eigenfunction of operator \mathcal{O} $[h(x) + v_{\text{eff}}(x) - A(x)]\varphi_{k_j}(x) = \epsilon_{k_j} \varphi_{k_j}(x)$

Then taking N of eigenstates with the lowest eigen energies, and make the Slater determinant from them.



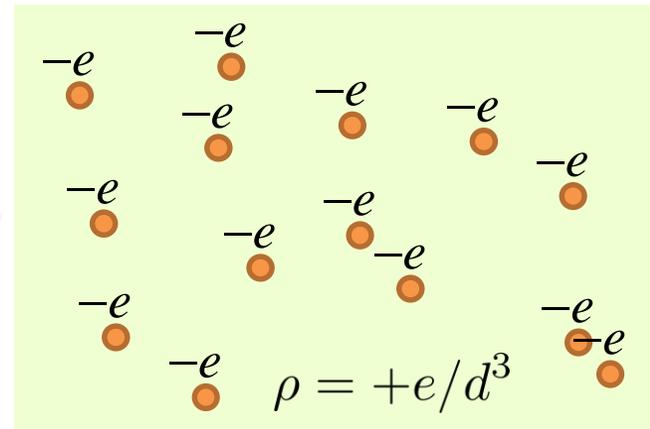
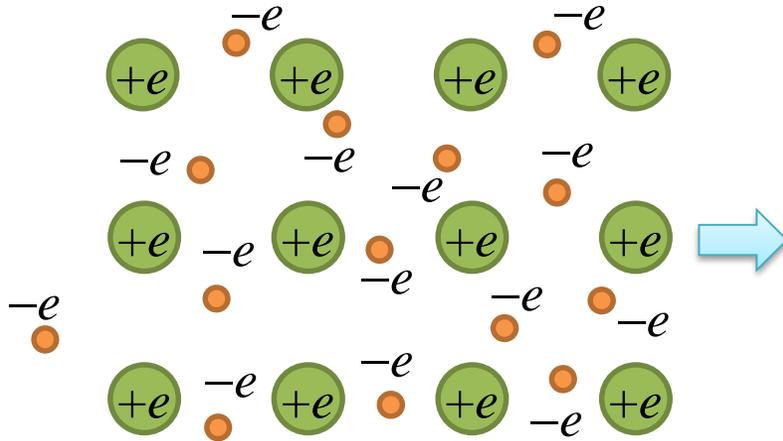
Hartree-Fock ground state

Operator \mathcal{O} depends on $\{\varphi_{k_j}\}$  Self-consistent equation

$$[h(x) + v_{\text{eff}}(x) - A(x)]\varphi_{k_j}(x) = \epsilon_{k_j} \varphi_{k_j}(x) \quad \text{Hartree-Fock equation}$$

Magnetism in jellium model

Electrons in a lattice potential



Jellium model

Electrons in a uniform background

Jellium model ground state of non-interacting electrons

$$|\Psi\rangle = \prod_{E(\mathbf{k},\sigma) \leq E_F} c_{\mathbf{k}\sigma}^\dagger |0\rangle$$

Hamiltonian with interaction:

$$\mathcal{H} = \sum_{\mathbf{k},\sigma} \epsilon_{\mathbf{k}} c_{\mathbf{k}\sigma}^\dagger c_{\mathbf{k}\sigma} + \frac{1}{2V} \sum_{\mathbf{k},\mathbf{k}',\sigma,\sigma',\mathbf{q} \neq 0} v_{\mathbf{q}} c_{\mathbf{k}+\mathbf{q},\sigma}^\dagger c_{\mathbf{k}'-\mathbf{q},\sigma'}^\dagger c_{\mathbf{k}'\sigma} c_{\mathbf{k}\sigma}$$

$$\epsilon_{\mathbf{k}} = \frac{\hbar^2 k^2}{2m} \quad v_{\mathbf{q}} = \frac{4\pi e^2}{q^2}$$

System parameter: Averaged particle distance measured by Bohr magneton:

$$r_s \equiv \frac{1}{a_B} \left[\frac{3}{4\pi(k_F^3/3\pi^2)} \right]^{1/3}$$

Magnetism in jellium model (2)

In the jellium model, plane waves are already the self-consistent equation. Then the plane wave states that minimize the energy is the solution of HF approximation.

Remember
$$\mathcal{W} = \sum_{j=1}^N \langle k_j | h | k_j \rangle + \sum_{\langle i,j \rangle} [\langle k_i k_j | v | k_i k_j \rangle - \langle k_i k_j | v | k_j k_i \rangle]$$

Kinetic energy per an electron:
$$\epsilon_{ke} = \frac{1}{N} \sum_{\mathbf{k}s} \epsilon_{\mathbf{k}} n_{\mathbf{k}s} = \frac{2V}{N} \int \frac{d^3k}{(2\pi)^3} \frac{\hbar^2 k^2}{2m} n_{\mathbf{k}} = \frac{3}{10} \frac{\hbar^2 k_F^2}{m} = \frac{2.21}{r_s^2} \text{Ry}$$

No direct integral term (Hartree) due to the charge neutral condition in the case of jellium model.

Exchange energy per an electron:
$$\epsilon_{ex} = -\frac{1}{2NV} \sum_{\mathbf{k}, \mathbf{q} \neq 0, s} v_{\mathbf{q}} \langle \psi | c_{\mathbf{k}+\mathbf{q},s} c_{\mathbf{k}+\mathbf{q},s} c_{\mathbf{k}s}^\dagger c_{\mathbf{k}s} | \psi \rangle = \frac{1}{2NV} \sum_{\mathbf{k}s} v_{\mathbf{q}} n_{\mathbf{k}+\mathbf{q}} n_{\mathbf{k}}$$

Integration gives
$$\epsilon_{ex} = -\frac{3e^2}{4} \frac{k_F}{\pi} = -\frac{0.92}{r_s} \text{Ry}$$

Hartree-Fock energy is given by
$$\epsilon_{hf} = \left(\frac{2.21}{r_s^2} - \frac{0.92}{r_s} \right) \text{Ry}$$

Magnetism in jellium model (3)

Magnetic polarization: $p \equiv \frac{N_{\uparrow}}{N_{\uparrow} + N_{\downarrow}}$

$$E_{\text{ke}}(p) = \frac{\hbar^2}{20\pi^2 m} (k_{\text{F}\uparrow}^5 + k_{\text{F}\downarrow}^5) = \frac{3(6\pi^2)^{2/3} \hbar^2}{10m} (n_{\uparrow}^{5/3} + n_{\downarrow}^{5/3}) = \frac{3(6\pi^2)^{2/3} \hbar^2}{10m} [p^{5/3} - (1-p)^{5/3}] n_0^{5/3},$$

$$E_{\text{ex}}(p) = -\frac{3e^2}{4} \left(\frac{6}{\pi}\right)^{1/3} (n_{\uparrow}^{4/3} + n_{\downarrow}^{4/3}) = -\frac{3e^2}{4} \left(\frac{6}{\pi}\right)^{1/3} [p^{4/3} - (1-p)^{4/3}] n_0^{4/3}$$

$$\Delta E = [E_{\text{ke}}(1) + E_{\text{ex}}(1)] - [E_{\text{ke}}(0.5) + E_{\text{ex}}(0.5)]$$

$$\Delta E < 0 \quad \longrightarrow \quad \text{Ferromagnetic state is the ground state}$$

$$r_s > 5.4531$$



Overestimation of the stability of ferromagnetism

Table 1.1

FREE ELECTRON DENSITIES OF SELECTED METALLIC ELEMENTS^a

ELEMENT	Z	n ($10^{22}/\text{cm}^3$)	r_s (Å)	r_s/a_0
Li (78 K)	1	4.70	1.72	3.25
Na (5 K)	1	2.65	2.08	3.93
K (5 K)	1	1.40	2.57	4.86
Rb (5 K)	1	1.15	2.75	5.20
Cs (5 K)	1	0.91	2.98	5.62

Taken from Ashcroft-Mermin *Solid State Physics*

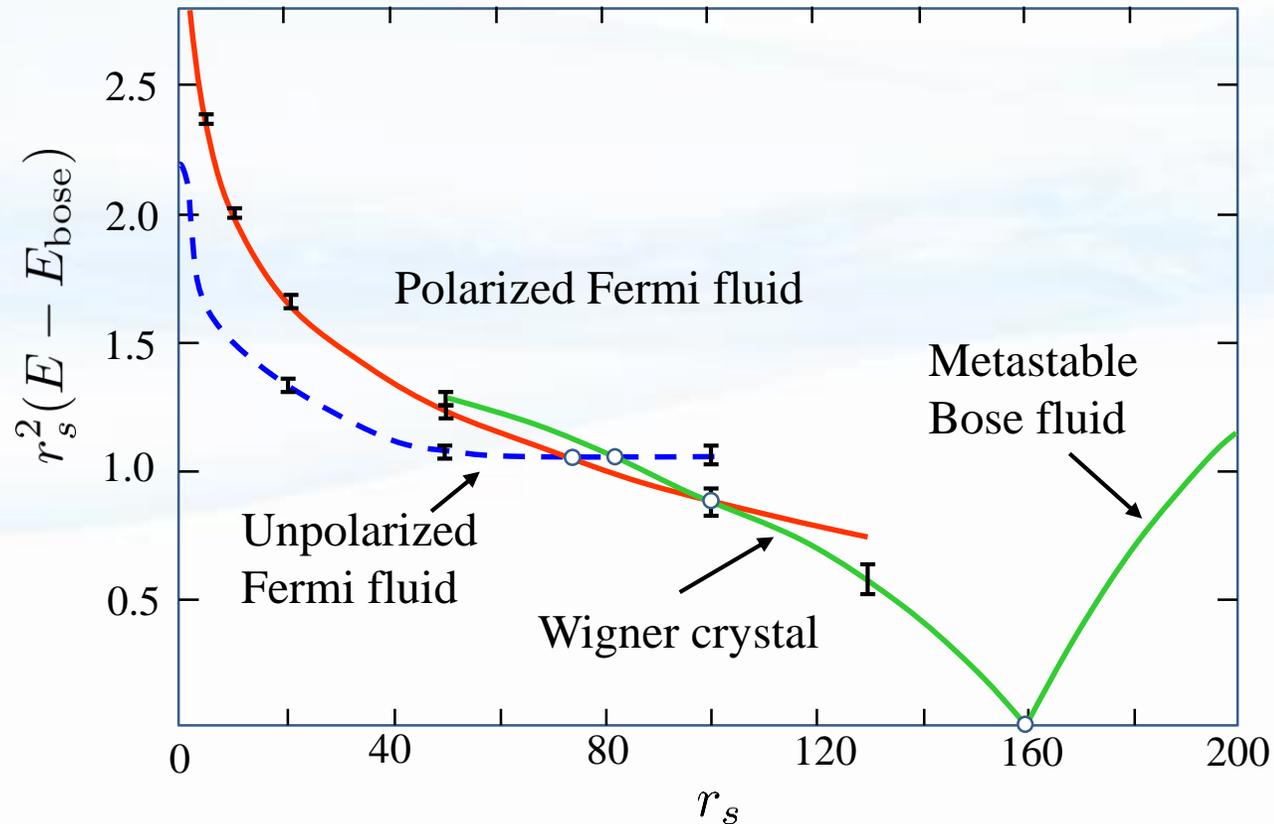
Correlation energy

In a realistic electron gas, the electrons keep away from each other lowering the Coulomb energy even between ones with the opposite spin directions.



Difference from the HF interaction energy:

Correlation energy



Phase diagram by diffusion Monte-Carlo method

$70 < r_s < 90$

Huge deviation from $3d$ metals

Ceperly, Adler, PRL **45**, 566 (1980).

Hubbard model

24	25	26	27	28	29
Cr	Mn	Fe	Co	Ni	Cu
$3d^5 4s^1$	$3d^5 4s^2$	$3d^6 4s^2$	$3d^7 4s^2$	$3d^8 4s^2$	$3d^{10} 4s^1$

3d transition metals: 3d4s open shell

- (1) 3d: Tendency to **localize**
- (2) 4s: Delocalize, light mass \rightarrow screen long range Coulomb interaction

Two-site Hubbard Hamiltonian

$$\mathcal{H} = t \sum_{\sigma=\uparrow\downarrow} (a_{1\sigma}^\dagger a_{2\sigma} + a_{2\sigma}^\dagger a_{1\sigma}) + U(n_{1\uparrow}n_{1\downarrow} + n_{2\uparrow}n_{2\downarrow})$$

General Hubbard Hamiltonian

$$\mathcal{H} = \underbrace{\sum_{i,j,s} t_{ij} c_{is}^\dagger c_{js}}_{(1)} + U \underbrace{\sum_i \hat{n}_{i\uparrow} \hat{n}_{i\downarrow}}_{(2)}$$

(1) \rightarrow **Hopping** **On-site Coulomb** \leftarrow (2)

Fermion commutation relation: $\{c_{is}^\dagger, c_{is'}\} = \delta_{ij} \delta_{ss'}$

In the present case, this Hamiltonian only acts on *d*-electrons explicitly.

Hubbard model (2)

Hubbard Hamiltonian $\mathcal{H} = \sum_{i,j,s} t_{ij} c_{is}^\dagger c_{js} + U \sum_i \hat{n}_{i\uparrow} \hat{n}_{i\downarrow}$

Fourier expansion $c_{is} = \frac{1}{\sqrt{N}} \sum_{\mathbf{k}} e^{i\mathbf{R}_i \cdot \mathbf{k}} a_{\mathbf{k}s}, \quad t_{ij} = \frac{1}{N} \sum_{\mathbf{k}} \epsilon_{\mathbf{k}} e^{i\mathbf{k} \cdot (\mathbf{R}_i - \mathbf{R}_j)}$

$$\sum_{\langle i,j \rangle, s} t_{ij} c_{is}^\dagger c_{js} = \sum_{i,j,s} \frac{2}{N^2} \sum_{\mathbf{k}_1, \mathbf{k}_2, \mathbf{k}_3} \epsilon_{\mathbf{k}_1} e^{i\mathbf{k}_1 \cdot (\mathbf{R}_i - \mathbf{R}_j)} e^{-i\mathbf{k}_2 \cdot \mathbf{R}_i} a_{\mathbf{k}_2 s}^\dagger e^{i\mathbf{k}_3 \cdot \mathbf{R}_j} a_{\mathbf{k}_3 s} = \sum_{\mathbf{k}, s} \epsilon_{\mathbf{k}} a_{\mathbf{k}s}^\dagger a_{\mathbf{k}s}$$

Tendency to localize but still itinerant

Itinerant electron system

$$\mathcal{H} = \sum_{\mathbf{k}, s} \epsilon_{\mathbf{k}} a_{\mathbf{k}s}^\dagger a_{\mathbf{k}s} + U \sum_i \hat{n}_{i\uparrow} \hat{n}_{i\downarrow}$$

HF approximation in Hubbard model

Local magnetic moment, electron number (per site)

$$m = \langle n_{\uparrow} \rangle - \langle n_{\downarrow} \rangle, \quad n = \langle n_{\uparrow} \rangle + \langle n_{\downarrow} \rangle$$

$$U \sum_i \hat{n}_{i\uparrow} \hat{n}_{i\downarrow} = U \sum_i [\langle \hat{n}_{\uparrow} \rangle \hat{n}_{i\downarrow} + \langle \hat{n}_{\downarrow} \rangle \hat{n}_{i\uparrow} - \langle \hat{n}_{\uparrow} \rangle \langle \hat{n}_{\downarrow} \rangle + \underbrace{(\hat{n}_{i\uparrow} - \langle n_{\uparrow} \rangle)(\hat{n}_{i\downarrow} - \langle n_{\downarrow} \rangle)}_{\text{Fluctuation term}}]$$

$$\simeq U \sum_i (\underbrace{\langle \hat{n}_{\uparrow} \rangle \hat{n}_{i\downarrow} + \langle \hat{n}_{\downarrow} \rangle \hat{n}_{i\uparrow}}_{\text{Moving in the averaged field of opposite spin}}) - NU \langle n_{\uparrow} \rangle \langle n_{\downarrow} \rangle$$

Take average $\rightarrow = \frac{NU}{4}(n^2 - m^2)$



Moving in the averaged field of opposite spin

Fluctuation term:
dropped in HF approximation

$$\mathcal{H}_{\text{HF}} = \sum_{\mathbf{k}, s} (\epsilon_{\mathbf{k}} + U \langle n_{-s} \rangle) n_{\mathbf{k}s} - NU \langle n_{\uparrow} \rangle \langle n_{\downarrow} \rangle$$

$$\uparrow, \downarrow \rightarrow s = \pm 1 \quad \langle n_s \rangle = \frac{1}{2}(n + sm)$$

HF approximation in Hubbard model (2)

$$\uparrow, \downarrow \rightarrow s = \pm 1 \quad \langle n_s \rangle = \frac{1}{2}(n + sm) \quad \sum_{\mathbf{k}, s} \hat{n}_{\mathbf{k}s} \rightarrow N(\langle n_{\uparrow} \rangle + \langle n_{\downarrow} \rangle) \quad \text{averaging}$$

$$\begin{aligned} \mathcal{H}_{\text{HF}} &= \sum_{\mathbf{k}, s} (\epsilon_{\mathbf{k}} + U \langle n_{-s} \rangle) n_{\mathbf{k}s} - NU \langle n_{\uparrow} \rangle \langle n_{\downarrow} \rangle & \mathcal{H}_{\text{HF}} &= \sum_{\mathbf{k}, s} \left(\epsilon_{\mathbf{k}} - \frac{sUm}{2} \right) \hat{n}_{\mathbf{k}s} + \frac{NU}{4} (n^2 + m^2) \\ & & &\equiv \sum_{\mathbf{k}, s} \tilde{\epsilon}_{\mathbf{k}s} \hat{n}_{\mathbf{k}s} + \frac{NU}{4} (n^2 + m^2) \end{aligned}$$

Single electron energy shift: $\Delta\mu = (-s)Um/2$

Total energy:
$$\begin{aligned} E &= \sum_{\tilde{\epsilon}_{\mathbf{k}s} \leq \mu} \left(\epsilon_{\mathbf{k}} - \frac{sUm}{2} \right) + \frac{NU}{4} (n^2 + m^2) \\ &= \sum_{\tilde{\epsilon}_{\mathbf{k}s} \leq \mu} \epsilon_{\mathbf{k}} + \frac{NU}{4} (n^2 - m^2) \end{aligned}$$
 — Energy shift by magnetization

Spin-dependence of $\Delta\mu$  Difference in the numbers of \uparrow electrons and \downarrow electrons should be consistent with m

HF approximation in Hubbard model (3)

Self-consistent equation $m = 2\mathcal{D}(E_F)\Delta\mu = \mathcal{D}(E_F)Um$ $U\mathcal{D}(E_F) = 1$ for non-zero m

Density of states

Increase in the kinetic energy by spontaneous magnetization	$\mathcal{D}(E_F)(\Delta\mu)^2 = \frac{m^2}{4\mathcal{D}(E_F)}$	} $\Delta E = \frac{N}{4} \left[\frac{m^2}{\mathcal{D}(E_F)} - Um^2 \right]$
Decrease in interaction energy by spontaneous magnetization	$-NUm^2/4$	

$$\Delta E < 0$$

$$U\mathcal{D}(E_F) \geq 1$$

Stoner condition

For ferromagnetism to take place, the Coulomb energy should be larger than the band width.

(Still has a problem of overestimating the Coulomb effect in the case of anti-parallel spins.)

Magnetism in 3d transition metals

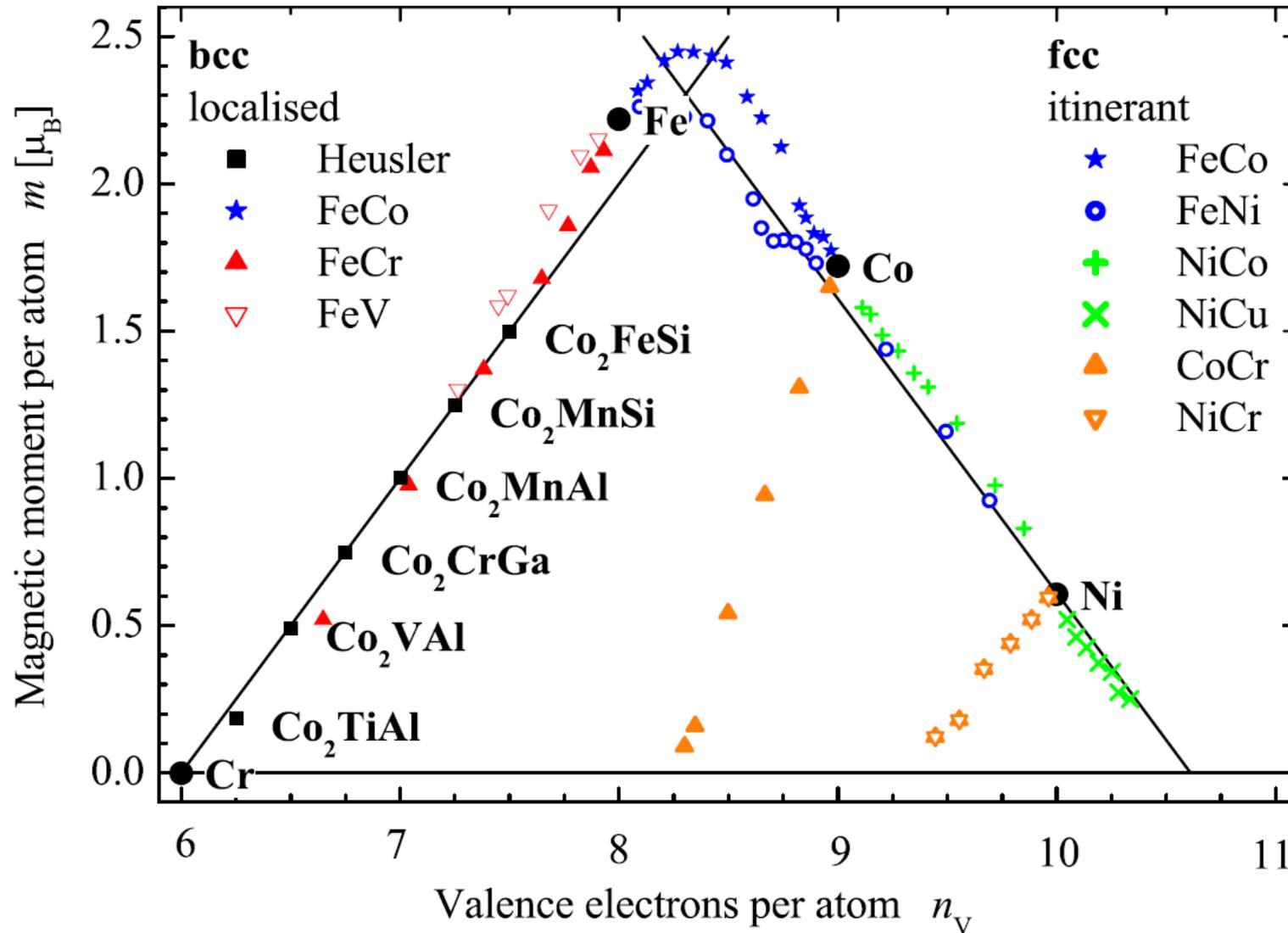
Elementary ferromagnetic metals

6	7	8	9	10	11	12
24	25	26	27	28	29	30
Cr	Mn	Fe	Co	Ni	Cu	Zn

	structure /density (kgm^{-3})	lattice parameters (pm)	T_C (K)	M_S (MAm^{-1})	K_1 (kJm^{-3})	λ_S (10^{-6})	α	P (%)
Fe	bcc 7874	287	1044	1.71	48	-7	1.6	45
Co	hcp 8836	251 407 (fcc)	1388	1.45	530	-62	8.0	42
Ni	fcc 8902	352	628	0.49	-5	-34		44

From D. Coey in *Materials for Spin Electronics*, Springer 2008

Magnetism of 3d transition metals: Slater-Pauling's curve



Balke et al., Sci. Technol. Adv. Mater. **9**, 014102 (2008).

24	25	26	27	28	29
Cr	Mn	Fe	Co	Ni	Cu
$3d^5 4s^1$	$3d^5 4s^2$	$3d^6 4s^2$	$3d^7 4s^2$	$3d^8 4s^2$	$3d^{10} 4s^1$

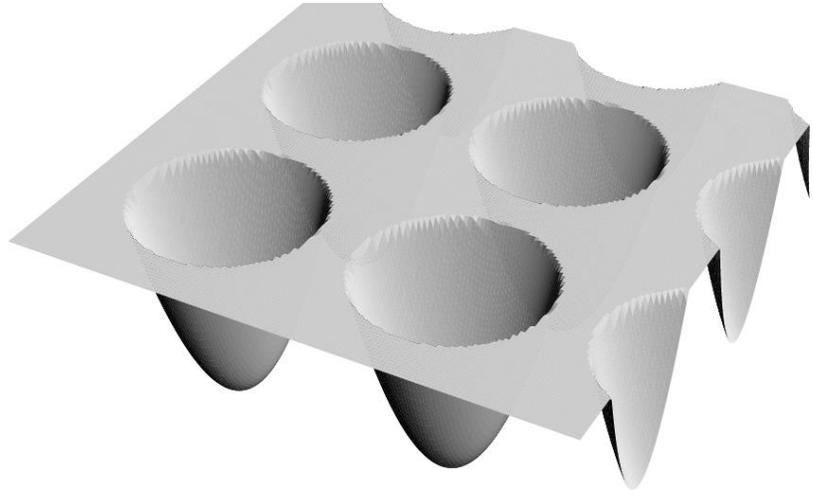
Slater-Pauling's curve

Experimental data are in line.

The gradient is ± 1 !

Abrupt change around Fe

APW method to calculate DOS



$$\mathcal{H}\phi(\mathbf{r}) = \left[-\frac{\hbar^2}{2m}\nabla^2 + V(\mathbf{r}) \right] \phi(\mathbf{r}) = E\phi(\mathbf{r})$$

$$\text{Muffin-tin potential: } V(\mathbf{r}) = \begin{cases} V_a(r) \text{ (spherical)} & (r < r_c) \\ V_o (= V_a(r_c): \text{const.}) & (r \geq r_c) \end{cases}$$

$$\text{Hartree: } V_d(\mathbf{r}) = \sum_i \langle \phi_i(\mathbf{r}') | \frac{e^2}{|\mathbf{r} - \mathbf{r}'|} | \phi_i(\mathbf{r}') \rangle$$

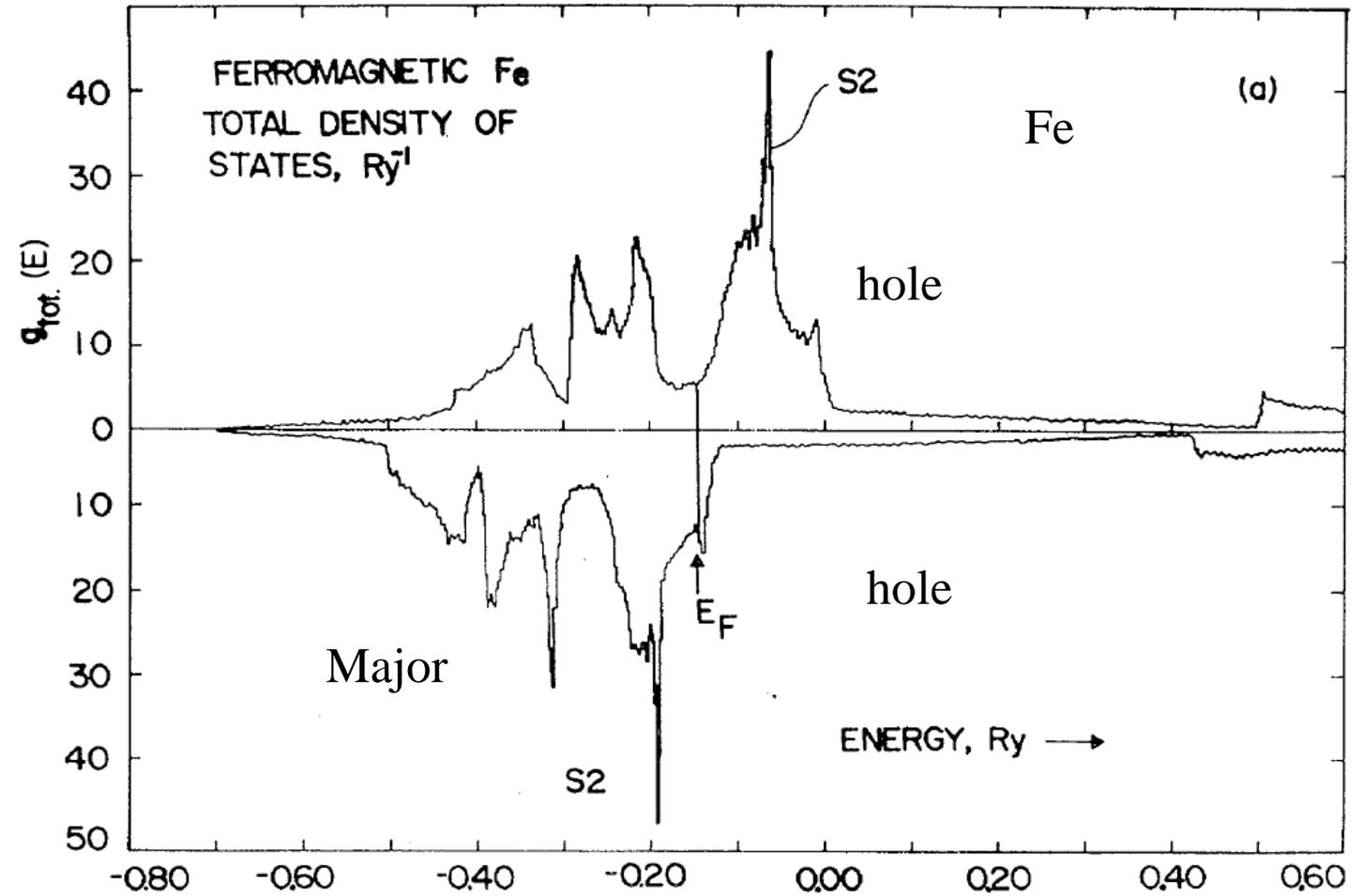
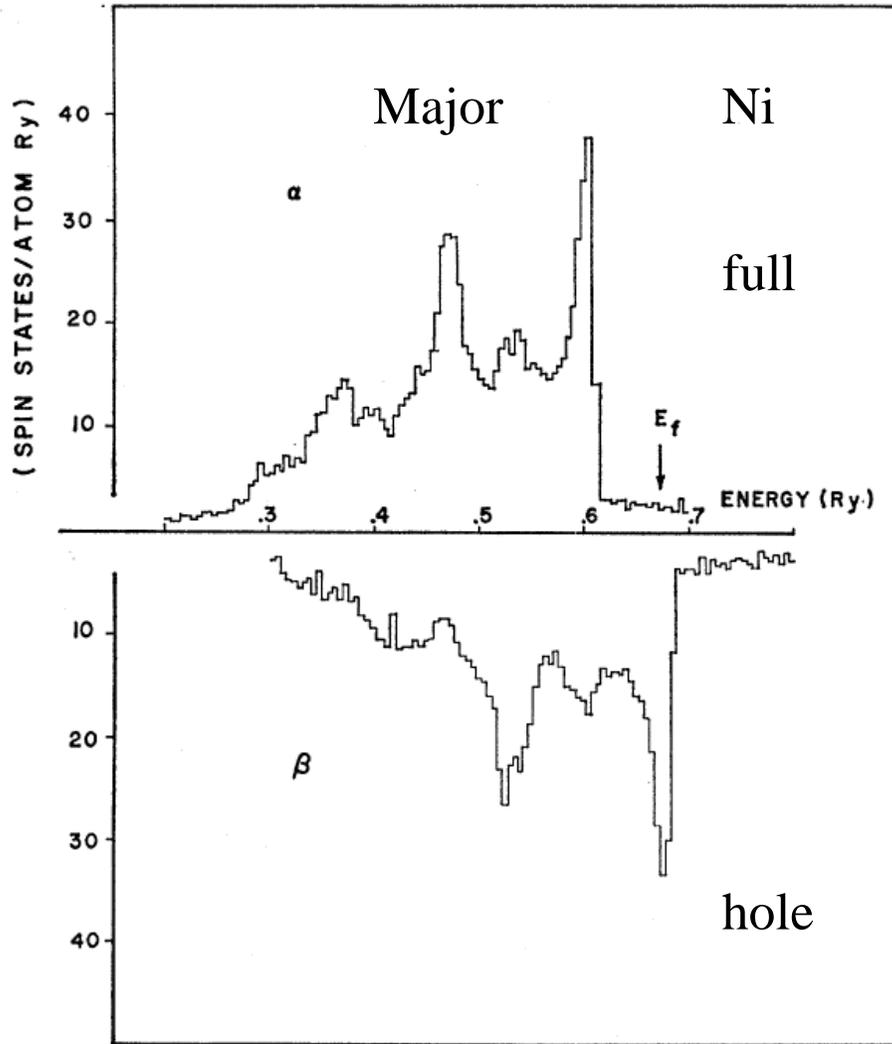
$$\text{Exchange: } V_{\text{ex}\uparrow} = -3e^2 \left(\frac{3}{4\pi} \right)^{1/3} \rho_{\uparrow}(\mathbf{r})^{1/3}$$

$$\text{Variational wavefunction: } \Phi_{\text{vr}}(\mathbf{r}) = \begin{cases} \sum_{l,m} A_{lm} R_l(r) Y_l^m(\theta, \varphi) & r < r_c, \\ \sum_{n=0}^N B_n \exp[i(\mathbf{k} + \mathbf{K}_n) \cdot \mathbf{r}] & r > r_c \end{cases}$$



Iteration for convergence for each \mathbf{k}

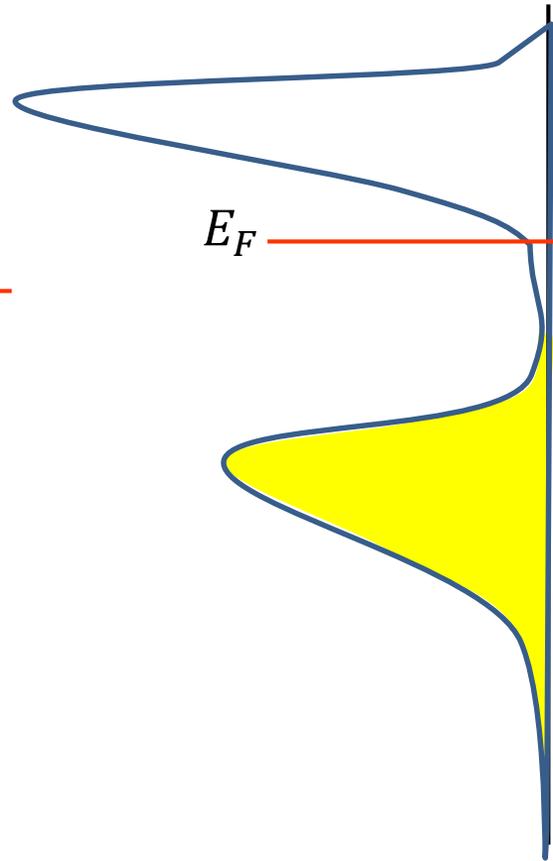
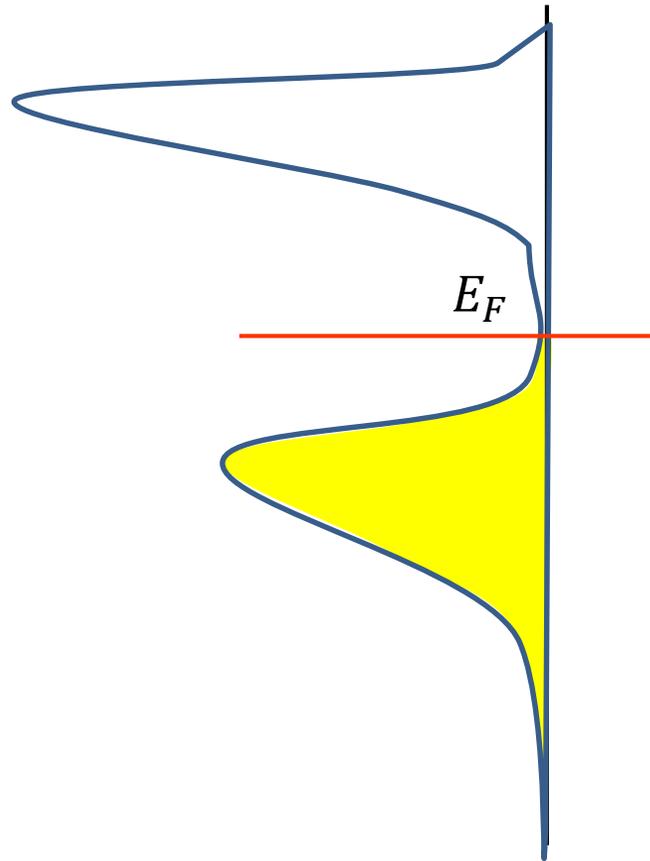
Density of states in Ni and Fe



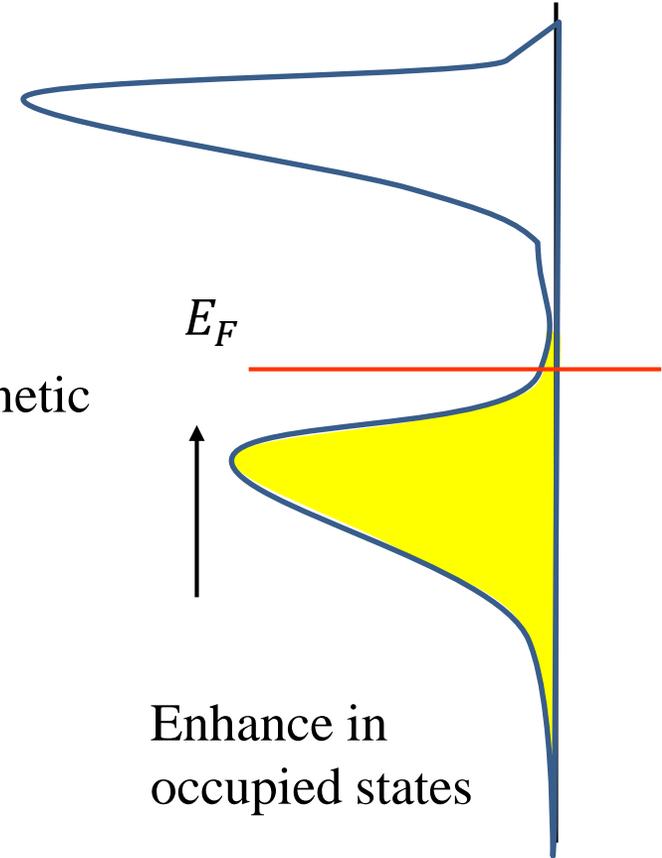
Fermi energy “locking” around a valley in density of states

Enhance particle number

Decrease particle number



Needs large kinetic energy



Summary

Chapter 6 Magnetism of Itinerant Electron Systems

- Ferromagnetism in Electron gas
 - Hartree-Fock approximation
 - Diffusion Monte-Carlo calculation
- Hubbard model: mean field theory
- Magnetism in $3d$ transition metals
 - Slater-Pauling's curve
 - Density of states by APW method

2022.7.6 Lecture 13

Lecture on

10:25 – 11:55

Magnetic Properties of Materials

磁性 (Magnetism)

Institute for Solid State Physics, University of Tokyo

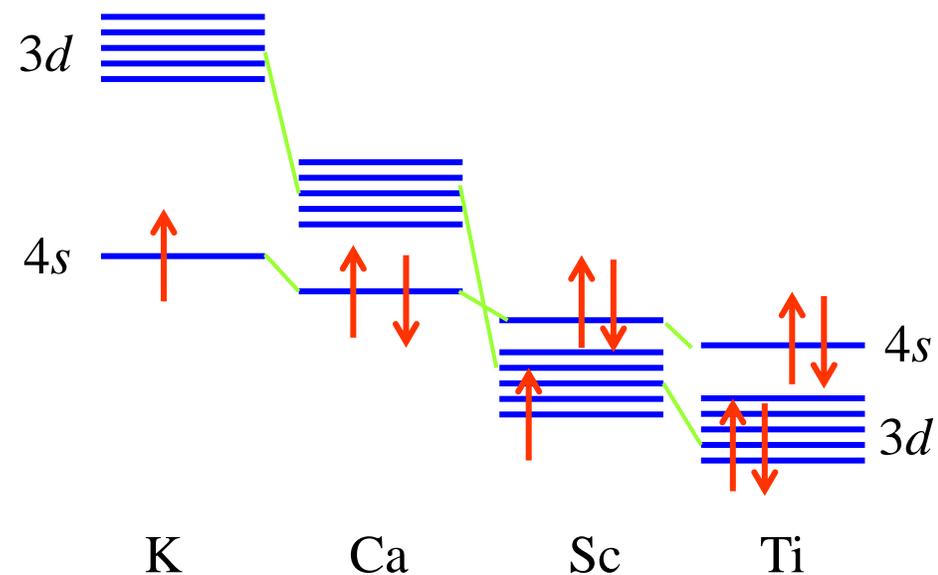
Shingo Katsumoto

Chapter 6 Magnetism of Itinerant Electron Systems

- Ferromagnetism in Electron gas
 - Hartree-Fock approximation
 - Diffusion Monte-Carlo calculation
- Hubbard model: mean field theory
 - Hartree-Fock approximation: Stoner criterion
 - Magnetic susceptibility
- Magnetism in $3d$ transition metals
 - Slater-Pauling's curve
 - Density of states by APW method

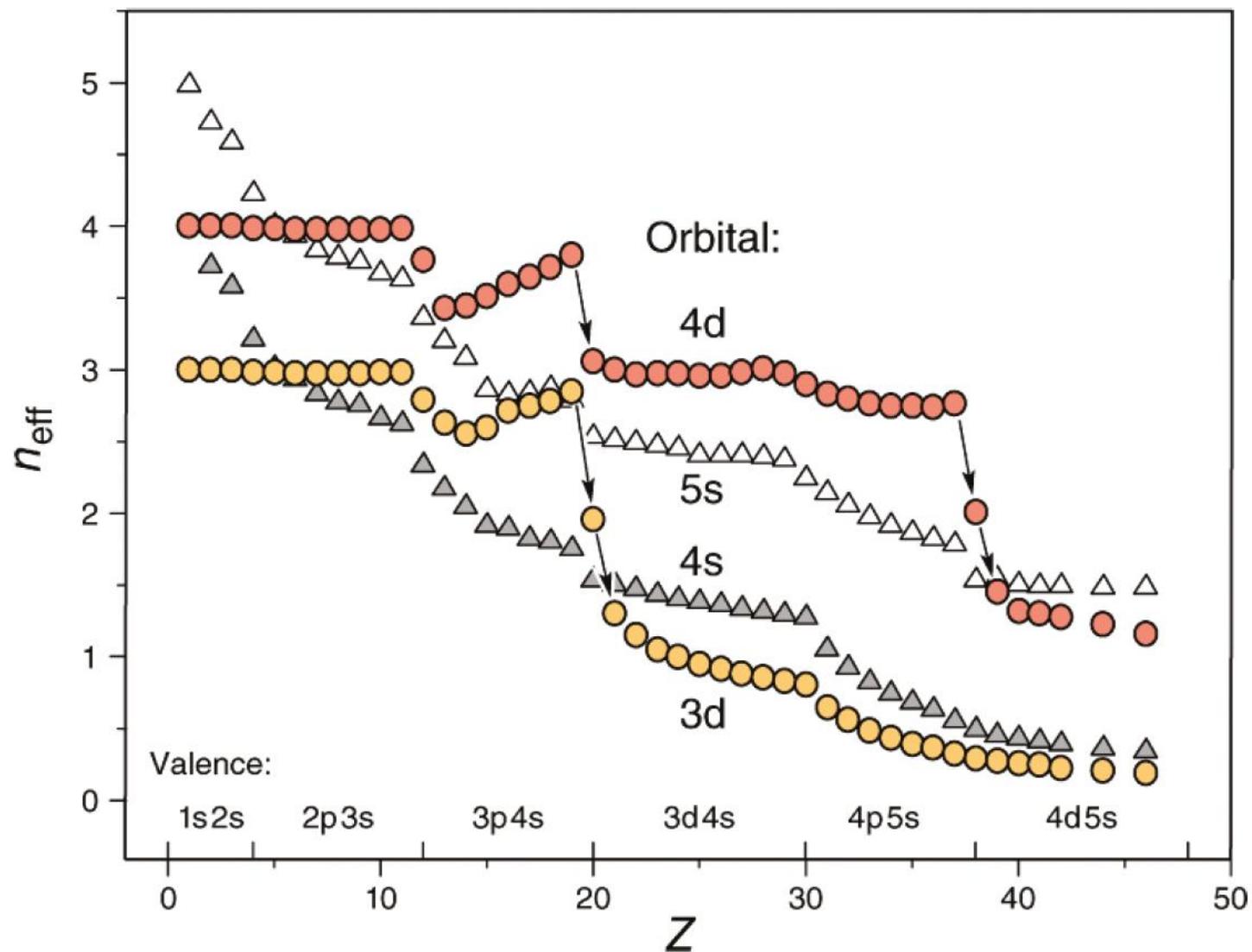
- Magnetism in $3d$ transition metals
 - Slater-Pauling's curve
 - Density of states by APW method
- Kubo formula (linear response)
- Fluctuation dissipation theorem
- Random phase approximation (RPA)

3d and 4s electrons in isolated transition metal atoms

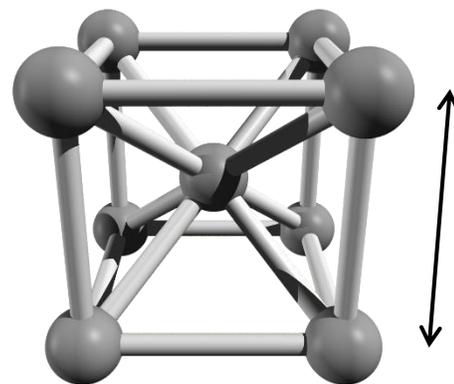
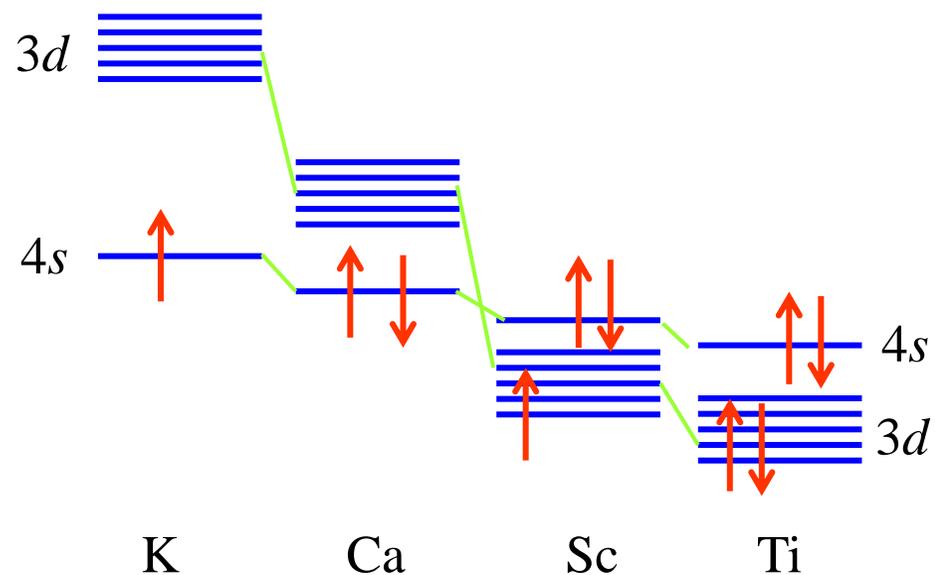


Radial wavefunction

$$\left\{ \begin{array}{l} |4s\rangle \propto R_{40}(r) \propto \exp\left(-\frac{r}{4a_B}\right) \\ |3d\rangle \propto R_{32}(r) \propto \left(\frac{r}{r_B}\right)^2 \exp\left(-\frac{r}{3a_B}\right) \end{array} \right.$$



3d and 4s electrons in isolated transition metal atoms

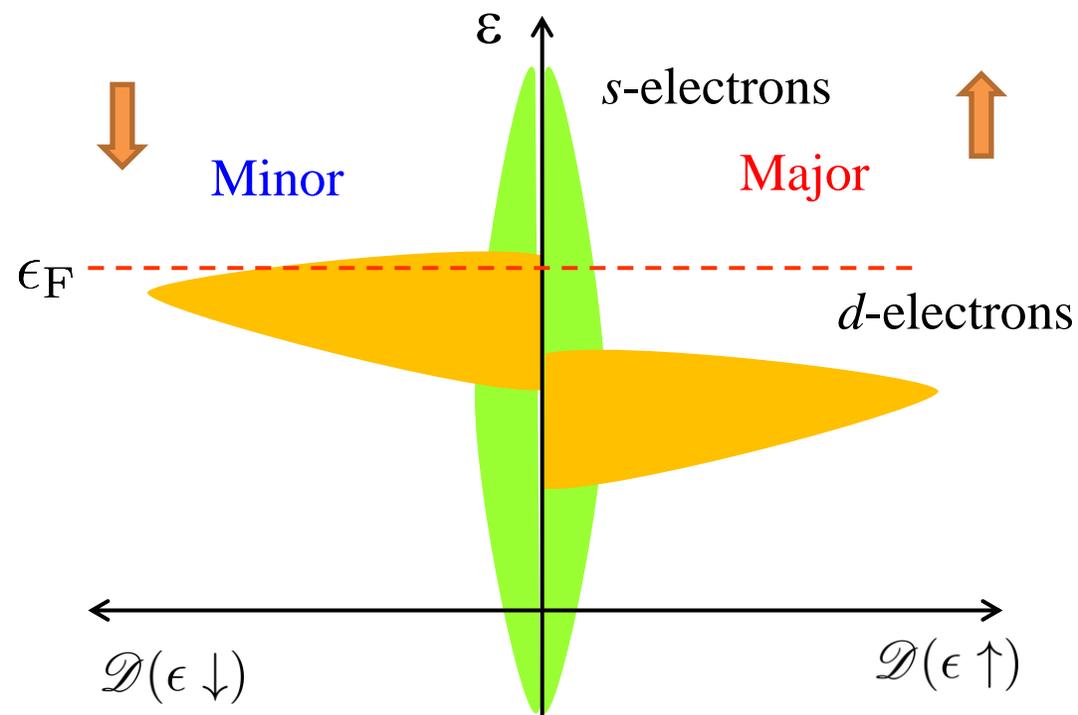


bcc α -Fe

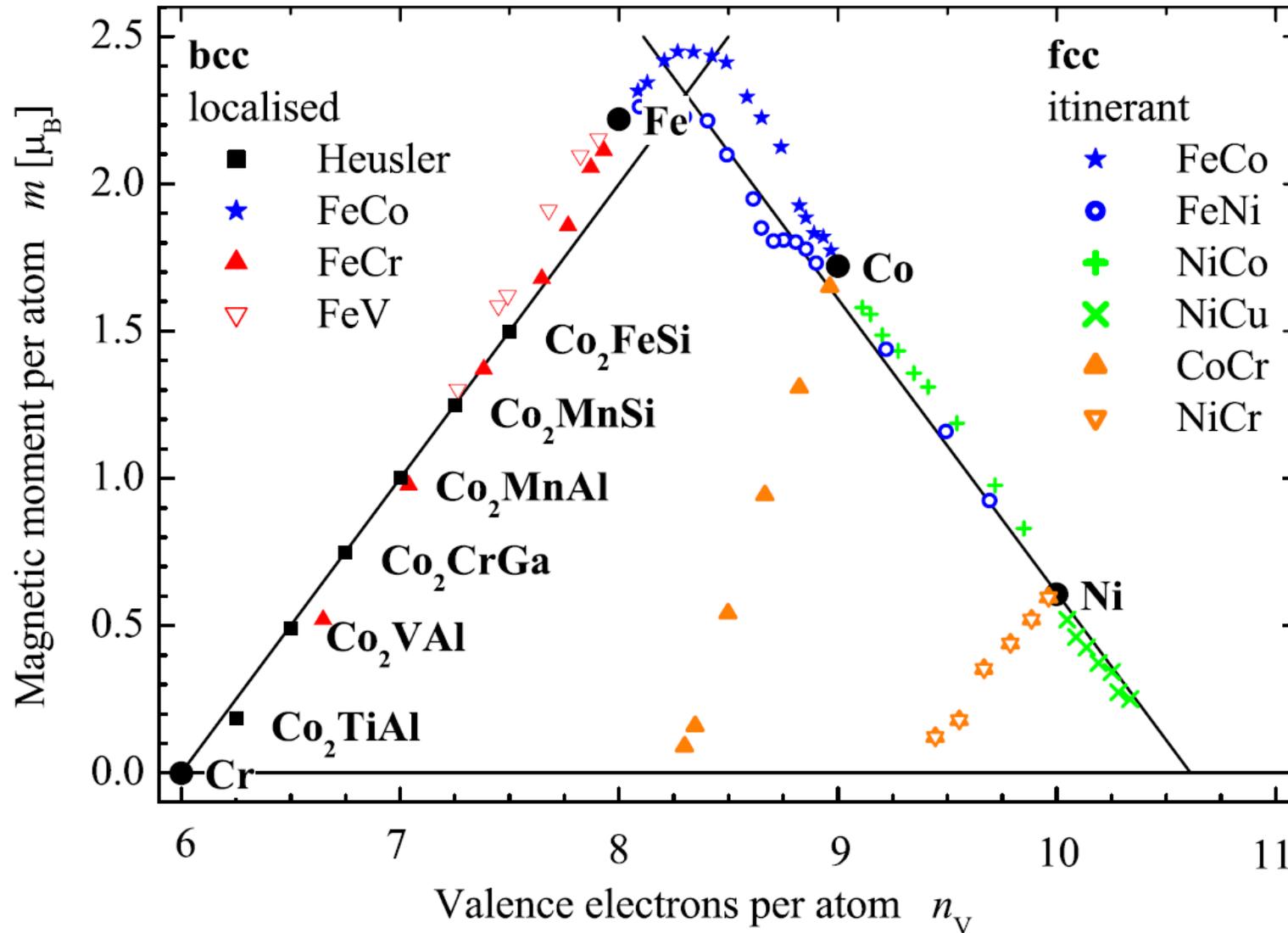
$$2.86 \times 10^{-10} \text{ m} = 5.4 a_B$$

Radial wavefunction

$$\begin{cases} |4s\rangle \propto R_{40}(r) \propto \exp\left(-\frac{r}{4a_B}\right) \\ |3d\rangle \propto R_{32}(r) \propto \left(\frac{r}{r_B}\right)^2 \exp\left(-\frac{r}{3a_B}\right) \end{cases}$$



Magnetism of 3d transition metals: Slater-Pauling's curve



Balke et al., Sci. Technol. Adv. Mater. **9**, 014102 (2008).

24	25	26	27	28	29
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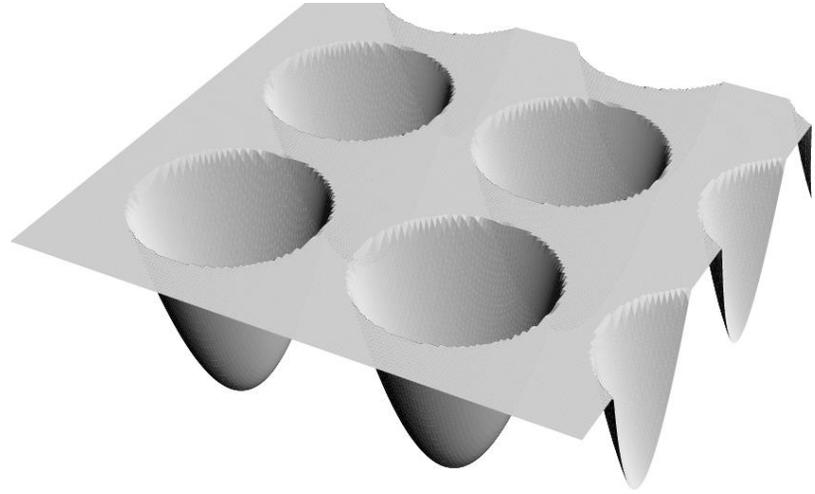
Slater-Pauling's curve

Experimental data are in line.

The gradient is ± 1 !

Abrupt change around Fe

APW method to calculate DOS



Muffin-tin potential

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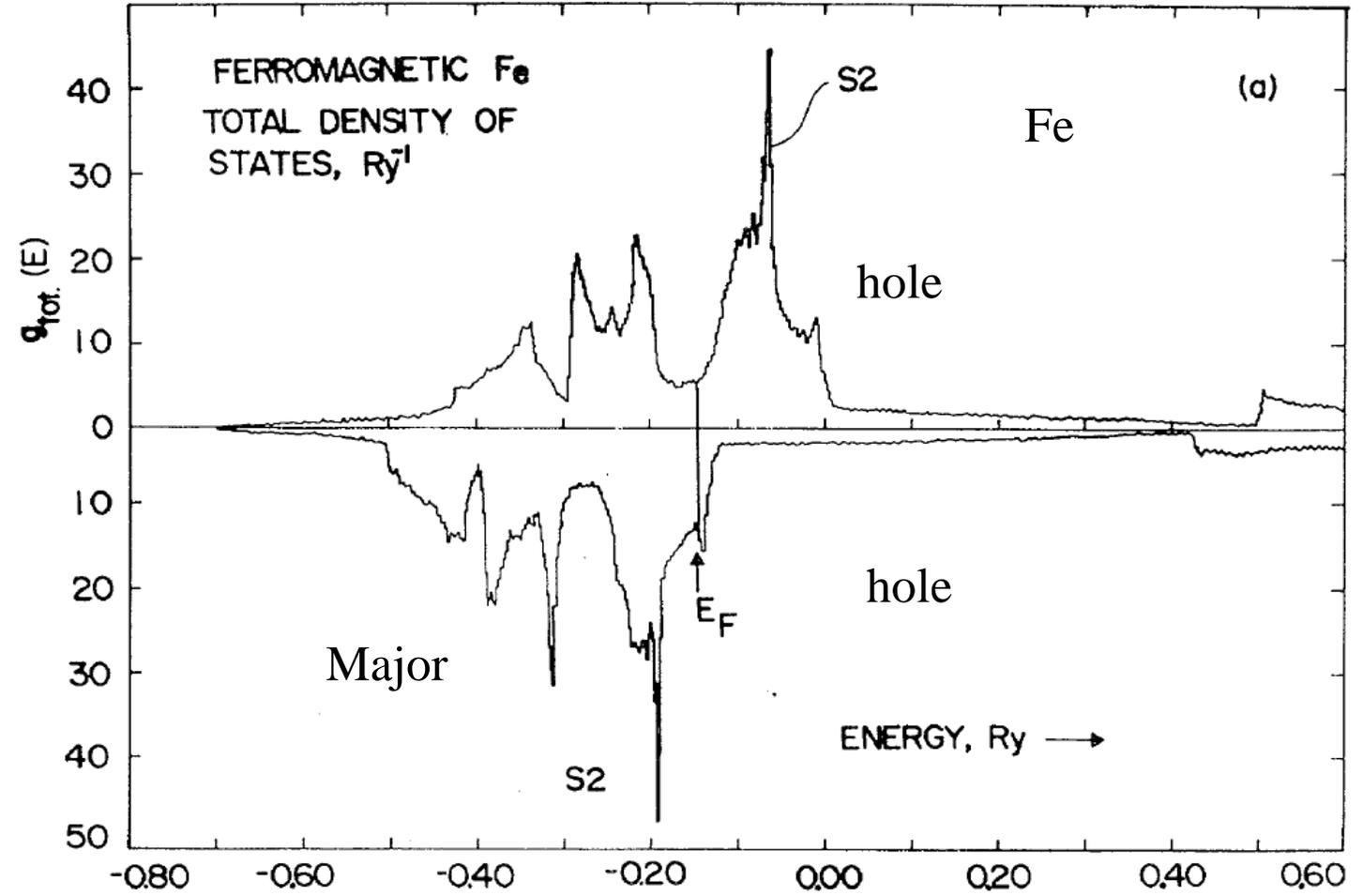
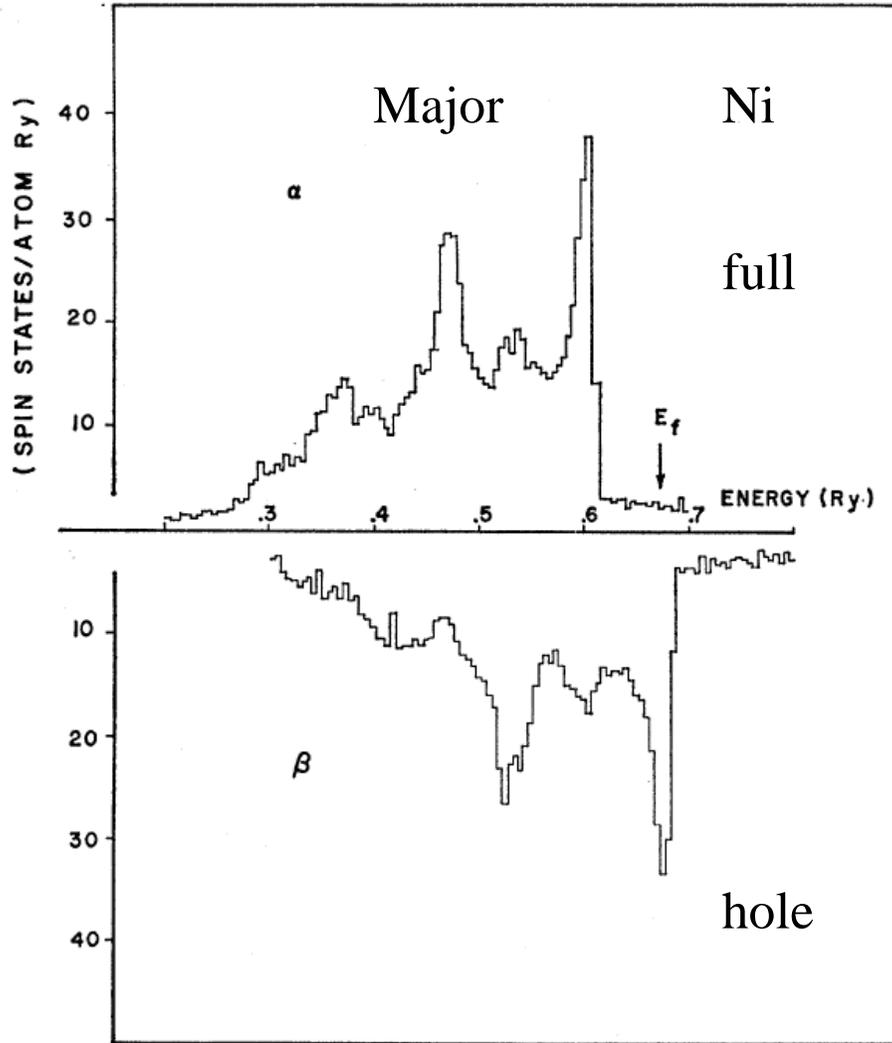
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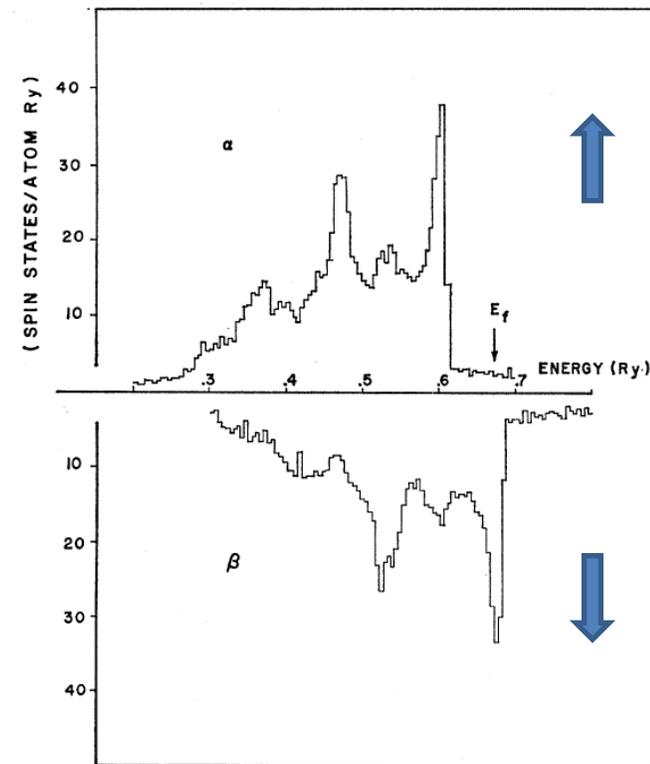
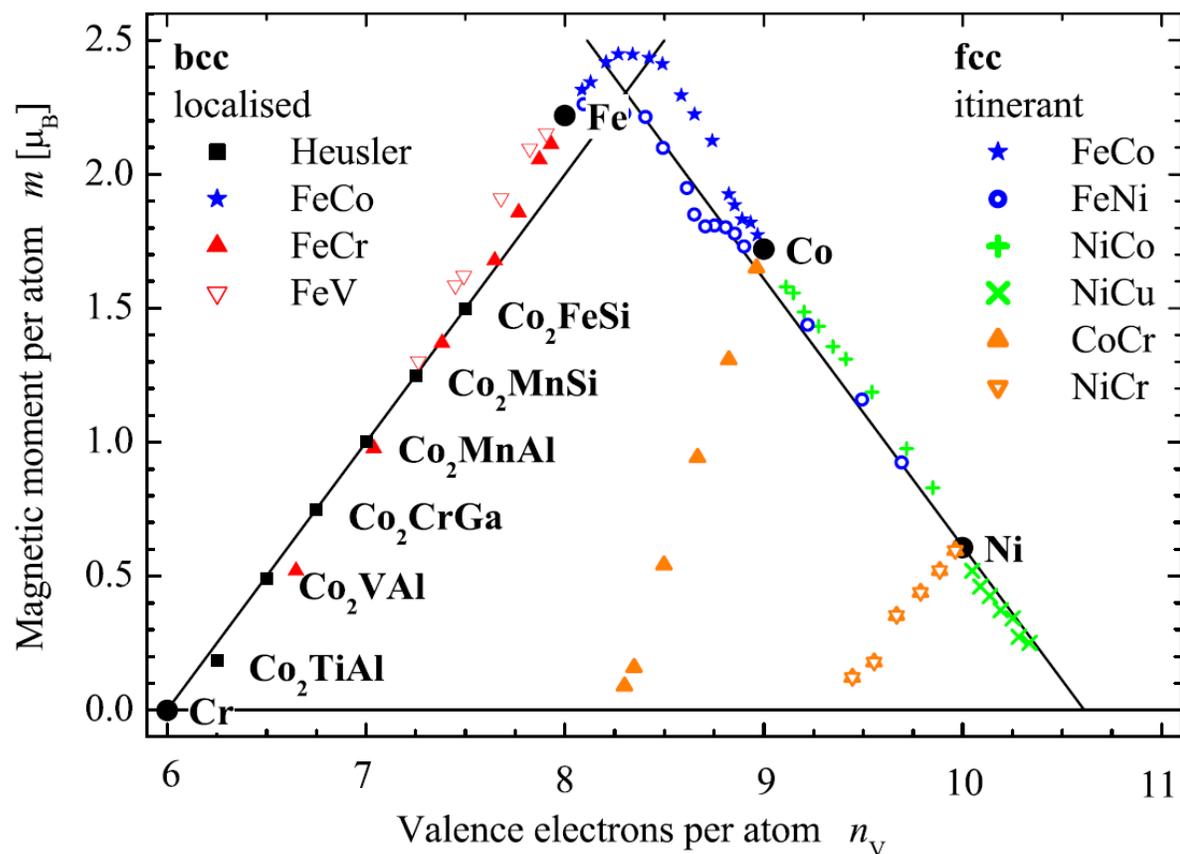


Iteration for convergence for each \mathbf{k}

Density of states in Ni and Fe



Explanation of Slater-Pauling's curve (1)



Case of Ni

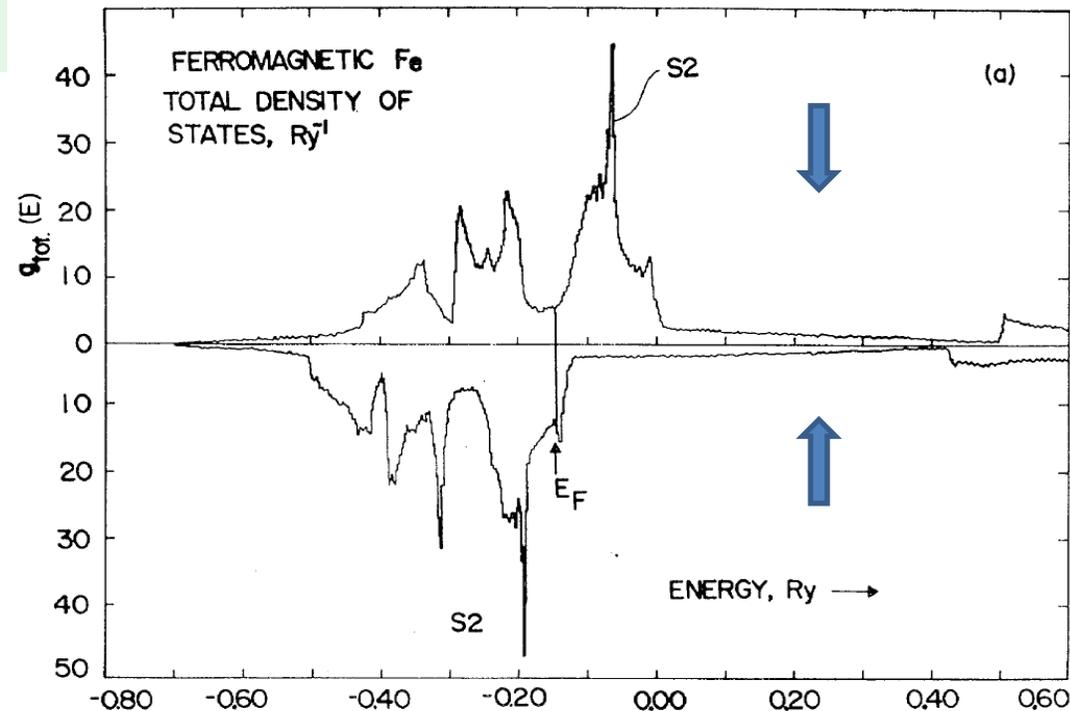
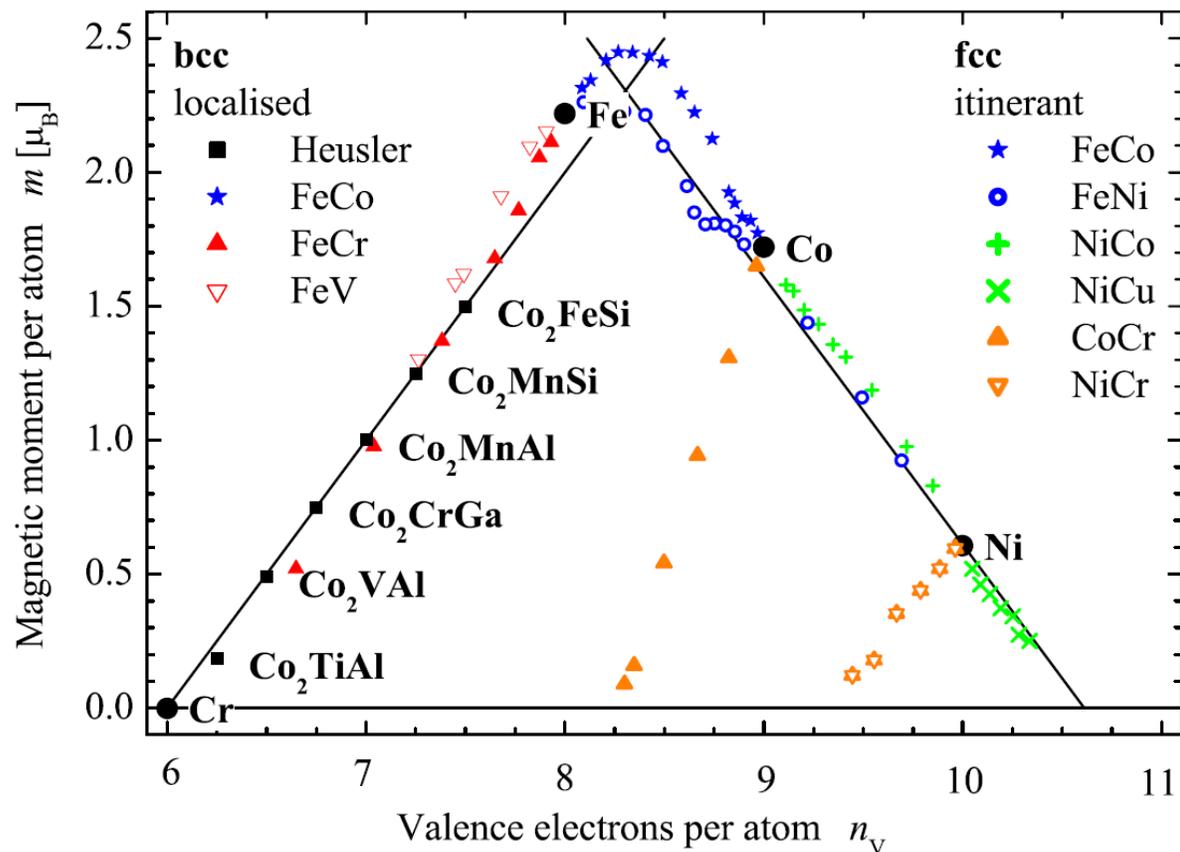
$3d \uparrow$: full 5 electrons

$3d \downarrow$: 4.4 electrons

Increase of electrons \rightarrow filling up the holes and the magnetic moment decreases

Decrease of electrons \rightarrow opening holes in $3d \downarrow$ and the magnetic moment increases

Explanation of Slater-Pauling's curve (2)



Case of Fe $3d \downarrow$: 2.5 electrons

$3d \uparrow$: 4.7 electrons (not full, hole exists)

Increase of electrons \rightarrow filling up the holes in \uparrow and the magnetic moment increases

After complete filling up of $\uparrow \rightarrow$ filling up the holes in \downarrow and the magnetic moment decreases

Magnetic susceptibility in HF approximation

Magnetic moment:
$$M = \frac{g\mu_B}{2} \sum_i [\langle n_{i\uparrow} \rangle - \langle n_{i\downarrow} \rangle] = \frac{g\mu_B}{2} \sum_i n_{i-}$$

Magnetic susceptibility per atom:
$$\chi = \frac{M}{NB} = \frac{g\mu_B}{2} \frac{n_-}{B}$$

Electron energy in magnetic field:
$$E_B = E(0) + E_2 n_-^2 - N \frac{g\mu_B}{2} B n_-$$

where
$$E_2 = \frac{1}{2} \frac{d^2(\Delta E)}{dn_-^2} \quad \text{with} \quad \Delta E = \frac{N}{4} \left[\frac{m^2}{\mathcal{D}(E_F)} - U m^2 \right]$$

This should be positive for the appearance of ferromagnetism. (remember GL theory).

Then minimization of E_B should give n_- as
$$\chi = \frac{(g\mu_B)^2 N}{4E_2}$$

We finally obtain
$$\chi = \left(\frac{g\mu_B}{2} \right)^2 \frac{\mathcal{D}(E_F)}{1 - U \mathcal{D}(E_F)} = \frac{\chi_{\text{Pauli(a)}}}{\underline{1 - U \mathcal{D}(E_F)}}$$

Stoner factor

Temperature dependence of susceptibility in HF approximation

By using the identity for degenerated Fermi gas:

$$\mu = \mu_0 \left[1 - \frac{\pi^2}{6} \frac{d \log \mathcal{D}(\mu_0)}{d \log \mu_0} \left(\frac{k_B T}{\mu_0} \right)^2 + \dots \right]$$

we write

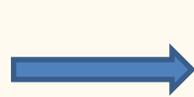
$$\delta\mu = -\frac{\pi^2 \mathcal{D}'_F}{6 \mathcal{D}_F} (k_B T)^2 \quad d\mathcal{D}(E)/dE|_{E=E_F} \rightarrow \mathcal{D}'_F$$

By defining

$$A = \frac{\pi^2}{6} \left(\frac{(\mathcal{D}'_F)^2}{\mathcal{D}_F} - \mathcal{D}''_F \right)$$

Susceptibility with temperature correction:

$$\chi = \left(\frac{g\mu_B}{2} \right)^2 \frac{\mathcal{D}(E_F)}{1 - U \mathcal{D}(E_F) + U A (k_B T)^2}$$



$$\chi = \frac{C}{T^2 - T_C^2} \quad \text{This is not Curie-Weiss observed in experiments.}$$

Kubo formula

Dynamical response to magnetic field

$$\mathcal{H}_0 + \underline{\mathcal{H}_{\text{ext}}(t)} \quad \text{Time-dependent perturbation}$$

Heisenberg equation of motion

$$i\hbar \frac{\partial \rho}{\partial t} = [\mathcal{H}_0 + \mathcal{H}_{\text{ext}}(t), \rho(t)]$$

$$\text{Single body density matrix: } \rho(x, x') = \sum_{i=1}^N \varphi_{k_i}^*(x) \varphi_{k_i}(x')$$

$$\text{Initial condition: } t = -\infty \quad \rho(-\infty) = \rho_{\text{eq}} = \frac{1}{Z_0} \exp\left(-\frac{\mathcal{H}_0}{k_B T}\right)$$

$$\text{Unperturbed system partition function: } Z_0 = \text{Tr}[\exp(-\mathcal{H}_0/k_B T)]$$

Then the density matrix should satisfy (see lecture note for the calculation)

$$\rho(t) = \rho_{\text{eq}} + \frac{1}{i\hbar} \int_{-\infty}^t dt' [U_0(t-t') \mathcal{H}_{\text{ext}}(t') U_0^{-1}(t-t'), U_0(t-t') \rho(t') U_0^{-1}(t-t')]$$

where

$$U_0(t) \equiv \exp\left(\frac{\mathcal{H}_0}{i\hbar} t\right) \quad = \rho_{\text{eq}} + \frac{1}{i\hbar} \int_{-\infty}^t dt' U_0(t-t') [\mathcal{H}_{\text{ext}}(t'), \rho(t')] U_0^{-1}(t-t')$$

Kubo formula (2)

For linear response we can replace $\rho(t') \rightarrow \rho_{\text{eq}}$ made of eigenstates of unperturbed Hamiltonian

Then we can write $\rho(t) \simeq \rho_{\text{eq}} + \frac{1}{i\hbar} \int_{-\infty}^t dt' [U_0(t-t') \mathcal{H}_{\text{ext}}(t') U_0^{-1}(t-t'), \rho_{\text{eq}}]$

External field $\mathcal{H}_{\text{ext}}(t) = -PF(t)$

Expectation value of general physical quantity Q $\langle Q(t) \rangle = \text{Tr}\{\rho(t)Q\} = \langle Q_{\text{eq}} \rangle + \frac{1}{i\hbar} \int_{-\infty}^t dt' \langle [P, Q(t-t')] \rangle F(t')$

where $\langle Q_{\text{eq}} \rangle = \text{Tr}\{\rho_{\text{eq}}Q\}$, $Q(t) = U_0(t)^{-1}QU_0(t)$

$\langle [P, Q(t-t')] \rangle$ is a pure imaginary.

Field with frequency ω $F(t) = F_0 \cos(\omega t) = \text{Re}[F_0 e^{-i\omega t}]$

Definition of susceptibility $\chi(\omega)$ $\Delta Q(t) = \langle Q(t) \rangle - \langle Q_{\text{eq}} \rangle = \text{Re}[\chi(\omega) F_0 e^{-i\omega t}]$

We can equalize this with $\Delta Q(t) = \frac{1}{i\hbar} \int_{-\infty}^t dt' \langle [P, Q(t-t')] \rangle \text{Re}[F_0 e^{-i\omega t'}]$

Kubo formula (3)

Definition of susceptibility $\chi(\omega)$ $\Delta Q(t) = \langle Q(t) \rangle - \langle Q_{\text{eq}} \rangle = \text{Re}[\chi(\omega) F_0 e^{-i\omega t}]$

We can equalize this with $\Delta Q(t) = \frac{1}{i\hbar} \int_{-\infty}^t dt' \langle [P, Q(t-t')] \rangle \text{Re}[F_0 e^{-i\omega t'}]$

The first equation $\rightarrow \text{Re}[\chi(\omega) F_0 e^{-i\omega t}] = \frac{F_0}{2} [\chi^*(\omega) e^{i\omega t} + \chi(\omega) e^{-i\omega t}]$

The second equation $\rightarrow \frac{F_0}{2i\hbar} \left\{ \left[\int_0^\infty d\tau \langle [P, Q(\tau)] \rangle e^{-i\omega\tau} \right] e^{i\omega t} + \left[\int_0^\infty d\tau \langle [P, Q(\tau)] \rangle e^{i\omega\tau} \right] e^{-i\omega t} \right\}$
 $\tau = t - t'$

Kubo formula $\chi_{QP}(\omega) = \frac{i}{\hbar} \int_0^\infty \langle [Q(\tau), P] \rangle e^{i\omega\tau} d\tau$

Fluctuation-dissipation theorem

Green's function $G_{QP}^{\pm}(t) = \mp \frac{i}{\hbar} \theta(\pm t) \langle [Q(t), P] \rangle$ (+: retarded, -: advanced)

$$\theta(t) = \begin{cases} 1 & (t \geq 0) \\ 0 & (t < 0) \end{cases} \quad \text{Heaviside function}$$

Kubo formula is re-written as: $\chi_{QP}(\omega) = -\mathcal{G}_{QP}^+(\omega) = -\mathcal{F}_{\omega}\{G_{QP}^+(t)\}$
Fourier transform to ω -space

$$\mathcal{S}_{QP}(\omega) = \int_{-\infty}^{\infty} dt \langle Q(t), P \rangle e^{i\omega t}$$

Correlation function

Fluctuation-dissipation theorem:

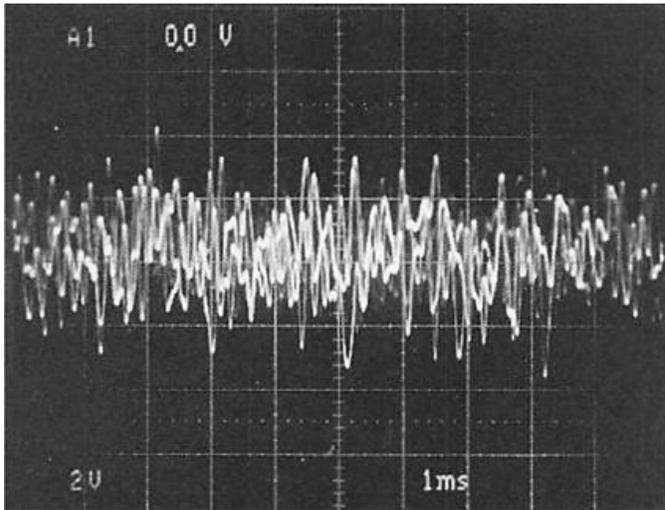
$$\mathcal{S}_{QP}(\omega) = \frac{i}{1 - e^{-\beta\hbar\omega}} [\mathcal{G}_{QP}^+(\omega) - \mathcal{G}_{QP}^-(\omega)]$$

See the lecture note for the calculation

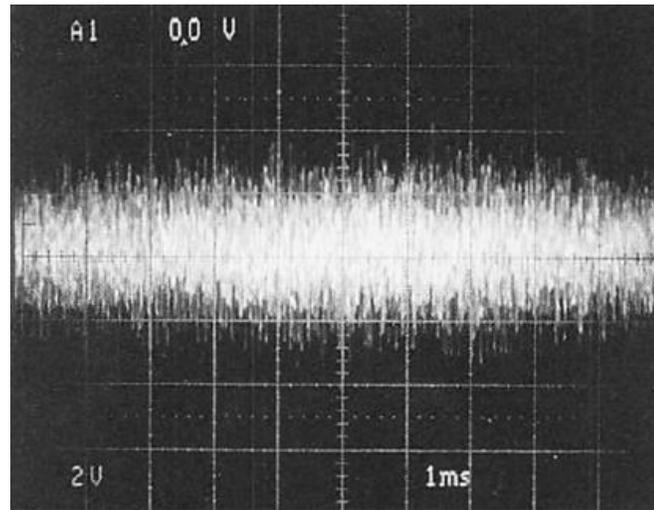
Example of fluctuation-dissipation theorem

$$G_v(\omega) = 4k_B T \operatorname{Re}[Z(i\omega)]$$
$$= 4k_B T R$$

Johnson-Nyquist noise
Thermal noise



Low pass 5 kHz



100 kHz

Random phase approximation (RPA)

External magnetic field: $\mathbf{B}(\mathbf{r}, t) = \mathbf{B}(\mathbf{q}, \omega) e^{i(\mathbf{q} \cdot \mathbf{r} - \omega t)}$

Hubbard model: $\mathcal{H} = \sum_{i,j,s} t_{ij} c_{is}^\dagger c_{js} + U \sum_i \hat{n}_{i\uparrow} \hat{n}_{i\downarrow}$

Local magnetization (in unit $-g\mu_B$): $\mathbf{S}(\mathbf{r}) = \frac{1}{2} \sum_i \sum_{\alpha,\beta} \delta(\mathbf{r} - \mathbf{r}_i) c_{i\alpha}^\dagger \boldsymbol{\sigma}_{\alpha\beta} c_{i\beta}$ $\boldsymbol{\sigma} = (\sigma_x, \sigma_y, \sigma_z)$

Perturbation Hamiltonian: $\mathcal{H}_{\text{ext}}(t) = g\mu_B \int \mathbf{B}(\mathbf{r}, t) \cdot \mathbf{S}(\mathbf{r}) d^3\mathbf{r} = g\mu_B \mathbf{S}_{-\mathbf{q}} \cdot \mathbf{B}(\mathbf{q}, \omega) e^{-i\omega t}$

Magnetization in q-space

$$\left. \begin{aligned} S_{\mathbf{q}+} &= S_{\mathbf{q}x} + iS_{\mathbf{q}y} = \sum_{\mathbf{k}} a_{\mathbf{k}\uparrow}^\dagger a_{\mathbf{k}+\mathbf{q}\downarrow}, \\ S_{\mathbf{q}-} &= S_{\mathbf{q}x} - iS_{\mathbf{q}y} = \sum_{\mathbf{k}} a_{\mathbf{k}\downarrow}^\dagger a_{\mathbf{k}+\mathbf{q}\uparrow}, \\ S_{\mathbf{q}z} &= (1/2) \sum_{\mathbf{k}} (a_{\mathbf{k}\uparrow}^\dagger a_{\mathbf{k}+\mathbf{q}\uparrow} - a_{\mathbf{k}\downarrow}^\dagger a_{\mathbf{k}+\mathbf{q}\downarrow}). \end{aligned} \right\}$$

RPA: susceptibility

Kubo formula $\chi_{QP}(\omega) = \frac{i}{\hbar} \int_0^\infty \langle [Q(\tau), P] \rangle e^{i\omega\tau} d\tau$

Correspondence $P \rightarrow g\mu_B \mathbf{S}_{-q} \quad Q \rightarrow g\mu_B \mathbf{S}_q$

Susceptibility $\chi_{zz}(\mathbf{q}, \omega) = (g\mu_B)^2 \frac{i}{\hbar} \int_0^\infty dt \langle [S_{\mathbf{q}z}(t), S_{-\mathbf{q}z}] \rangle e^{i\omega t}$

$$\chi_{+-}(\mathbf{q}, \omega) = (g\mu_B)^2 \frac{i}{\hbar} \int_0^\infty dt \langle [S_{\mathbf{q}+}, S_{-\mathbf{q}-}] \rangle e^{i\omega t}$$

To calculate above, let us consider a Green's function

$$G_{\mathbf{k}q}^+(t) = -i\theta(t) \langle [a_{\mathbf{k}\uparrow}^\dagger(t) a_{\mathbf{k}+\mathbf{q}\downarrow}(t), S_{-\mathbf{q}-}] \rangle$$

$$i\hbar \frac{\partial G_{\mathbf{k}q}}{\partial t} = -i\theta(t) \langle [e^{i\mathcal{H}t/\hbar} [a_{\mathbf{k}\uparrow}^\dagger a_{\mathbf{k}+\mathbf{q}\downarrow}, \mathcal{H}] e^{-i\mathcal{H}t/\hbar}, S_{-\mathbf{q}-}] \rangle + \delta(t) \hbar \langle [a_{\mathbf{k}\uparrow}^\dagger(t) a_{\mathbf{k}+\mathbf{q}\downarrow}(t), S_{-\mathbf{q}-}] \rangle$$

RPA: susceptibility (2)

Hubbard Hamiltonian $\mathcal{H} = \mathcal{H}_k + \mathcal{H}_{\text{int}}$

$$\begin{aligned}
 [a_{\mathbf{k}\uparrow}^\dagger a_{\mathbf{k}+\mathbf{q}\downarrow}, S_{-\mathbf{q}-}] &= \sum_{\mathbf{k}'} [a_{\mathbf{k}\uparrow}^\dagger a_{\mathbf{k}+\mathbf{q}\downarrow}, a_{\mathbf{k}'+\mathbf{q}\downarrow}^\dagger a_{\mathbf{k}'\uparrow}] \\
 &= a_{\mathbf{k}\uparrow}^\dagger a_{\mathbf{k}\uparrow} - a_{\mathbf{k}+\mathbf{q}\downarrow}^\dagger a_{\mathbf{k}+\mathbf{q}\downarrow}, \\
 [a_{\mathbf{k}\uparrow}^\dagger a_{\mathbf{k}+\mathbf{q}\downarrow}, \mathcal{H}_k] &= (\epsilon_{\mathbf{k}+\mathbf{q}} - \epsilon_{\mathbf{k}}) a_{\mathbf{k}\uparrow}^\dagger a_{\mathbf{k}+\mathbf{q}\downarrow}, \\
 [a_{\mathbf{k}\uparrow}^\dagger a_{\mathbf{k}+\mathbf{q}\downarrow}, \mathcal{H}_{\text{int}}] &= (U/N) \sum_{\mathbf{k}_1, \mathbf{k}_2, \mathbf{p}} [a_{\mathbf{k}\uparrow}^\dagger a_{\mathbf{k}+\mathbf{q}\downarrow}, a_{\mathbf{k}_1+\mathbf{p}\uparrow}^\dagger a_{\mathbf{k}_2-\mathbf{p}\downarrow}^\dagger a_{\mathbf{k}_2\downarrow} a_{\mathbf{k}_1\uparrow}] \\
 &= -(U/N) \left[\sum_{\mathbf{k}_1, \mathbf{p}} a_{\mathbf{k}\uparrow}^\dagger a_{\mathbf{k}_1+\mathbf{p}\uparrow}^\dagger a_{\mathbf{k}+\mathbf{q}+\mathbf{p}\downarrow} a_{\mathbf{k}_1\uparrow} + \sum_{\mathbf{k}_2, \mathbf{p}} a_{\mathbf{k}+\mathbf{p}\uparrow}^\dagger a_{\mathbf{k}_2-\mathbf{q}\downarrow}^\dagger a_{\mathbf{k}_2\downarrow} a_{\mathbf{k}+\mathbf{q}\downarrow} \right].
 \end{aligned}$$

Mean field approximation

Random phase approximation (RPA)

$$\begin{aligned}
 &- \sum_{\mathbf{p}} a_{\mathbf{k}+\mathbf{p}\uparrow}^\dagger a_{\mathbf{k}+\mathbf{q}+\mathbf{p}\downarrow} \langle a_{\mathbf{k}\uparrow}^\dagger a_{\mathbf{k}\uparrow} \rangle + \sum_{\mathbf{k}_1} a_{\mathbf{k}\uparrow}^\dagger a_{\mathbf{k}+\mathbf{q}\downarrow} \langle a_{\mathbf{k}_1\uparrow}^\dagger a_{\mathbf{k}_1\uparrow} \rangle \\
 &- \sum_{\mathbf{k}_2} a_{\mathbf{k}\uparrow}^\dagger a_{\mathbf{k}+\mathbf{q}\downarrow} \langle a_{\mathbf{k}_2\downarrow}^\dagger a_{\mathbf{k}_2\downarrow} \rangle + \sum_{\mathbf{p}} a_{\mathbf{k}+\mathbf{p}\uparrow}^\dagger a_{\mathbf{k}+\mathbf{q}+\mathbf{p}\downarrow} \langle a_{\mathbf{k}+\mathbf{q}\downarrow}^\dagger a_{\mathbf{k}+\mathbf{q}\downarrow} \rangle
 \end{aligned}$$

RPA: susceptibility (3)

In paramagnetic state:

$$i\hbar \frac{\partial G_{\mathbf{k}\mathbf{q}}}{\partial t} = (\epsilon_{\mathbf{k}+\mathbf{q}} - \epsilon_{\mathbf{k}})G_{\mathbf{k}\mathbf{q}}(t) - (U/N)(\langle a_{\mathbf{k}\uparrow}^\dagger a_{\mathbf{k}\uparrow} \rangle - \langle a_{\mathbf{k}+\mathbf{q}\downarrow}^\dagger a_{\mathbf{k}+\mathbf{q}\downarrow} \rangle) \sum_{\mathbf{p}} G_{(\mathbf{k}+\mathbf{p})\mathbf{q}}(t) + (\langle a_{\mathbf{k}\uparrow}^\dagger a_{\mathbf{k}\uparrow} \rangle - \langle a_{\mathbf{k}+\mathbf{q}\downarrow}^\dagger a_{\mathbf{k}+\mathbf{q}\downarrow} \rangle) \delta(t)$$

Fourier transformation:

$$\mathcal{G}_{\mathbf{k}\mathbf{q}}(\omega) = \frac{f_{\mathbf{k}\uparrow} - f_{\mathbf{k}+\mathbf{q}\downarrow}}{\hbar\omega + \epsilon_{\mathbf{k}} - \epsilon_{\mathbf{k}+\mathbf{q}}} \left[1 - \frac{U}{N} \sum_{\mathbf{p}} \mathcal{G}_{\mathbf{p}\mathbf{q}}(\omega) \right]$$

$f_{\mathbf{k}s} = \langle a_{\mathbf{k}s}^\dagger a_{\mathbf{k}s} \rangle$
Fermi distribution function

Summation on \mathbf{k}

$$\chi_{+-}(\mathbf{q}, \omega) = N(g\mu_B)^2 \frac{2\chi^{(0)}(\mathbf{q}, \omega)}{1 - 2U\chi^{(0)}(\mathbf{q}, \omega)}$$

Susceptibility of non-interacting system:

$$\chi^{(0)}(\mathbf{q}, \omega) = \frac{1}{2N} \sum_{\mathbf{k}} \frac{f_{\mathbf{k}+\mathbf{q}\downarrow} - f_{\mathbf{k}\uparrow}}{\hbar\omega + \epsilon_{\mathbf{k}} - \epsilon_{\mathbf{k}+\mathbf{q}}} \quad \text{unit } (g\mu_B)^2$$

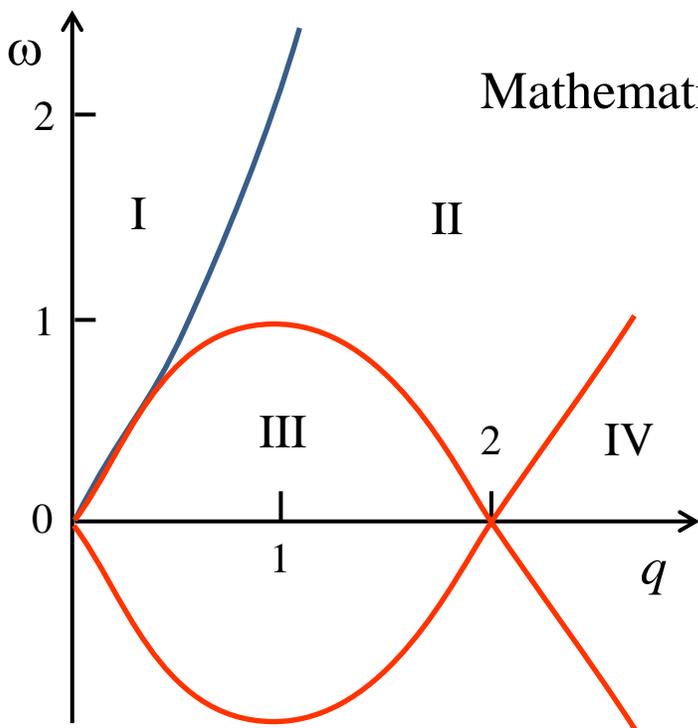
Susceptibility of non-interacting system

$\hbar \rightarrow 1$

Wavenumber unit: k_F

Energy unit: E_F

$$\begin{aligned} \frac{1}{2N} \sum_{\mathbf{k}} \frac{f_{\mathbf{k}}}{\omega + \epsilon_{\mathbf{k}-\mathbf{q}} - \epsilon_{\mathbf{k}}} &= \frac{1}{2} \rho(\epsilon_F) \int_0^1 k^2 dk \int_{-1}^1 \frac{d(\cos \theta)}{\omega + q^2 - 2kq \cos \theta} \\ &= \frac{1}{2} \rho(\epsilon_F) \int_0^1 k^2 dk \frac{1}{2kq} \log \frac{\omega + q^2 + 2kq}{\omega + q^2 - 2kq} \end{aligned}$$



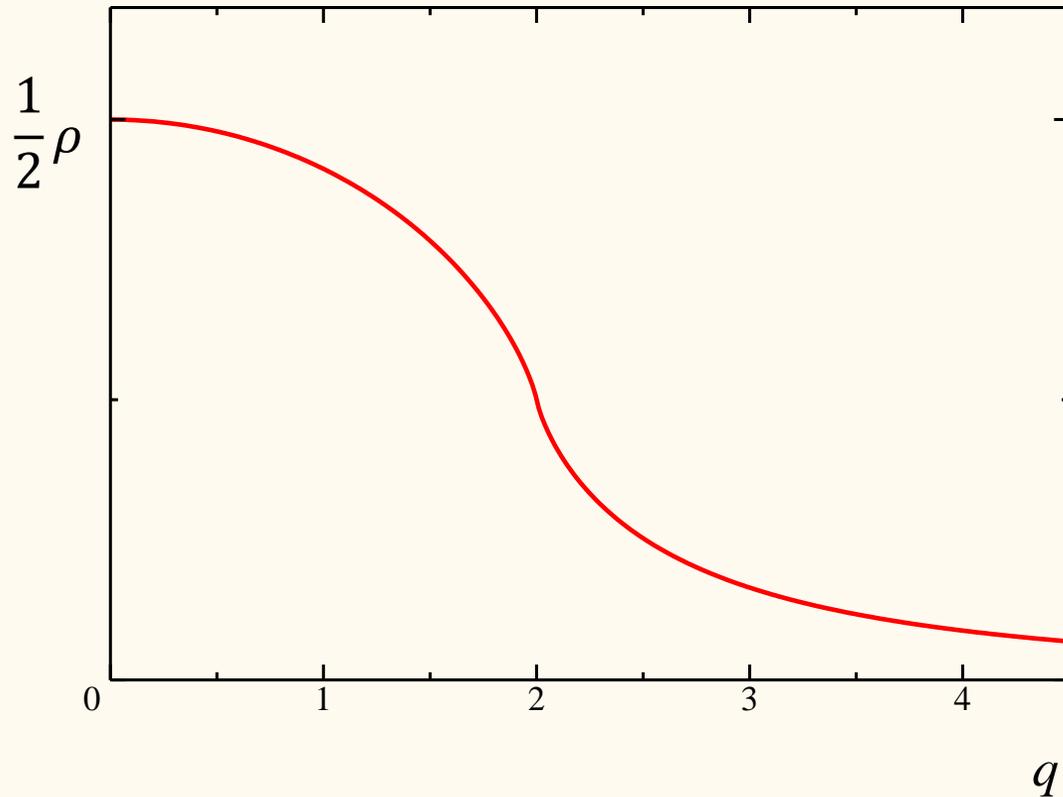
Mathematical identity: $\int x \log(ax + b) dx = \frac{1}{2} \left[x^2 - \left(\frac{b}{a} \right)^2 \right] \log(ax + b) - \frac{x^2}{4} + \frac{b}{2a} x$

$$\begin{aligned} \chi^{(0)}(q, \omega) &= \frac{\rho(\epsilon_F)}{2} \frac{1}{2q} \left\{ \frac{1}{2} \left[1 - \left(\frac{\omega + q^2}{2q} \right)^2 \right] \log \frac{\omega + q^2 + 2q}{\omega + q^2 - 2q} + \frac{\omega + q^2}{2q} \right. \\ &\quad \left. - \frac{1}{2} \left[1 - \left(\frac{-\omega + q^2}{2q} \right)^2 \right] \log \frac{-\omega + q^2 - 2q}{\omega + q^2 + 2q} + \frac{-\omega + q^2}{2q} \right\} \end{aligned}$$

Boundary of Kohn anomaly: $\omega = \pm(q^2 \pm 2q)$

Kohn anomaly, Stoner condition, SDW

$\text{Re}[\chi^{(0)}(q, 0)]$



$\mathbf{q}_{\max} = 0$ Stoner condition

In region III

$$\text{Im}[\chi^{(0)}(q, \omega)] = \frac{\rho(\epsilon_F)}{2} \frac{\pi \omega}{4 q}$$

$$\text{Re}[\chi^{(0)}(q, 0)] = \frac{\rho(\epsilon_F)}{2} \frac{1}{2q} \left\{ \left(1 - \frac{q^2}{4}\right) \log \left| \frac{2+q}{2-q} \right| + q \right\}$$

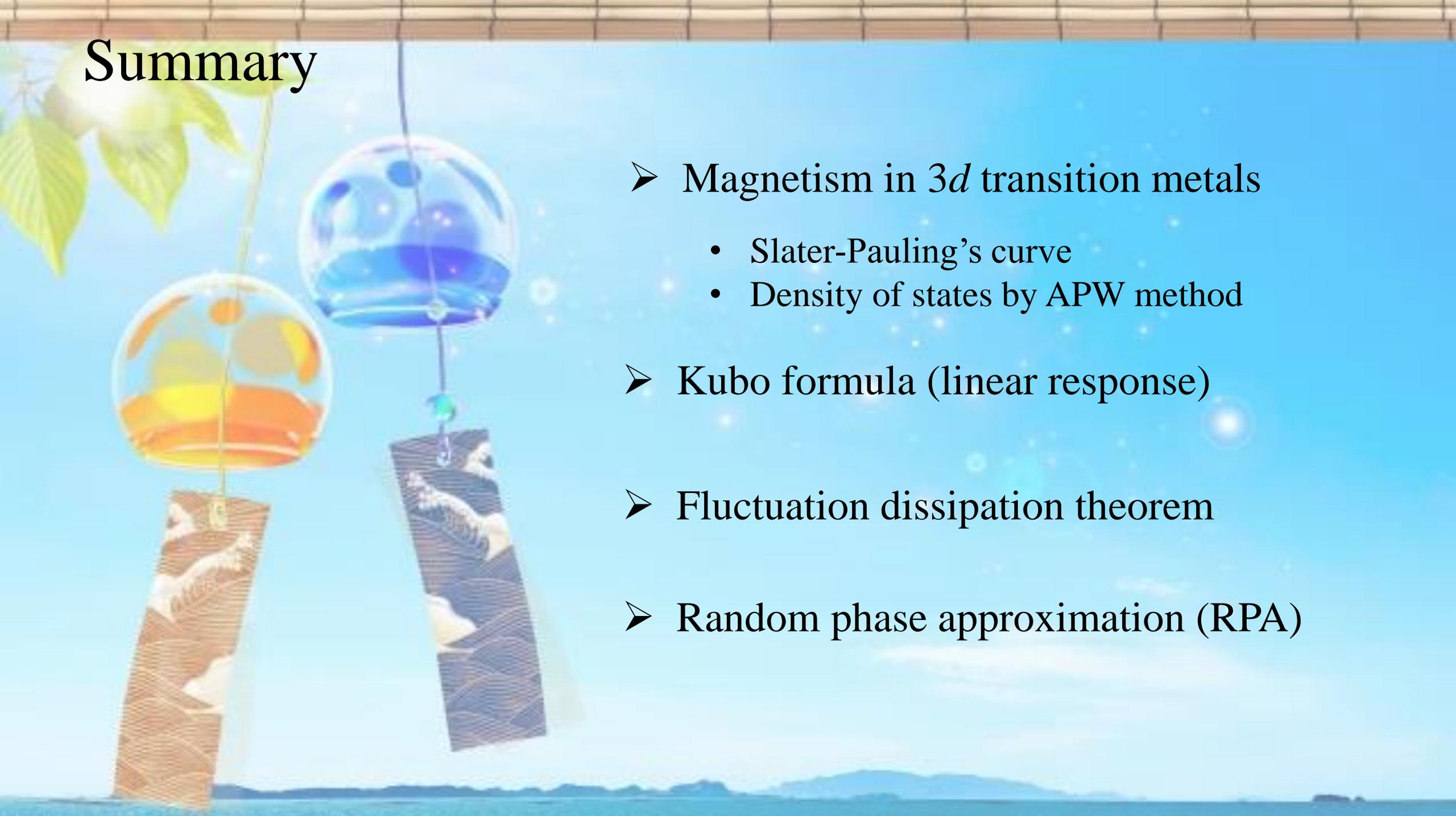
$$\rightarrow \frac{\rho(\epsilon_F)}{2} \quad (q \rightarrow 0)$$

$$\chi_{+-}(\mathbf{q}, \omega) = N(g\mu_B)^2 \frac{2\chi^{(0)}(\mathbf{q}, \omega)}{1 - 2U\chi^{(0)}(\mathbf{q}, \omega)}$$

$$U\chi^{(0)}(\mathbf{q}_{\max}, 0) \geq \frac{1}{2} \quad \text{Magnetic order}$$

$\mathbf{q}_{\max} \neq 0$ Spin density wave (SDW)

Summary

The background of the slide features a serene landscape with a clear blue sky, a calm sea, and distant mountains. In the foreground, there are several decorative hanging ornaments: a green leafy branch on the left, a blue glass sphere with a white dot inside, a yellow glass sphere with a white dot inside, and two rectangular paper tags with a patterned design, one brown and one dark blue.

- Magnetism in $3d$ transition metals
 - Slater-Pauling's curve
 - Density of states by APW method
- Kubo formula (linear response)
- Fluctuation dissipation theorem
- Random phase approximation (RPA)



2022.7.13 Lecture 14

10:25 – 11:55

Lecture on

Magnetic Properties of Materials

磁性 (Magnetism)

Institute for Solid State Physics, University of Tokyo

Shingo Katsumoto

Deadline for exercise 0629 is now 21st July.

Problems for the final report will be uploaded in the evening of 14 July.

The deadline for the submission of report is 2nd August.

- Magnetism in $3d$ transition metals
 - Slater-Pauling's curve
 - Density of states by APW method
- Kubo formula (linear response)
- Fluctuation dissipation theorem
- Random phase approximation (RPA)

- Paramagnon theory
- Self-consistent renormalization spin-fluctuation theory

Why and how we consider magnons in marginally paramagnetic metals?

Itinerant electron magnetism

26	27	28
Fe	Co	Ni
$3d^64s^2$	$3d^74s^2$	$3d^84s^2$

- Hartree-Fock approximation for jellium model ➤ overestimation of stability of ferromagnetism
- HFA for Hubbard Hamiltonian
 - Some successes: Explanation of Slater-Pauling curve
 - Still has the overestimation problem
- Dynamic mean field approximation by random phase approximation
 - Curie-Weiss law cannot be reproduced
 - Finding of spin-density-wave (SDW) i.e. existence of spin fluctuation (magnon)

Hypothesis to improve the approximation: Spin fluctuations exist in thermal equilibrium and lower the energy of marginally paramagnetic states

Agenda: Hellmann-Feynman theorem to treat the effect of fluctuation, fluctuation-dissipation theorem

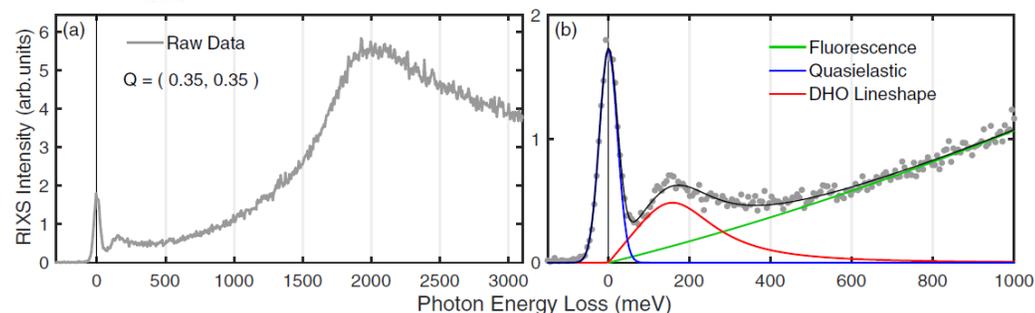
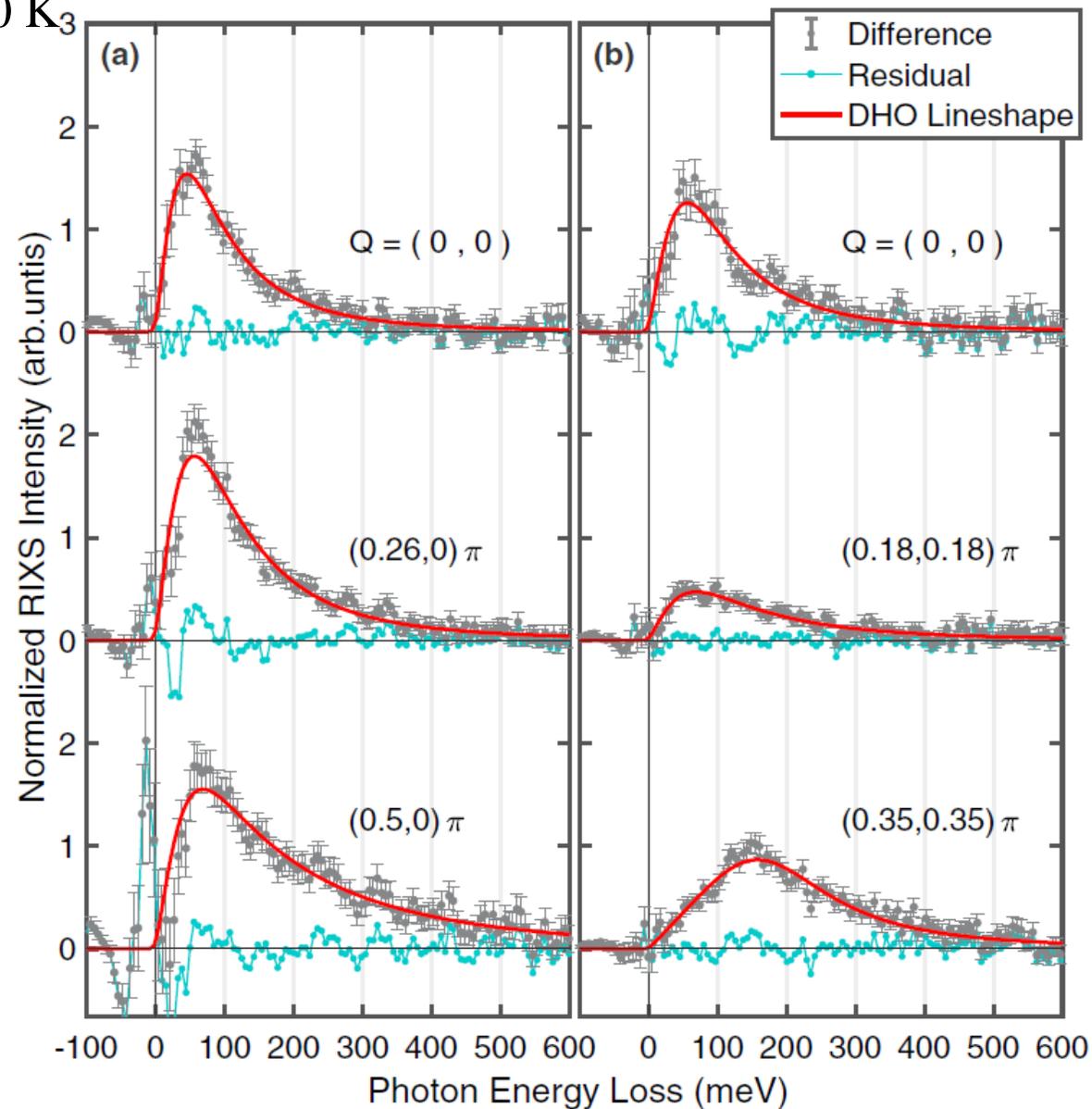
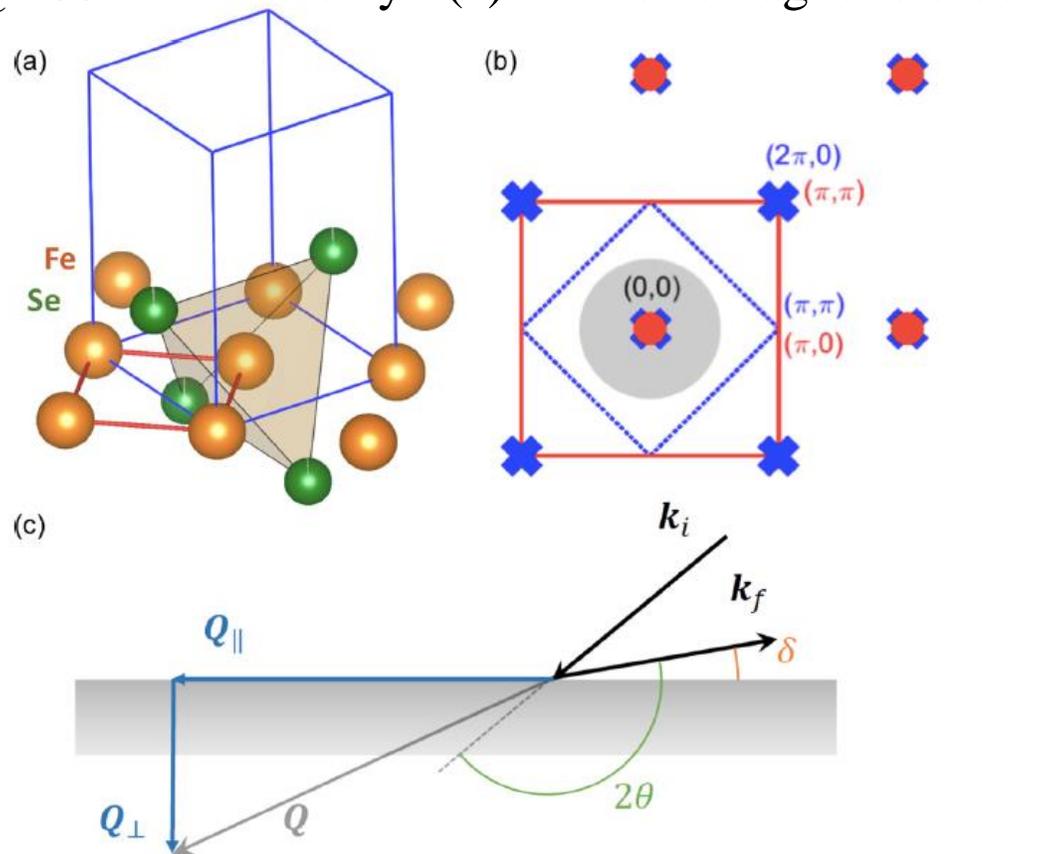
Paramagnons in “nearly ferromagnetic” materials

β -FeSe iron-based superconductor

Resonant inelastic x-ray scattering

Rhan et al., PRB **99**, 014505 (2019).

T_C 100 K in monolayer(?) anti-ferromagnetic order at 90 K₃



Hellmann-Feynman theorem

Hamiltonian with parameter p $\mathcal{H}(p) = \mathcal{H}_0 + \mathcal{H}_1(p)$

Normalized eigenstates $|p, n\rangle$ with eigenenergy $E_n(p)$

Variation in an eigenstate $|p, n\rangle$ caused by a small variation δp in p is expressed as a linear combination of $\{|p, m\rangle\}$ $|p + \delta p, n\rangle = |p, n\rangle + \sum_m C_m |p, m\rangle$

Linear approximation $C_m = c_m \delta p$

Then taking the inner product $\langle p + \delta p, n | p + \delta p, n \rangle = |1 + c_n \delta p|^2 \langle p, n | p, n \rangle + \sum_{m \neq n} |c_m|^2 |\delta p|^2 \langle p, m | p, m \rangle$

Therefore $c_n = 0$ from the normalization condition. Hence $C_n = 0$ within the linear approximation in δp .

Within linear in δp $\langle p + \delta p | \mathcal{H}(p) | p + \delta p \rangle = \langle p | \mathcal{H}(p) | p \rangle = E_n(p)$

(Other contribution should be in the second order of δp .)

Hellmann-Feynman theorem (2)

Then the shift in the eigenenergy is given by

$$\begin{aligned} E_n(p + \delta p) &= \langle p + \delta p, n | \mathcal{H}(p + \delta p) | p + \delta p, n \rangle \\ &= \left\langle p + \delta p, n \left| \mathcal{H}(p) + \delta p \frac{\partial \mathcal{H}(p)}{\partial p} \right| p + \delta p, n \right\rangle \\ &= E_n(p) + \delta p \left\langle p, n \left| \frac{\partial \mathcal{H}(p)}{\partial p} \right| p, n \right\rangle \end{aligned}$$

Hellmann-Feynman theorem

$$\frac{dE_n(p)}{dp} = \left\langle p, n \left| \frac{\partial \mathcal{H}_1(p)}{\partial p} \right| p, n \right\rangle$$

Free energy of the system under consideration: $F(p)$

$$\frac{\partial F(p)}{\partial p} = \frac{1}{Z} \sum_n \exp \left[\frac{-E_n(p)}{k_B T} \right] \frac{\partial E_n(p)}{\partial p}$$

Paramagnon theory

Hamiltonian $\mathcal{H} = \mathcal{H}_0 + \underline{\mathcal{H}}_I$ Interaction Hamiltonian with interaction parameter I

Introduction of interaction $I': 0 \rightarrow I$ $F(I) = F(0) + \int_0^I \left\langle \frac{\partial \mathcal{H}_{I'}}{\partial I'} \right\rangle dI'$

We consider paramagnon (spin-fluctuation) contribution to specific heat

Hubbard Hamiltonian $\mathcal{H} = \sum_{\mathbf{k},s} \epsilon_{\mathbf{k}} a_{\mathbf{k}s}^\dagger a_{\mathbf{k}s} + U \sum_i \hat{n}_{i\uparrow} \hat{n}_{i\downarrow} = \mathcal{H}_0 + \mathcal{H}_I$

Fourier expansion $c_{is} = \frac{1}{\sqrt{N}} \sum_{\mathbf{k}} e^{i\mathbf{R}_i \cdot \mathbf{k}} a_{\mathbf{k}s}$

$\mathcal{H}_I = \frac{U}{N} \sum_{\mathbf{k},\mathbf{k}',\mathbf{q}} a_{\mathbf{k}+\mathbf{q}\uparrow}^\dagger a_{\mathbf{k}\uparrow} a_{\mathbf{k}'-\mathbf{q}\downarrow}^\dagger a_{\mathbf{k}'\downarrow} \quad I = U/N$
Interaction parameter

Up/down operators $\left. \begin{aligned} S_+(\mathbf{q}) &= \sum_{\mathbf{k}} a_{\mathbf{k}\uparrow}^\dagger a_{\mathbf{k}+\mathbf{q}\downarrow}, \\ S_-(\mathbf{q}) &= \sum_{\mathbf{k}} a_{\mathbf{k}\downarrow}^\dagger a_{\mathbf{k}+\mathbf{q}\uparrow} \end{aligned} \right\}$

Paramagnon theory (2)

The interaction Hamiltonian can be developed as

$$\mathcal{H}_I = I \sum_{\mathbf{k}, \mathbf{k}', \mathbf{q}} a_{\mathbf{k}+\mathbf{q}\uparrow}^\dagger a_{\mathbf{k}\uparrow} (\delta_{\mathbf{k}', \mathbf{k}'-\mathbf{q}} - a_{\mathbf{k}'\downarrow} a_{\mathbf{k}'-\mathbf{q}\downarrow}^\dagger)$$

$$= I \left[\sum_{\mathbf{k}, \mathbf{k}'} a_{\mathbf{k}\uparrow}^\dagger a_{\mathbf{k}\uparrow} - \sum_{\mathbf{k}, \mathbf{k}', \mathbf{q}} a_{\mathbf{k}+\mathbf{q}\uparrow}^\dagger a_{\mathbf{k}'\downarrow} a_{\mathbf{k}-\mathbf{q}\downarrow}^\dagger a_{\mathbf{k}\uparrow} \right]$$

Fermion commutation relation

Change of summation representation

$$\mathbf{q} \rightarrow -\mathbf{q} + \mathbf{k}' - \mathbf{k}$$

$$\left. \begin{aligned} \mathbf{k} + \mathbf{q} &\rightarrow \mathbf{k} - \mathbf{q} + \mathbf{k}' - \mathbf{k} = \mathbf{k}' - \mathbf{q} \\ \mathbf{k}' - \mathbf{q} &\rightarrow \mathbf{k} + \mathbf{q} \end{aligned} \right\}$$

$$\mathcal{H}_I = I \left[\sum_{\mathbf{k}, \mathbf{k}'} a_{\mathbf{k}\uparrow}^\dagger a_{\mathbf{k}\uparrow} - \sum_{\mathbf{k}, \mathbf{k}', \mathbf{q}} a_{\mathbf{k}'-\mathbf{q}\uparrow}^\dagger a_{\mathbf{k}'\downarrow} a_{\mathbf{k}+\mathbf{q}\downarrow}^\dagger a_{\mathbf{k}\uparrow} \right]$$

$$\left. \begin{aligned} S_+(\mathbf{q}) &= \sum_{\mathbf{k}} a_{\mathbf{k}\uparrow}^\dagger a_{\mathbf{k}+\mathbf{q}\downarrow}, \\ S_-(\mathbf{q}) &= \sum_{\mathbf{k}} a_{\mathbf{k}\downarrow}^\dagger a_{\mathbf{k}+\mathbf{q}\uparrow} \end{aligned} \right\}$$

$$= I \left[\sum_{\mathbf{k}, \mathbf{k}'} a_{\mathbf{k}\uparrow}^\dagger a_{\mathbf{k}\uparrow} - \sum_{\mathbf{q}} S_+(-\mathbf{q}) S_-(\mathbf{q}) \right] = I \left[\sum_{\mathbf{k}, \mathbf{k}'} a_{\mathbf{k}\uparrow}^\dagger a_{\mathbf{k}\uparrow} - \sum_{\mathbf{q}} S_+(\mathbf{q}) S_-(\mathbf{-q}) \right]$$

Paramagnon theory (3)

$$\mathcal{H}_I = I \left[\sum_{\mathbf{k}, \mathbf{k}'} a_{\mathbf{k}\uparrow}^\dagger a_{\mathbf{k}\uparrow} - \sum_{\mathbf{q}} S_+(\mathbf{q}) S_-(-\mathbf{q}) \right] \quad \text{does not change by spin inversion in paramagnetic state}$$

Then can be written in a form

$$\mathcal{H}_I = \frac{N_e U}{2} - \frac{I}{2} \sum_{\mathbf{q}} \{S_+(\mathbf{q}), S_-(-\mathbf{q})\}_+$$

$\{A, B\}_+ = AB + BA$
anti-commutation relation

Variation of free energy

$$\Delta F = \frac{N_e U}{2} - \frac{1}{2} \sum_{\mathbf{q}} \int_0^I dI' \langle \{S_+(\mathbf{q}), S_-(-\mathbf{q})\}_+ \rangle$$

Remember retarded Green's function

$$\mathcal{G}_{QP}^+(\omega) = \sum_{n,m} \langle n|Q|m\rangle \langle m|P|n\rangle \frac{e^{-\beta E_n} - e^{-\beta E_m}}{E_n - E_m + \hbar\omega + i\eta}$$

By writing a parallel expression for an advanced Green's function, we can obtain

$$\mathcal{G}_{QP}^+(\omega) - \mathcal{G}_{QP}^-(\omega) = -2i \text{Im}[\chi_{QP}(\omega)]$$

Paramagnon theory (4)

Fluctuation-dissipation $\mathcal{S}_{QP}(\omega) = \frac{2}{1 - e^{-\beta\hbar\omega}} \text{Im}[\chi_{QP}(\omega)]$

Linear response $\chi_{+-}(\mathbf{q}, \omega) = -(g\mu_B)^2 \frac{i}{\hbar} \int_0^\infty dt \langle [S_-(\mathbf{-q}), S_+(\mathbf{q}, t)] \rangle e^{i\omega t}$

Let $|n\rangle$ be a many-body eigenstate with eigenenergy E_n

$$\text{Im}[\chi_{+-}(\mathbf{q}, \omega)] = \frac{\pi(g\mu_B)^2}{\hbar} \sum_{m,n} (\rho_m - \rho_n) \delta(\omega - \Delta E_{mn}/\hbar) \langle n|S_-(\mathbf{-q})|m\rangle \langle m|S_+(\mathbf{q})|n\rangle$$

Boltzmann factor $\rho_n = \frac{1}{Z} \exp\left[-\frac{E_n}{k_B T}\right], \quad \Delta E_{mn} = E_m - E_n$

See Appendix 14A for the derivation of the above equation

Multiply both sides with $\coth(\beta\omega\hbar/2)$ and integrate with ω

Paramagnon theory (5)

$$\begin{aligned}
 & \int_{-\infty}^{\infty} d\omega \operatorname{Im} \chi_{+-}(\mathbf{q}, \omega) \coth\left(\frac{\hbar\omega}{2k_{\text{B}}T}\right) \\
 &= \frac{\pi(g\mu_{\text{B}})^2}{\hbar} \sum_{m,n} (\rho_m - \rho_n) \coth\left(\frac{\Delta E_{nm}}{k_{\text{B}}T}\right) \langle n | S_{-}(-\mathbf{q}) | m \rangle \langle m | S_{+}(\mathbf{q}) | n \rangle \\
 &= \frac{\pi(g\mu_{\text{B}})^2}{\hbar} \langle \{S_{-}(-\mathbf{q}), S_{+}(\mathbf{q})\}_{+} \rangle
 \end{aligned}$$

Then from
$$\Delta F = \frac{N_e U}{2} - \frac{1}{2} \sum_{\mathbf{q}} \int_0^I dI' \langle \{S_{+}(\mathbf{q}), S_{-}(-\mathbf{q})\}_{+} \rangle$$

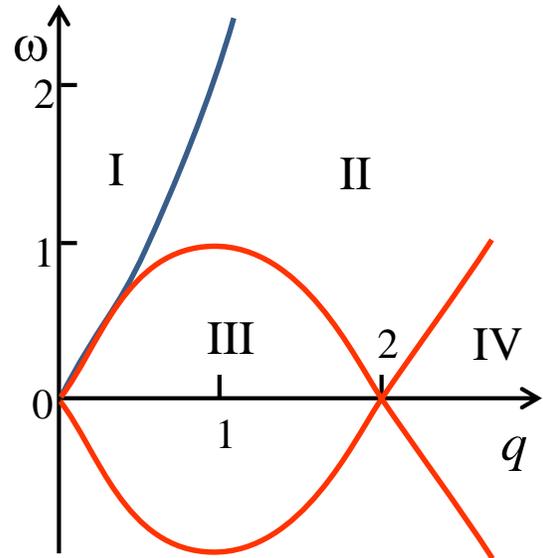
$$\Delta F = \frac{N_e U}{2} - \sum_{\mathbf{q}} \int_0^I dI' \frac{1}{2\pi} \int_{-\infty}^{\infty} d\omega \coth\left(\frac{\hbar\omega}{2k_{\text{B}}T}\right) \operatorname{Im}[\chi_{+-}(\mathbf{q}, \omega)]$$

RPA expression
$$\chi_{+-}(\mathbf{q}, \omega) = N(g\mu_{\text{B}})^2 \frac{2\chi^{(0)}(\mathbf{q}, \omega)}{1 - 2U\chi^{(0)}(\mathbf{q}, \omega)}$$

$$\Delta F = \frac{N_e U}{2} + \sum_{\mathbf{q}} \frac{1}{\pi} \int_0^{\infty} d\omega \coth\left(\frac{\hbar\omega}{k_{\text{B}}T}\right) \operatorname{Im}\{\log[1 - 2U\chi^{(0)}(\mathbf{q}, \omega)]\}$$

Paramagnon theory (6)

In order for calculation of specific heat we pick up temperature-dependent part from the free energy variation.



$$\coth \frac{\hbar\omega}{k_B T} = \underbrace{1 + \frac{2}{e^{\hbar\omega/k_B T} - 1}}_{\text{Paramagnon zero-point motion and ignored}}$$

Contribution from region-III is the largest, where we can expand

$$\chi^{(0)}(q, \omega) = \frac{1}{2} \rho(\epsilon_F) \left[1 - A_0 \left(\frac{q}{k_F} \right)^2 + i C_0 \frac{\hbar\omega}{\epsilon_F} \frac{k_F}{q} \right] \quad A_0 = \frac{1}{12}, \quad C_0 = \frac{\pi}{4}$$

$$\alpha \equiv U \rho(\epsilon_F)$$

$$\begin{aligned} \Delta F(T) &= \frac{N}{2} \rho(\epsilon_F) \epsilon_F^2 \int_0^{q_c} q^2 dq \int_0^\infty d\omega \frac{2}{e^{\beta\omega} - 1} \text{Im} \left[\log \left(1 - \alpha + \alpha A_0 q^2 - i \alpha C_0 \frac{\omega}{q} \right) \right] \\ &= -\frac{N}{2} \rho(\epsilon_F) \epsilon_F^2 \int_0^{q_c} q^2 dq \int_0^\infty d\omega \frac{2}{e^{\beta\omega} - 1} \arctan \left[\frac{\omega}{q} \frac{C_0}{K_0^2 + A_0 q^2} \right] \end{aligned}$$

$$\hbar \rightarrow 1 \quad \text{Wavenumber unit: } k_F \quad \text{Energy unit: } E_F$$

$$\text{Cutoff } q_c \sim 1$$

$$K_0^2 = \frac{1 - \alpha}{\alpha}$$

Paramagnon theory (7)

Low temperature approximation $\omega \ll 1$ $\arctan x \sim x$

$$\frac{\Delta F(T)}{N} = -\frac{2\pi^2}{3} \rho(\epsilon_F) (k_B T)^2 \frac{C_0}{2\pi A_0} \log \frac{K_0^2 + A_0 q_c^2}{K_0^2}$$

Because the free energy is proportional to T^2
we can write

$$C = \gamma T, \quad \gamma_0 \equiv \frac{2\pi^2}{3} k_B^2 \rho(\epsilon_F) \quad \text{Free electron expression}$$

$$\gamma = \gamma_0 \left(1 + \frac{C_0}{\pi A_0} \log \frac{K_0^2 + A_0 q_c^2}{K_0^2} \right)$$

Logarithmic divergence for the Stoner condition $\alpha \rightarrow 1$, $K_0 \rightarrow 0$

Self-consistent renormalization spin fluctuation theory

In paramagnon theory, we take the effect of spin-fluctuation (magnon) into account.

However, the effect of magnons should be reflected back to magnons and they should be self-consistent.

Otherwise, we cannot treat ferromagnetic cases, in which spontaneous magnetization appears.

Free energy in the presence of magnetization

$$F(M, T) = \underbrace{F_0(M, T)}_{\text{Non-interacting}} + \frac{N_e U}{2} \underbrace{- bM}_{\text{Zeeman}} - \sum_{\mathbf{q}} \int_0^I dI' \frac{1}{2\pi} \int d\omega \coth \frac{\hbar\omega}{2k_B T} \text{Im}[\chi_{+-}(\underline{M}, I'; \mathbf{q}, \omega)]$$

Magnetic equation of state $\frac{\partial F(M, T)}{\partial M} = 0 \quad \rightarrow$ determines spontaneous magnetization

HF approximation is expressed in these terms $\Delta F_{\text{HF}} = \frac{N_e U}{2} - I \sum_{\mathbf{q}} \frac{1}{2\pi} \int_{-\infty}^{\infty} d\omega \coth \left(\frac{\hbar\omega}{2k_B T} \right) \text{Im}[\chi_{+-}(M, 0; \mathbf{q}, \omega)]$

Non-interacting starting point derivative:

$$\left\langle \frac{\partial \mathcal{H}}{\partial I} \right\rangle_{I=0} = N \sum_i^N \langle n_{i\uparrow} n_{i\downarrow} \rangle_{I=0} = N \sum_i^N \langle n_{i\uparrow} \rangle \langle n_{i\downarrow} \rangle$$

$$= \frac{N^2}{4} (n_+^2 - n_-^2) = \frac{N^2}{4} [n^2 - (2m)^2] = \frac{N_e^2}{4} - M^2$$

where $n_+ = n_\uparrow + n_\downarrow$, $n_- = n_\uparrow - n_\downarrow$, $m = \frac{n_-}{2}$

$$F(M, T) = F_0(M, T) + I \left(\frac{N_e^2}{4} - M^2 \right) - bM \quad : \text{HF Approximation}$$

$$- \sum_{\mathbf{q}} \int_0^I dI' \frac{1}{2\pi} \int_{-\infty}^{\infty} d\omega \coth \frac{\hbar\omega}{k_B T} \text{Im}[\chi_{+-}(M, I'; \mathbf{q}, \omega) - \chi_{+-}(M, 0; \mathbf{q}, \omega)] \quad : \text{Correction}$$

We apply RPA to $\chi_{\pm-}$

$$F(M, T) = F_0(M, T) + I \left(\frac{N_e^2}{4} - M^2 \right) - bM$$

$$- \sum_{\mathbf{q}} \frac{1}{2\pi} \int_{-\infty}^{\infty} d\omega \coth \frac{\hbar\omega}{k_B T} \text{Im}[\log\{1 - 2U\chi^{(0)}(M; \mathbf{q}, \omega)\} + 2U\chi^{(0)}(M; \mathbf{q}, \omega)].$$

$$\chi^{(0)}(M; \mathbf{q}, \omega) = \frac{1}{2N} \chi_{+-}(M, 0; \mathbf{q}, \omega)$$

SCR-SF theory (3)

To obtain magnetic equation of state, we take differentiation by $m = M/N$

$$\frac{\partial F_0}{N \partial m} - 2Um - b - \frac{1}{N} \sum_{\mathbf{q}} \frac{1}{2\pi} \int_{-\infty}^{\infty} d\omega \coth \frac{\hbar\omega}{2k_B T} \text{Im} \left[\frac{2U\chi^{(0)}(M; \mathbf{q}, \omega)}{1 - 2U\chi^{(0)}(M; \mathbf{q}, \omega)} 2U \frac{\partial \chi^{(0)}(M; \mathbf{q}, \omega)}{\partial m} \right] = 0$$

$$\chi = \frac{\partial m}{\partial b}, \quad \frac{1}{\chi} = \frac{\partial b}{\partial m}$$

Magnetic equation of state for non-interacting system $\frac{\partial F_0}{N \partial m} - b = 0$ then $\frac{\partial^2 F_0}{N \partial m^2} = \frac{1}{\chi_0}$

In paramagnetic case

$$\begin{aligned} \frac{1}{\chi} &= \frac{1}{\chi_0} - 2U \\ &- \frac{1}{N} \sum_{\mathbf{q}} \frac{1}{2\pi} \int_{-\infty}^{\infty} d\omega \coth \frac{\hbar\omega}{2k_B T} (2U)^2 \\ &\times \text{Im} \left[\chi(\mathbf{q}, \omega) \frac{\partial^2 \chi^{(0)}(\mathbf{q}, \omega)}{\partial m^2} \Big|_{m=0} + \chi^2(\mathbf{q}, \omega) \left\{ \frac{1}{\chi^{(0)}(\mathbf{q}, \omega)} \frac{\partial \chi^{(0)}(\mathbf{q}, \omega)}{\partial m} \Big|_{m=0} \right\}^2 \right] \end{aligned}$$

Square of spin-fluctuation Ignored
↓

Coupling constant $g = -(2U)^2 \chi_0 \left. \frac{\partial^2 \chi^{(0)}(\mathbf{q}, \omega)}{\partial m^2} \right|_{m=0, q=0, \omega=0}$

$$\frac{\chi_0}{\chi} = 1 - 2U\chi_0 + \frac{g}{N} \sum_{\mathbf{q}} \frac{1}{2\pi} \int_{-\infty}^{\infty} d\omega \coth \frac{\hbar\omega}{2k_B T} \text{Im}[\chi(\mathbf{q}, \omega)]$$

Application of RPA → Breakdown of self-consistency

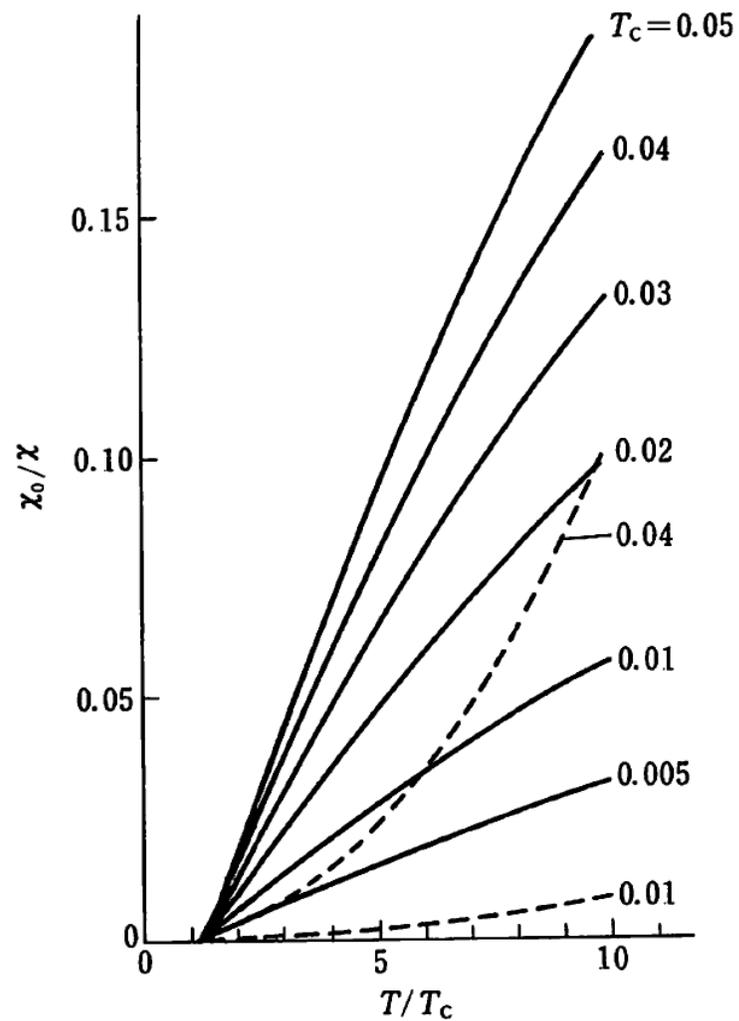
$$T = 0 \quad \frac{\chi_0}{\chi(T=0)} = 1 - 2U\chi_0 + \frac{g}{N} \sum_{\mathbf{q}} \frac{1}{\pi} \int_0^{\infty} d\omega \text{Im}[\chi(\mathbf{q}, \omega)]_{T=0}$$

Correction of overestimation of stability in ferromagnetic state

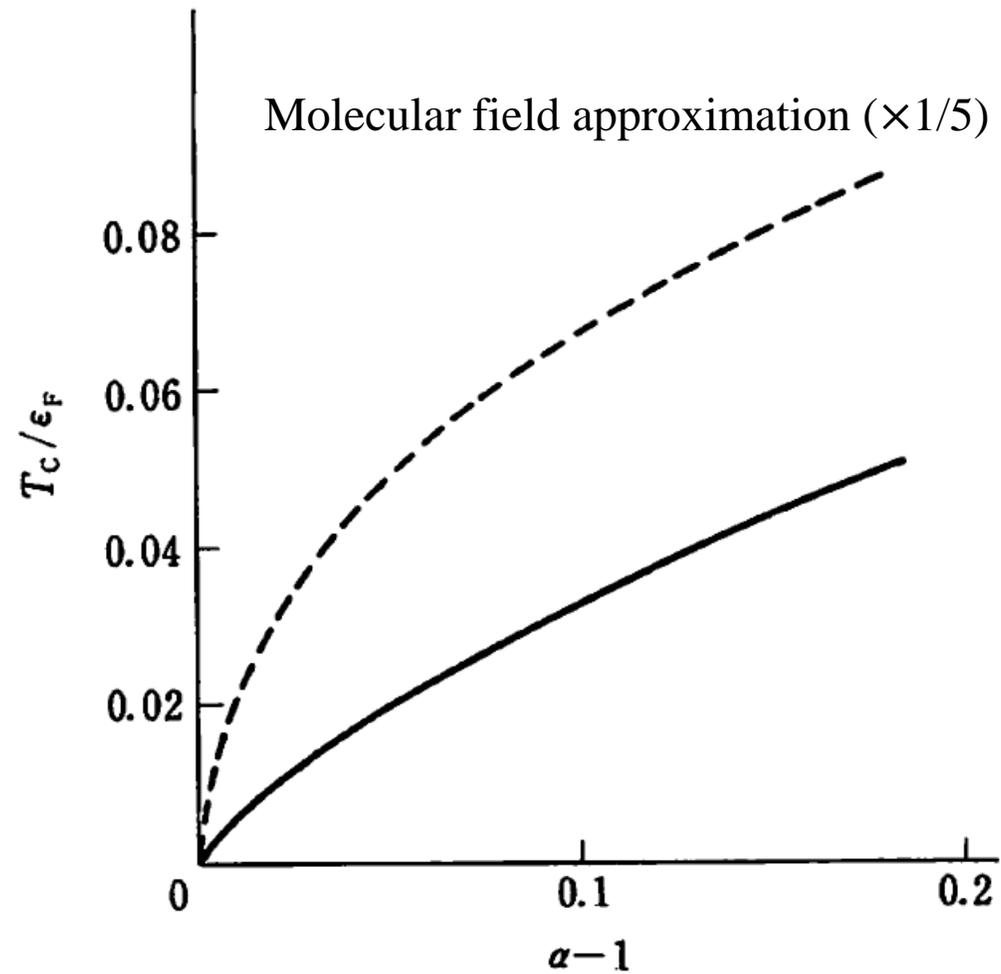
Ignore magnon zero-point motion $\frac{\chi_0}{\chi} = \frac{\chi_0}{\chi(T=0)} + \frac{g}{N} \sum_{\mathbf{q}} \frac{1}{\pi} \int_0^{\infty} d\omega \frac{2}{e^{\hbar\omega\beta} - 1} \text{Im}[\chi(\mathbf{q}, \omega)]$

Expansion around (0,0) $\frac{\chi_0}{\chi(\mathbf{q}, \omega)} = \frac{\chi_0}{\chi(+0, +0)} + A \left(\frac{q}{k_F} \right)^2 - iC \frac{\omega}{\epsilon_F} \frac{k_F}{q}$ Self-consistent determination of χ

Improvements by SCR-SF theory

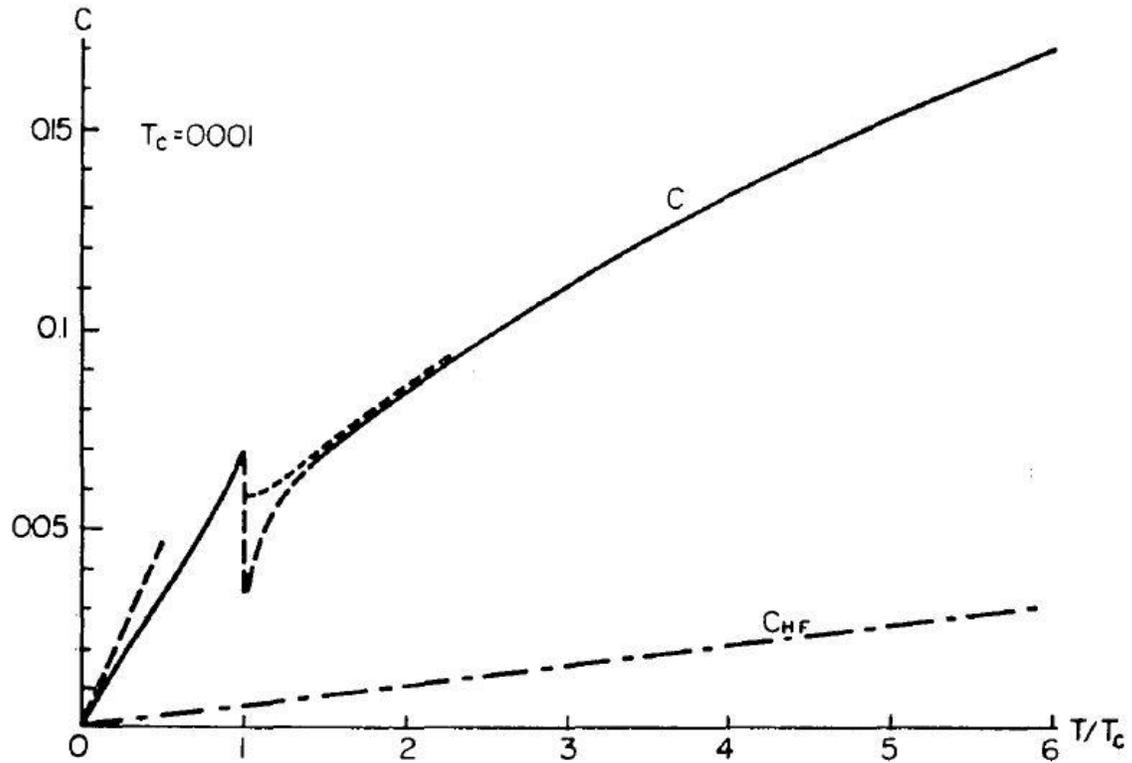


Temperature dependence of susceptibility

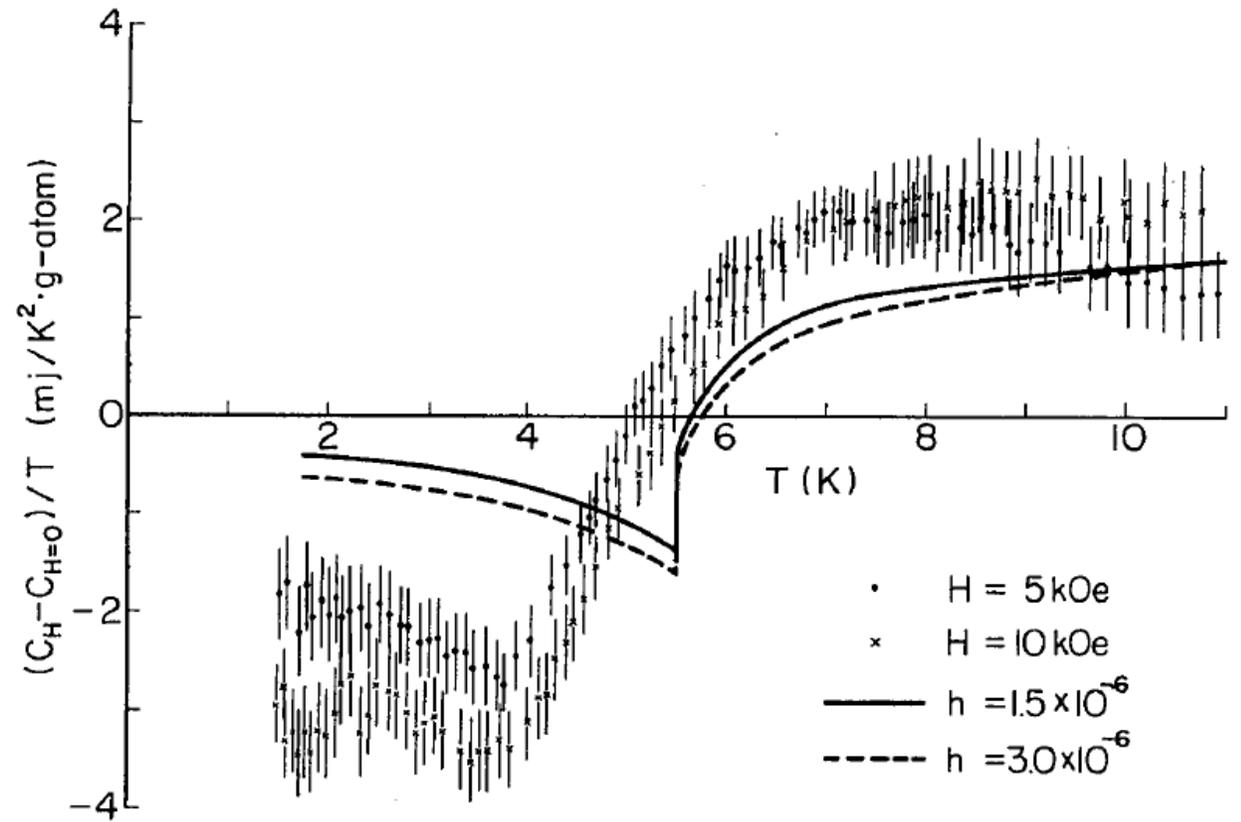


Critical temperature vs interaction parameter

Problems in SCR-SF theory

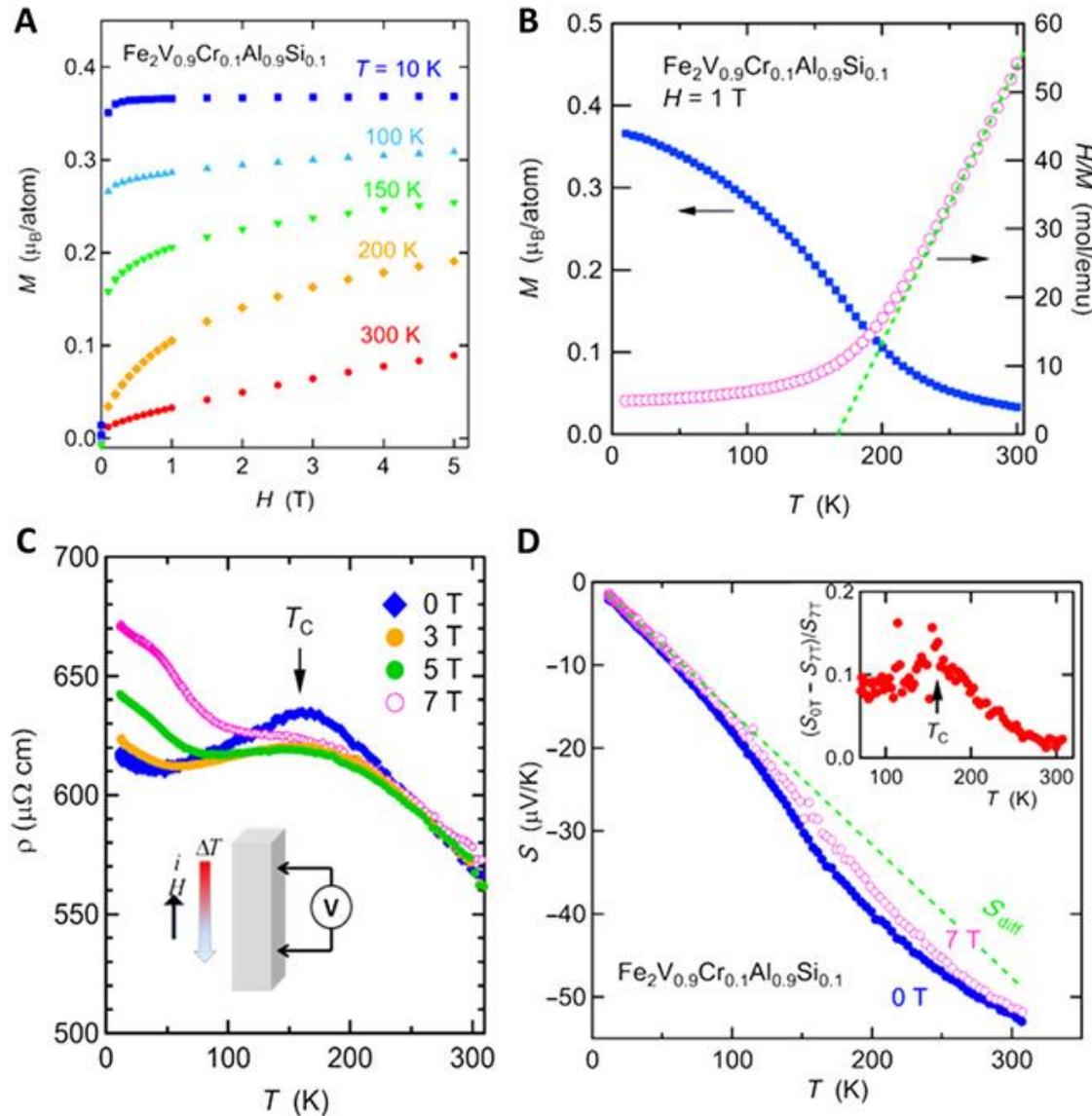


Makoshi & Moriya, JPSJ 38, 10 (1975).



Takeuchi & Masuda, JPSJ 46, 468 (1979).

Enhanced thermopower due to spin fluctuation

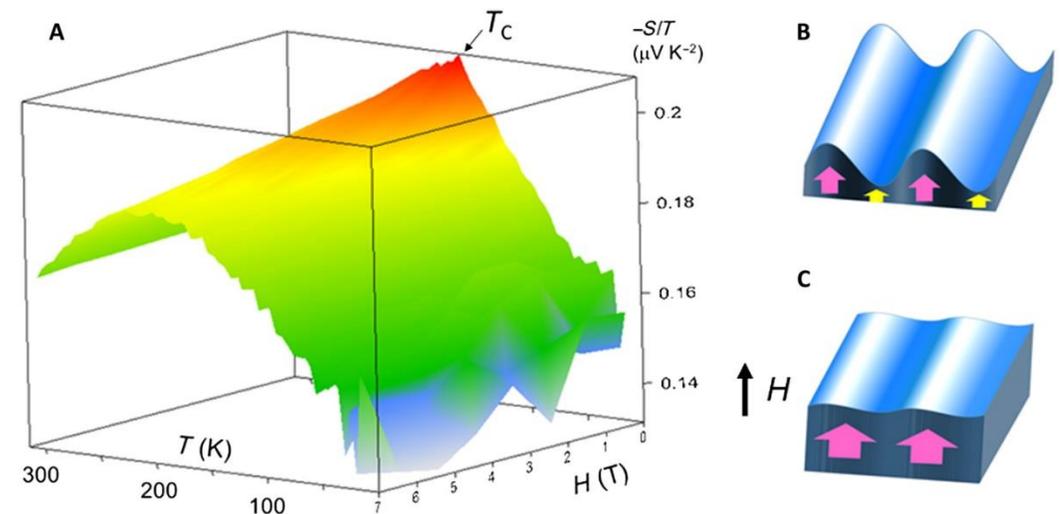


Weak ferromagnet

Spin fluctuation enhancement around T_C

Enhancement of entropy

Magnon drag effect



Tsuji et al. Science Advances **5**, eaat5935 (2019).

Chapter 1 Basic Notions of Magnetism

Breakdown of classical magnetism: cancellation of paramagnetic and diamagnetic terms (Bohr-van Leeuwen theorem)

Quest for the sources of magnetic dipoles in materials.

Spins and spin-orbit interactions

Chapter 2 Magnetism of Localized Electrons

Electronic states of magnetic ions

- LS (j-j) coupling, Hund's rule
- Ligand field

Magnetic resonance

- Spin Hamiltonian

Lecture review

Chapter 3 Magnetism of conduction electrons

Pauli paramagnetism

Landau diamagnetism

Chapter 4 Interaction between spins

Exchange interaction

➤ Heisenberg Hamiltonian

➤ Hubbard model

Superexchange interaction

➤ Spin Hamiltonian

Chapter 5 Theory of magnetic insulators

Chapter 6 Magnetism of itinerant electrons

The background features a white, textured surface. On the left is a circular fan with a light blue background and a yellow frame, decorated with a blue, pink, and purple morning glory flower and green leaves. In the upper right, a colorful butterfly with pink, blue, and purple wings is visible. In the lower right, there are several green maple leaves. The text is centered in the middle of the image.

Thank you

Have a nice summer vacation

Deadline for exercise 0629 is now 21st July.

Problems for the final report will be uploaded in the evening of 14 July.

The deadline for the submission of report is 2nd August.